

NORTHWESTERN UNIVERSITY

Single Audit Report Year ended August 31, 2023

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KPMG LLP Aon Center Suite 5500 200 E. Randolph Street Chicago, IL 60601-6436

Independent Auditors' Report

The Board of Trustees Northwestern University:

Report on the Audit of the Consolidated Financial Statements

Opinion

We have audited the consolidated financial statements of Northwestern University (the University), which comprise the consolidated statements of financial position as of August 31, 2023 and 2022, the related consolidated statements of activities and cash flows for the years then ended, and the related notes to the consolidated financial statements.

In our opinion, the accompanying consolidated financial statements present fairly, in all material respects, the financial position of the University as of August 31, 2023 and 2022, and the changes in its net assets and its cash flows for the years then ended in accordance with U.S. generally accepted accounting principles.

Basis for Opinion

We conducted our audits in accordance with auditing standards generally accepted in the United States of America (GAAS) and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Our responsibilities under those standards are further described in the Auditors' Responsibilities for the Audit of the Consolidated Financial Statements section of our report. We are required to be independent of the University and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audits. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Responsibilities of Management for the Consolidated Financial Statements

Management is responsible for the preparation and fair presentation of the consolidated financial statements in accordance with U.S. generally accepted accounting principles, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of consolidated financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the consolidated financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the University's ability to continue as a going concern for one year after the date the consolidated financial statements are issued.

Auditors' Responsibilities for the Audit of the Consolidated Financial Statements

Our objectives are to obtain reasonable assurance about whether the consolidated financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditors' report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS and *Government Auditing Standards* will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the consolidated financial statements.



In performing an audit in accordance with GAAS and Government Auditing Standards, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the consolidated financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the consolidated financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the University's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the consolidated financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the University's ability to continue as a going concern for a reasonable period of time.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control related matters that we identified during the audit.

Other Reporting Required by Government Auditing Standards

In accordance with *Government Auditing Standards*, we have also issued our report dated December 15, 2023 on our consideration of the University's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is solely to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the University's internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the University's internal control over financial reporting and compliance.



Chicago, Illinois December 15, 2023

Consolidated Statements of Financial Position

As of August 31, 2023 and 2022

| (in thousands of dollars) | 2023 | 2022 |
|---|--------------|--------------|
| Assets | | |
| Cash and cash equivalents | \$436,057 | \$372,337 |
| Accounts receivable, <i>net</i> | 305,141 | 275,580 |
| Contributions receivable, net | 179,323 | 206,677 |
| Notes receivable, <i>net</i> | 238,107 | 131,870 |
| Investments | 14,030,298 | 14,383,550 |
| Right-of-use assets-operating, <i>net</i> | 149,791 | 142,653 |
| Land, buildings, and equipment, <i>net</i> | 3,165,387 | 3,120,855 |
| Total assets | 18,504,104 | 18,633,522 |
| Liabilities Accounts payable and accrued liabilities | 291.342 | 217,086 |
| | | |
| Deferred revenue | 446,135 | 217,080 |
| Deposits payable and actuarial liability of annuities payable | 235,906 | 191,533 |
| Lease liabilities-operating | 156,474 | 149,019 |
| Bonds, notes, and other debt payable, <i>net</i> | 2,425,512 | 2,432,759 |
| Total liabilities | 3,555,369 | 3,282,664 |
| Net assets | | |
| Without donor restrictions | 9,109,534 | 9,413,091 |
| With donor restrictions | 5,839,201 | 5,937,767 |
| Total net assets | 14,948,735 | 15,350,858 |
| Total liabilities and net assets | \$18,504,104 | \$18,633,522 |

See Notes to the Consolidated Financial Statements, beginning on page 11.

Consolidated Statements of Activities

For the fiscal years ended August 31, 2023 and 2022

| (in thousands of dollars) | 2023 | 2022 |
|--|-----------|-----------|
| Net assets without donor restrictions | | |
| Operating revenues | | |
| Net tuition and fees (<i>net of aid</i> , \$586,372 <i>in 2023 and</i> \$558,947 <i>in 2022</i>) | \$733,083 | \$740,877 |
| Auxiliary services | 115,638 | 108,327 |
| Grants and contracts | 885,512 | 828,525 |
| Private gifts | 291,509 | 270,909 |
| Investment return designated for operations | 481,107 | 436,784 |
| Sales and services | 230,298 | 217,826 |
| Professional fees | 50,965 | 53,960 |
| Net assets released from restrictions | 258,538 | 228,717 |
| Total operating revenues | 3,046,650 | 2,885,925 |

| Excess of operating revenues over expenses | \$8,590 | \$138,749 |
|--|-----------|-----------|
| Total operating expenses | 3,038,060 | 2,747,176 |
| Interest on indebtedness | 93,640 | 90,737 |
| Depreciation | 209,913 | 204,358 |
| Services, supplies, maintenance, and other | 1,059,170 | 895,136 |
| Salaries, wages, and benefits | 1,675,337 | 1,556,945 |

Consolidated Statements of Activities continued on next page. See Notes to the Consolidated Financial Statements, beginning on page 11.

Consolidated Statements of Activities (continued) For the fiscal years ended August 31, 2023 and 2022

| (in thousands of dollars) | 2023 | 2022 |
|--|-----------|-----------|
| Nonoperating revenues and expenses | | |
| Investment loss, reduced by operating distribution | (322,154) | (621,639) |
| Change in value of derivative instruments | 2,591 | 8,269 |
| Net assets reclassified | 6,172 | 16,652 |
| Other nonoperating revenue, <i>net</i> | 1,244 | 7,288 |
| Excess of nonoperating revenues over expenses | (312,147) | (589,430) |
| Change in net assets without donor restrictions | (303,557) | (450,681) |

Net assets with donor restrictions

| Ending net assets | \$14,948,735 | \$15,350,858 |
|--|--------------|--------------|
| Beginning net assets | 15,350,858 | 16,062,328 |
| Change in total net assets | (402,123) | (711,470) |
| Change in net assets with donor restrictions | (98,566) | (260,789) |
| Net assets released from restrictions | (258,538) | (228,717) |
| Net assets reclassified | (6,172) | (16,652) |
| Investment loss with donor restrictions | (52,614) | (143,645) |
| Net gain on annuity obligation | 2,353 | 3,034 |
| Private gifts with donor restrictions | 152,405 | 115,091 |
| Private gifts with donor restrictions and grants for buildings and equipment | 64,000 | 10,100 |

See Notes to the Consolidated Financial Statements, beginning on page 11.

Consolidated Statements of Cash Flows For the fiscal years ended August 31, 2023 and 2022

| (in thousands of dollars) | 2023 | 2022 |
|--|-------------|------------|
| Cash flows from operating activities Change in net assets | (\$402,123) | (\$711,470 |
| Adjustments to reconcile change in net assets to net cash used in operating activities | (\$402,123) | (ψ/11,4/0 |
| Depreciation | 209,913 | 204,358 |
| Losses on disposals, retirements, and sales of buildings and equipment, <i>net</i> | 13,128 | 4,574 |
| Accretion of debt issuance costs, premiums, and discounts, <i>net</i> | (587) | (584 |
| Realized and unrealized (gains) losses on investments, <i>net</i> | (25,030) | 354,100 |
| Gifts of contributed securities | (24,987) | (43,446 |
| Proceeds from sale of unrestricted contributed securities | 13,264 | 19,950 |
| Change in value of derivative instruments | (2,591) | (8,269 |
| - | | |
| Restricted contributions received for long-term investment and capital projects | (212,064) | (118,748 |
| Changes in assets and liabilities | (20,005) | (60.045 |
| Accounts receivable | (29,095) | (60,945 |
| Contributions receivable | 18,038 | 29,584 |
| Notes receivable | (6,690) | 10 550 |
| Reduction in the carrying amount of the right-of-use assets-operating | (7,138) | 16,553 |
| Accounts payable and accrued liabilities | 64,100 | 8,710 |
| Deferred revenue | 66,511 | 86,517 |
| Deposits payable and actuarial liability of annuities payable | 32,491 | 8,981 |
| Lease liabilities-operating | 7,455 | (16,604 |
| Net cash used in operating activities | (285,405) | (226,739 |
| Cash flows from investing activities | <i>(</i>) | |
| Purchases of investments | (2,505,088) | (2,623,339 |
| Proceeds from sales of investments | 2,894,785 | 3,021,023 |
| Acquisitions of land, buildings, and equipment | (255,152) | (146,871 |
| Proceeds from sale of land, buildings, or equipment | 326 | 3,839 |
| Student loans disbursed | (36,141) | (25,179 |
| Principal collected on student loans | 25,220 | 30,035 |
| Other | (1,268) | (615 |
| Net cash provided by investing activities | 122,682 | 258,893 |
| Cash flows from financing activities | | |
| Principal payments on notes, bonds, and other debt payable | (6,660) | (104,790 |
| Proceeds from sale of restricted contributed securities | 11,723 | 18,390 |
| Restricted contributions received for long-term investment and capital projects | 221,380 | 141,524 |
| Net cash provided by financing activities | 226,443 | 55,124 |
| | | |
| Increase in cash and cash equivalents | 63,720 | 87,278 |
| Cash and cash equivalents at beginning of year | 372,337 | 285,059 |
| Cash and cash equivalents and restricted cash at end of year | \$436,057 | \$372,337 |
| Supplemental disclosure of cash flow information | | |
| Change in accrued liabilities for construction in progress | \$12,659 | \$9,653 |
| Cash paid for interest | 93,640 | 91,343 |
| Issuance of note receivable, net of discount for sale of stock | \$87,357 | |

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Notes to the Consolidated Financial Statements

For the fiscal years ended August 31, 2023 and 2022

1. Summary of Significant Accounting Policies

University Activities

Northwestern University (Northwestern or the University) is a major private research university with more than 23,000 students enrolled in 12 colleges and schools on two lakefront campuses in Evanston and Chicago and an international campus in Doha, Qatar.

Northwestern's mission is to provide excellent teaching, pursue innovative research, and promote the personal and intellectual growth of its students in a diverse academic community.

Basis of Accounting

General

The University maintains its accounts and prepares its consolidated financial statements on the accrual basis of accounting in conformity with US generally accepted accounting principles (GAAP). The Financial Accounting Standards Board (FASB) Accounting Standards Codification (ASC) is the source of authoritative GAAP. The University prepares its consolidated financial statements in accordance with the Not-for-Profit Entities Topic of the FASB ASC. The accompanying consolidated financial statements include all wholly owned subsidiaries. All significant inter-entity transactions and accounts have been eliminated in consolidation.

Net Asset Classifications

Net assets and related changes therein are classified into two categories based on the existence or absence of donor-imposed restrictions.

The category Net Assets without Donor Restrictions describes funds that have no donor-imposed

restrictions. All revenues, expenses, gains, and losses that are not restricted by donors are included in this classification. Certain net assets without donor restrictions are institution-designated for specific uses under the internal operating budget.

The category Net Assets with Donor Restrictions describes funds subject to donor-imposed restrictions that will be met either by actions of the University, the passage of time, or may be perpetual in nature. These net assets include gifts for which donor-imposed restrictions have not been met in the year of receipt (these may include future capital projects), as well as trust activity and pledges receivable. Net assets with perpetual restrictions consist of donor-restricted endowment funds, contributions receivable for such funds, and certain trusts. For further discussion of the classification of donor-restricted endowment funds and disclosures about both donor-restricted and institution-designated endowment funds, see notes 4 and 10, respectively.

Revenue from donor-restricted sources is reclassified as an increase to net assets without donor restrictions when the circumstances of the restrictions have been fulfilled or the restrictions expire. Donor-restricted contributions whose restrictions are met within the same fiscal year in which they are received are reported as revenue without donor restrictions. All expenses are reported in net assets without donor restrictions. Absent explicit donor stipulations indicating otherwise, the University reports expiration of donor restrictions on long-lived assets as net assets without donor restrictions when the assets are placed in service.

Net assets as of August 31 are as follows:

| (in thousands of dollars) | | | 2023 |
|---|-------------------------------|----------------------------|---------------------|
| Nature of specific net assets | Without donor restrictions | With donor restrictions | Total net assets |
| Teaching, research, and program support | \$3,102,111 | \$3,702,402 | \$6,804,513 |
| Student financial aid | 802,970 | 1,091,299 | 1,894,269 |
| Capital and operations | 1,158,701 | 696,506 | 1,855,207 |
| Endowment net assets subtotal | 5,063,782 | 5,490,207 | 10,553,989 |
| Pledges | _ | 179,323 | 179,323 |
| Unexpended gifts | _ | 81,472 | 81,472 |
| Annuity and other split-interest agreements | _ | 53,044 | 53,044 |
| Student loan funds | 59,268 | 35,155 | 94,423 |
| Operating and plant | 3,986,484 | _ | 3,986,484 |
| Total | \$9,109,534 | \$5,839,201 | \$14,948,735 |

| (in thousands of dollars) | | | 2022 |
|---|-------------------------------|----------------------------|---------------------|
| Nature of specific net assets | Without donor restrictions | With donor restrictions | Total net assets |
| Teaching, research, and program support | \$3,188,523 | \$3,815,249 | \$7,003,772 |
| Student financial aid | 838,033 | 1,121,571 | 1,959,604 |
| Capital and operations | 1,235,834 | 680,640 | 1,916,474 |
| Endowment net assets subtotal | 5,262,390 | 5,617,460 | 10,879,850 |
| Pledges | — | 206,677 | 206,677 |
| Unexpended gifts | — | 24,899 | 24,899 |
| Annuity and other split-interest agreements | _ | 54,917 | 54,917 |
| Student loan funds | 63,113 | 33,814 | 96,927 |
| Operating and plant | 4,087,588 | — | 4,087,588 |
| Total | \$9,413,091 | \$5,937,767 | \$15,350,858 |

Operating Activities

Operating activities in the consolidated statements of activities reflect all transactions increasing or decreasing net assets without donor restrictions, and excludes private gifts and grants for buildings and equipment; restricted private gifts; investment return net of operating distributions; gains (losses) from annuity obligations and derivative instruments; and certain other nonrecurring items.

Fair Value Measurements

The University makes fair value measurements and related disclosures thereon as required by the Fair Value Measurements and Disclosures Topic of the FASB ASC. For further discussion, see note 4.

Cash and Cash Equivalents

Cash reflects currency and deposits or other accounts with financial institutions that may be deposited or withdrawn without restriction or penalty. Cash equivalents represent short-term and highly liquid investments with original maturities of three months or less. As of August 31, 2023, the University maintains \$83.09 million in cash balances that are subject to restrictions on their use. These restrictions primarily arise from specific donor agreements and can only be utilized in accordance with the terms specified by the donor. There were no significant restricted cash balances as of August 31, 2022. Cash and cash equivalents that are held for investment purposes are classified as investments on the consolidated statements of financial position and excluded from cash and cash equivalents on the consolidated statements of cash flows, as these funds are not used for operating needs. For further discussion, see note 4.

Contributions

Contributions received, including unconditional promises to give (contributions receivable), are recognized by the University as revenues at their fair values at the date of gift. Private gifts, including unconditional promises to give, are recognized as revenues in the period received. Conditional promises to give are not recognized until all barriers to entitlement of the assets are overcome and the promisor's rights of return or release have elapsed.

Investments

Investments in financial instruments are recorded at fair value. The University values its investments using a hierarchy of valuation inputs based on the extent to which the inputs are observable in the marketplace. Observable inputs reflect market data obtained from sources independent of the reporting entity, whereas unobservable inputs reflect the entity's own assumptions about how market participants would value an asset or a liability based on the best information available. Valuation techniques used to measure fair value must maximize the use of observable inputs and minimize the use of unobservable inputs.

The following describes the fair value hierarchy and the primary valuation methodologies used by the University for assets and liabilities measured at fair value on a recurring basis:

Level 1: Quoted prices in active markets for identical assets or liabilities. Market-price data are generally obtained from relevant exchanges or dealer markets.

Level 2: Inputs other than Level 1 that are observable either directly or indirectly, such as quoted prices in markets that are not active, or other inputs that are observable or can be corroborated by observable market data for substantially all of the same terms of

the assets or liabilities. Inputs may be obtained from various sources, including market participants, dealers, and brokers.

Level 3: Unobservable inputs that are supported by little or no market activity and are significant to the fair value of the assets or liabilities.

An investment's categorization within the valuation hierarchy is based on the lowest level of input significant to the fair value measurement. The categorization of an investment is based on its pricing transparency and liquidity and does not necessarily correspond to the University's perceived risk of that investment. As a practical expedient as permitted under GAAP, the reported net asset value (NAV) of investments with external managers is used to estimate their fair value. Such investments, for which NAV is used as a practical expedient, are not categorized in and are shown separately from the valuation hierarchy. For further discussion, see note 4.

Equity securities with readily determinable fair values are valued at the last sale price (if quotations are readily available) or at the closing bid price in the principal market in which such securities are normally traded (if no sale price is available). The fair values for these securities are primarily classified as Level 1 because the securities have observable market inputs. Most fixed income securities and debt securities are valued based on dealer-supplied valuations; since these securities have significant other observable inputs, they are classified as Level 2.

The estimated fair values of equity securities without readily determinable fair values and of other generally less liquid investments are based on valuation information received on the relevant entities and may include last sale information or independent appraisals of value. In addition, standard valuation techniques, including discounted cash flow models or valuation multiples based on comparable investments, may be used. Because the fair values for these assets are based predominantly on unobservable inputs, they are classified as Level 3.

Investments in certain real assets and other investments are recorded at acquisition or construction cost or, if received as a contribution, at fair value as of donation date. The University periodically assesses these assets for impairment by comparing their expected future cash flows with their carrying values. An impairment loss is recognized for the difference between estimated fair value and carrying value. In management's opinion, no impairment of investments held at cost existed as of August 31, 2023 and 2022. For further discussion of such investments, see note 4.

The methods described above may produce a fair value that may not be indicative of net realizable value or of future fair values. Furthermore, while the University believes its valuation methods are appropriate and consistent with those of other market participants, the use of different methodologies or assumptions to determine the fair value of certain investments could result in a different estimate of fair value at the reporting date.

Investment income is recorded on the accrual basis, and purchases and sales of investment securities are reflected on a trade-date basis.

Derivative Financial Instruments

The University may enter into swap agreements to hedge future interest rate movements. It may also add various interest rate options to hedge the overall portfolio and use interest rate swap agreements to hedge variable interest rate exposures. Interest rate swaps are valued using observable inputs, such as quotations received from the counterparty, dealers, or brokers, whenever they are available and considered reliable. If and when models are used, the value of interest rate swaps depends on the contractual terms of and specific risks inherent in the instrument, as well as the availability and reliability of observable inputs. Such inputs include market prices for reference securities, yield curves, credit curves, measures of volatility, and prepayment rates as well as correlations of such inputs. Due to significant other observable inputs. interest rate swaps are classified as Level 2. For further discussion. see note 4.

Accounts and Notes Receivable

Accounts receivable are recorded at net realizable value. Those generally expected to be collected within one year are carried without an allowance. Accounts receivable deemed to be uncollectible are written off at that time.

Notes receivable are recorded at net realizable value and are predominantly student loans with varying maturities. Notes receivable deemed to be uncollectible are written off.

Contributions Receivable

Contributions receivable that represent unconditional promises to give are recognized at fair value as contributions with donor restrictions in the period such promises are made by donors. The amount will be recognized as revenue in the periods in which the conditions are fulfilled. Contributions are discounted at a risk-adjusted rate commensurate with the duration of the donor's payment plan. Amortization of the discounts is recorded as additional contribution revenue. Allowance is made for uncollectible contributions based on management's expectations regarding collection of outstanding promises to give and past collection experience. As of August 31, 2023 and 2022, there were conditional promises to give totaling \$598 million and \$385 million, respectively. This gift, with donor restrictions, is conditioned upon the completion of a new building.

Leases

The University has entered into a variety of operating leases for real estate and financing leases for fixtures and equipment. On the consolidated statements of financial position, operating leases as a lessee are included in right-of-use assets-operating, net, and lease liabilities-operating. Right-of-use assets represent the University's right to use an underlying asset for the lease term. Lease obligations represent the University's liability to make lease payments arising from the lease. The obligations associated with these leases have been recognized at their respective commencement dates as a liability in the consolidated statements of financial position based on future lease payments, discounted by an appropriate incremental borrowing rate. The incremental borrowing rate is based on the estimated interest rate for borrowing over a term similar to that of the lease payments available at the commencement of the lease. The credit quality of the University and current prevailing market conditions were factors used to determine the incremental borrowing rate. Northwestern has elected the practical expedient to account for lease and nonlease components as one lease component.

Lease terms may include options to extend or terminate certain leases. The value of a lease is reflected in the valuation if it is reasonably certain management will exercise an option to extend or terminate a lease.

Land, Buildings, and Equipment

Land, buildings, and equipment are recorded at cost or, if received as gifts, at fair value at the date of gift. Significant renewals and replacements are capitalized. The cost of repairs and maintenance is expensed as incurred. Purchases of library books and works of art are also expensed.

Depreciation is calculated using the straight-line method over the useful lives of equipment, which are estimated to be 3 to 20 years; of buildings, building improvements, and land improvements, which are estimated to be 10 to 40 years; and of leasehold improvements, which are estimated to be the shorter of the useful life or the lease term.

Charitable Remainder Trusts

Charitable remainder trusts are classified as net assets with donor restrictions and recognized at fair value.

Annuities Payable

Annuities payable consist of annuity payments currently due and the actuarial amount of annuities payable. The actuarial amount of annuities payable is the present value of the aggregate liability for annuity payments over the expected lives of the beneficiaries.

Self-Insurance Reserves

The University maintains a self-insurance program for general liability, professional liability, automobile liability, property damage, educators' liability, cyber liability, and certain employee and student insurance coverages. This program is supplemented with commercial excess insurance above the University's self-insurance retention. The reserves for selfinsurance, postemployment benefits, and postretirement medical and life insurance benefits are based on actuarial studies and management estimates. See notes 11 and 13 for additional discussion.

Revenue Recognition

Revenues from tuition and fees are reflected net of reductions from institutional student aid and are recognized as the services are provided over the academic year, including pro-rata adjustments for educational programs crossing over fiscal years. Institutional student aid includes amounts funded by endowment earnings, gifts, and other sources and reduces the published price of tuition for students receiving such aid. Fiscal year 2024 noncancelable fallquarter tuition and fees, billed and received in fiscal vear 2023, are reported as deferred revenue in fiscal year 2023. Fiscal year 2023 noncancelable fall-quarter tuition and fees, billed and received in fiscal year 2022, are reported as deferred revenue in fiscal year 2022. (For further discussion of deferred revenues, see note 7.) Of the \$733.1 million and \$740.9 million in revenue

recognized for the years ended August 31, 2023 and 2022, respectively, \$685.8 million and \$699.3 million, respectively, was from academic credit programs, and \$47.3 million and \$41.6 million, respectively, was from nonacademic credit programs.

Revenues from auxiliary services, such as residence and food services, represent fees for goods and services furnished to University students, faculty, and staff; these revenues are recognized in the fiscal year in which the goods and services are provided. Of the \$115.6 million and \$108.3 million in revenue recognized for the years ended August 31, 2023 and 2022, respectively, \$108.1 million and \$99.4 million, respectively, was from room and board, while the remaining revenue was from other miscellaneous residence and food services.

Grants and contracts revenue is received from federal and other sponsors. It may represent either an exchange transaction for an equivalent benefit in return or a nonexchange transaction in which the resources provided are for the benefit of the University, the funding organization's mission, or the public at large. Revenues from exchange transactions are recognized as performance obligations are satisfied, which in most cases are as related costs are incurred. Revenues from nonexchange transactions are recognized as revenue when qualifying expenditures are incurred and applicable conditions and restrictions under the agreements are met. Conditional awards from federal sponsors outstanding as of August 31, 2023 and 2022, were \$693.7 million and \$715.2 million, respectively.

Sales and services revenues represent fees for services and goods provided to external parties in the course of educational activities, revenues from the provision of physical plant services and goods to external institutions contiguous to the University campuses, and trademark and royalty revenues arising from licensing of innovative technologies, copyrights, and other intellectual property. These revenues are recognized in the fiscal year in which goods and services are provided.

Professional fees arise from faculty and department services provided to external institutions such as hospitals. Revenues are recognized in the fiscal year in which the services are provided.

Income Taxes

The Internal Revenue Service has determined that the University is exempt from income taxes under Section 501(c)(3) of the US Internal Revenue Code, except with regard to unrelated business taxable income (UBTI), which is taxed at corporate income tax rates. The University files federal and various state and local tax returns. The statute of limitations on the University's federal tax returns remains open for fiscal years 2020 through 2022.

The University had no uncertain tax positions in fiscal year 2023 or fiscal year 2022.

The University is subject to excise taxes on executive compensation, net investment income, and the calculation of UBTI. For the years ended August 31, 2023 and 2022, the University is subject to the federal excise tax of 1.4 percent.

Uses of Estimates in the Preparation of the Consolidated Financial Statements

The preparation of the consolidated financial statements in conformity with GAAP requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities; the disclosure of contingent assets and liabilities at the date of the consolidated financial statements; and the reported amounts of revenues and expenses during the relevant period. Actual results could differ from those estimates.

Recent Accounting Pronouncements

In June 2016, the FASB issued ASU 2016-13, amending guidance on impairment of financial instruments, including those held by the University. The University is currently evaluating the standard, which will affect certain financial instruments such as student and notes receivables. Certain financial instruments such as pledge receivables will not be impacted by the standard. The effective date for adoption is fiscal year 2024.

2. Accounts Receivable and Notes Receivable

Accounts receivable as of August 31 are summarized on the consolidated statements of financial position as follows:

| (in thousands of dollars) | 2023 | 2022 |
|---|-----------|-----------|
| Research and other sponsored programs support | \$166,531 | \$169,193 |
| Student receivables | 25,496 | 37,460 |
| Other receivables | 113,709 | 69,521 |
| Accounts receivable subtotal | 305,736 | 276,174 |
| Less allowances for student uncollectible amounts | (595) | (594) |
| Total accounts receivable, <i>net</i> | \$305,141 | \$275,580 |

Notes receivable as of August 31 are summarized on the consolidated statements of financial position as follows:

| (in thousands of dollars) | 2023 | 2022 |
|---|-----------|-----------|
| Notes receivable | \$240,699 | \$134,170 |
| Less allowances for student uncollectible amounts | (2,592) | (2,300) |
| Total notes receivable, <i>net</i> | \$238,107 | \$131,870 |

There is one note receivable that makes up 38 percent of the total balance as of August 31, 2023.

3. Contributions Receivable

Contributions receivable as of August 31 are summarized on the consolidated statements of financial position as follows:

| (in thousands of dollars) | 2023 | 2022 |
|--|-----------|-----------|
| Unconditional promises expected to be collected in | | |
| Less than one year | \$43,616 | \$48,825 |
| One to five years | 81,244 | 101,958 |
| More than five years | 80,218 | 85,416 |
| Contributions receivable subtotal | 205,078 | 236,199 |
| Less unamortized discounts | (24,562) | (26,237) |
| Less allowances for uncollectible amounts | (1,193) | (3,285) |
| Total contributions receivable, net | \$179,323 | \$206,677 |

Contributions receivable are discounted at rates ranging from 0.28 to 4.23 percent. There are two unconditional promises that make up 59 percent and 56 percent of the total contributions receivable balance as of August 31, 2023 and 2022, respectively.

4. Investments

The University's investments are overseen by the Investments Committee of the Board of Trustees. Guided by the policies established by the Investment Committee, the University's Investment Office or external equity investment managers, external and internal fixed income and cash managers, and various limited partnership managers direct the investment of endowment and trust assets, certain working capital, expendable funds with donor restrictions temporarily invested, and commercial real estate.

Substantially all of these assets are merged into an internally managed long-term investment pool on a market value basis. Each holder of units in the investment pool subscribes to or disposes of units on the basis of the market value per unit at the beginning of each month.

Fair Value Disclosures

The following tables show the estimated fair value of investments, charitable trusts, and derivatives, grouped by the valuation hierarchy as defined in note 1, as of August 31:

| (in thousands of dollars) | | | | | 2023 |
|--|---|---|---|-------------------------------------|---------------------------|
| | Quoted prices in active markets (Level 1) | Significant other observable inputs (Level 2) | Significant unobservable inputs (Level 3) | NAV as Practical Expedient (NAV) | Total fair value |
| Cash and cash equivalents ^(a) | \$239,550 | _ | _ | _ | \$239,550 |
| Public equity | 256,292 | _ | \$156 | \$3,836,045 | 4,092,493 |
| Private equity | 36,007 | \$321 | 12,312 | 1,621,616 | 1,670,256 |
| Fixed income | 93,690 | 714,894 | _ | _ | 808,584 |
| Absolute return | _ | _ | _ | 2,174,813 | 2,174,813 |
| Venture capital | _ | 417 | 3,758 | 2,871,162 | 2,875,337 |
| Real assets | 71,709 | _ | 665 | 2,012,513 | 2,084,887 |
| Other investments | 47,308 | 301 | 25,698 | _ | 73,307 |
| Subtotal investment assets at fair value | 744,556 | 715,933 | 42,589 | 12,516,149 | 14,019,227 ^(b) |

^(a) This amount includes positions sold or redeemed pending settlement of \$102,487 thousand as of August 31, 2023.

^(b) Investments held at cost totaling \$22,624 thousand should be added to the subtotal investment assets at fair value, and beneficial interest in charitable remainder trusts totaling \$11,533 thousand should be subtracted from the subtotal investment assets at fair value to reconcile to total investment assets of \$14,030,298 thousand as of August 31, 2023.

| (in thousands of dollars) | | | | | 2022 |
|--|---|---|---|-------------------------------------|---------------------------|
| | Quoted prices in active markets (Level 1) | Significant other observable inputs (Level 2) | Significant unobservable inputs (Level 3) | NAV as Practical Expedient (NAV) | Total fair value |
| Cash and cash equivalents | \$482,738 | _ | _ | _ | \$482,738 |
| Public equity | 372,126 | _ | \$147 | \$3,481,797 | 3,854,070 |
| Private equity | 38,685 | \$ 321 | 15,203 | 1,503,206 | 1,557,415 |
| Fixed income | 93,869 | 830,898 | _ | _ | 924,767 |
| Absolute return | _ | _ | | 2,064,494 | 2,064,494 |
| Venture capital | 1,127 | _ | 3,904 | 3,219,881 | 3,224,912 |
| Real assets | 67,174 | 15,350 | 665 | 2,101,351 | 2,184,540 |
| Other investments | 45,542 | 300 | 26,923 | _ | 72,765 |
| Subtotal investment assets at fair value | 1,101,261 | 846,869 | 46,842 | 12,370,729 | 14,365,701 ^(a) |
| Interest rate swaps | — | (2,591) | — | — | (2,591) |
| Total | \$1,101,261 | \$844,278 | \$46,842 | \$12,370,729 | \$14,363,110 |

^(a) Investments held at cost totaling \$28,935 thousand should be added to the subtotal investment assets at fair value, and beneficial interest in charitable remainder trusts totaling \$11,086 thousand should be subtracted from the subtotal investment assets at fair value to reconcile to total investment assets of \$14,383,550 thousand as of August 31, 2022. Investments reported as NAV as Practical Expedient consist primarily of the University's ownership in partnership investments (principally limited partnership interests in long-only equity and credit, hedge funds, private equity, real estate, and other similar funds). As a practical expedient, when quoted market prices are not available, the estimated fair values of these investments are generally based on reported partners' capital or NAV provided by the associated external investment managers. In cases where the practical expedient threshold is not met, such as an investment report not being in compliance with GAAP, or where a statement of partners' capital is not provided, the investment is reported as Level 3. Since a range of possible values exists for these partnership investments, the estimated values may be materially different from the values that would have been used had a ready market for these partnership investments existed.

The following tables summarize changes in the investments and derivatives classified by the University in Level 3 of the fair value hierarchy for the fiscal years ended August 31, 2023 and 2022:

| (in thousands of dollars) | 2022 | | | | | 2023 |
|---------------------------|------------|-----------|-----------------------|--|---|------------|
| | Fair value | Purchases | Sales and settlements | Realized and unrealized gains (losses) | Transfers into and out of Level 3 | Fair value |
| Public equity | \$147 | _ | _ | \$9 | _ | \$156 |
| Private equity | 15,203 | _ | _ | (2,891) | _ | 12,312 |
| Venture capital | 3,904 | \$1,737 | (\$23) | (1,860) | _ | 3,758 |
| Real assets | 665 | _ | _ | _ | _ | 665 |
| Other investments | 26,923 | _ | _ | (1,225) | _ | 25,698 |
| Total investments | \$46,842 | \$1,737 | (\$23) | (\$5,967) | _ | \$42,589 |

| (in thousands of dollars) | 2021 | | | | | 2022 |
|---------------------------|------------|-----------|-----------------------|--|---|-------------------|
| | Fair value | Purchases | Sales and settlements | Realized and unrealized gains (losses) | Transfers into and out of Level 3 | Fair value |
| Public equity | \$178 | _ | _ | (\$31) | _ | \$147 |
| Private equity | 10,203 | \$5,000 | _ | — | — | 15,203 |
| Venture capital | 4,859 | _ | (\$1,250) | 295 | _ | 3,904 |
| Real assets | 665 | _ | _ | — | _ | 665 |
| Other investments | 29,765 | _ | _ | (2,842) | | 26,923 |
| Total investments | \$45,670 | \$5,000 | (\$1,250) | (\$2,578) | _ | \$46,842 |

In fiscal years 2023 and 2022, there were no transfers into or out of Level 3.

As of August 31, 2023 and 2022, investments held at cost included real estate totaling \$19.4 million. Investments held at cost also included property co-ownerships, mortgages, and other investments totaling \$3.2 million and \$3.3 million as of August 31, 2023 and 2022, respectively. The next table presents funding obligations and redemption terms of investments by asset class. The University is required under certain partnership agreements to advance additional funding up to specified levels over a period of several years. These uncalled commitments have fixed expiration dates and other termination clauses. At August 31, 2023, the University was committed to making future capital contributions of \$2.8 billion, primarily in the next five years, as detailed in the table. Certain agreements also contain notice periods, lock-ups, and gates that limit the University's ability to initiate redemptions.

| (in t | thousand | ls of | dolla | rs) |
|-------|----------|-------|-------|-----|
|-------|----------|-------|-------|-----|

| | Fair value | Remaining life | Uncalled commitments | Redemption terms | Redemption restrictions |
|--------------------|-------------|-------------------------|-------------------------|--|--|
| Public equity | \$4,092,493 | No limit | \$47,052 | Daily to greater than annually, with 1- to 180-day notice periods | Lock-up provisions ranging from none to 5 years; side pockets on many funds; one partnership not redeemable |
| Private equity | \$1,670,256 | No limit to 12 years | \$1,299,688 | Partnerships ineligible for redemption; equity securities daily, with 1-day notice | Private partnerships not redeemable; equity securities have no lock-up provisions |
| Fixed income | \$808,584 | No limit | _ | Daily | No lock-up provisions |
| Absolute return | \$2,174,813 | No limit | \$232,432 | Daily to greater than annually, with 1- to 120-day notice periods; private partnerships ineligible for redemption | Lock-up provisions ranging from none to 3 years; side pockets on many funds; three partnerships not redeemable |
| Venture capital | \$2,875,337 | No limit to 12 years | \$495,610 | Partnerships ineligible for redemption; equity securities daily, with 1-day notice | Private partnerships not redeemable; equity securities have no lock-up provisions |
| Real assets | \$2,084,887 | No limit to 14 years | \$702,693 | Partnerships ineligible for redemption; commodity and equity funds weekly to quarterly, with 1- to 60-day notice periods | Drawdown partnerships not redeemable; no restriction on equity funds |

Cash and cash equivalents for investment purposes include bank accounts holding cash and money market funds consisting of short-term US Treasury securities. Cash equivalents are highly liquid and are carried at amortized cost, which approximates fair value.

The University's public equity categories include investments in US equity, international equity, and long-short equity strategies via separately managed accounts, partnerships, and commingled funds. US equity strategies include large-, mid-, and small-cap public equities.

International equities include developed market (ex-US public equities) and emerging market strategies. Eleven investments in public equity may not be redeemed over the next year.

Fixed income strategies include US government securities, agency securities, inflation-linked bonds (TIPS), corporate bonds, global bonds, and short-term cash investments.

The absolute return portfolio is weighted toward uncorrelated strategies, diversifying event-driven or hedged tactical credit strategies, and distressed debt funds. Two investments in this portfolio may not be redeemed over the next year due to lock-up provisions. As of August 31, 2023, the remaining investments have either full or partial liquidity over the next year, with the exception of those having side pockets.

The private equity portfolio includes investments in global buyout, including large cap, middle market, and growth equity. Venture capital includes investments in early stage and late stage fund vehicles. The real assets portfolio includes the University's investments in energy, timber, real estate, and public investments in certain commodity and equity funds.

Lives of the specific funds could vary significantly, depending on the investment decisions of the external fund managers, changes in the University's portfolio, and other circumstances. Furthermore, the University's obligation to fund these commitments may be waived by the fund managers for a variety of reasons, including changes in the market environment and/or investment strategy.

Investment Return

Investment return designated for operations is defined as the investment payout, according to the spending guideline for the Long-Term Balanced Pool, and the actual investment income for all other investments. Gross investment income from specific investments held at cost totaled \$17.7 million for the fiscal years ended August 31, 2023 and 2022. Investment expenses related to specific investments held at cost totaled \$6.3 million and \$4.7 million for the fiscal years ended August 31, 2023 and 2022, respectively. All other investment returns are categorized as nonoperating.

Certain direct expenses paid by the University for investment management and custody services have been netted against investment earnings.

Derivative Financial Instruments

The University has entered into hedging transactions via interest rate swaps, and the interest rate swaps have matured as of August 31, 2023.

The University continued its use of uncollateralized variable-to-fixed-rate interest rate swaps during fiscal year 2023 and has maintained those positions since fiscal year 2008. The University manages its exposure to interest rate risk by using highly rated counterparties and on a net basis had obligations to counterparties as of August 31, 2023 and 2022, as disclosed in the following tables. As a result, the University has limited its interest rate risk associated with \$125 million in variable-rate debt (IFA–Series 2008 bonds).

The University regularly reviews the use of derivative financial instruments by each of the managers of alternative investment funds in which it participates. While these outside managers generally use such instruments for hedging purposes, derivative financial instruments are employed for trading purposes by numerous independent asset managers of the University.

There are no derivative financial instruments held by the University as of August 31, 2023. The table below summarizes the derivative financial instruments held by the University as of August 31, 2022.

| (in thousands of dollars) | | | | | | 2022 |
|--|--------------------|--------|-------------|-------------------------|------------------------|------------------|
| | Notional amount | Assets | Liabilities | Fiscal year net gain | Interest rate range | Maturity date |
| Debt-related derivatives | | | | | | |
| Interest rate swaps | \$125,002 | _ | (\$2,591) | \$8,269 | 4.12-4.38% | 08/31/23 |
| Total derivative financial instruments | \$125,002 | _ | (\$2,591) | \$8,269 | 4.12-4.38% | 08/31/23 |

5. Land, Buildings, and Equipment

Land, buildings, and equipment as of August 31 consisted of the following:

| (in thousands of dollars) | 2023 | 2022 |
|---|-------------|-------------|
| Land | \$29,986 | \$29,986 |
| Construction-in-progress | 166,716 | 75,399 |
| Buildings and leasehold improvements | 4,827,318 | 4,721,191 |
| Equipment | 774,878 | 746,311 |
| Accumulated depreciation | (2,633,511) | (2,452,032) |
| Total land, buildings, and equipment, net | \$3,165,387 | \$3,120,855 |

Included in construction-in-progress costs are building and leasehold improvement capitalizations. Building costs are funded by bonds, gifts (received or pledged), grants, and funds without donor restrictions.

Under the University's interest capitalization policy, actual interest costs incurred during the period of construction of an asset for University use are capitalized until that asset is substantially completed and ready for use. The capitalized cost is reflected in the asset's total cost and depreciated over the asset's useful life. Assets qualifying for interest capitalization may include buildings and major equipment.

6. Leases

University as Lessee

At August 31, 2023, the net operating right-of-use assets and corresponding operating lease liabilities associated with future lease payments on the consolidated statements of financial position were \$149.8 million and \$156.5 million, respectively. Other lease information is summarized below.

| (in thousands of dollars) | 2023 | 2022 |
|--|----------|----------|
| Cash paid for amounts included in the measurement of lease liabilities: | | |
| Operating cash flows from operating leases | \$28,267 | \$24,252 |
| Right-of-use assets obtained in exchange for new operating lease liabilities | 17,809 | 4,632 |
| Weighted average remaining lease term | 10 years | 11 years |
| Weighted average discount rate | 1.90% | 1.52% |

Lease Cost

The components of lease expense—included in services, supplies, maintenance, and other—for the fiscal years ended August 31 are as shown in the following table:

| (in thousands of dollars) | 2023 | 2022 |
|---------------------------|----------|----------|
| Operating lease expense | \$28,411 | \$24,648 |
| Variable lease expense | 733 | 494 |
| Short-term lease expense | 259 | 233 |
| Less sublease income | (3,465) | (3,461) |
| Total lease expense | \$25,938 | \$21,914 |

Future Lease Payments

Shown below are the lease payments expected to be paid for each fiscal year ending August 31:

| (in thousands of dollars) | |
|-----------------------------|-----------|
| 2024 | \$24,393 |
| 2025 | 24,863 |
| 2026 | 22,961 |
| 2027 | 21,352 |
| 2028 | 17,179 |
| 2029 and thereafter | 57,690 |
| Total future lease payments | 168,438 |
| Less present value discount | (11,964) |
| Lease liabilities—operating | \$156,474 |

University as Lessor

The University is entitled as lessor under its operating leases to receive rental income. Operating leases consist primarily of leases for the use of real property and have terms expiring through fiscal year 2040. The future minimum rental revenues associated with these leases through fiscal year 2040 are \$35.6 million.

7. Deferred Revenue

Deferred revenue as of August 31 is summarized on the consolidated statements of financial position as follows:

| (in thousands of dollars) | 2023 | 2022 |
|--------------------------------------|-----------|-----------|
| Tuition and housing | \$166,191 | \$162,474 |
| Sponsored contracts (exchange) | 73,564 | 104,797 |
| Conditional contributions and grants | 189,204 | 12,773 |
| Other deferred revenue | 17,176 | 12,223 |
| Total deferred revenue | \$446,135 | \$292,267 |

8. Deposits Payable and Actuarial Liability of Annuities Payable

Deposits payable and actuarial liability of annuities payable as of August 31 are summarized on the consolidated statements of financial position as follows:

| (in thousands of dollars) | 2023 | 2022 |
|---|-----------|-----------|
| Agency deposits | \$208,353 | \$164,880 |
| Actuarial liability of annuities | 15,525 | 16,349 |
| Student tuition and room and board | 7,381 | 8,468 |
| Other deposits payable | 4,647 | 1,836 |
| Total deposits payable and actuarial liability of annuities payable | \$235,906 | \$191,533 |

9. Bonds, Notes, and Other Debt Payable

Bonds, notes, and other debt payable as of August 31 are summarized on the consolidated statements of financial position as follows:

| (in thousands of dollars) | Interest rate mode | Fiscal year maturity | Interest rate ^(a) | 2023 | 2022 |
|---|-----------------------|-------------------------|---------------------------------|-------------|-------------|
| Illinois Finance Authority (IFA)–Series 2004 | Variable | 2035 | 4.13% | \$135,800 | \$135,800 |
| IFA-Series 2008 | Variable | 2047 | 3.93% | 125,000 | 125,000 |
| Taxable–Series 2012 | Fixed | 2040-2048 | 4.20% | 200,000 | 200,000 |
| Taxable–Series 2013 | Fixed | 2034–2045 | 4.64% | 547,915 | 547,915 |
| Taxable–Series 2015 | Fixed | 2035-2049 | 3.80% | 500,000 | 500,000 |
| IFA-Series 2015 | Fixed | 2023-2029 | 2.99% | 121,885 | 128,545 |
| Taxable–Series 2017 | Fixed | 2048-2058 | 3.71% | 500,000 | 500,000 |
| Taxable–Series 2020 | Fixed | 2050-2051 | 2.64% | 300,000 | 300,000 |
| Commercial paper (\$300,000 available) | Variable | NA | NA | _ | _ |
| Bonds, notes, and other debt payable subtotal | | | | 2,430,600 | 2,437,260 |
| Unamortized issuance costs, premiums, and discounts, <i>net</i> | | | | (5,088) | (4,501) |
| Total bonds, notes, and other debt payable, net | | | | \$2,425,512 | \$2,432,759 |

^(o) Weighted average interest rate at August 31, 2023

Total obligations including bonds, notes, and other debt payable at August 31, 2023, are scheduled to mature through August 31 of each period as noted in the table below. The schedule has been prepared based on the contractual maturities of the debt outstanding at August 31, 2023. Accordingly, if remarketings of variable-rate debt offerings fail in future periods, debt repayments may become more accelerated than presented here. The potential failed remarketings coincide with the interest rate reset dates and amounts noted above.

| (in thousands of dollars) | |
|---------------------------|-------------|
| 2024 | \$7,710 |
| 2025 | 10,240 |
| 2026 | 10,750 |
| 2027 | 29,840 |
| 2028 | 31,130 |
| 2029 and thereafter | 2,340,930 |
| Total | \$2,430,600 |
| | |

10. Endowments

Donor-restricted endowment funds are subject to Illinois's enacted version of the Uniform Prudent Management of Institutional Funds Act (UPMIFA). The University interprets UPMIFA as requiring that the fair value of the original donor-restricted endowment gift be preserved as of the gift date unless there are explicit donor stipulations to the contrary. Therefore, the University classifies the following as part of net assets with donor restrictions: the original value of gifts donated to the permanent endowment, the original value of subsequent gifts, and accumulations to the permanent endowment made in accordance with the applicable donor gift instrument at the time the accumulation was added to the fund. The remaining portion of the donor-restricted endowment fund is classified as net assets with donor restrictions until those amounts are appropriated for University expenditure in a manner consistent with UPMIFA's standard of prudence. In accordance with UPMIFA, the University considers the following factors in determining whether to appropriate or accumulate donor-restricted endowment funds:

- The duration and preservation of the endowment fund
- The purposes of the institution and of the endowment fund
- General economic conditions
- Possible effects of inflation or deflation
- Expected total return from income and appreciation of investments
- Other resources of the institution
- The institutional investment policy

The University's endowment consists of about 3,100 individual donor-restricted endowment funds and about 1,000 funds it designates to function as endowments. The net assets associated with endowment funds, including funds designated by the University to function as endowments, are classified and reported based on whether there are donor-imposed restrictions. Institution-designated endowment funds include quasi-endowments established by specific Board of Trustees approval as well as endowments created by management under general guidelines and policies approved by the Board of Trustees. The following tables present the endowment net asset composition by type of fund at fair value as of August 31:

| | | With | donor restrictions | 6 | |
|--|-------------------------------|-----------------------------|----------------------------|-------------|--------------|
| (in thousands of dollars) | | | | | 2023 |
| | Without donor restrictions | Funds held in perpetuity | Accumulated gains (losses) | Total | Total funds |
| Institution-designated endowment funds | \$5,063,782 | — | — | _ | \$5,063,782 |
| With donor restrictions | | | | | |
| Underwater funds | | \$248,081 | (\$12,279) | \$235,802 | 235,802 |
| All other funds | | 1,971,288 | 3,283,117 | 5,254,405 | 5,254,405 |
| Endowment net assets, end of year | \$5,063,782 | \$2,219,369 | \$3,270,838 | \$5,490,207 | \$10,553,989 |

| | | With | donor restrictions | 3 | |
|--|----------------------------|-----------------------------|----------------------------|-------------|--------------|
| (in thousands of dollars) | | | | | 2022 |
| | Without donor restrictions | Funds held in perpetuity | Accumulated gains (losses) | Total | Total funds |
| Institution-designated endowment funds | \$5,262,391 | _ | — | — | \$5,262,391 |
| With donor restrictions | | | | | |
| Underwater funds | | \$96,539 | (\$4,574) | \$91,965 | 91,965 |
| All other funds | | 1,962,766 | 3,562,729 | 5,525,495 | 5,525,495 |
| Endowment net assets, end of year | \$5,262,391 | \$2,059,304 | \$3,558,155 | \$5,617,460 | \$10,879,850 |

Underwater Endowment Funds

The University monitors endowment funds to identify those for which historical cost was more than fair value. Associated unrealized losses of \$12.3 million and \$4.6 million as of August 31, 2023 and 2022, respectively, are recorded in the net assets with donor restrictions classification; subsequent gains increase net assets with donor restrictions.

Investment and Spending Policies

The University's endowment is primarily invested in the Long-Term Balanced Pool. The Investments Committee of the Board of Trustees annually reviews the asset allocation policy for the pool.

The principal objective for the Long-Term Balanced Pool is to preserve purchasing power and to provide a growing stream of income to fund University programs. On average, the pool seeks to achieve an annual total rate of return (i.e., actual income plus appreciation) equal to inflation plus actual spending. This objective of preserving purchasing power emphasizes the need for a long-term perspective in formulating both spending and investment policies. The Board of Trustees has adopted a guideline for the annual spending rate from the University's Long-Term Balanced Pool. The calculation blends market and spending elements for the total annual spending rate.

The market element is an amount equal to 5.1 percent of the market value of a unit in the pool, averaged for the 12 months ended October 31 of the prior fiscal year. It is weighted at 30 percent in determining the total. The spending element is an amount equal to the current fiscal year's spending amount increased by 1.0 percent plus the actual rate of inflation. It is weighted at 70 percent in determining the total.

If investment income received is not sufficient to support the total-return objective, the balance is provided from realized and unrealized gains. If the income received is in excess of the objective, the balance is reinvested in the Long-Term Balanced Pool on behalf of the unit holders.

The University's policy is to reinvest the current income of all other investment pools.

Changes in Endowment Net Assets

The following tables represent changes in endowment net assets for the fiscal years ended August 31:

| (in thousands of dollars) | | | 2023 |
|--|-------------------------------|----------------------------|--------------|
| | Without donor restrictions | With donor restrictions | Total |
| Endowment net assets, beginning of year | \$5,262,390 | \$5,617,460 | \$10,879,850 |
| Interest and dividends, net of expenses | (27,819) | (29,626) | (57,445) |
| Net depreciation, realized and unrealized | (28,048) | (29,869) | (57,917) |
| Total investment loss | (55,867) | (59,495) | (115,362) |
| Contributions | _ | 150,206 | 150,206 |
| Appropriation of endowment assets for expenditure | (209,799) | (229,848) | (439,647) |
| Other changes | | | |
| Transfers to create institutional funds | 83,009 | | 83,009 |
| Transfers of institutional funds per donor requirement | | 18,056 | 18,056 |
| Spending of institution-designated endowment fund | (22,123) | _ | (22,123) |
| Other reclassifications | 6,172 | (6,172) | 0 |
| Endowment net assets, end of year | 5,063,782 | 5,490,207 | 10,553,989 |

| (in thousands of dollars) | | | 2022 |
|--|-------------------------------|-------------------------|--------------|
| | Without donor restrictions | With donor restrictions | Total |
| Endowment net assets, beginning of year | \$5,562,129 | \$5,799,053 | \$11,361,182 |
| Interest and dividends, net of expenses | (28,802) | (27,062) | (55,864) |
| Net appreciation, realized and unrealized | (116,426) | (109,390) | (225,816) |
| Total investment loss | (145,228) | (136,452) | (281,680) |
| Contributions | _ | 130,194 | 130,194 |
| Appropriation of endowment assets for expenditure | (190,612) | (204,791) | (395,403) |
| Other changes | | | |
| Transfers to create institutional funds | 56,659 | _ | 56,659 |
| Transfers of institutional funds per donor requirement | _ | 32,375 | 32,375 |
| Spending of institution-designated endowment fund | (14,729) | _ | (14,729) |
| Other reclassifications | (5,829) | (2,919) | (8,748) |
| Endowment net assets, end of year | \$5,262,390 | \$5,617,460 | \$10,879,850 |

11. Postretirement and Postemployment Benefit Plans

The University maintains two contributory retirement plans for its eligible faculty and staff. The plans offer employees two investment company options, Teachers Insurance and Annuity Association (TIAA) and College Retirement Equities Fund (CREF), and certain mutual funds offered by Fidelity Investments. Participating employee and University contributions are immediately vested. The University contributed \$91.1 million and \$85.5 million to the two plans in 2023 and 2022, respectively.

The University currently sponsors a healthcare plan permitting retirees to continue participation on a "pay-all" basis; it has no liability for participants past age 65. The retiree contribution is based on the average per-capita cost of coverage for the plan's entire group of active employees and retirees rather than the per-capita cost for retirees only. Retirees are also eligible to participate in certain tuition reimbursement plans and may receive a payment for sick days accumulated at retirement. Certain postemployment benefit plans are also sponsored.

The University recognizes an asset or a liability in the consolidated statements of financial position for

the plans' overfunded or underfunded status. The asset or liability is the difference between the fair value of plan assets and the related benefit obligation, defined as the projected benefit obligation for postemployment benefit programs and the accumulated postretirement benefit obligation (APBO) for postretirement benefit programs, such as a retiree healthcare plan. In the consolidated statements of activities, the University recognizes actuarial gains or losses and prior service costs or credits that arise during the period but are not components of net periodic benefit cost. The University measures plan assets and obligations as of the date of its fiscal year end and makes specified disclosures for the upcoming fiscal year.

The accrued cost for postemployment benefits was \$8.9 million and \$7.8 million at August 31, 2023 and 2022, respectively, and is included in accounts payable and accrued liabilities on the consolidated statements of financial position.

The University funds the plan on a pay-as-you-go basis. The following table sets forth key amounts for the postretirement plan for the fiscal years ended August 31:

| (in thousands of dollars) | 2023 | 2022 |
|--|----------|----------|
| Benefit obligation | \$14,618 | \$16,396 |
| Benefits paid | 3,024 | 3,127 |
| Employer contributions | 1,978 | 2,068 |
| Contributions from participants | 1,046 | 1,059 |
| Net periodic postretirement benefit cost | 1,462 | 2,065 |
| Fair value of plan assets | _ | _ |

Service costs included in net periodic postretirement benefit cost shown above were \$743 thousand and \$1.1 million as of August 31, 2023 and 2022, respectively.

The changes in other than periodic benefit cost included in net assets without donor restrictions on the consolidated statements of activities totaled net gains of \$1.2 million and \$33 thousand as of August 31, 2023 and 2022, respectively, for an increase of \$1.2 million due to net gains during the fiscal year. The APBO was \$14.6 million and \$16.4 million at August 31, 2023 and 2022, respectively, and is included in accounts payable and accrued liabilities on the consolidated statements of financial position.

The following tables present key actuarial assumptions used in determining APBO as of August 31, 2023 and 2022. For both fiscal years 2023 and 2022, the ultimate healthcare cost trend rate was 5 percent, and the year when the trend rate will reach the ultimate trend rate was 2032. Additional assumptions used to determine benefit obligations for the fiscal years ended August 31 were as follows:

| | 2023 | 2022 |
|---|------|------|
| Weighted average settlement (discount) rate | 5.0% | 4.3% |
| Weighted average rate of increase in future compensation levels | 3.0% | 3.0% |
| Healthcare cost trend rate | 7.5% | 7.0% |

The assumptions used to determine net periodic benefit cost for the fiscal years ended August 31:

| | 2023 | 2022 |
|---|------|------|
| Discount rate | 4.3% | 2.3% |
| Weighted average rate of increase in future compensation levels | 3.0% | 2.5% |
| Healthcare cost trend rate | 7.0% | 5.0% |

Estimated future benefit payments reflecting anticipated service, as appropriate, are expected to be paid as shown below for the fiscal years ended August 31:

| (in thousands of dollars) | |
|---------------------------|----------|
| 2024 | \$931 |
| 2025 | 872 |
| 2026 | 933 |
| 2027 | 1,010 |
| 2028 | 1,067 |
| 2029–2033 | 5,899 |
| Total | \$10,712 |

The University offers a deferred compensation plan under Internal Revenue Code 457(b) to a select group of management and highly compensated employees. The University does not contribute to this deferred compensation plan. The University has recorded both an asset and a liability related to the deferred compensation plan that totaled \$129.5 million and \$117.7 million as of August 31, 2023 and 2022, respectively; these are included in investments and deposits payable and actuarial liability of annuities payable on the consolidated statements of financial position.

12. Related Parties

Members of the University's Board of Trustees, senior management, and faculty may on occasion be associated either directly or indirectly with entities doing business with the University. The University bylaws and conflict of interest policies establish guidelines for disclosure and regulation of such activities as circumstances warrant. When such associations exist, measures are taken, in the best interests of the University, to mitigate any actual or perceived conflict. Transactions with related parties may include investment management, common membership in investment partnerships or other investment vehicles, and the purchase of goods or services.

The University maintains several clinical and education affiliation agreements with other organizations. Revenues and expenses from these agreements are accounted for in the operating activities section of the consolidated statement of activities. The most significant agreements are with Northwestern Medical Group (NMG) and Northwestern Memorial Healthcare Corporation (NMHC). NMG is a not-for-profit, multispecialty physician organization committed to providing clinical care to patients and to supporting the research and academic endeavors of Northwestern's Feinberg School of Medicine (Feinberg). NMG is governed by a board of directors, and its physicians are full-time faculty members or researchers at Feinberg. It is a subsidiary of NMHC, the not-for-profit parent corporation of Northwestern Memorial Hospital (NMH), which is the primary teaching hospital of Feinberg. Under terms of agreements effective in fiscal year 2014 between the University, NMG, and NMHC, the University receives recurring contributions from NMHC to support the Feinberg research and education programs, basic and applied biomedical research facilities and programs, and research and educational support services.

13. Self-Insurance Reserves and Other Contingencies

Reserves for losses under the University's selfinsurance program, aggregating \$21.2 million and \$14.9 million at August 31, 2023 and 2022, respectively, include reserves for probable known losses and for losses incurred but not yet reported. The reserves are presented on a discounted basis. The discount rate was 6.55 percent in fiscal years 2023 and 2022. Selfinsurance reserves are based on estimates of historical loss experience, and while management believes that the reserves are adequate, the ultimate liabilities may be more or less than the amounts provided. These reserves are included in accounts payable and accrued liabilities on the consolidated statements of financial position.

From time to time, various claims and suits generally incidental to the conduct of normal business are pending or may arise against the University. It is the opinion of management of the University, after taking into account insurance coverage, that adequate provision has been made and any losses from the resolution of pending litigation should not have a material effect on the University's financial position or results of operations.

All funds expended in connection with government grants and contracts are subject to audit by government agencies. While any ultimate liability from audits of government grants and contracts by government agencies cannot be determined at present, management believes that it should not have a material effect on the University's consolidated financial position or results of operations.

14. Grants and Contracts

Grants and contracts for the fiscal years ended August 31 are summarized on the consolidated statements of activities as follows:

| (in thousands of dollars) | 2023 | 2022 |
|------------------------------|-----------|-----------|
| Federal grants | \$682,779 | \$653,671 |
| Private grants and contracts | 195,020 | 169,621 |
| State grants | 7,713 | 5,233 |
| Total grants and contracts | \$885,512 | \$828,525 |

Indirect cost recovery on federal grants and contracts is based on an institutional rate negotiated with its cognizant federal agency, the United States Department of Health and Human Services.

15. Liquidity and Availability

Financial assets and resources available within one year of August 31 for general expenditure are as follows:

| (in thousands of dollars) | 2023 | 2022 |
|--|-------------|-------------|
| Financial assets | | |
| Cash and cash equivalents | \$352,965 | \$372,337 |
| Accounts receivable, <i>net</i> | 293,608 | 264,493 |
| Notes receivable | 25,220 | 28,570 |
| Contributions receivable | 29,618 | 24,096 |
| Endowment payout made available for operations | 744,500 | 666,000 |
| Financial assets available within one year | 1,445,911 | 1,355,496 |
| Liquidity resources | | |
| Commercial paper | 300,000 | 300,000 |
| Bank lines of credit | 625,000 | 625,000 |
| Total financial assets and liquidity resources available within one year for general expenditure | \$2,370,911 | \$2,280,496 |

The University manages liquidity by structuring its financial assets to be available as its operating expenses, liabilities, and other obligations come due. Working capital funds, which are generated through the temporary differences between operating receipts and disbursements, are held in a variety of money market instruments or are invested in the Long-Term Balanced Pool. The income from investing them is used for general operating purposes.

In addition, the University may place commercial paper under a \$300 million Taxable Commercial Paper Note. Under this agreement, no outstanding borrowings existed as of August 31, 2023 and 2022. The University also may draw \$625 million in standby lines of credit to supplement working capital requirements. Under this agreement, no outstanding borrowings existed as of August 31, 2023 and 2022.

Lastly, the University holds institution-designated endowments of \$5,064 million and \$5,262 million as of August 31, 2023 and 2022, respectively. Although the University does not intend to spend from its institution-designated endowment funds-other than amounts appropriated for spending through its annual budget approval and appropriation processamounts from its institution-designated endowment could be made available if necessary, subject to liquidity of the underlying investments.

16. Functional Classification of Expenses

Expenses by functional categories reflect salaries, wages, benefits, goods, and services used for those specific purposes. The University has allocated functional expenses for depreciation, interest on indebtedness, and certain other expenses related to operation and maintenance of plant to other functional categories based on the functional use of space on the University's campuses.

| (in thousands of dollars) | | | | 2023 |
|--|-------------|-----------|-----------|-------------|
| | Academic | Research | Support | Total |
| Salaries, wages, and benefits | \$1,026,265 | \$349,926 | \$299,146 | \$1,675,337 |
| Services, supplies, maintenance, and other | 687,930 | 244,538 | 126,702 | 1,059,170 |
| Depreciation | 153,100 | 42,406 | 14,407 | 209,913 |
| Interest on indebtedness | 68,296 | 18,917 | 6,427 | 93,640 |
| Total | \$1,935,591 | \$655,787 | \$446,682 | \$3,038,060 |

| (in thousands of dollars) | | | | 2022 |
|--|-------------|-----------|-----------|-------------|
| | Academic | Research | Support | Total |
| Salaries, wages, and benefits | \$953,253 | \$329,092 | \$274,600 | \$1,556,945 |
| Services, supplies, maintenance, and other | 621,709 | 220,951 | 52,476 | 895,136 |
| Depreciation | 138,941 | 48,837 | 16,580 | 204,358 |
| Interest on indebtedness | 61,692 | 21,683 | 7,362 | 90,737 |
| Total | \$1,775,595 | \$620,563 | \$351,018 | \$2,747,176 |

17. Subsequent Events

The University has evaluated subsequent events in accordance with the FASB ASC Subsequent Event Topic through December 15, 2023, the date when the consolidated financial statements were issued. The University did not identify any events to be disclosed.

Research and Development: Department of Agriculture (USDA):

Developing Mathematical Models for the Formation of New Collaborations at Conferences

Increasing Production Capacity, Metabolomic Understanding, and Knowledge of Cancer Survivor Consumer Attitudes of Maple-Based Products for Health Promotion The Effect of the Opioid Crisis on the Farm Sector: Implications from the Rural Economy

National Institute of Food and Agriculture:

The Family Check-Up 4 Health: A Family-Centered Health Maintenance Approach to Improve Nutrition and Prevent Obesity in Early Childhood A defensible, next generation approach to quantifying and characterizing land use change

A defensible, next generation approach to quantifying and characterizing land use change

Total Department of Agriculture

Department of Commerce: National Oceanic and Atmospheric Administration:

Using Habitat Suitability Modeling to Determine the Vulnerability of Rare Illinois Plant Species to Climate Change

Illinois Indiana Sea Grant

Evaluating the Social and Ecological Aspects of Lawn Replacement

National Institute of Standards and Technology:

Development of Levitated, Macroscopically Delocalized Atom Interferometers for a New Measurement of Newton's Constant G Advanced Materials Center for Excellence: Center for Hierarchical Materials Design (CHiMaD)

Total Department of Commerce

Department of Defense:

"Rational design and implementation of novel polymer adsorbents for selective uptake of per-and polyfluoroalkyl substances from groundwater"

Air Force Office of Scientific Research:

Foreign Military Training: Building Effective Armed Forces in Weak States

Development of a Targeted Intravascular Therapy to Stop Non-Compressible Torso Hemorrhage Human Fix a Flat

MURI: Unraveling the Biology, Chemistry and Nanoscience of Natural and Synthetic Melanins Stochastic Self-Consistent Clustering Theory for Composite Performance Prediction: from extreme value microstructure attributes to design of interphase for toughness Scientific Autonomous Reasoning Agent (SARA) integrating Materials Theory, Experiment and Computation

Hybrid biomolecular synapses for multifunctional sensing and neuromorphic computing at the edge of biology

Hierarchical Assembly of Spider Silk Proteins: Exploring Structural Biology of Biomaterials from the Atomic to the Mesoscale

Engineering bacterial transcription factors to sense human performance biomarkers

PECASE: Multi-dimensional Vibrational-Electronic Spectroscopy to Probe Electronic-to-Vibrational Energy Transfer in Hybrid Nanomaterials

Reconfigurable Matter from Programmable Atom Equivalents Molecular Ion Quantum Control

Rotation, Shift and Scale Invariant Ultrafast Automatic Image Recognition Using an Opto-Electronic Correlator

Investigation of Experimental and Theoretical Challenges for Developing Superluminal Sensors

A Biophysical Approach to Uncover the Role of Cell Membrane Mechanics in Sensing Mechanical Force

Low-dimensional materials for high-efficiency/high-power nonlinear optical applications at infrared Fundamental Dynamics, Predictability, and Uncertainty of Scientific Discovery & Advance

Fundamental Study of p-Type Doping in MOCVD-Grown Ga2O3

Reasoning for Social Autonomous Agents

Phonon Propagation in Biological Structures and Implications on Phononic Tunability of Synthetic Materials

Expanding functionality and enhancing documentation of scQubits, an open-source Python package for superconducting gubits Manipulation of Intra-Manifold Excitonic Energy Redistribution in Colloidal Semiconductor Nanoplatelets: Toward High-Temperature Quantum Emitters

Determining the Absolute Molecular Weights of Pi-Conjugated Polymers for Mechanically Flexible and Stretchable Electronics

Robotic Architected Materials with Distributed Sensorimotor Capabilities via Free-form Electrochemical Composites

Stabilization of Silica Bubbles in Ultra High Temperature Ceramic Nanocomposites

Closed and Open Architecture Colloidal Crystals with Properties by Design

Photocontrolled synthesis of pi-conjugated polymers

Soft Hybrid Materials for Flexible, Stretchable, Patternable Electronics

SEM Acquisition for Structural and Mechanical Characterization of Bio-, Meta- and Low Dimensional Materials Low-Temperature, High-Magnetic Field Photonic Measurement System for Magneto-Photonics Research

Discovery and Exploration of Quantum Nitrides

Machine Learning for Physics-based Systems: Optimal Approximations, Architectures, and Training

Air Force Research Laboratory:

AFRL RESCU Cloud: Secure and Verifiable SQL for the Zero-Trust Cloud Optimization of Ink-Printed, 2D-Materials for Selective, Wearable, Environmental Sensors

Searching for what's new: the systematic development of dynamic x-ray microscopy

VeriGOOD-ML: Verilog Generator (Open-source), Optimized for Designs for Machine Learning Normalizing Timing of Rhythms Across Internal Networks of Circadian Clocks (NTRAIN)

Army:

Beta-Blockers for the Prevention of Acute Exacerbations of COPD

A pilot clinical trial to assess the effect of transfemoral socket design on hip muscle function

CRISPR-Cas9 Gene Therapy for ALS Enhanced by TJ-Targeted Barrier Modulation

Targeting Balance Confidence as a Strategy to Increase Integration and Improve Outcomes in Users of Lower-limb Prostheses Fieldable Ultrasound Treatment to Enhance Inflammatory-Proliferative Phase Transition and Reduce Wound Healing Time. Optimizing Prosthetic Shock Absorption for High Demand Mobility of Service Members with Leg Amputation

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

Year ended August 31, 2023

| 1 | | | | | |
|---|------------|------------------|--|---------------------------------|-------------|
| 1 | | | | | |
| 1 | 166 | 10.001 | 27675//58-3022-0-005 | \$ 111,860 | |
| | 233 | 10.174 10.310 | AM22ACERIL1017-00 SUB00001814//2019-67023-29347 | 84,144 2,716 | 50,000 — |
| | 19 | 10.310 | ASUB22-03//2018-68001-27550 | 40,219 | _ |
| | | 10.312 10.312 | 2018-10008-28530 2018-10008-28530 | 79,356 | 79,356 |
| | | 10.512 | 2010-10000-20330 | | |
| | | | | <u> </u> | 79,356 |
| | | | | 316,295 | 129,356 |
| | 161 | 11.417 | F0008309702069//NA18OAR4170082 | 5,685 | _ |
| | 161 161 | 11.417 11.417 | F0008309702095//NA22OAR4170100 F0008309702121//NA22OAR4170100 | 7,380 573 | |
| | 101 | 11.417 | 1 0000000102121/110220214110100 | 13,638 | |
| | | 11.609 | 60NANB19D168 AMD 2 | 11,142 | _ |
| | | 11.609 | 70NANB19H005 Amnd 4 | 4,510,292 | 1,495,214 |
| | | | | 4,521,434 | 1,495,214 |
| | | | | 4,535,072 | 1,495,214 |
| | 56 | 12.RD | 82296-11070 AMD 2//W312HQ18C052 | 253 | _ |
| | | 12.630 | FA9550-20-1-0277 P00003 | 105,966 | _ |
| | 227 222 | 12.800 12.800 | 013840-00002 AMD 1//FA8650-21-2-6K01 104170196 005//FA9550-18-1-0142// P00005 | 293,999 293,139 | _ |
| | 66 | 12.800 | 313-0866-05//FA9550-18-1-0381 | 6,518 | _ |
| | 56 | 12.800 | 80776-11044//FA9550-18-1-0136 | 76,525 | — |
| | 264 178 | 12.800 12.800 | A23-0483-S001//FA9550-22-1-0426 D8771-03 SA752 A2 5A157A 7802 // FA9550-20-1-0103 | 191,316 70,376 | |
| | 170 | 12.800 | FA2386-21-1-4078 | 33,229 | |
| | | 12.800 | FA9550-16-1-0379-P00004 | 21,445 | _ |
| | | 12.800 12.800 | FA9550-17-1-0348-P00004 FA9550-17-1-0352-P00004 | (29,791) 32,410 | _ |
| | | 12.800 | FA9550-18-1-0359-P00003 | 142,844 | _ |
| | | 12.800 | FA9550-18-1-0401 P00003 | 24,538 | — |
| | | 12.800 12.800 | FA9550-19-1-0039 P00004 FA9550-19-1-0243-P00001 | 4,763 (3,484) | |
| | | 12.800 | FA9550-19-1-0354 P00006 | 1,292,546 | 379,450 |
| | | 12.800 | FA9550-19-1-0410 | 94,871 | — |
| | | 12.800 12.800 | FA9550-20-1-0091 P0003 FA9550-20-1-0258 P00002 | 487,043 81,128 | |
| | | 12.800 | FA9550-20-1-0271 | 13,986 | _ |
| | | 12.800 | FA9550-20-1-0364 P00003 | 95,653 | — |
| | | 12.800 12.800 | FA9550-22-1-0158 FA9550-22-1-0218 | 145,539 175,901 | |
| | | 12.800 | FA9550-22-1-0221 P00001 | 143,004 | _ |
| | | 12.800 | FA9550-22-1-0300 | 868,587 | — |
| | | 12.800 12.800 | FA9550-22-1-0421-P00001 FA9550-22-1-0423-P00001 | 159,141 96,786 | |
| | | 12.800 | FA9550-22-1-0474 | 101,561 | — |
| | 224 | 12.800 12.800 | FA9550-22-1-0512 KK2340//FA9550-23-1-0042 | 158,020 123,038 | |
| | 267 | 12.800 | UTA20-001225//FA9550-21-1-0084 | <u> 227,280</u> 5,527,877 | |
| | | | | | |
| | 209 | 12.800 12.800 | FA8750-22-2-0156-P00006;P00007 S-193-001-001//NB18-21-19//FA86501825402 | 219,407 146,101 | _ |
| | 125 | 12.800 | s6091 PO # 943367//FA9550-23-1-0284 | 1,243 | |
| | 250 | 12.910 | A008043202//FA8650-20-2-7009 Amd No. 4 | <u> </u> | |
| | | 12.910 | FA8650-21-2-7119 P00008 | 6,374,226 | 3,947,798 |
| | | | | 6,486,072 | 3,947,798 |
| | 214 236 | 12.420 12.420 | 000507860-SC008-A08//W81XWH-15-1-0705 17748//W81XWH1910507 | 3,439 3,386 | _ |
| | 236 268 | 12.420 | 2008590//W81XWH2110219 AMD 2 | 3,386 60,355 | _ |
| | 174 | 12.420 | 322801NWU//W81XWH-17-1-0697 | (1) | — |
| | 80 251 | 12.420 12.420 | 401171213//W81XWH-15-9-0001 45-1014-1000-202//W81XWH2120007 | 413,588 41,175 | 27,707 |

Cluster title/federal grantor/subagency/project title Improving Diagnosis and Clinical Management of Familial Hypercholesterolemia Through Integrated Machine Learning, Implementation Science, and Behavioral Econor Personalized Mobility Interventions Using Smart Sensor Resources for Lower-Limb Prosthesis Users Personalized Mobility Interventions using Smart Sensor Resources for Lower-limb Prostheses Users Targeting Ovarian Cancer Stem Cells Interactions with the Niche Blood-Based DNA Methylation Biomarkers of Acquired Platinum Resistance in Women with Ovarian Cancer Electrical Stimulation to Accelerate Nerve Regeneration Role of Subtype-Specific Essential Factor Networks in Small Cell Lung Cancer Integrative analysis of exitrons in metastatic prostate cancer Restoration of the Functional Aesthetic Craniofacial Envelope and Extremities Assessing Rehabilitation Outcomes after Severe Neuromusculoskeletal Injury: Development of Patient Reported Outcomes Assessment Instruments Targeted reinnervation as a means to treat neuromas associated with major limb amputation Targeting EZH2 in castration-resistant prostate cancer Targeting EZH2 in castration-resistant prostate cancer D-Cycloserine for the Treatment of Chronic, Refractory Low Back Pain Role of C10orfI12 in Prostate Cancer A novel serum and tissue immunoglycomic biomarker panel to distinguish progressive Prostate Cancer Testing of Novel Pro-Survival Strategies in the Setting of Prolonged Damage Control Resuscitation Assessing high affinity anti-latent TGFbeta binding protein-4 antibodies in combination with steroid treatment for DMD TOWARDS CHIMERIC ANTIGEN RECEPTOR TRANSGENIC T CELL THERAPY FOR TUBEROUS SCLEROSIS COMPLEX Uncovering the circulating factor in FSGS Stem Cell Regeneration of Human Spiral Ganglion Neurons toward Hearing Restoration Understanding and Supporting Public Information Needs about VCA Donation Immune control of triple negative breast cancer by WEE1 inhibition Restoring pancreatic endocrine function via autologous islet transplantation to the omentum Optimal Selection of Prosthetic Knee and Foot Combination for Improving Walking and Standing Performance in Transfemoral Prosthesis Users Investigation of the Tumor Microenvironment as a Protective Niche that Supports Treatment Resistance of Bladder Cancer The role of A-to-I RNA editing in advanced prostate cancer T cell Trafficking Into the Cold Tumor Immune Microenvironment Modulating the Cochlear Proteostasis Network to Prevent Hidden Hearing Loss Comparative Effectiveness of Socket Casting Methods: Improving Form and Fit Ethical Factors Impacting Patients' Decisions to Pursue VCA Targeting CysLTR1 in triple negative breast cancer Uncovering New Therapeutics and Neuroprotective Mechanisms for TBI Towards a Self-Administered Hearing Protection Regimen The role of BMI1-associated IncRNAs in advanced prostate cancer Improving Acceptability and Outcomes for Upper Extremity Transplantation in Service Members and Veterans Determinants of basal forebrain cholinergic neuron vulnerability in Parkinson's disease and Lewy body dementia Glycoprotein sialylation of CTC clusters in breast cancer metastasis EHR-based LongItudinal Cohort to Explore Pregnancy OuTComes in SLE (ELIPTCL) The regulatory axis of IncRNA PRCAT71-AR in advanced prostate cancer Suppression of TDP-43 Proteinopathy in Mice by Targeting rad-23 Targeting Cholesterol Metabolism in Platinum-Resistant Ovarian Cancer Mechanism and therapeutic potential of PTEN-regulated MDSCs in glioblastoma Dysfunction in Sensory Circuits in Fragile X Syndrome Role of E3 Ubiquitin Ligase TRIM25 in Prostate Cancer Overcoming androgen receptor-mediated prostate cancer resistance to immunotherapy Mechanisms Underlying Sleep Disturbances in Parkinson's Disease Mechanisms Underlying Sleep Disturbances in Parkinson's Diseases Expanding an Active Surveillance Cohort to Improve Survivorship for Black Men with Favorable Risk Prostate Cancer Sites-Specific Nanofiber-Coated Regulatory T Cells for the Induction of Tolerance in Vascularized Composite Allotransplants Sites-Specific Nanofiber-Coated Regulatory T Cells for the Induction of Tolerance in Vascularized Composite Allotransplants Aligning Dosimetry and Biomarkers of Lung Injury with Prophylaxis and Mitigation of Damage from Radionuclides and Metals Leveraging Systematic Chemical-Genetic Profiling as a Path to Expand Precision Medicine in Breast Cancer Enhanced Targeting of CBP/p300 in a Subtype of Metastatic Prostate Cancer Identification and Utilization of Upper Motor Neuron Biomarkers for ALS Discovery of In Vivo Molecular Pathways Mediating Tau Induced Sleep and Circadian Disruption Developing Cell-Based and Mechanism-Focused Preclinical Platforms with Diseased Upper Motor Neurons Targeting Chromothripsis in Malignant Glioma Targeting an RNA Binding Protein Network in Glioblastoma by Decoy RNA Oligonucleotides A New Humanized Mouse Model For The Study of Peripheral Neuropathies New PROTACs Targeting Tissue Transglutaminase in Ovarian Cancer New PROTACs Targeting Tissue Transglutaminase in Ovarian Cancer Elucidating the Biology of Aggressive Kinase-fusion-driven Cutaneous T Cell Lymphomas. Enhancing Cochlear Proteome Fidelity to Prevent Noise-Induced Hearing Loss HYPERBARIC OXYGEN THERAPY TO MITIGATE CHILDHOOD RADIATION INDUCED NEUROCOGNITIVE AND SKELETAL TOXICITY: MULTIMODALITY EV RODENT MODEL Multi-institutional Phase 2/3 Trial of Fresh Frozen Plasma (FFP) in Patients with Moderate to Severe Traumatic Brain Injury (TBI) Creation of an Anesthesia Resuscitation Kit (ARK) for Prolonged Field Care of Military Casualties with Traumatic Brain Injury Developing a MALDI/PET Early Warning Imaging System for Lethal Prostate Cancer SCAN: Socio-Cultural Attitudinal Networks Machine learning enhanced models: enabling new materials for hypersonic and protection applications Developing Quantum Nano-Wire (non-tunnel-junction) Based Superconducting Qubits Specifically Triggerable Multi – Scale Responses in Organized Assemblies

Generation, manipulation, control, and applications of entanglement in a large network

Self-assembly of Hybrid Synthetic Organelles

Plasma Driven Solution Electrochemistry

Formal Foundations of Algorithmic Matter and Emergent Computation

Novel Solid Electrolytes Based on Polymeric Ionic Liquids

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NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

Year ended August 31, 2023

| | Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures | Subrecipient expenditures |
|-----------------------|----------------------------|---------------------------------|--|-------------------------|------------------------------|
| nomics | 258 | 12.420 | 582840//W81XWH-21-1-0421 | \$ 36,331 | _ |
| | 165 | 12.420 | 7197 cc82196amd//W81XWH1820057 | 950 | _ |
| | 165 | 12.420 | 82196.NU.Y101//W81XWH1820057 | 73,370 | — |
| | 103 103 | 12.420 12.420 | 8260-NW//W81XWH-19-1-0008 8904//W81XWH-21-1-0281 | 17,504 47,969 | — |
| | 150 | 12.420 | GR121986/SPC-1000004983 Amnd 2//W81XWH1920065 | 47,969 83,983 | |
| | 100 | 12.420 | HT9425-23-1-0360 | 45,500 | _ |
| | 250 | 12.420 | P007461501 Amendment 1//W81XWH1910161 | 223,385 | |
| | 131 | 12.420 | S3-W81XWH-19-2-0038//W81XWH1920038 | (362) | _ |
| | 232 | 12.420 | UDR0000202//W81XWH-17-1-0335 | 100,719 | — |
| | | 12.420 | W81XWH-13-2-0100 | (1,484) | (1,484) |
| | | 12.420 | W81XWH-17-1-0405 | 882 | _ |
| | | 12.420 12.420 | W81XWH-17-1-0406 P00003 W81XWH-17-1-0426 | (27) | — |
| | | 12.420 | W81XWH-17-1-0428 W81XWH-17-1-0578 | 1,169,618 3,043 | |
| | | 12.420 | W81XWH-17-1-0642-P00001 | 5,470 | _ |
| | | 12.420 | W81XWH-17-1-0701 | 590,034 | |
| | | 12.420 | W81XWH1810244 | 32,071 | |
| | | 12.420 | W81XWH1810479-P00002 | 6,173 | |
| | | 12.420 | W81XWH1810748 | 52,733 | 43,261 |
| | | 12.420 | W81XWH1810752 P00002 | 56,506 | 42,242 |
| | | 12.420 | W81XWH1810780 | 14,952 | |
| | | 12.420 12.420 | W81XWH1910106 W81XWH1910230 | (280) 117,058 | |
| | | 12.420 | W81XWH1910447 | 254,093 | 57,208 |
| | | 12.420 | W81XWH1910477-P00001 | (933) | |
| | | 12.420 | W81XWH1910563 | 76,669 | _ |
| | | 12.420 | W81XWH1910582 P00001 | 138,998 | |
| | | 12.420 | W81XWH1910627 | 6,592 | |
| | | 12.420 | W81XWH1910835-P000022 | 590,320 | 426,089 |
| | | 12.420 12.420 | W81XWH1920033 W81XWH-20-1-0024 | 101,029 364,319 | |
| | | 12.420 | W81XWH2010211 | 199,200 | |
| | | 12.420 | W81XWH2010484 | 511,404 | |
| | | 12.420 | W81XWH2010504 | 168,451 | _ |
| | | 12.420 | W81XWH2010507 | 59,091 | _ |
| | | 12.420 | W81XWH2010667 | 442,282 | _ |
| | | 12.420 | W81XWH2010679 | 297,276 | |
| | | 12.420 12.420 | W81XWH2010692 P00001 W81XWH2110146 | 141,545 232,354 | 8,431 |
| | | 12.420 | W81XWH-21-1-0236 | 232,667 | |
| | | 12.420 | W81XWH2110378 | 362,886 | |
| | | 12.420 | W81XWH2110380 | 331,818 | _ |
| | | 12.420 | W81XWH2110493 | 554,974 | 359,779 |
| | | 12.420 | W81XWH2110548 | 144,068 | _ |
| | | 12.420 | W81XWH211057401 | 306,852 | |
| | | 12.420 12.420 | W81XWH2110582 W81XWH2110749 | 415,352 353,923 | |
| | | 12.420 | W81XWH-21-1-0849 | 146,039 | |
| | | 12.420 | W81XWH2110862 | 206,432 | |
| | | 12.420 | W81XWH2110911 | 321,115 | _ |
| | | 12.420 | W81XWH2110984 | 1,638,773 | 1,018,261 |
| | | 12.420 | W81XWH2210018 | 292,351 | _ |
| | | 12.420 | W81XWH2210125 | 200,718 | — |
| | | 12.420 | W81XWH2210166 | 99,007 | |
| | | 12.420 12.420 | W81XWH2210217 W81XWH-22-1-0271 | 314,493 213,146 | |
| | | 12.420 | W81XWH2210373 | 98,371 | - |
| | | 12.420 | W81XWH2210374 | 122,156 | _ |
| | | 12.420 | W81XWH2210386 | 40,610 | _ |
| | | 12.420 | W81XWH2210470 | 163,387 | _ |
| | | 12.420 | W81XWH221047101 | 156,068 | _ |
| | | 12.420 | W81XWH2210763 | 132,071 | |
| EVALUATION IN A YOUNG | | 12.420 | W81XWH-22-1-0773 | 405,299 | |
| | | 12.420 | W81XWH2210822 | 180,899 | |
| | | 12.420 | W81XWH2211106 | 351,207 | |
| | | 12.420 | W81XWH2220060 | 103,161 | _ |
| | 288 | 12.420 | WU-20-307-MOD-3//W81XWH1910795 | 23,392 | |
| | | | | 14,691,965 | 1,981,494 |
| | 245 | 12.431 | 104773-Z8424106-B//W911NF1610342 | 58,480 | |
| | 161 | 12.431 | 13001195-045//W911NF-22-2-0123 | 73,083 | |
| | 228 | 12.431 | 1562164//W911NF-22-1-0050 | 61,724 | _ |
| | 246 | 12.431 | 16-008956-E-04 // W911NF-15-1-0568 | 851 | _ |
| | 215 | 12.431 | 641796 Mod 2//W911NF2110325 P00001 | 90,937 | |
| | 222 | 12.431 | 703559//W911NF-13-1-0383 | 57,759 | |
| | 250 | 12.431 | A008178002 6 REV//W911NF2010105 P00006 AWD-000084-G2//W911NF1910233 | 230,272 114,059 | |
| | 0E | | | 11/1 050 | |
| | 85 224 | 12.431 12.431 | KK2349//W911NF-23-1-0015 | 230 | |

(Continued)

Cluster title/federal grantor/subagency/project title Design and Construction of a High throughput Oxidation Screening Test (HOST) Predicting and Controlling the Response of Particulate Systems through Grain-Scale Engineering Disorder engineering: A Geometry-Enhanced Network Theory for irregular METamaterials (GENT-MET) Realizing High-Fidelity Gates in Protected Qubits Endosymbiotic control and enhancement of leafhopper brochosomes Engineering the translation apparatus for the synthesis of electronically active sequence-defined polymers 7.4 Reactive Chemical Systems: Assembly and Dynamics of Soft Matter Observed by Liquid Cell TEM Stimuli-Responsive Control of Protein-Based Molecular Structure Failure Probability and Safety Gain of Super-Strong Biomimetic Nacreous Materials The impact of organizational strategy on performance of in vitro biosynthesis reactions II.A.1.b.iii.3: Coupling Magnetic and Ferroelectric Phenomena in Designed 2D Thio- and Selenophosphate Crystals II.A.1.a.i.4: Towards Design Rules for the Synthesis of Functional Metal-Organic Frameworks in Aqueous Media at Ambient Temperature for Targeted Applications Sensitivity and Non-Normality in Network Control and Failure Propagation 3D Mesostructures as Active Interfaces to Individual Neurons in 3D Neural Networks SR SEQUESTRATION AND MINERALIZATION IN ACANTHAREA Development of Metal-Organic Framework@Fiber-based Solid State Catalyst for Degradation of Chemical Warfare Agents: A.1.a.i.4 Enhancing Material Development and Manufacturing Technologies of Lightweight Alloys and Tribological Performances for Unmanned Aerial Systems Deciphering the role of endogenous neurotransmitters in bacterial biofilms Building Constitutive Relationships in Responsive Thermosets: A Multi-scale Experimental and Simulation Effort CCE-ScMVR-2: High-Power-Density and Energy-Efficient Engine and Drivetrain Technologies (Integrating Materials and Manufacturing Development of Lightweight Allo solutions for UAS) Examining the Outcomes of Repeated Client Referrals Based on the Trajectories of Care Dynamics of Grain Shape Evolution in Particulate Media Subjected to Impact Generative Models for Tool Use from Robot Play Tuning the Molecular Topology of Polymeric Materials for Superior Ballistic Performance Improving the engineering performance of coarse-grained soils with direct current electric fields The Army Synthetic Biology Center for Predictive Materials Design (PreMaDe) Preparative Methods for Responsive Polymers Exploiting Asymmetry for the Control of Multi-Agent Systems Chemical Sciences (2) Electrochemistry: Earth Abundant Electrocatalytic Materials for Converting CO2 to Value-Added Products Electrochemistry ARL-BAA-0025: Megalibraries of Electrocatalysts – Synthesis and Optical Screening Methods Emergent Properties from Hybridization of Conjugated Organic-Inorganic Semiconductor (H-COINS) Halides DoD Center of Excellence in Advanced Quantum Sensing (CoE-AQS) Rate Effects in the Deformation of Microscale Metallic Samples – Experiments and Simulations The Signatures of Success in Human-Agent Teams Distributed cell-free manufacturing of biologics-based medical countermeasures Profiling changes in enzyme activities arising from viral infection using SAMDI mass spectrometry Operator 4.0 via Fatigue and Motion Analyses in a Human Digital Twin Enabled Framework for Smart Manufacturing MTEC-17-08-MT-0340; The Effects of Complete and Partial Resuscitative Endovascular Balloon Occlusion of the Aorta (REBOA) with Pharmacologic Treatment in Swi Hemorrhagic Shock, Traumatic Brain Injury, and Complex Vascular Injuries. AlGaN for Solar-Blind Avalanche Photo Detectors BA150793: Dose Optimization of Valproic Acid in a Swine Model of Traumatic Brain Injury, Hemorrhage, and Poly-Trauma, with the Initiation of a Clinical Trial. Adaptive Technology to Optimize Rehabilitation of Lower Extremity Musculoskeletal Injuries throughout Recovery Drinking Water Treatment Residuals as Material for in-situ Capping of Metal Contaminated Sediments Cell-free Biomanufacturing Institute CHIPs Assistant Secretary of Defense for Health Affairs: Top Down Proteomics of Laser Capture Microdissected Samples for the Cancer Moonshot – Supplement The Biological Basis of Cognitive and Physical Resilience Top Down Proteomics of Laser Capture Microdissected Samples for the Cancer Moonshot Defense Advanced Research Projects Agency: TRAUMAS: Treatment and recovery augmented with electrical and ultrasound- mediated actuation and sensing Self Assembled Morphogenic Metal Coatings D20AC00002 - REPAIR Regenerative Elec. Platform REPAIR: Regenerative Electronic Patch through Advanced Intelligent Regulation EURYALE: Combating Emergent Execution with a GLANCE Bringing Auditability to Privacy-Preserving Electronic Health Record Aggregation using Zero-Knowledge Proofs Wizkit: Wide-Scale Zero-Knowledge Interpreter Toolkit Defense Logistics Agency: Integration of ICME Tools in Casting Design and Process Optimization for Intelligent Manufacturing Defense Threat Reduction Agency: An integrated multi-scale camouflaging platform for cloaking immunogenicity and evading non-specific clearance of therapeutic proteins Highly Stable and Bifunctional Bio-inspired Catalytic MOFs for Destruction of Chemical Threats Topology-Enhanced Diffusion of Chemical Warfare Agents in Metal-Organic Frameworks for Detoxification Novel Reactive, Porous, and Processable Polymers for Chem-Bio Defense Machine learning-guided design of modular, protein-based medical countermeasures Elimination of Chemical Threats via Reactive Metal-Organic Frameworks

Flexible and Breathable 2-D HOF Composite Fibers for HD Blocking and Degradation in the Dark Interaction with Ionizing Radiation with Matter, University Research Alliance (IIRM–URA)

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

Year ended August 31, 2023

| | through entity | listing number | Sponsor award number | Federal expenditures | Subrecipier expenditure |
|-----------------|-------------------|-------------------|--|-------------------------|----------------------------|
| | 80 | 12.431 | PO401182952//W911NF2220114 | \$ 196,595 | - |
| | 33 | 12.431 | S428657-08//W911NF1910245 | 126,187 | - |
| | 33 159 | 12.431 12.431 | S576544//W911NF2220109 SUB0000298//W911NF1910016 | 106,623 | - |
| | 267 | 12.431 | UTA20-000832//W911NF2010195 AMD 03 | 99,359 43,706 | - |
| | | 12.431 | W911NF-16-1-0372 P00018 | 508,102 | 264,43 |
| | | 12.431 | W911NF-17-1-0326 P00009 | 30,175 | 28,40 |
| | | 12.431 | W911NF-18-1-0200 P00010 | 918,473 | 434,68 |
| | | 12.431 | W911NF1910039 P00007 | 28,511 | - |
| | | 12.431 12.431 | W911NF-19-1-0298 P00002 W911NF1910335-P00003 | 58,759 3,065 | - |
| | | 12.431 | W911NF1910340/P00005 | (13,426) | _ |
| | | 12.431 | W911NF1910383 P0005 | 51,197 | _ |
| | | 12.431 | W911NF1920169-P00010 | 97,196 | _ |
| | | 12.431 | W911NF2010137 P00004 | 212,838 | - |
| | | 12.431 | W911NF2020136 P00005 | 174,211 | - |
| | | 12.431 | W911NF2020292 | 176,272 | - |
| | | 12.431 | W911NF-21-1-0291-P00002 | 164,186 | - |
| nd Tribological | | 12.431 | W911NF2120085 P00001 | (48,483) | |
| id Tribological | | 12.431 | W911NF2120199 P00002 | 1,407,516 | - |
| | | 12.431 | W911NF2210180 | 129,362 | - |
| | | 12.431 | W911NF2210204 P00001 | 94,630 | |
| | | 12.431 | W911NF2210286 P00002 | 124,686 | |
| | | 12.431 | W911NF2210287-P00001 | 72,495 | |
| | | 12.431 | W911NF2210291 P00001 | 124,916 | |
| | | 12.431 | W911NF2220246 P00001 | 161,718 | |
| | | 12.431 | W911NF-23-1-0098 | 85,884 | |
| | | 12.431 | W911NF-23-1-0102 | 18,254 146 105 | |
| | | 12.431 12.431 | W911NF-23-1-0141 W911NF2310285 | 146,105 27,824 | |
| | 171 | 12.431 | X03060652//W911NF2210158 | 26,164 | |
| | | | | 6,040,495 | 727,5 |
| | 62 | 12.630 | 21-155HEH AMD 3 // W911NF202076 | 421,577 | |
| | 114 | 12.630 | PO #2004091092//W911NF1220022 | 393 | |
| | | 12.630 | W911NF1920140-P00005 | 247,461 | 150,2 |
| | | | | 669,431 | 150,27 |
| | 007 | 12.910 | W911NF2320039 P00002 | 284,052 | 11,69 |
| | 287 210 | 12.RD 12.RD | 1667-45829-11000000777//W 15QKN-16-9-1002 19-13-05//W 15QKN-19-3-0003 | 227,187 83,256 | 54,18 |
| odels of | | | | , | |
| | 2 | 12.RD | 2021-590//W81XWH-19-9-0009 | 569,815 | 99,3 |
| | 148 | 12.RD | ST18C-003//W912CG-20-C-0009 | 63,881 | |
| | 249 | 12.RD | SUBK00015247//W81XWH-17-C-0246 | 2,118 | |
| | 204 | 12.RD | TSI-5077-22-20210199//W81XWH-22-C-0102 | 1,675 | |
| | 233 | 12.RD | UFDSP00012338//W912HQ18C0039 | 62,061 | |
| | 050 | 12.RD | W52P1J-21-9-3023 P00001/CS-21-0701 | 4,726,350 | |
| | 259 | 12.RD | W81XWH2190014//FY2022-18520-SVC | 74,498 | |
| | 95 | 12.750 | 5674 Amnd 2//HU00012120002 | (70,532) | |
| | 95 | 12.750 | 5902/66627/HU00012020026 | 43,821 | |
| | 95 | 12.750 | Subaward 5872 PO 1038625 HJF# 66941//HU00012220006 | 162,870 | |
| | | | | 136,159 | |
| | 53 | 12.910 | 2(GG015670) AMD6//D20AC00004 | 142,753 | |
| | 114 | 12.910 | 2005560827 Mod No. 2//HR00112220033 | 219,815 | |
| | 259 | 12.910 | AW D00001593 (419447-2)//D20AC00002-08 | 444,222 | |
| | 259 | 12.910 | AWD00001593(416052-2) AMD2//D20AC00002 | (27,597) | |
| | | | | 779,193 | |
| | 19 | 12.RD | ASUB00001148//N6600122C4026-P00004 | 23,716 | |
| | 191 191 | 12.RD 12.RD | HR001120C0087 Wang AGMT 3/8/21//HRO002230C0087 | 7,664 84,522 | |
| | | | · | | |
| | 11 | 12.RD | AGMT 2/27/23//SP4701-17-D-1134 | 7,424 | |
| | 56 | 12.351 | 90425-20126 Amd2// HDTRA12010004 | 49,485 | |
| | | 12.351 | HDTRA11810003-P00005 | 13,124 | |
| | | 12.351 | HDTRA11910007-P00008 | 346,104 | |
| | | 12.351 | HDTRA1-19-1-0010 Amnd P00005 | 121,127 | 444.04 |
| | | 12.351 12.351 | HDTRA12110038 P00004 HDTRA12210035 | 487,953 107,080 | 114,02 |
| | | 12.351 | HDTRA12210035 HDTRA12210041 P00001 | 107,080 | |
| | 457 | 12.351 | SA21-09 AMD 04//HDTRA12020002 | 218,458 | |
| | 157 | 12.001 | | _ | |

GRAIL: Generative Range and Altitude Identity Learning Navy: A CyberOctopus that Learns, Evolves, and Adapts From Percolation to Passivation (P2P): Multiscale Prediction and Interrogation of Surface and Oxidation Phenomena in Multi-Principal Element Alloys (MPEAs) Integrated Harvesting and Storage of Oxygen from Seawater Using Efficient Bipolar Membrane Electrolysis, Impurity Tolerant Electrocatalysts, and Desig Mesoscopic quantum science and metrology with levitated mechanical systems Development of Lightweight, Power-Efficient, Soft Electronic Sensor Systems for Next-Generation Oceanographic Measurements Generating Documents that are Consistent with a Knowledge Base Understanding Atomic Scale Structure in Four Dimensions to Design and Control Mesoscale Morphologies for Oxidation Resistant Alloys Hierarchical Nanoscale Metamaterials Plasmonic-Dielectric Metamaterials for Enhanced Spectroscopy and Controlled Chemistry Screening For and Preclinical Evaluation of Drugs for Hearing Loss High-Strength High-Toughness 10%Ni Naval Steels A New Method for Compact, High-Performance Atom Interferometric Sensors Theoretical Foundations and Scalable Algorithms for Mixed-Integer Convex Optimization with System Choice Building Adaptive, Dependable, and Secure Systems with a Cross-Layer Weakly-Hard Paradigm Manufacturing Process Compiler – A Foundation for New Process Generation Disruptive Durable All-Polymer Solar Cells (FY2019-000119-AS) Integrated Computational Materials Engineering of Functional Materials Using Phase Field Methods Towards Intelligent Agents that Learn by Multimodal Communication COVID-19: Retrofitting stainless steel with a self-sanitizing surface layer Design of 1D/2D/3D perovskite composites for enhancing the stability of high efficiency solar cells 3D assembly of bidirectional bioelectronic scaffolds towards accelerated tissue repair Automated Mental Health Assessment (AMHA) to Predict Destructive Behaviors Direct Laser Writing of In-Fiber Ring Resonator Acoustic Emission Sensors for Structural Health Monitoring 20-000000919 Collective Coupling in Hybrid Plasmon Lattice-Exciton Materials Fundamental Principals of Phase Transformations, Strength and Toughness in High-Strength 10 wt.% Ni Steels for US Naval Applications Multi-scale Metamorphic Manufacturing (MMM): Double-Sided Incremental Forming for Functional Metal Plates with Multi-scale Riblet Textures Stochastic Constrained Optimization Surface and Interface Engineering of Borophene Nanoelectronic Materials ACTIVE LEARNING SENSOR-OBJECT MODELS IN HIGHLY VARIABLE ENVIRONMENTS Additive manufacturing of ultra-strong precipitation-hardened aluminum alloys for high and ambient temperature applications Phase Field Fracture Simulation Assessment Femtosecond Fiber Laser Engine for Multiscale Multiphoton 3D Direct Laser Writing Physics-Based Machine Learning Parametrization of Force Fields with in-situ TEM Experimental Validation Towards the exploitability escalation for software vulnerabilities Cognitive Expertise by Repetition-Enhanced Simulation-based (CERES 2) Training continuation Disorder-Promoted Synchronization. 21-00000008 Self-Sensing, Optoelectronic Artificial Muscle Tissues for Energy Efficient Locomotion in Soft Machines Generalized Submodular Optimization: Theory, Algorithms, and Computation (21-000000918) Mechanistic investigations of the production of fuels from captured CO2 Microstructure, mechanical properties, and damping capacity in High-Mn Steels Revealing The Electrode and Electrolyte Microstructure in Li-Ion Batteries Learning by Multimodal Communication by Intelligent Agents Discovery of High-Capacity Oxysulfide Cathode Materials with Reversible Anion Redox Femtosecond Laser Structuring of Active Optical Fiber Sensors for System Health Monitoring Planar Type-II Superlattice Photodetectors for High Operating Temperature MWIR Monolithic Integration of Quantum Cascade Lasers on Silicon Substrates Planar Type-II Superlattice Photodetectors for High Operating Temperature MWIR Total Department of Defense Department of Education:

Fulbright Hays DDRA De Leon

Real Pay for Real Jobs: Virginia DIF

Intelligence Advanced Research Projects:

Institute of Education Sciences:

Evaluation of an Intervention to Improve Academic Outcomes for Low-Income Urban Youth through Instruction in Effective Coping Supported by Mentoring Relationsh Moving Beyond the Average: Building a Comprehensive Model of Classroom Quality that Incorporates Children's Individual Experiences Validity Evidence on the Spatial Ability Assessment for STEM Instruction and Evaluation

Sense-Making in the Disciplines: Supporting Reading and Argumentation in Literature and History

A Summer RCT Institute for Established Researchers

Multidisciplinary Program in Educational Sciences

Design Comparable Effect Sizes for Single Case Designs

What We have Learned in 20 Years of IES Randomized Trials

Exploring the Spatial Alignment Hypothesis in STEM Learning Environments

Meta-Analysis Training Institute Meta-analysis Training Institute

Rethinking Accessibility Using NAEP Process Data: Exploring Universal Design and Accommodations Training-Induced Language and Literacy Improvement in Children with Cochlear Implants Reducing Time to Autism Diagnosis for Toddlers Enrolled in Early Intervention

Total Department of Education

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

Year ended August 31, 2023

| | Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures | Subrecipient expenditures |
|--------|----------------------------|---------------------------------|--|-------------------------|------------------------------|
| | 263 | 12.RD | No. SCON-00002884//No. 2022-21102100007 | \$ 250,180 | _ |
| | 237 | 12.300 | 095643-17467//N00014-19-1-2373 Amd. 5 | 49,292 | _ |
| | 114 | 12.300 | 2004734071//N00014-20-1-2368 Amd. #1 | 328,288 | — |
| | 257 | 12.300 | 234640C//N00014-20-1-2517 | 173,126 | — |
| | 260 | 12.300 | 417315/URFAO: GR510772 AMD. 10//N00014-18-1-2370 | 53,336 | — |
| | 230 82 | 12.300 12.300 | 438651//N00014-21-1-2342 E2045224 Amnd 4//N00014-18-1-2670 A00002 | 19,541 386,454 | |
| | 02 | 12.300 | N00014-16-1-2280-P00011 | 223,270 | 125,391 |
| | | 12.300 | N00014-17-1-3023 P00005 | 238,860 | |
| | | 12.300 | N00014-17-1-3024 P00005 | 486,022 | _ |
| | | 12.300 | N00014-18-1-2508 P00005 | 111,946 | _ |
| | | 12.300 | N00014-18-1-2594 P00005 | (1) | _ |
| | | 12.300 | N00014-19-1-2181 P00004 | 897 | _ |
| | | 12.300 | N00014-19-1-2321 P00001 | 55,508 | 53,169 |
| | | 12.300 | N00014-19-1-2496 P00004 | 160,265 | 91,957 |
| | | 12.300 | N00014-19-1-2642-P00004 N00014-20-1-2116-P00004 | 375,598 | — |
| | | 12.300 12.300 | N00014-20-1-2118-P00004 N00014-20-1-2327 | 245,048 218,544 | _ |
| | | 12.300 | N00014-20-1-2447-P00004 | 174,815 | _ |
| | | 12.300 | N00014-20-1-2685//P00001 | 1,835 | |
| | | 12.300 | N00014-20-1-2725 P00003 | 127,485 | _ |
| | | 12.300 | N00014-20-1-2777 | 182,518 | — |
| | | 12.300 | N00014-21-1-2216 P00002 | 98,313 | — |
| | | 12.300 | N00014-21-1-2233 P00001 | 81,283 | — |
| | | 12.300 | N00014-21-1-2289 P00002 | 342,967 | — |
| | | 12.300 | N00014-21-1-2398 P00004 | 61,481 | — |
| | | 12.300 | N00014-21-1-2484 P00001 | 147,645 | — |
| | | 12.300 12.300 | N00014-21-1-2675 P00004 N00014-21-1-2679 P00004 | 137,751 234,962 | |
| | | 12.300 | N00014-21-1-2706 P00002 | 121,194 | _ |
| | | 12.300 | N00014-21-1-2782 P00002 | 137,175 | _ |
| | | 12.300 | N00014-21-1-2784 P00002 | 248,592 | 139,638 |
| | | 12.300 | N00014-21-1-2874 | 263 | |
| | | 12.300 | N00014-22-1-2133 P00002 | 200,774 | _ |
| | | 12.300 | N00014-22-1-2158/P00003 | 175,931 | — |
| | | 12.300 | N00014-22-1-2162 P00001 | 211,780 | _ |
| | | 12.300 | N00014-22-1-2200 P00004 | 315,085 | 169,754 |
| | | 12.300 | N00014-22-1-2447 P00001 | 191,028 | — |
| | | 12.300 | N00014-22-1-2602-P00002 | 117,188 | — |
| | | 12.300 | N00014-22-1-2690 N00014-22-1-2693/P00001 | 279,481 | _ |
| | | 12.300 12.300 | N00014-22-1-2093/P00001 N00014-22-1-2747 | 35,362 166,933 | |
| | | 12.300 | N00014-23-1-2294 | 42,048 | _ |
| | | 12.300 | N00014-23-1-2311 | 12,376 | _ |
| | | 12.300 | N00014-23-1-2529 | 93,443 | _ |
| | | | | 7,065,702 | 579,909 |
| | 148 | 12.RD | AGMT 9/19/22//N6833521C0650 | 36,999 | _ |
| | 148 | 12.RD | AGMT 9/19/22//N6893622C0023 | 51,024 | _ |
| | 148 | 12.RD | LETTER – 07/27/2021//N6833521C0650 | (12,146) | _ |
| | | | | 49,776,236 | 8,045,705 |
| | | 04.000 | D0004000000 4 | 05.004 | |
| | 284 | 84.022 84.421 | P022A200036-1 CTR011717//H421D220008 | 25,884 111,981 | _ |
| achina | 60 | 94 205 | E0400000000EUD00EA040000 | 105.009 | |
| nships | 63 253 | 84.305 84.305 | 501600SG2205//R305A210263 5117878//R305A200308 | 105,008 5,211 | _ |
| | 213 | 84.305 | A21-0360-S002-A01//R305A210428-22 | 45,760 | _ |
| | 210 | 84.305 | R305A180463-21 | 225,088 | 20,974 |
| | | 84.305 | R305B200029-22-3 | 171,373 | 21,619 |
| | | 84.305 | R305B200037-3 | 1,250,583 | |
| | | 84.305 | R305D220052-23 | 91,475 | — |
| | | 84.305 | R305U200005 – 21 | 210,223 | _ |
| | 293 | 84.305 | S-00014999 // R305A170165 | 45,759 | — |
| | 86 86 | 84.305 84.305 | SP00014145-01 Amnd 2//R305B190002 SP00015498-02//R305B220007 | 18,101 24,911 | |
| | | | | 2,193,492 | 42,593 |
| | 12 | 84.324 | 0517600001 MOD No. 01//R324P210002 | 22,553 | |
| | 78 | 84.324 | R01834 // R324A160193 | 5,480 | _ |
| | | 84.324 | R324X220088 – 23 | 683,332 | |
| | | | | | |
| | | | | 711,365 | |
| | | | | 3,042,722 | 42,593 |
| | | | | -) -) | , |

(Continued)

Department of Energy: Autoxidation Mechanisms and Methods for Plastics Upcycling Quantum Materials for Energy Efficient Neuromorphic Computing (Q-MEEN-C) Enhancing the Performance of Plasma-facing Materials Through Solute-stabilized Nanostructured Tungsten Alloys Surfactants at Air-Aqueous Interfaces for Lanthanide Recovery Nanoporous Materials Genome: Methods and Software to Optimize Gas Storage, Separations, and Catalysis EFRC/UMN: Inorganometallic Catalysts Development of Machine Learning and Molecular Simulation Approaches to Accelerate the Discovery of Porous Materials for Energy-Relevant Applications Center for Interacting Geo-processes in Mineral Carbon Storage Development of LiInP2Se6 for Ultra-High Resolution Neutron Imaging Quantum Wrapper Networking for Entanglement Management and Control in Transparent Optical Quantum Networks Tailoring the Selective Transport Pathway of Rare Earth Elements in Solid Ionic Channels Guided by In Situ Characterization and Predictive Modeling Institute for Environmental Catalysis Antiferromagnetism and Superconductivity Epitaxial Multifunctional Oxide Heterostructures Coordination-Chemistry-Derived Materials Featuring Nanoscale Porosity and Selective Chemical Separation Capabilities Electrostatic Driven Self-Assembly Design of Functional Nanostructures Fundamental Studies of Light-Induced Charge Transfer, Energy Transfer, and Energy Conversion with Supramolecular Systems Approaches to Integrated Photochemical Systems for Solar Energy Conversion Center for Bio-Inspired Energy Science (CBES) CENTER FOR LIGHT ENERGY ACTIVATED REDOX PROCESSES (LEAP) (Formerly ANSER) Surface Plasmon Enhanced Chemistry Design of Next Generation Thermoelectrics Research in the Energy, Cosmic and Intensity Frontiers and Theoretical Physics at Northwestern University Missing links in biological methane and ammonia oxidation Properties, Electrochemical Activity, and Stability of Solid Oxide Cell Materials Under Extreme Conditions Geophysical Feedbacks between Fluid-Assisted Sediment Fracture, Compaction and Faulting Establishing a Clostridia foundry for biosystems design by integrating computational modeling, systems-level analyses, and cell-free engineering technologies Employing bacterial microcompartments to create privileged redox pools for biofuel production Biosynthesis of bioprivileged, linear molecules via novel carboligase reactions Towards the Mechanism of N2 Fixation by Nitrogenase Creating and Interfacing Designer Chemical Qubits PROTEUS: Machine Learning Driven Resilience for Extreme-scale Systems Systems for Transducing Entanglement between Photons and Electron Spins Supramolecular Dynamics in Self-Assembling Materials Understanding functional dynamics on the nano scale through an integrated experimental-computational framework Toward Selective Photocatalytic Conversion of Methane to Methanol by a Colloidal Quantum Dot: Spatio-Temporal Reaction Control and in Situ Methanol Protection Sc Catalytic Kinetics and Mechanisms in Phosphorus Recycling from Ribonucleotide Structures by Iron Oxide Minerals Center for Molecular Quantum Transduction (CMQT) RAPIDS2: A SciDAC Institute for Computer Science, Data, and Artificial Intelligence Structure-Property Relationships in Porous Electrodes for Electrochemical Energy Storage Engineering bacterial microcompartments in Clostridium autoethanogenum to overcome bottlenecks in sustainable production of synthetic rubber Quantitative Analysis of Metabolic Segregation of Lignin Deconstruction and Catabolism in Outer Membrane Vesicles of Soil Pseudomonas species Low-Valent Actinide Complexes Featuring Tunable Carborane-Based Ligands X-CELLENT: X-Compiler Extending LLVM for Enhanced Natural Translation Spatio-Temporal Dynamics of CO2 Capture by Sorbents: Multimodal, In-Situ and Operando Measurements Modeling Accelerated Development of Interface Engineered Tungsten Alloy Plasma Facing Materials Systems for Transducing Entanglement between Photons & Electron Spins Integrating cell-free systems and genome engineering to accelerate biosystems design for carbon-negative biomanufacturing Interfacial Spectromicroscopy of Water Oxidation at Earth Abundant Solar Photoanodes Community Research On Climate and Urban Science (CROCUS) EFRC: Catalyst Design for Decarbonization Center Hydrogen in Energy and Information Sciences (HEISs) Scale Invariance, Unitarity Limit and Entanglement Suppression in Nuclei and Hardons Redesigning Polymers to Leverage A Circular Economy (RePLACE) Hybrid Metal Halides: Advancing Optoelectronic Materials Switchable Quantum Buffer for Transparent Optical Quantum Network High Efficiency Semiconductors for Nuclear Material Accounting LilnP2Se6, a New Semiconductor Detector Material for High Resolution Neutron Imaging Biological Imaging Using Entangled Photons Mechano-Chemical Understanding of Solid Ion Conductors (MUSIC) Artificial Intelligence (AI) – Assisted Hybrid Renewable Energy, Nutrient, and Water Recovery from Municipal Wastewater Theory-Guided Design and Discovery of Materials for Reversible Methane and Hydrogen Storage Crossing the Finish Line: Integration of Data-Driven Process Control for Maximization of Energy and Resource Efficiency in Advanced Water Resource Recovery Facil Replacing Cerium with Energy-Efficient Mischmetal in Cast Aluminum Alloys for Aerospace Applications Securing Grid-interactive Efficient Buildings (GEB) through Cyber Defense and Resilient System (CYDRES) A Digitally Informed Approach to Enhanced Heat Recovery through Additive Manufacturing of "Adaptive Thermoelectric Modules" for Energy Conversion Plat Novel Organosulfur-Based Electrolytes for Safe Operation of High Voltage Li-ion Batteries Over a Wide Operating Temperature

Role of Fluid and Temperature in Fracture Mechanics and Coupled THMC Processes for Enhanced Geothermal Systems

Reprocessing and Upcycling of Mixed Polyurethane Waste Streams

Identifying Performance Advantaged Biobased Chemicals Utilizing Bioprivileged Molecules

Development of Instruments and Techniques that Can Assess Tire Life and Increase Re-Manufacturing of Commercial Vehicle Tires Engineered reversal of the ß-oxidation cycle in clostridia for the synthesis of fuels and chemicals

Efficient Reversible Operation and Stability of Novel Solid Oxide Cells

ResIn: Responsible Innovation for Highly Recyclable Plastics

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

Year ended August 31, 2023

| | Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures | Subrecipient expenditures |
|-----------|----------------------------|---------------------------------|--|-------------------------|------------------------------|
| | 275 | 81.049 | 000002714//DE-SC0023281 | \$ 3,574 | _ |
| | 222 | 81.049 | 112383860//DE-SC0019273 | φ 3,374 (15,489) | _ |
| | 190 | 81.049 | 1142453/2/79383 // DESC0017899 | (607) | |
| | 258 | 81.049 | 583468 AMND 1//DE-SC0022240 | 46,087 | — |
| | 250 | 81.049 | A003127002 Amd 11//DE-SC0008688 | 16,216 | — |
| | 250 250 | 81.049 81.049 | A004527502//DE-SC0012702 Amd #10 A010026304//DE-SC0023454 | 24,601 124,613 | — |
| | 250 | 81.049 | A01020304//DE-SC0023434 A010319801//DE-SC0023429 | 106,334 | _ |
| | 264 | 81.049 | A19-0447-S002//DE-SC0019446 | 104,517 | _ |
| | 217 | 81.049 | A22-1495-S001//DE-SC0022336 | 124,921 | _ |
| | 226 | 81.049 | AWD102447 (SUB00000607) Amnd 1//DE-SC0022231 | 53,482 | — |
| | | 81.049 | DE-FG02-03ER15457-0020 | 1,508,286 | — |
| | | 81.049 81.049 | DE-FG02-05ER46248-0018 DE-FG02-06ER46346-0015 | (1,633) 7,876 | _ |
| | | 81.049 | DE-FG02-08ER15967/0017 | 269,877 | _ |
| | | 81.049 | DE-FG02-08ER46539-0014 | 227,224 | _ |
| | | 81.049 | DE-FG02-87ER13808 Mod 0041 | 216,898 | _ |
| | | 81.049 | DE-FG02-99ER14999-0024 | 406,893 | _ |
| | | 81.049 | DE-SC0000989-0012 | 1,266,176 | 599,078 |
| | | 81.049 81.049 | DE-SC0001059 Amd 0010 DE-SC0004752-0013 | (79) 139,779 | (79) |
| | | 81.049 | DE-SC0004732-0013 DE-SC0014520-0006 | 116,713 | _ |
| | | 81.049 | DE-SC0015910-0007 | 1,076,039 | _ |
| | | 81.049 | DE-SC0016284 0005 | 107,179 | _ |
| | | 81.049 | DE-SC0016965-0006 | 224,650 | _ |
| | | 81.049 | DE-SC0017615 0006 | 138,641 | |
| | | 81.049 81.049 | DE-SC0018249-P0004 DE-SC0019337 0002 | 1,250,402 (1,175) | 802,203 |
| | | 81.049 | DE-SC0019339/0002 DE-SC0019339/0003 | (16,427) | |
| | | 81.049 | DE-SC0019342/0005 | 225,546 | _ |
| | | 81.049 | DE-SC0019356-0005 | 1,516,420 | 1,020,457 |
| lemes | | 81.049 | DE-SC0019358//18SC503797 | 316,756 | _ |
| | | 81.049 | DE-SC0020168 0002 | 136,387 | 67,047 |
| | | 81.049 81.049 | DE-SC0020884-0003 DE-SC0020885-0002 | 43,566 214,286 | _ |
| Schemes | | 81.049 | DE-SC0020683-0002 DE-SC0021169 0002 | 48,457 | _ |
| | | 81.049 | DE-SC0021172 002 | 74,692 | _ |
| | | 81.049 | DE-SC0021314 0003 | 3,319,156 | 1,514,320 |
| | | 81.049 | DE-SC0021399-0003 | 121,481 | _ |
| | | 81.049 | DE-SC0022119-0002 | 127,618 | |
| | | 81.049 81.049 | DE-SC0022180-0002 DE-SC0022181-0002 | 647,443 | 438,439 |
| | | 81.049 | DE-SC0022181-0002 DE-SC0022204/0003 | 142,893 271,424 | _ |
| | | 81.049 | DE-SC0022268-0002 | 187,550 | _ |
| | | 81.049 | DE-SC0022332 0002 | 1,215,456 | 369,568 |
| | | 81.049 | DE-SC0023219-0001 | 177,496 | _ |
| | | 81.049 | DE-SC0023233 Mod0001 | 212,527 | 45,215 |
| | | 81.049 81.049 | DE-SC0023278-0001 DE-SC0023342 002 | 191,591 204 538 | _ |
| | | 81.049 | DE-SC0023342 002 DE-SC0023364 | 294,538 63,753 | |
| | 226 | 81.049 | DE-SC0023383//AWD103461 (SUB00000767) | 443,677 | |
| | | 81.049 | DE-SC0023450/0001 | 1,222,388 | 437,115 |
| | | 81.049 | DE-SC0023522 | 76,633 | — |
| | 52 | 81.049 | G-64020-02 AMND No. 1//DE-SC0022290 | 358,206 | — |
| | 224 158 | 81.049 81.049 | KK2107 02//DE-SC0012541 Kumar AGMT 6/16/21//DE-SC0020537 | 223,514 333,245 | — |
| | 163 | 81.049 | RMD C21-15//DE-SC0020039 | (4,873) | _ |
| | 163 | 81.049 | RMD C22-19 Amnd 1//DE-SC0021554 | 151,745 | |
| | 249 | 81.049 | SUBK00015295-1//DE-SC0022118 | 246,132 | _ |
| | 249 | 81.049 | SUBK00017482//DE-SC0023438 | 140,502 | |
| | | | | 20,265,773 | 5,293,363 |
| | 226 | 81.086 | AWD102571 (SUB00000611)//DE-EE0009505 | 134,312 | — |
| | 280 | 81.086 | DE-EE0008816-0003 | 112,429 | — |
| acilities | 289 | 81.086 81.086 | DE-EE0009508//5143 DE-EE0010221 | 38,694 69,328 | |
| | 198 | 81.086 | M2001973-02//DE-EE0009150 | 53,995 | _ |
| | 3 | 81.086 | PO 200136011//DE-EE0009104 | 286,713 | _ |
| | 81 | 81.086 | PO 4301480253//DE-EE0009644 | 114,738 | |
| | 161 | 81.087 | 14000614-021-02//10039612-PURDUE-5-2557 | <u> </u> | |
| | 193 | 81.087 | 20-01-RR-4071//DE-EE0007897 | 275,285 | 50,000 |
| | 107 | 81.087 | 401-20-25A AMD 3//DE-EE0008492/003 | 217 | |
| | 133 | 81.087 | AGMT 3/29/22//DE-EE0007897 | 30,144 | _ |
| | | 81.087 | DE-EE0008354-0002 | 146,791 | 146,133 |
| | | 81.087 81.087 | DE-EE0008437-0005 DE-EE0008928 Mod No. 0005 | 7,393 391,088 | 5,847 |
| | | 01.007 | | 551,000 | — |

(Continued)

REMADE: Chemical Recycling of Mixed PET/Polyolefin Streams Through Sequential Pyrolysis and Catalytic Upgrading Integrated biochemical and electrochemical technologies (IBET) to convert organic wastes to biopower via North American research and educational partnerships

Novel Algae Technology to Utilize CO2 for Value Added Products Versatile Reversible Solid Oxide Cell System for Hydrogen and Electricity Production

Uranium Recovery from Used Nuclear Fuel Using Metal Sulfides Concurrent Design of a Multimaterial Niobium Alloy System for Next-generation Turbine Applications Accelerated Discovery of Compositionally Complex Alloys for Direct Thermal Energy Conversion Statement of Work by Northwestern University for SuperCDMS Operations Growth and Integration of Two-Dimensional Transition Metal Dichalcogenides for Logic and Memory DOE NQI Center: Co-design Center for Quantum Advantage Structure and Properties of AI-Ce-based eutectic alloys created by Laser Powder-Bed fusion Compressive Creep Behavior of Cast Al-Cu-Mn-Zr Alloys Rational Design and Development of Crystalline and Amorphous Metal Sulfides for the Sequestration of Pertechnetate from Simulated Nuclear Waste Streams and Tar Illinois-Express Quantum Network (IEQNET) QuantiSED research at Fermilab Support for Eric Viklund (SQMS Prime) Superconducting Quantum Materials & Systems Graduate Student Support: Tae-Yoon Kim Joint Appointment of Vedran Brdar Research on potential of 3D printing superconductor for SCU applications Accelerator Real-time Edge AI for Distributed Systems (READS) Neutrino Theory Network A Design Methodology for ASICs in Cryogenic Operation Multi-Node Entanglement Swapping Demonstrator For support of Innes Bigaran Development of the Quantum Science Center Underground Test Stand (QUIET) LUX-ZEPLIN (LZ) Experiment Support and Operations Joint BioEnergy Institute Effects of Ultrafast and Coherent Electronic and Nuclear Motions in Photochemical Processes Joint Center for Energy Storage Research Center for Electrolyte-Electrode Interface Science (CEEIS) Biofuels for enhanced fuel economy High-performance I/O Research, Development, and Deployment for DataLib COVID-19: Tunable, Robust, Foul-resistant, Hybrid Hydrophobic-Hydrophilic (TuRF) Metasurfaces for High Temperature Heat Exchange Operations Exploring Machine Learning-based Approaches to Auto-tuning Distributed Memory Communication Threadwork: A Transformative Co-Design Approach to Materials and Computer Architecture Research National Quantum Information Science Research Centers Advanced Statistical Analysis of Coherent X-Ray Diffraction Data Ultrafast Dynamics and Coherence Measurements in Organic Frameworks Support for Mauricio Angelone Rational Synthesis of Superconductors Experimental investigation of dynamic behavior in colloids powered by Quincke rotations Graduate Student Work Order – Anirudh Ramesh: Quantum Entanglement Distribution over Deployed Fiber at Argonne Selective Upgrading of Alkenes via Organometallic-Support Electronic Interactions Graduate Student Work Order – Shinjan Dutta Support for Kagan Simsek Exploration of skyrmion lattice behavior in 2-D materials Advanced Materials for Energy-Water Systems Support of Emmanuel Aneke Wastewater Systems Perovskite Semiconductors Redesigning Multimodal Transit Systems with Shared Fleet Mobility Services (SFMS) Mobile Robotic Hot Cell/Glovebox System for Hazardous and Radioactive Waste Disposition Ultrafast Functional Structural Dynamics in Solar Energy Conversion Joint appointment-Pyosang Kim Argonne funding Advanced Materials for Energy-Water Systems (AMEWS) Advanced Materials for Energy-Water Systems (AMEWS) Argonne joint appointment funding-Shu Xu Renewal JA – Wei-Keng Liao Sri Bala Gorugantu Argonne joint appointment funding ANL joint appointment funding-Alok Choudhary-data libraries JA Renewal for Jennifer Dunn Joint Appointment Funding Qunfei Zhou Hacksung Kim Renewal JA funding Luqing Wang JA funding ANL JA Funding Bhupendra Raut Argonne joint appointment funding for Joseph Swantek Haoran Wu Joint Appointment Funding Joint appointment-funding for Hacksung Kim Argonne Joint Appointment – Low Argonne joint appointment funding for Joshua Paul Support for Daniel Wiegand Joint Appointment – Yinsheng Huang Joint Appointment – Minglei Xiao Argonne Joint Appointment – Kanatzidis Argonne Joint Appointment – Low

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

Year ended August 31, 2023

| | Pass- through entity | Assistance listing number | Sponsor award number | | Federal expenditures | Sı ex |
|------------|----------------------------|---------------------------------|--|----|-----------------------------|----------|
| | 156 249 | 81.087 81.087 | S002565-RI//20 01 RR 4034(DE-EE0007897) SUBK00013108 AMD 1//DE-EE0009284 | \$ | 147,993 | |
| | 249 | 81.087 | SUBK00013108 AMD 1//DE-EE0009284 | - | <u>133,954</u> 1,261,882 | |
| | 93 | 81.089 | DE-FE0031710 | - | 70,556 | |
| | 143 | 81.089 | DE-FE0031986//Barnett 2/18/22 | - | 70,889 | |
| | | | | - | 141,445 | |
| | 162 | 81.121 81.135 | DE-NE0009173-0001 Agreement 5/16/23//DE-AR0001436-0002 | | 163,018 80,437 | |
| | 188 | 81.RD | 197455//DE-AC02-76SF00515-MOD05 | | 179,635 | |
| | 188 139 | 81.RD 81.RD | 219799//DE-AC02-76SF00515 2207198//DE-NA0003525 | | 24,707 150,983 | |
| | 30 | 81.RD | 390039-Mod 5//DE-SC0012704 | | 231,039 | |
| | 277 | 81.RD | 4000179340//DE-AC05-00OR22725 | | 5,356 | |
| Tank Waste | 277 111 | 81.RD 81.RD | 4000182026 Mod# 6//DE-AC05-00OR22725 634D74-NWU//TOA 0000456322 | | 100,927 (63) | |
| | 76 | 81.RD | 664603 MOD 3//DE-AC02-07CH11359 | | 220,240 | |
| | 76 | 81.RD | 667512//DE-AC02-07CH11359 MOD 2 | | 61,508 | |
| | 76 76 | 81.RD 81.RD | 669754//DE-AC02-07CH11359 Mod. 2 674560-MOD004//DE-AC02-07CH11359 | | 70,948 3,438,401 | |
| | 76 | 81.RD | 675487//DE-AC02-07CH11359 | | 61,508 | |
| | 76 | 81.RD | 675731 677037//DE_AC02.0701/11350 | | 8,137 | |
| | 76 76 | 81.RD 81.RD | 677927//DE-AC02-07CH11359 678041 Mod. 1//DE-AC02-07CH11359 | | 69,167 164,131 | |
| | 76 | 81.RD | 679023//DE-AC02-07CH11359 | | 90,772 | |
| | 76 | 81.RD | 679396//DE-AC02-07CH11359 | | 31,712 | |
| | 76 76 | 81.RD 81.RD | 687755//DE-AC02-07CH11359 692681//DE-AC02-07CH11359 | | 79,125 61,087 | |
| | 76 | 81.RD | 692966//DE-AC02-07CH11359 | | 58,528 | |
| | 219 219 | 81.RD 81.RD | 7579174-Mod02//DE-AC02-05CH11231 7653251 Mod No. 1//DE-AC02-05CH11231 | | 1,841 55,339 | |
| | 219 | 81.RD | 8F-30078-M0007//DE-AC02-06CH11357 | | 2,090 | |
| | 208 | 81.RD | 8F-30158//DE-AC02-06CH11357 M00014 | | 232,819 | |
| | 208 208 | 81.RD 81.RD | 8J-30009//8J-30009-0009A-0009E 8J-30009-0019A Rev No. 0019E | | (117) 94,254 | |
| | 208 | 81.RD | 8J-30009-0020A | | 77,920 | |
| | 208 | 81.RD | 8J-30009-0021C | | 58,020 | |
| | 208 208 | 81.RD 81.RD | 8J-30009-0027A Rev No. 0027E 8J-30009-0032A REV NO. 0032C | | 148,200 196,422 | |
| | 208 | 81.RD | 8J-30009-0033B | | 164,039 | |
| | 208 | 81.RD | 8J-30009-0036A//DE-AC02-06CH11357 | | 58,463 | |
| | 208 208 | 81.RD 81.RD | 8J-30009-0037A//DE-AC02-06CH11357 8J-30009-0038B//DE-AC02-06CH11357 | | 26,952 17,369 | |
| | 208 | 81.RD | 8J-30009-0039A//DE-AC02-06CH11357 | | 127,125 | |
| | 208 | 81.RD | 8J-30009-0040A REV NO. 0040B | | 68,190 | |
| | 208 208 | 81.RD 81.RD | 8J-30009-0043A-0043B//DE-AC02-06CH11357 8J-30009-0044A//DE-AC02-06CH11357 | | 44,828 22,778 | |
| | 208 | 81.RD | 8J-30009-0045A | | 50,525 | |
| | 208 208 | 81.RD 81.RD | 8J-30009-0046A//DE-AC02-06CH11357 8J-30009-0047A | | 15,132 | |
| | 208 | 81.RD | 8J-30009-0047A 8J-30009-0048B//DE-AC02-06CH11357 | | 46,487 85,191 | |
| | 208 | 81.RD | 8J-30009-0049A//DE-AC02-06CH11357 | | 15,377 | |
| | 208 208 | 81.RD 81.RD | 8J-30009-0050A//DE-AC02-06CH11357 8J-30009-0051A//DE-AC02-06CH11357 | | 19,722 18,346 | |
| | 208 | 81.RD | 8J-30009-0052A REV NO. 0052B | | 65,581 | |
| | 208 | 81.RD | 8J-30009-0053A | | 112,175 | |
| | 208 208 | 81.RD 81.RD | 8J-30009-0054B//DE-AC02-06CH11357 Agmt 02/01/2023 | | 35,374 44,577 | |
| | 208 | 81.RD | Agmt 08/01/22 // 8J-30009-0008A-Revision 0008F | | 3,347 | |
| | 208 | 81.RD | Agmt 09/15/21 // 8J-30009-0007A-0007E | | 31,777 | |
| | 208 208 | 81.RD 81.RD | Agmt 1/6/2022 ANL-JA-9629 Agmt 10/19/2022 | | 44,661 293,801 | |
| | 208 | 81.RD | AGMT 10/28/22 | | 42,071 | |
| | 208 | 81.RD | Agmt 10/31/2022 | | 12,301 | |
| | 208 208 | 81.RD 81.RD | Agmt 10/31/2022 Agmt 12/13/2022 | | 41,008 46,964 | |
| | 208 | 81.RD | AGMT 12/13/22 | | 104,533 | |
| | 208 | 81.RD | Agmt 12/8/2022 | | 71,442 | |
| | 208 208 | 81.RD 81.RD | Agmt 2/1/23 Agmt 5/4/2022 | | 78,339 40,057 | |
| | 208 | 81.RD | Agmt 7/7/2022 | | 69,720 | |
| | 208 | 81.RD | AGMT 8/24/22 | | 53,376 (3,580) | |
| | 208 208 | 81.RD 81.RD | Agmt 9/1/21 Agmt# 09/26/22 | | (3,580) 75,964 | |
| | 208 | 81.RD | Agreement 9/14/18 | | (1) | |
| | 208 208 | 81.RD 81.RD | ANL-JA-10754 ANL-JA-10757 | | 40,021 43,184 | |
| | 208 208 | 81.RD 81.RD | ANL-JA-10757 ANL-JA-11582 | | 43,184 203,411 | |
| | 208 | 81.RD | ANL-JA-11583 | | 102,408 | |
| | | | | | | |

Subrecipient expenditures

expenditures ____ 201,980 ____ ____ ____ ____ _ ____ ____ _ ____ ____ ____ ____ ____ ____ ____ ____

Argonne Joint Appointment – Petriello Joint Appointment – Poeppelmeier Argonne joint appointment funding for Sai Phani Kumar Vangala Argonne joint appointment funding for Sabyasachi Das Argonne Joint Appointment – Kanatzidis Joint Appointment Agreement – Petriello Unlocking the Tunable Plasmonic Properties of Borophene for Optoelectronics SFA-Secure Biosystems Design: Rapid Design and Engineering of Smart and Secure Microbiological Systems Development of Hi-Z X-ray Detector Exploring Role of Disorder on Artificial Spin Ices Mapping Atomic Scale Topological Excitations in Quantum Materials SFA-Secure Biosystems Design: Intrinsic Control for Genome and Transcriptome Editing in Communities **Resistive Memory Development** REMADE: Material Characterizations and Sorting Specifications That Can Allow the Development of Advanced Tire Constructions with High Incorporation of Recovered Institute for Cooperative Catalysis in Upcycling of Polymers (iCOUP) Synthesis and Analysis of Performance-Advantaged Bioproducts (PABPs) Structure and Properties of Al-Ce-based eutectic alloys created by Laser Powder-Bed Fusion Rational Synthesis of Superconductors and Superconductivity and Magnetism Advanced Research Projects Agency-Energy: Additive Manufacturing of Ultrahigh Temperature Refractory Metal Alloys Hybrid Interior-Point/Active-Set PSCOPF Algorithms Exploiting Power System Characteristics Adaptive Discovery and Mixed-Variable Optimization of Next Generation Synthesizable Microelectronic Materials LOCOMOTIVES: LOwering CO2 -- Models to Optimize Train Infrastructure, Vehicles, and Energy Storage Self-Assembling Cell-Free Systems for Scalable Bioconversion National Nuclear Security Administration: Chicago-DOE Alliance Center – A Center of Excellence for High Pressure Science and Technology Actinide Center for Excellence Advanced Grid Modeling Project on Optimized Resilience for Distribution and Transmission Systems Total Department of Energy Department of Health and Human Services: Administration for Children and Families: Florida's Office of Early Learning Sunshine Portal Project Administration for Community Living: Rehabilitation Research Training Center (RRTC) on employment for people with Physical Disabilities Collaborative Machines Enhancing Therapies (COMET) Rehabilitation Science for Basic Scientists and Engineers: An Advanced Training Program Advanced Rehabilitation Research Training (ARRT) Program – Community Living and Participation Advanced Rehabilitation Research Training (ARRT) Program – Community Living and Participation Advanced Rehabilitation Research Training (ARRT) Program – Employment Advanced Rehabilitation Research Training (ARRT) Program – Employment Advanced Rehabilitation Research and Training (ARRT) Program: Northwestern University Advanced Rehabilitation Research Training Application Advanced Rehabilitation Research and Training (ARRT) Program: Northwestern University Advanced Rehabilitation Research Training Application Advanced Rehabilitation Research Training: Interventions for Neurologic Communication Disorders Advanced Rehabilitation Research Training: Interventions for Neurologic Communication Disorders Advanced Rehabilitation Research Training: Interventions for Neurologic Communication Disorders Northwestern University Policy Research Fellowship Enhancing Community Living and Increasing Participation through Self Efficacy (Eclipse) Enhancing Community Living and Increasing Participation through Self Efficacy (Eclipse) Multi-site Clinical Trial of Hypoxia in Incomplete SCI Sensor Technology Applied to Rehabilitation in Stroke – STARS Sensor Technology Applied to Rehabilitation in Stroke Agency for Healthcare Research and Quality: Northwestern University-University of Chicago HSR Postdoctoral Training Program The University of Chicago and Northwestern University Predoctoral Health Services Research Program Improving Safe Antibiotic Prescribing in Telehealth: A Randomized Trial Improving Interhospital Transfer of Patients with Neurologic Emergencies Outcomes and Affordability of Observation Status for Children (OASIS) Patient-reported health-related quality of life as complex patient outcomes in stroke survivors

The Community Health Center – Reproductive Life Plan (CHC-RLP) Project

A Cluster-Randomized Trial of the Northwestern Embedded Emergency Department Physical Therapy (NEED-PT) Protocol for Acute Low Back Pain COVID-19: A Chicago Center of Excellence in Learning Health Systems Research Training (ACCELERAT)

A Chicago Center of Excellence in Learning Health Systems Research Training (ACCELERAT)

Evaluating the Implementation of a Multi-Component Quality Collaborative

Preventing Wrong-Drug and Wrong-Patient Errors with Indication Alerts in CPOE Systems

Preventing Wrong-Drug and Wrong-Patient Errors with Indication Alerts in CPOE Systems

A Randomized Controlled Trial of Geriatric Emergency Department Innovations Implementation of Digital Mental Health Tools in Ambulatory Care Coordination

Redesigning Systems to Improve Quality for Hospitalized Patients

Implementation and Testing of a Diabetes Discharge Intervention to Improve Safety During Transitions of Care

Expansion, Implementation & Evaluation of Electronic Health Record-Integrated Patient-Reported Symptom Screening in a Comprehensive Cancer Center

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

Year ended August 31, 2023

| | Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures | Subrecipient expenditures |
|------------------|----------------------------|--|--|---|------------------------------|
| | 208 | 81.RD | ANL-JA-11584 | \$ 117,229 | _ |
| | 208 | 81.RD | ANL-JA-11867 | 10,240 | — |
| | 208 208 | 81.RD 81.RD | ANL-JA-8364 ANL-JA-9267 | 13,230 11,203 | _ |
| | 208 | 81.RD | EDSR Agmt. 08/20/14 | 5,095 | |
| | 208 | 81.RD | EDSR Agmt. 08/26/14 | (4,090) | _ |
| | 149 | 81.RD | Letter 6/30/21 | 8,409 | _ |
| | 208 | 81.RD | No. 8J-30009 0029C-Rev No. 0029F | 138,054 | _ |
| | 208 | 81.RD | NO. 8J-30009-0031D | 106,527 | _ |
| | 208 | 81.RD | NO. 8J-30009-0041B | 61,983 | _ |
| | 208 | 81.RD | NO. 8J-30009-0042B | 60,432 | _ |
| | 139 | 81.RD | PO 2223937//DE-NA0003525 | 311,576 | _ |
| | 139 | 81.RD | PO# 2330357 Rev.4//DE-NA0003525 | 131,444 | _ |
| Rubber Materials | 133 | 81.RD | REMADE-18-02//DE-EE0007897 | (47) | |
| | 108 | 81.RD | SC-20-534 Mod 7//DE-AC02-07CH11358 | 246,535 | |
| | 6 | 81.RD | SUB-2021-10692 Mod. 3//DE-AC36-08GO28308 | 121,744 | |
| | 277 | 81.RD | Task Order 4000192632//DE-AC05-00OR22725 | 65,175 | |
| | 208 | 81.RD | WO 8J-30009-0028A-0028D | 68,427 | — |
| | 275 | 81.135 | 000001327//DE-AR0001431 | 101,131 | _ |
| | 119 | 81.135 | 543891-78001//DE-AR0001073 | 26,111 | |
| | 113 | 81.135 | DE-AR0001209-001 | 144,625 | 15,395 |
| | | 81.135 | DE-AR0001269-001 | 323,317 | |
| | 274 | 81.135 | UWSC13104 AMND No. 1//DE-AR0001514 | 40,422 | |
| | 217 | 01.100 | | 635,606 | 15,395 |
| | | | | | |
| | 236 255 | 81.112 81.112 | 18046-03//DE-NA0003975 Mod 0010 203186NU AMD 6//DE-NA0003763 | 96,661 156,841 | — |
| | 200 | 01.112 | | 253,502 | |
| | 203 | 81.RD | 6656 Mod. 2//89233218CNA000001 | 112,934 | |
| | | | | 33,605,643 | 5,510,738 |
| | 233 | 93.434 | SUB00002383-02//P0177546//90TP0068-01-00 | 73,980 | _ |
| | 165 | 93.433 | 80413//90RTEM0001-05-00 | 22,374 | _ |
| | 165 | 93.433 | 82258//90REGE0005 | 6,436 | |
| | | 93.433 | 90AR5031-05-03 | 5,028 | 650 |
| | | 93.433 | 90ARCP0006-01-01 | 10,790 | |
| | | 93.433 | 90ARCP0006-02-00 | 16,210 | |
| | | 93.433 | 90AREM0003-02-00 | (360) | |
| | | 93.433 | 90AREM0003-03-00 | 5,337 | |
| | | 93.433 | 90ARHF0003-04-00 | 28,960 | _ |
| | | 93.433 | 90ARHF0003-05-00 | 60,209 | _ |
| | | 93.433 | 90ARHF0007-01-00 | 1,634 | |
| | | 93.433 | 90ARHF0007-02-00 | 120,977 | 12,977 |
| | | 93.433 | 90ARHF0007-03-00 | 28,962 | |
| | 107 | 93.433 | 90ARPO0001-05-02 | 37,147 | |
| | 165 | 93.433 | 90RTCP0005-01-00AMD1//90RTCP0005-02-00 | 25,813 | |
| | 165 | 93.433 | 90RTCP0005-01-00-AMD2//CL9664 | 5,656 | |
| | 165 | 93.433 | cc81478 6323//90SIMS0001-05-00 | 73,635 | — |
| | 165 165 | 93.433 93.433 | CC82285 Amnd 1//90REGE0010-04-00 cc82288 8161//90REGE0010 -01-01 | 103,015 49,421 | |
| | | | | 601,244 | 13,627 |
| | | 93.225 | 2T32HS000078-17 | (2,778) | _ |
| | 226 | 93.225 | AWD037911 (5213438601-4) AMD 5//5T32HS000084-24 AM | 103,934 | |
| | | 60 0 | | 101,156 | |
| | 263 | 93.226 | 110597268 // 5R01HS026506-04 | 3,267 | — |
| | | 93.226 93.226 | 1K08HS029208-02 1R01HS029004-01A1 | 129,624 | _ |
| | | 93.226 | 1R01HS029004-01A1 1R36HS028941-01A1 | 1,158 | _ |
| | 7 | 93.226 93.226 | 1R36HS028941-01A1 205-RLP-NU//1R03HS027686-01A1 | 36,927 2,730 | _ |
| | I I | 93.226 93.226 | 3R01HS027426-04S1 | 424,014 | |
| | | | 5K11HS026385-05 | 424,014 1,480 | 1,480 |
| | | 93 226 | | | 128,660 |
| | | 93.226 93.226 | 5K12H5U2b385-U5 | 414 000 | 120.000 |
| | | 93.226 | 5K12HS026385-05 5R01HS024516-05 | 414,606 (2.237) | |
| | | 93.226 93.226 | 5R01HS024516-05 | (2,237) | |
| | | 93.226 93.226 93.226 | 5R01HS024516-05 5R01HS024945-05 | (2,237) (3,619) | _ |
| | | 93.226 93.226 93.226 93.226 | 5R01HS024516-05 5R01HS024945-05 5R01HS024945-05 | (2,237) (3,619) 87,606 | |
| | | 93.226 93.226 93.226 93.226 93.226 93.226 | 5R01HS024516-05 5R01HS024945-05 5R01HS024945-05 5R01HS026489-04 | (2,237) (3,619) 87,606 331,555 | |
| | | 93.226 93.226 93.226 93.226 93.226 93.226 | 5R01HS024516-05 5R01HS024945-05 5R01HS024945-05 5R01HS026489-04 5R01HS028003-03 | (2,237) (3,619) 87,606 331,555 265,106 | |
| | | 93.226 93.226 93.226 93.226 93.226 93.226 93.226 | 5R01HS024516-05 5R01HS024945-05 5R01HS024945-05 5R01HS026489-04 5R01HS028003-03 5R18HS025649-05 Revised | (2,237) (3,619) 87,606 331,555 265,106 106,896 | 87,606 51,724 |
| | | 93.226 93.226 93.226 93.226 93.226 93.226 | 5R01HS024516-05 5R01HS024945-05 5R01HS024945-05 5R01HS026489-04 5R01HS028003-03 | (2,237) (3,619) 87,606 331,555 265,106 | |

(Continued)

INtervention in Small Primary care practices to Implement Reduction in unhealthy alcohol usE (INSPIRE) Identify, Teach and Treat (IT2): Automating clinical decision pathways for the care of women

Newborn Circumcision Care Redesign

Newborn Circumcision Care Redesign

Targeted Healthcare Engineering for Systems Interventions in Stroke (THESIS) Enhancing Stroke Prehospital and Emergency Evaluation and Delivery (E-SPEED) study

Preventing Opioid Misuse through Safe Opioid Use Agreements between Patients and Surgical Providers (PROMISE ME)

Healthy Hearts in Michigan (H2M)

COVID-19: The Role of Telehealth in COVID-19 Response

Safely Improving Emergency Diagnostic Testing through Clinical Safe Harbors

Centers for Disease Control and Prevention:

A novel innate immunity risk factor for amyotrophic lateral sclerosis A Sentinel Network for Evaluation of the Reach, Implementation, Effectiveness, and Costs of Evidence-Based Lifestyle Interventions to Prevent Type 2 Diabetes Developing and Disseminating Programs to Build Sustainable Lupus Awareness, Knowledge, Skills, and Partnerships

Public Health Surveillance for the Prevention of Complications of Bleeding Disorders Identifying host biomarkers that determine susceptibility to colonization with an emerging fungal pathogen Candida auris. Microbiome of Driveline Exit Sites

Firearm Involvement in Adolescent Children of Formerly Incarcerated Parents: A Prospective Intergenerational Study of Resilience Within Families Chicago Center for Youth Violence Prevention

Wastewater-Based Epidemiology to Monitor Infectious Pathogens in the State of Oklahoma Midwest TXTXT: Scale up of an Evidence-based Intervention to Promote HIV Medication Adherence Effects of Medicaid Coverage and State-Level Delivery Approaches on Healthcare Quality, Outcomes, and Costs for Adults with Diabetes Assessing the Burden of Diabetes By Type in Children, Adolescents and Young Adults (DiCAYA) – 2020 Component A (#90164) Assessing the Burden of Diabetes By Type in Children, Adolescents and Young Adults (DiCAYA) – 2020 Component B (#901642)

Intergovernmental Personnel Act (IPA) Assignment Agreement for Debra Duquette

CDC Intergovernmental Personnel Agreement

CDC Intergovernmental Personnel Agreement

Intergovernmental Personnel Act Agreement for Theresa Rowe-IPA

Group A Streptococcus Molecular Epidemiology and Ecology

The HIV Outpatient Study (HOPS) Activity to Abstract Data from Persons Receiving Ambulatory Care for HIV Infection in the United States

Food and Drug Administration:

Methods to Improve Clinical Trials for A-T

Northwestern University Clinical Outcome Assessment Team (NUCOAT) Northwestern University Clinical Outcome Assessment Team (NUCOAT)

Northwestern University Clinical Outcome Assessment Team (NUCOAT)

Qualification Plan Preparation to Support DDT COA #000123 PROMIS Physical Function Short Form for Individuals with Multiple Sclerosis: Development of a the PROMIS Short Form v2.1--Physical Function-Multiple Sclerosis 15a (DDT COA #

Development of a Full Qualification Package for the PROMIS Short Form v1.0—Fatigue-Multiple Sclerosis 8a (DDT COA #000069)

A double blind, randomized, vehicle controlled, crossover study with an open-label long-term extension, to evaluate the safety and efficacy of topical naloxone hy the relief of pruritus in patients with the mycosis fungoides

Preparing a clinical outcomes assessment set for nephrotic syndrome [Prepare-NS]

Development and Validation of CRRT-Specific Beta-Lactam Population Pharmacokinetic Models to Guide Treatment for Patients with Hospital-Acquired Pneum Evaluation of a Global Item for Side Effect Bother

Assessing the Effectiveness of Text Enhancements to Prevent Drug Name Confusion Errors

Health Resources and Services Administration:

Awareness and Access to Care for Children and Youth with Epilepsy Hemophilia Treatment Center (HRSA Award)

SPNS Initiative Emerging Strategies to Improve Health Outcomes for People Aging with HIV: Capacity-Building Provider Affordable Care Act Teaching Health Center (THC) Graduate Medical Education (GME) Payment Program Teaching Health Center Graduate Medical Education Program

Academic Units for Primary Care Training and Enhancement Academic Units for Primary Care Training and Enhancement

Special Projects of National Significance – Demonstration/Implementation Sites

Geriatrics Workforce Enhancement Program: Collaborative Action Team training for Community Health — Older adult Network (CATCH-ON) National Institutes of Health:

Effects of Flame Retardants on brain function and attentional deficits in school-age children – brain imaging, neurobehavioral, and gut microbiome studies in a l Environmental Obesogens and Weight Change in the POUNDS LOST Trial

Environmental Pollutants and AHR pathway in Uterine Leiomyoma Arsenic suppresses progesterone receptor signaling and promotes tamoxifen resistance and metastasis of ER+ breast cancer

Monocyte-derived alveolar macrophages drive inflammatory response to lung ozone exposure

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

Year ended August 31, 2023

| | Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures |
|---------------------------------|----------------------------|---------------------------------|--|-------------------------|
| | | 93.226 | 5R18HS027088-03 | \$ 164,616 |
| | | 93.226 | 5U18HS028744-02 | 264,422 |
| | 17 | 93.226 | A23-0008-S002 – NU//1R18HS028783-01A1 | 13,114 |
| | 17 | 93.226 | A23-0008-S005 – NU Amendment 2//5R18HS028783-02 | 4,129 |
| | 226 | 93.226 | AWD100490 (SUB00000216) AMD 3//5R18HS027264-04 | 53,030 |
| | 226 | 93.226 | AWD100573 (SUB00000238)//5R18HS025359-05 | 2,053 |
| | 269 8 | 93.226 93.226 | SA0002723//7R18HS027331-03 SC-21-003//5U18HS027954-02 | 65,185 408,080 |
| | 263 | 93.226 | SCON-00002221 Amnd 1//5R01HS028127-02 | 30,336 |
| | 280 | 93.226 | VUMC73418 AMD 5//5R18HS025931-05 | 29,429 |
| | | | | 3,168,639 |
| | | 93.061 | 5 R01TS000294-03-00 | 4,326 |
| es in U.S. Adults | | 93.068 | 5 U18DP006709-02-00 | 366,230 |
| | 10 | 93.068 | AGMT 11-30-22//5NU58DP006908-03-00 | 6,413 |
| | | | | 376,969 |
| | 87 | 93.080 | ATHN2020CDC-VW-03//NU27DD000020-03-00 | 13,834 |
| | 109 | 93.084 | JCVI-21-005 02//1U54CK000603-01-00 | 37,685 |
| | 109 | 93.084 | JCVI-21-006 Mod 2//U54CK000603-01-01 | 29,615 |
| | | | | 81,134 |
| | | 93.136 | 5 R01CE003271-03-00 | 422,815 |
| | 226 | 93.136 | FP061138 (5201068601-5)//5U01CE002712-07 | 11,794 |
| | | | | 434,609 |
| | 256 | 93.323 | 2022-81//Agr 05242022//NU50CK000535 | 36,690 |
| | 17 | 93.943 | 901638-NU//5U01PS005214-02-00 | 7,363 |
| | | 93.945 | 5 U18DP006524-04-00 | 533,790 |
| | 17 | 93.945 | 901641-NU//U18DP006693 | 19,005 |
| | 17 | 93.945 | 901642-NU//U18DP006694 | 18,670 |
| | | | | 615,518 |
| | | 93.RD | 1IPA2109977 MOD1 | 36,096 |
| | | 93.RD 93.RD | 21-IPA-2108989 21-IPA-2108990 | 960 105,462 |
| | | 93.RD 93.RD | 22IPA2212990 | 54,708 |
| | 17 | 93.RD | 75D30122C15605//A23-0011-S001/AWD001702 | 49,103 |
| | 40 | 93.RD | Agmt# 11-14-22 Amnd 2//75D30120C08752 | 115,164 |
| | 114 | 93.103 | 2005729193//R01FD007605-01 | 21,324 |
| | | 93.103 | 5UG3FD006794-03 | (321) |
| | | 93.103 | 5UG3FD006794-03 | 24,921 |
| | | 93.103 | 5UH3FD006794-04 | 811,848 |
| a Qualification Plan for | | | | |
| | 58 | 93.103 | DDT COA #000123-01//1 U01 FD006999-01 | 1,823 |
| nydrochloride lotion, 0.5%, for | 58 | 93.103 | FDA-1U01FD007765-NU2023//1U01FD007765-01 | 72,088 |
| | 70 | 93.103 | No.EL-1007-01-01//R01FD005396 | 6,720 |
| | 249 | 93.103 | SUBK00014282 Amd3//5UG3FD007308-02 | 124,334 |
| | | | | 1,062,737 |
| Imonia | 135 | 93.RD | 11-1075-7211-5790//75F40122C00134 | 5,475 |
| | 100 | 93.RD | 75F40121C00162 | 191,336 |
| | | 93.RD | 75F40122C00191 | 61,340 |
| | 17 | 93.110 | 901579 – NU//5 H98MC33237-04-00 | 15,742 |
| | 87 | 93.110 | Agmt. Signed 10-15-21//H30MC24052 | 24,937 |
| | | | | 40,679 |
| | | 00 4 4 5 | | |
| | 91 | 93.145 | 5740-06//5U28HA27644-03-00 | 21,097 |
| | | 93.530 93.530 | 2 T91HP21542-10-00 2 T9CHP42255-02-00 | 1,396,083 1,428,784 |
| | | 00.000 | | 2,845,964 |
| | | 93.884 | 6UH1HP29963-05-01 | 2,0+0,304 |
| | | 93.884 93.884 | 6UH1HP29963-05-01 | 30,414 |
| | | 00.001 | | 30,414 |
| | 226 | 93.928 | AWD103147 (SUB00000891)//6 H97HA46079-01-04 | 4,392 |
| | 175 | 93.928 93.969 | 15012901-Sub13 Amnd 4//6 U1QHP28715-06-01 | 4,392 2,551 |
| longitudinal birth cohort | 53 | 93.113 | 1(GG013015-01)//5R01ES027845-05 | 80,113 |
| | 89 | 93.113 | 111308-5113944 // 5R01ES022981-08 | 55,659 |
| | | 93.113 | 1R01ES034753-01 | 293,553 |
| | | | | , |
| | | 93.113 | 1R56ES033398-01A1 | 145,358 |

| Subrecipient expenditures |
|------------------------------|
| 105,121 |
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| 392,210 |
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| 132,687 |
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| 132,687 |
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| 24,921 |
| 58,475 |
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| 83,396 |
| 87,687 |
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| 678,821 |
| 678,821 |
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| 30,414 |
| 30,414 |
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Investigating the role of the membrane in particulate methane monooxygenase (pMMO) structure and function Mechanisms of Cadmium Induced Dysglycemia and Type 2 Diabetes Mellitus Environmental Arsenic in the Subtype Specification of Breast Cancer Discovery of conserved molecular mechanisms underlying population-wide variation in toxin responses Investigating Environmental Risk Factors for Breast Cancer Using Adductomics PCOS and androgen-related disease modeling and drug testing in Multi-organ Integrated Microfluidic Reproductive Platform Role of alveolar macrophages in particulate matter-induced cardiopulmonary disease Particulate Air Pollutants and Autism Risk: Exposure Characteristics, Indicators of Susceptibility, and Mechanistic Pathways Enamel atlas: systems-level amelogenesis tools at multiple scales Enamel atlas: systems-level amelogenesis tools at multiple scales Effectiveness of Nicotine Replacement Therapy Sampling in Dental Practices The Role of SoxE Transcription Factors in Neural Crest Cell Specialization Identifying Sox family transcription factor partners and targets essential for neural crest formation Role of translational fidelity in cellular physiology of oral streptococci Multi-Tissue Craniofacial Engineering using 3D-BMP9-Notch-Synergized Graphene Citrate Composite Scaffolds Creating Comprehensive Maps of Worm and Fly Transcription Factor Binding Site Decoding the Noncoding Regulatory Genome with Super-resolution via Single-cell Multiomics Integration Mapping the 3D architecture of native human replisomes NORTH CAROLINA CLINICAL GENOMIC EVALUATION BY NEXT-GEN EXOME SEQUENCING 2 Visualization, modeling and validation of chromatin interaction data Computational methods to identify neo-TADs and enhancer-hijacking in rearranged genomes Maximizing Quantitative Structural Information from High-Throughput RNA Structure Probing Use of a Machine Learning Approach to Impute Gene Expression in African Americans COVID-19: Northwestern Genomic Risk Assessment and Management Program Northwestern Genomic Risk Assessment and Management Program Molecular and cellular characterization of essential human genes. Production Center for Mapping Regulatory Regions of the Human Genome Elucidating the phenome-wide impact of sex and gender on disease WashU-Northwestern Genomic Variation and Function Data and Administrative Coordinating Center WashU-Northwestern Genomic Variation and Function Data and Administrative Coordinating Center Maximizing and predicting sentence processing treatment outcomes in aphasia Outer hair cells and noise-induced hearing loss Outer and Inner Hair Cell Development Spatiotemporal Mechanisms of Olfactory Processing in the Human Brain Evaluating Human Cochlear Aging Using Otoacoustic Emissions (OAEs) Investigating the relationship between directional microphones, compression, and working memory in realistic spatial conditions Development of Afferent and Efferent Innervation in the Inner Ear Data Science Applications in Communication and Swallowing Disorders Neurolinguistic Investigations of Aphasia and Recovery Acoustic and Perceptual Effects of WDRC Amplification Language in Primary Progressive Aphasia A Family-Genetic Study of Language in Autism Characterizing Variability in Hearing Aid Outcomes Among Older Adults Principles of olfactory reward processing in the human brain Outer and Inner Hair Cell Development COVID-19: The When to Worry about Language Study (W2W-L): Joint consideration of developmental patterning and neural substrates for enhancing earlyidentification of developmental patterning and neural substrates for enhancing earlyidentification of developmental patterning and neural substrates for enhancing earlyidentification of developmental patterning and neural substrates for enhancing earlyidentification of developmental patterning and neural substrates for enhancing earlyidentification of developmental patterning and neural substrates for enhancing earlyidentification of developmental patterning and neural substrates for enhancing earlyidentification of developmental patterning and neural substrates for enhancing earlyidentification of developmental patterning and neural substrates for enhancing earlyidentification of developmental patterning and neural substrates for enhancing earlyidentification of developmental patterning and neural substrates for enhancing earlyidentification of developmental patterning and neural substrates for enhancing earlyidentification of developmental patterning and neural substrates for enhancing earlyidentification of developmental patterning and neural substrates for enhancing earlyidentification of developmental patterning earlyidentification of developmental pattern The When to Worry about Language Study (W2W-L): Joint consideration of developmental patterning and neural substrates for enhancing earlyidentification of langu The function of respiratory-linked local field potential oscillations in human olfactory and limbic brain regions Type-2 inflammation mediates olfactory loss in Chronic Rhinosinusitis: mechanisms and therapeutic opportunities Early Communication Intervention for Toddlers with Hearing Loss Molecular Mechanisms of Tonotopy Development in the Brain Stem Defining the pathological mechanisms of hereditary hearing loss Characterizing the primary olfactory subregions of the human amygdala **Opto-Electrical Cochlear Implants** Mechanisms of Trace Amine-associated Receptor Gene Choice Hearing Protection in Cisplatin Chemotherapy Transdifferentiation in the Cochlea Optimizing Outcomes through Sequencing Parent-Mediated Interventions for Young Children with Autism Novel Computational Analysis of Prosody in ASD and the Broad Autism Phenotype Connectome-guided high-definition tDCS for the treatment of tinnitus Parent-toddler EEG neural synchrony as a window into social communication deficits in autism Phase 2 Development of a Spoken Language Biomarker of Cognitive Impairment in Parkinson's Disease Spoken Language Processing as an Early Marker of Language Impairment in Bilingual Children Mechanisms of accented speech recognition in native and non-native listeners: Biological insights Defining Trajectories of Cognitive-Communicative and Quality of Life Outcomes followingStroke Supplement to Defining Trajectories of Cognitive- Communicative and Quality of Life Outcomes following Stroke An Open-source Speech Processing Platform (OSP) for Research on Hearing Loss and Related Disorders Modulating Stimuli Intensity to Improve Clinical Outcomes in Aphasia Treatment Implementation of Communication Disability Collection and Accommodations in Primary Care Settings Hyperglycemia, Hearing Loss and Vestibular Dysfunction: The CARDIA Study Role of Subthalamic nucleus in Speech and Movement among people with Parkinson's as Revealed by Intraoperative Recordings and Deep Brain Stimulation Maximizing outcomes for preschoolers with developmental language disorders: testing the effects of a sequentially targeted naturalistic intervention

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NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

Year ended August 31, 2023

| | Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures | Subrecipient expenditures |
|------------------------------|----------------------------|---------------------------------|--|----------------------|------------------------------|
| | | 93.113 | 5F31ES034283-02 | \$ 43,169 | |
| | | 93.113 | 5R01ES027011-05 | (1,087) | _ |
| | | 93.113 | 5R01ES028149-05 | 191,695 | _ |
| | | 93.113 | 5R01ES029930-05 | 634,031 | 362,726 |
| | | 93.113 | 5R01ES031809-03 | 769,125 | 38,615 |
| | 226 | 93.113 93.113 | 5UH3ES029073-05 AWD058473-03-PR-AMD4//5R01ES015024-15 | 447,835 | 177,618 |
| | 116 | 93.113 | RNG114259-NW//5R01ES029963-04 | 40,325 30,328 | |
| | | | | 2,814,890 | 578,959 |
| | 223 | 93.121 | 11549sc//5UG3DE028872-02 | 3,354 | |
| | 223 94 | 93.121 | 13003sc AMND 01//4UH3DE028872-03 REVISED | 377,164 | |
| | 94 | 93.121 93.121 | 15429-5//4UH3DE029973-03 1K99DE031825-01A1 | 50,719 12,862 | |
| | | 93.121 | 5F32DE029113-03 | 5,042 | |
| | 240 | 93.121 | AWD-0002569 AMD 2//5R01DE031455-02 | 16,128 | |
| | 226 | 93.121 | AWD101989 (SUB00000493) AMD 3//5R01DE030480-03 | 430,883 | |
| | | | | 896,152 | |
| | 274 | 93.172 | 11524//5U41HG007355-08 | 211,191 | |
| | 218 | 93.172 | 2022-1775//HG012572-01 | 52,415 | _ |
| | 177 253 | 93.172 93.172 | 33221-213-415 AMD2//5R01GH10658-04 5117472//3U01HG006487-08 | 112,108 79,812 | — |
| | 253 | 93.172 | 5R01HG009906-06 | 213,314 | |
| | | 93.172 | 5R01HG011207-03 | 385,338 | 40,709 |
| | | 93.172 | 5R03HG011113-02 REVISED | 71,998 | |
| | | 93.172 | 5R21HG011695-02 | 226,348 | _ |
| | | 93.172 | 5U01HG011169-03 | 55,512 | |
| | | 93.172 | 5U01HG011169-03 | 1,332,825 | _ |
| | | 93.172 | 5UM1HG012649-02 | 1,456,532 | _ |
| | 187 | 93.172 | 62295506-124217//5UM1HG009442-03 | (947) | |
| | 280 | 93.172 | VUMC95892//5R01HG011405-02 | 127,693 | |
| | 288 288 | 93.172 93.172 | WU-22-0138//1U24HG012070-01 WU-23-0051//5U24HG012070-02 | (43,205) 238,548 | _ |
| | | | | 4,519,482 | 40,709 |
| | | 93.173 | 1R56DC019157-01A1 | 20 | _ |
| | | 93.173 | 1R56DC020542-01A1 | 11,983 | _ |
| | | 93.173 | 3R01DC015903-05S1 | (52,120) | — |
| | 258 | 93.173 | 579297//5-R01-DC-018075-03 | 13,186 | |
| | | 93.173 | 5F32DC019557-03 | 74,004 | — |
| | | 93.173 93.173 | 5K01DC018324-02 5K01DC018852-04 | 61,684 118,999 | |
| | | 93.173 | 5K24DC012801-10 | 188,508 | _ |
| | | 93.173 | 5R01DC001948-24 | 125,254 | |
| | | 93.173 | 5R01DC006014-16 | 91,172 | 19,344 |
| | | 93.173 | 5R01DC008552-15 | (1,552) | |
| | | 93.173 | 5R01DC010191-11 | 418 | _ |
| | | 93.173 | 5R01DC012289-10 | 139,070 | 5,185 |
| | | 93.173 | 5R01DC015426-07 | 511,098 | |
| | | 93.173 | 5R01DC015903-07 | 529,550 | |
| ation of language impairment | | 93.173 93.173 | 5R01DC016273-05 REVISED 5R01DC016273-05 REVISED | 72 602 162 | 24,674 |
| uage impairment | | 93.173 | 5R01DC016364-05 | 602,162 374,703 | 33,245 |
| | | 93.173 | 5R01DC016645-05 | 496,949 | |
| | | 93.173 | 5R01DC016877-05 | 726,401 | 23,061 |
| | | 93.173 | 5R01DC017167-04 | 336,002 | · |
| | | 93.173 | 5R01DC017482-05 REVISED | 318,120 | _ |
| | | 93.173 | 5R01DC018539-03 | 405,552 | 39,712 |
| | | 93.173 | 5R01DC018666-03 | 401,481 | 29,397 |
| | | 93.173 | 5R01DC018738-04 | 543,073 | |
| | | 93.173 | 5R01DC019434-02 | 305,427 | |
| | | 93.173 93.173 | 5R01DC019834-02 5R01DC020457-02 | 744,467 356,745 | 36,258 |
| | | 93.173 | 5R01DC020457-02 5R03DC018644-02 | 5,447 | 30,230 |
| | | 93.173 | 5R21DC015880-04 | (2,284) | |
| | | 93.173 | 5R21DC017210-02 | 15,800 | |
| | | 93.173 | 5R21DC017255-03 | 51,516 | _ |
| | | 93.173 | 5R21DC018357-03 REVISED | 207,532 | |
| | | 93.173 | 5R21DC019448-03 | 228,299 | _ |
| | 165 | 93.173 | 82910//5R01DC017174-05 | 32,091 | — |
| | 165 | 93.173 | 82915 AMD 1//3R01DC017174-02S1 | 16,216 | — |
| | 137 | 93.173 | Agmt 01/24/2023//1R44DC020406-01 | 41,647 | — |
| | 165 | 93.173 | cc82912 AMD 5//R01DC016979 | 41,731 | _ |
| | 229 | 93.173 93.173 | FY23.966.002//1R01DC020188-01A1 | 8,555 33 503 | |
| | 250 238 | 93.173 93.173 | P007560702Amd5//5R01DC017613-04 S01816-01-03//5R01DC017718-04 | 33,593 38,223 | _ |
| | 238 279 | 93.173 93.173 | UNIV60403-AMD6//5U01DC017718-04 | 38,223 528,753 | |
| | -774 | 431/3 | | | |

Developing an intervention targeting sleep disturbances among minority bereaved individuals Strengthening circadian signals to enhance cardiometabolic function Leveraging a Unique existing Cohort to elucidate the Link between sleep and cardio-metabolic disease Determinants and Cardiovascular Consequences of Disparities in Sleep and Circadian Rhythms between Black and White Adults Training Grant in Circadian and Sleep Research Harm avoidance and incompleteness as dimensional endophenotypes in anxiety and OC spectrum disorders Individualized approaches to determining likelihood of ASD caseness Social Processing Deficits in Remitted Adolescent Depression How Early-Life Experiences Create Individual Variation in Dopamine Circuit Architecture: Understanding Risk for Psychiatric Disease Development matters: Characterizing patterns of emergent ADHD risk through a neurodevelopmental framework Clarifying the Role of Psychomotor Retardation in Reward-Based Reinforcement Learning Deficits in MDD: A Computational and fMRI Study Plasticity and Function of Dopamine Circuits Regulating the Transition to Habit Targeting Postsynaptic Small G-protein Regulators Reducing HIV vaccine and prevention hesitancy among sexual and gender minority adolescents Multimodal Neuroimaging Predictors of Non-Suicidal Self-Injury in the ABCD Study Understanding the synergistic roles of water insecurity and food insecurity in the health of Mexican adults Training Program in Translational Science, HIV, and Sexual and Gender Minority Health The Short Course on the Application of Machine Learning for Automated Quantification of Behavior Linking VA and non-VA data to study the risk of suicide in chronic pain patients RCT of a parent-focused approach to improving sexual health in adolescent men who have sex with men Integrated Reward-Inflammation Model of First Onset of Major Depression in Adolescence Integrated Reward-Circadian Rhythm Model of First Onset of Bipolar Spectrum Disorders in Adolescence Rac-GEF signaling in dendritic spines Small GTPase signaling in dendrites and spines Training Program in Neurobiology of Information Storage Multidisciplinary Training Program in Digital Mental Health Screening, Tracking and Treatment for Anxiety and Depression in Community Colleges Expanding College Student Mental Health with Stress Management Mobile Technologies mHealth for suicide prevention: Design, development, and feasibility of a scalable SMS-based safety planning intervention Generating an Earlier Science of When to Worry: A Neurodevelopmental, Transactional Approach to Characterizing Irritability Patterns Beginning in Infancy Mechanisms regulating IncRNA short and long range signaling Optimizing prediction of preschool psychopathology from brain: behavior markers of emotion dysregulation from birth: A computational, developmental cognitive neur Effectiveness of a Targeted Brief Intervention for Recent Suicide Attempt Survivors Hippocampal Mechanisms of Stress-Induced Generalization of Negative Memories 60058064 NRSA F30 for Radhika Rawat: Neurogenesis, BMP signaling, and mechanisms of Ketamine's antidepressant effects The Neural Mechanisms of Motor Dysfunction in Clinical High-Risk Youth. NRSA Predoc Fellowship for Sarah Lurie: The Role of Hippocampal Theta Phase in Human Memory Encoding Characterizing phosphorylation-dependent regulation of metabotropic glutamate receptors by middle-down mass spectrometry Technology-Enabled Prevention Service for At-Risk Youth Adaptive Messaging to Support Depression Self-Management Opioid-BNST interactions in the regulation of trauma-induced anxiety states 60059499 K99 for Elif Tunc-Ozcan in support of: Neural activity and circuitry-mediated hippocampal stress responses Technology Enabled Services for Coordinated Care of Depression in Healthcare Settings CAUSAL MECHANISMS OF DISTRIBUTED BRAIN NETWORK FUNCTION DURING EPISODIC MEMORY RETRIEVAL Modeling a Neural Circuit for Flexible Control of Innate Behaviors Mechanisms Underlying Large-Scale Coordination of Cortical Activity during Perceptual Decisions A Family-Genetic Study of Autism and Fragile X Syndrome Synaptic and dendritic dysfunction in psychiatric disorders The role of glutamate receptors in compulsive and perseverative behavior Behavioral relevance of active dendritic mechanisms of integration and plasticity Postsynaptic roles of ankyrins 2-Arachidonoylglycerol signaling in anxiety, depression, and stress adaptation Molecular, anatomic, and functional characterization of midbrain dopamine neuron subtypes LiveWell: A Mobile Intervention for Bipolar Disorder LifeSense: Transforming Behavioral Assessment of Depression Using Personal Sensing Technology 2/3 Community psychosis risk screening: An instrument development study New Tools to Study Neurosteroid Estrogens BMP Signaling and Neurogenesis in Major Depressive Order Development of Sub 100 nm Resolution X-ray Nanotomography of Centimeter-Sized Tissues Neurohypophyseal regulation of midbrain dopamine systems. Synthesis of Trials to Prevent Suicide Risk Behavior in Sexual and Gender Minorities A pragmatic trial of two strategies for implementing an effective eHealth HIV prevention program An examination of psychomotor disturbance in current and remitted MDD: An RDoC Study Central Amygdala Glutamatergic Circuits in Fear Learning and Extinction

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

| Cluster title/federal grantor/subagency/project title | Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures | Subrecipient expenditures |
|--|----------------------------|---------------------------------|--|----------------------------|------------------------------|
| Auditory-Motor Control of Voice in Individuals with Essential Vocal Tremor Excitability and Excitotoxicity in Type-I Cochlear Afferents: Synapse Structure and Function | 267 288 | 93.173 93.173 | UTA19-001014-03//1R21DC017001-01A1 WU-22-0420-MOD-01//2R01DC014712-07 | \$ | |
| | | | | 8,788,234 | 210,876 |
| Evaluating inflammatory response to a mobile health well-being intervention | 275 | 93.213 | 000002879//1U24AT011289-01 | 12,809 | |
| FOREST (Fostering Optimal Regulation of Emotion for prevention of Secondary Trauma): Implementation and evaluation of a burnout prevention program for staff in gun violence prevention programs. | | 93.213 | 1R21AT011863-01 | 261,562 | 71,354 |
| Mass Spectrometric Studies of Thiotemplate Biosynthesis | | 93.213 | 5R01AT009143-17 | (8,414) | 71,354 — |
| Mapping and Understanding Production of Natural Products in Fungi Creating and Ontimizing Mindfulness Massures to Enhance and Nermelize Clinical Evaluation (COMMENCE) | | 93.213 | 5R01AT009143-19 | 443,705 | 16,462 |
| Creating and Optimizing Mindfulness Measures to Enhance and Normalize Clinical Evaluation (COMMENCE) SCH: INT: Collaborative Research: Development and analysis of new mathematical and statistical models for chronic pain | | 93.213 93.213 | 5R01AT009539-05 5R01AT010413-04 | 721,757 209,749 | 456,347 68,651 |
| Mind-Body Approach to Improve Health-Related Quality of Life for People with Narcolepsy | | 93.213 | 5R34AT009551-02 | 101,402 | |
| | | | | 1,742,570 | 612,814 |
| Developing an intervention targeting sleep disturbances among minority bereaved individuals | | 93.233 93.233 | 5K01HL149987-04 5R01HL140580-05 REVISED | 163,334 690,466 | _ |
| Strengthening circadian signals to enhance cardiometabolic function | | 93.233 | 5R01HL141881-04 | 505,444 | 84,719 |
| Leveraging a Unique existing Cohort to elucidate the Link between sleep and cardio-metabolic disease | | 93.233 | 5R01HL152442-03 | 1,038,462 | 266,309 |
| Determinants and Cardiovascular Consequences of Disparities in Sleep and Circadian Rhythms between Black and White Adults Training Grant in Circadian and Sleep Research | | 93.233 | 5T32HL007909-24 | 494,057 | |
| Harm avoidance and incompleteness as dimensional endophenotypes in anxiety and OC spectrum disorders | 31 | 93.242 | 00001242//5R01MH110449-05 | <u>2,891,763</u> 65,607 | 351,028 |
| Individualized approaches to determining likelihood of ASD caseness | 223 | 93.242 | 13922sc//1R01MH128288-01A1 REVISED | 25,429 | — |
| Social Processing Deficits in Remitted Adolescent Depression | 167 | 93.242 | 147186//5R01MH119771-02 | 397,549 | — |
| How Early-Life Experiences Create Individual Variation in Dopamine Circuit Architecture: Understanding Risk for Psychiatric Disease Development matters: Characterizing patterns of emergent ADHD risk through a neurodevelopmental framework | | 93.242 93.242 | 1DP2MH122401-01 1F31MH133395-01 | 556,125 15,541 | _ |
| Clarifying the Role of Psychomotor Retardation in Reward-Based Reinforcement Learning Deficits in MDD: A Computational and fMRI Study | | 93.242 | 1K23MH129607-01A1 | 91,522 | _ |
| Plasticity and Function of Dopamine Circuits Regulating the Transition to Habit | | 93.242 | 1R01MH125885-01A1 | 58,508 651,281 | — |
| Targeting Postsynaptic Small G-protein Regulators Reducing HIV vaccine and prevention hesitancy among sexual and gender minority adolescents | | 93.242 93.242 | 1R01MH130838-01 1R01MH132414-01 | 7,298 | _ |
| Multimodal Neuroimaging Predictors of Non-Suicidal Self-Injury in the ABCD Study | | 93.242 | 1R01MH132920-01 | 37,776 | — |
| Understanding the synergistic roles of water insecurity and food insecurity in the health of Mexican adults Training Program in Translational Science, HIV, and Sexual and Gender Minority Health | | 93.242 93.242 | 1R03MH133200-01 1T32MH130325-01 | 39,480 219,011 | _ |
| The Short Course on the Application of Machine Learning for Automated Quantification of Behavior | 110 | 93.242 | 210389-0324-03//5R25MH129298-02 | 786 | _ |
| Linking VA and non-VA data to study the risk of suicide in chronic pain patients | 113 | 93.242 | 222891-4//5R01MH1219071-03 | 92,347 | _ |
| RCT of a parent-focused approach to improving sexual health in adolescent men who have sex with men Integrated Reward-Inflammation Model of First Onset of Major Depression in Adolescence | 83 196 | 93.242 93.242 | 22-M19 AMD1//5R01MH129169-02 267148-NWU AMD 2//1R01MH123473-03 | 66,955 66,616 | |
| Integrated Reward-Circadian Rhythm Model of First Onset of Bipolar Spectrum Disorders in Adolescence | 196 | 93.242 | 267715-NWU Amd 2//5R01MH126911-03 | 99,902 | _ |
| Rac-GEF signaling in dendritic spines | | 93.242 | 2R01MH071316-17A1 | 14,908 | — |
| Small GTPase signaling in dendrites and spines Training Program in Neurobiology of Information Storage | | 93.242 93.242 | 2R56MH071316-16 2T32MH067564-21 | (25,056) 12,607 | _ |
| Multidisciplinary Training Program in Digital Mental Health | | 93.242 | 2T32MH115882-06A1 | 18,208 | _ |
| Screening, Tracking and Treatment for Anxiety and Depression in Community Colleges Expanding College Student Mental Health with Stress Management Mobile Technologies | 220 | 93.242 93.242 | 3814 G LA104//5P50MH126337-02 3K08MH112878-04S1 | 22,046 9,679 | _ |
| mHealth for suicide prevention: Design, development, and feasibility of a scalable SMS-based safety planning intervention | | 93.242 | 3K08MH128640-02S1 | 151,158 | _ |
| Generating an Earlier Science of When to Worry: A Neurodevelopmental, Transactional Approach to Characterizing Irritability Patterns Beginning in Infancy | | 93.242 | 3R01MH107652-05S1 | 28,800 | |
| Mechanisms regulating IncRNA short and long range signaling Optimizing prediction of preschool psychopathology from brain: behavior markers of emotion dysregulation from birth: A computational, developmental cognitive neuroscience approach | | 93.242 93.242 | 3R01MH111267-05S1 3R01MH121877-04S1 | 551,241 2,743,546 | 127,753 1,489,803 |
| Effectiveness of a Targeted Brief Intervention for Recent Suicide Attempt Survivors | 260 | 93.242 | 417659-G/UR FAO GR511006//R01 MH119264-04 | 3,200 | |
| Hippocampal Mechanisms of Stress-Induced Generalization of Negative Memories | | 93.242 | 5F30MH122130-04 | 39,554 | — |
| 60058064 NRSA F30 for Radhika Rawat: Neurogenesis, BMP signaling, and mechanisms of Ketamine's antidepressant effects The Neural Mechanisms of Motor Dysfunction in Clinical High-Risk Youth. | | 93.242 93.242 | 5F30MH124269-02 5F31MH123121-02 REVISED | 15,604 142 | _ |
| NRSA Predoc Fellowship for Sarah Lurie: The Role of Hippocampal Theta Phase in Human Memory Encoding | | 93.242 | 5F31MH125577-02 | 18,282 | _ |
| Characterizing phosphorylation-dependent regulation of metabotropic glutamate receptors by middle-down mass spectrometry Technology-Enabled Prevention Service for At-Risk Youth | | 93.242 93.242 | 5F31MH129114-02 5K01MH121854-03 | 41,006 189,792 | — |
| Adaptive Messaging to Support Depression Self-Management | | 93.242 | 5K01MH125172-03 | 158,041 | _ |
| Opioid-BNST interactions in the regulation of trauma-induced anxiety states | | 93.242 | 5K08MH126166-04 | 152,916 | _ |
| 60059499 K99 for Elif Tunc-Ozcan in support of: Neural activity and circuitry-mediated hippocampal stress responses Technology Enabled Services for Coordinated Care of Depression in Healthcare Settings | | 93.242 93.242 | 5K99MH125016-02 5P50MH119029-04 | 73,968 1,500,170 | 290,998 |
| CAUSAL MECHANISMS OF DISTRIBUTED BRAIN NETWORK FUNCTION DURING EPISODIC MEMORY RETRIEVAL | | 93.242 | 5R00MH117226-05 | 174,107 | |
| Modeling a Neural Circuit for Flexible Control of Innate Behaviors | | 93.242 | 5R00MH117264-05 | 265,135 | — |
| Mechanisms Underlying Large-Scale Coordination of Cortical Activity during Perceptual Decisions A Family-Genetic Study of Autism and Fragile X Syndrome | | 93.242 93.242 | 5R00MH120047-05 5R01MH091131-10 | 263,887 660,551 | 27,080 |
| Synaptic and dendritic dysfunction in psychiatric disorders | | 93.242 | 5R01MH097216-10 | (486) | |
| The role of glutamate receptors in compulsive and perseverative behavior Behavioral relevance of active dendritic mechanisms of integration and plasticity | | 93.242 93.242 | 5R01MH099114-10 5R01MH101297-10 | 177,622 508,064 | — |
| Postsynaptic roles of antyrins | | 93.242 | 5R01MH107182-07 | 482,903 | _ |
| 2-Arachidonoylglycerol signaling in anxiety, depression, and stress adaptation | | 93.242 | 5R01MH107435-08 | 793,306 | — |
| Molecular, anatomic, and functional characterization of midbrain dopamine neuron subtypes LiveWell: A Mobile Intervention for Bipolar Disorder | | 93.242 93.242 | 5R01MH110556-05 5R01MH110626-04 | 123,334 125 | |
| LifeSense: Transforming Behavioral Assessment of Depression Using Personal Sensing Technology | | 93.242 | 5R01MH111610-04 | 231,688 | 97,392 |
| 2/3 Community psychosis risk screening: An instrument development study New Tools to Study Neurosteroid Estrogens | | 93.242 93.242 | 5R01MH112545-05 5R01MH113189-05 | 89,758 109,113 | — |
| New Tools to Study Neurosteroid Estrogens BMP Signaling and Neurogenesis in Major Depressive Order | | 93.242 93.242 | 5R01MH113189-05 5R01MH114923-05 | 349,001 | |
| Development of Sub 100 nm Resolution X-ray Nanotomography of Centimeter-Sized Tissues | | 93.242 | 5R01MH115265-04 | 52,787 | — |
| Neurohypophyseal regulation of midbrain dopamine systems. Synthesis of Trials to Prevent Suicide Risk Behavior in Sexual and Gender Minorities | | 93.242 93.242 | 5R01MH117111-05 5R01MH117598-04 | 266,232 245,839 | 145,220 |
| A pragmatic trial of two strategies for implementing an effective eHealth HIV prevention program | | 93.242 | 5R01MH118213-05 | 956,680 | 114,229 |
| An examination of psychomotor disturbance in current and remitted MDD: An RDoC Study Control Amyrddala Glutamateraic Circuits in Foar Learning and Extinction | | 93.242 | 5R01MH118741-04 | 818,098 470 262 | 141,733 |
| Central Amygdala Glutamatergic Circuits in Fear Learning and Extinction | | 93.242 | 5R01MH119817-06 | 470,262 | — |

2/5 CAPER Computerized assessment of psychosis risk A Comparative Effectiveness Trial of Strategies to Implement Firearm Safety Promotion as a Universal Suicide Prevention Strategy in Pediatric Primary Care A Stepped Wedge Hybrid Type II Trial of an Online Positive Affect Intervention: Blending Implementation and Effectiveness to Improve HIV Continuum Outcomes Role of myeloid cells in CNS and systemic reservoirs and rebound Effectiveness and implementation of text messaging to improve HIV testing in sexual and gender minority adolescents Effectors of presynaptic cAMP dependent potentiation at mossy fiber synapses Promoting Sustained Viral Suppression Through Implementation of an Adapted Evidence-Informed Low-Barrier Care Model in a System of HIV Primary Care Clinic Title: Neurobiology of seizure generalization during electroconvulsive therapy for major depression Mouse models for live-cell imaging of endogenous Evf2 IncRNA High-Risk Psychosis Youth and Caregivers: Emotion in Interaction Using Speech Acoustics to Reveal Motor Disruptions in Psychosis Post-Graduate Research Training Aligned with the NIMH Strategic Plan Digital Mental Health Service for Non-Treatment Seeking Young Adults Digital Mental Health Intervention for Nonsuicidal Self-Injury in Young Adults Prevention of Perinatal Depression in Birthing People with a History of Adverse Childhood Experiences: A Type 2 Effectiveness Implementation Trial Training Program in Neurobiology of Information Storage Multidisciplinary Training Program in Digital Mental Health Northwestern University Mental Health, Earlier: Transdiagnostic, Transdisciplinary, Translational Training Program in Neurodevelopmental Mechanisms of Psychop Developing an app-based behavioral intervention to help depressed individuals return to work Synaptic Protein Networks, Genetic Risk, and Spine Loss in Schizophrenia Implementation and Dissemination of Evidence-Based Interventions to Improve PrEP Care Continuum Outcomes Among Women in Community Health Clinics in t Human hippocampal contributions to rapid encoding-retrieval interactions during memory formation ProNET: Psychosis-Risk Outcomes Network HARM-A: A neurobiological predictor of comorbidity and stress reactivity in anxiety disorders Integrating a suite of mental health apps for depression in a healthcare setting Scaling a Parenting EBI for Latinx Youth Mental Health in Primary Care Altered auditory networks in HIV-induced central nervous system dysfunction Genetic Influences on Infant Brain Development: Understanding the Developmental Origins of Mental Illness Transformation of the stress response into motor behavior by the external globus pallidus Prodromal Inventory for Negative Symptoms (PINS): A Development and Validation Study Mapping Dimensional Aspects of Biobehavioral Threat Reactivity in Young, Violence-Exposed Children: Linkages to Fear and Distress Targeting negative affect through mindfulness training in youth at risk for internalizing problems Development of in vivo probes to study the function of TRIP8b in cognition Development of Memory Networks in Children Early Life Adversity, Biological Embedding, and Risk for Developmental Precursors of Mental Disorders Addressing alcohol misuse in HIV prevention and care: The Brown University Alcohol Research Center on HIV (ARCH) Endocannabinoid Mechanisms in the Pathophysiology of Alcohol Use Disorders COVID-19: Role of Alcohol Disparities in HIV Risk among Sexual Minority Youth COVID-19: Role of Alcohol Disparities in HIV Risk among Sexual Minority Youth

A mixed-methods approach to understanding stress and hazardous drinking among same-sex female couples

Corticolimbic Neuroimmune Determinants of Social Stress-Associated Alcohol Drinking

Deep brain live imaging of cAMP and protein kinase A activities underlying synaptic- and circuit-level mechanisms during learned behaviors

Efficacy of Couples-Based HIV Prevention in Vulnerable Young Men

Role of Alcohol Disparities in HIV Risk among Sexual Minority Youth

Intersectional Approaches to Population-Level Health Research: Role of HIV Risk and Mental Health in Alcohol Use Disparities among Diverse Sexual Minority You Project Recognize: Improving Measurement of Alcohol Use and Other Disparities by Sex, Sexual Orientation, and Gender Identity through Community Engagement Endocannabinoid Mechanisms in the Pathophysiology of Alcohol Use Disorders

The Neuroproteomics and Neurometabolomics Center on Cell-Cell Signaling

Collaborating Consortium of Cohorts Producing NIDA Opportunities (C3PNO)

Collaborating Consortium of Cohorts Producing NIDA Opportunities (C3PNO) Bridge Funding Supplement

How Do Parents' Experiences with Services Influence Their Children's Service Use? A Longitudinal, Intergenerational Study of High-Risk Families

A Mixed Methods Evaluation of Violence, Substance Use, and HIV Risk Among a Vulnerable Population of Male Couples

Integrated neuroimmune model of problematic substance use and depression in people living with HIV

Network Canvas 2.0: Enhancing network data capture for drug use and HIV research

Leveraging state drug overdose data to build a comprehensive case level national dataset to inform prevention and mitigation strategies.

COVID-19: 2/2 Optimizing access, engagement and assessment to elucidate prenatal influences on neurodevelopment: The Brains Begin Before Birth(B4) Midwere 2/2 Optimizing access, engagement and assessment to elucidate prenatal influences on neurodevelopment: The Brains Begin Before Birth(B4) Midwest Consorting Research Adoption Support Center

Reduced complexity mapping of oxycodone self-administration and stress responsiveness in rats

Wake Forest NCORP Research Base

Interferon-modulated vaccines against HIV

Developing and Testing a Social Network Data Capture Tool to Improve Partner Services

Improving Outcomes of Adolescents in Residential Substance use Treatment via a Technology-Assisted Parenting Intervention

FP: Wake Forest NCORP Research Base Contribution of the Virome to HIV/AIDS pathogenesis

The design, synthesis, and evaluation of natural product-inspired small molecules targeting the 5-HT2C receptor as novel therapeutics for opioid use disorder

Chronic Pain Modulation of Mesolimbic Dopamine Signaling for Natural and Opiate Rewards (NRSA Predoc Fellowship for Gabriela C. Lopez, MS.)

Pilot Implementation of Measurement-Based Care in Community Opioid Treatment Programs COVID-19: Center for Prevention Implementation Methodology for Drug Use and HIV (Ce-PIM)

Center for Prevention Implementation Methodology for Drug Use and HIV (Ce-PIM)

Center for chronic pain and drug abuse

Drug Abuse and Related Health Disparities: An Intergenerational Longitudinal Study of Offspring of Delinquent Youth (Northwestern Offspring Project) netCanvas: Development, Hardening, and Dissemination of a Software Suite for the Collection of Complex Network and Contextual Data in HIV and Drug Researc Implementing contingency management in opioid treatment centers across New England: A hybrid type 3 trial

Elucidating Mechanisms of Pregnancy's Protective Effect on Drug Abuse Using Integrated Data Analysis

Leveraging data synthesis to identify optimal and robust strategies for HIV elimination among substance-using MSM

3

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

Year ended August 31, 2023

| | Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures |
|-------------------------------------|----------------------------|--|--|--|
| | | 93.242 | 5R01MH120088-04 | \$ 290,948 |
| | | 93.242 | 5R01MH123491-05 | 104,972 |
| es in Ryan White Clinics in Chicago | | 93.242 | 5R01MH124632-04 | 1,093,597 |
| | | 93.242 | 5R01MH125778-02 | 893,454 |
| | | 93.242 | 5R01MH129207-02 | 544,850 |
| -1 | | 93.242 | 5R01MH130428-02 | 760,820 |
| nics | | 93.242 93.242 | 5R01MH132149-02 5R03MH121769-02 REVISED | 608,797 |
| | | 93.242 | 5R03MH121709-02 REVISED 5R03MH126145-02 | 12,441 117,046 |
| | | 93.242 | 5R21MH115231-02 | (2,893) |
| | | 93.242 | 5R21MH119677-02 | 38,260 |
| | | 93.242 | 5R25MH115855-05 | 238,081 |
| | | 93.242 | 5R34MH124960-03 | 212,399 |
| | | 93.242 | 5R34MH128410-02 | 396,256 |
| | | 93.242 | 5R34MH130969-02 | 76,142 |
| | | 93.242 | 5T32MH067564-20 | 172,163 |
| | | 93.242 | 5T32MH115882-05 | 219,259 |
| nopathology | | 93.242 | 5T32MH126368-02 | 253,634 |
| | 104 | 93.242 | 60061119//R42MH127971-01 | 215,432 |
| | 259 | 93.242 | AWD00000863 (133093-1)//5R01MH118497-03 | 31,782 |
| n the Southern US | 226 | 93.242 | AWD102381(SUB00000565)//5R01MH128051-02 | 40,385 |
| | 226 | 93.242 | AWD103402 (SUB00000774)//1R01MH128552-01 | 43,928 |
| | 296 199 | 93.242 93.242 | CON-80004439 (GR120608) Amnd 4//5U01MH124639-04 M2102399//5R01MH125083-03 | 357,674 32,800 |
| | 199 | 93.242 | NU_Mohr-Year 3/00028727//2R44MH114725-03 | 46,792 |
| | 248 | 93.242 | OS00000743//1R01MH124718 | 68,443 |
| | 60 | 93.242 | R1072//5R01NS108809-05 | 67,573 |
| | 134 | 93.242 | RC112665D- AMD1//R01MH123716 | 31,120 |
| | 269 | 93.242 | SA0000539 // 5R01MH112768-05 | (6,803) |
| | 234 | 93.242 | SUB00001927//5R01MH116039-05 | 185,314 |
| | 231 | 93.242 | UCHC7-160980729-A4//U01MH113390 | 8,298 |
| | 279 | 93.242 | UNIV61518//5R61MH119270-02 | 126,855 |
| | 280 | 93.242 | VUMC105874 Amnd 1//5R56MH128747-02 | 166,469 |
| | 290 | 93.242 | WSU22173//5R01MH107512-07 | 51,522 |
| | 288 | 93.242 | WU-19-11-MOD-6//5R01MH113883-05 | 21,370 |
| | 31 | 93.273 | 00002144//5P01AA019072-13 | 22,768,731 100,580 |
| | 01 | 93.273 | 2R01AA026186-07A1 | 174,857 |
| | | 93.273 | 3R01AA024409-05S1 | 114,909 |
| | | 93.273 | 3R01AA024409-05S2 | 181,388 |
| | | 93.273 | 4R00AA028049-04 | 153,015 |
| | | 93.273 | 4R00AA029180-03 | 31,752 |
| | | 93.273 | 5R00AA027740-03 | 327,889 |
| | | 93.273 | 5R01AA024065-05 | 193,368 |
| | | 93.273 | 5R01AA024409-05 | 9,811 |
| <i>fourth</i> | | 93.273 | 5R01AA029044-03S1 | 583,818 |
| ent | | 93.273 | 5R01AA029076-03 | 740,050 |
| | | 93.273 | 7R01AA026186-06 | 6,595 2,618,032 |
| | 237 | 93.279 | 096739-17681 AMD 3 // 2P30DA018310-16 | 2,018,032 |
| | 220 | 93.279 | 1935 G XA461-04//5U24DA044554-05 | (10,664) |
| | 220 | 93.279 | 1935 G XA461-AMD6//3U24DA044554-05S3 | 41,688 |
| | - | 93.279 | 1F31DA057811-01A1 | 2,256 |
| | | 93.279 | 1F32DA057128-01 | 53,756 |
| | | 93.279 | 1K01DA057143-01A1 | 51,298 |
| | | 93.279 | 1R01DA057973-01A1 | 186,071 |
| | | 93.279 | 1R21DA058583-01 | 109,224 |
| west Consortium | | 93.279 | 1R34DA050266-01 REVISED | 3,033 |
| tium | 407 | 93.279 | 1R34DA050266-01 REVISED | 1,027 |
| | 187 | 93.279 | 1U2CDA057717-01//63067731-257650 | 201,932 |
| | 265 287 | 93.279 93.279 | 22-3008-NWU AMD 2//5R01DA048017-03 360-32491-11000001232//3UG1CA189824-09S1 | 46,471 77,263 |
| | 201 | 93.279 93.279 | 3DP2DA051912-01S2 | 397,673 |
| | | | | 001,010 |
| | | | 3R34DA052216-03S1 | 315,961 |
| | | 93.279 93.279 93.279 | 3R34DA052216-03S1 3R37DA052918-03S1 | 315,961 526,121 |
| | 287 | 93.279 | | |
| | | 93.279 93.279 | 3R37DA052918-03S1 | 526,121 |
| | | 93.279 93.279 93.279 | 3R37DA052918-03S1 487-32491-11000001232 AMD 2//3UG1CA189824-09S1 | 526,121 23,983 |
| | | 93.279 93.279 93.279 93.279 93.279 93.279 93.279 | 3R37DA052918-03S1 487-32491-11000001232 AMD 2//3UG1CA189824-09S1 5DP1DA048493-05 REVISED 5F30DA050445-03 REVISED 5F31DA056200-02 | 526,121 23,983 724,005 (2,033) 46,769 |
| | | 93.279 93.279 93.279 93.279 93.279 93.279 93.279 93.279 | 3R37DA052918-03S1 487-32491-11000001232 AMD 2//3UG1CA189824-09S1 5DP1DA048493-05 REVISED 5F30DA050445-03 REVISED 5F31DA056200-02 5K23DA050729-04 | 526,121 23,983 724,005 (2,033) 46,769 206,717 |
| | | 93.279 93.279 93.279 93.279 93.279 93.279 93.279 93.279 93.279 | 3R37DA052918-03S1 487-32491-11000001232 AMD 2//3UG1CA189824-09S1 5DP1DA048493-05 REVISED 5F30DA050445-03 REVISED 5F31DA056200-02 5K23DA050729-04 5P30DA027828-10 | 526,121 23,983 724,005 (2,033) 46,769 206,717 (14,627) |
| | | 93.279 93.279 93.279 93.279 93.279 93.279 93.279 93.279 93.279 93.279 | 3R37DA052918-03S1 487-32491-11000001232 AMD 2//3UG1CA189824-09S1 5DP1DA048493-05 REVISED 5F30DA050445-03 REVISED 5F31DA056200-02 5K23DA050729-04 5P30DA027828-10 5P30DA027828-10 | 526,121 23,983 724,005 (2,033) 46,769 206,717 (14,627) (73,895) |
| | | 93.279 93.279 93.279 93.279 93.279 93.279 93.279 93.279 93.279 93.279 93.279 | 3R37DA052918-03S1 487-32491-11000001232 AMD 2//3UG1CA189824-09S1 5DP1DA048493-05 REVISED 5F30DA050445-03 REVISED 5F31DA056200-02 5K23DA050729-04 5P30DA027828-10 5P30DA027828-10 5P50DA044121-05 | 526,121 23,983 724,005 (2,033) 46,769 206,717 (14,627) (73,895) 1,882,442 |
| | | 93.279 93.279 93.279 93.279 93.279 93.279 93.279 93.279 93.279 93.279 93.279 93.279 | 3R37DA052918-03S1 487-32491-11000001232 AMD 2//3UG1CA189824-09S1 5DP1DA048493-05 REVISED 5F30DA050445-03 REVISED 5F31DA056200-02 5K23DA050729-04 5P30DA027828-10 5P30DA027828-10 5P50DA044121-05 5R01DA042082-05 | 526,121 23,983 724,005 (2,033) 46,769 206,717 (14,627) (73,895) 1,882,442 581,561 |
| rch | | 93.279 93.279 93.279 93.279 93.279 93.279 93.279 93.279 93.279 93.279 93.279 93.279 93.279 93.279 | 3R37DA052918-03S1 487-32491-11000001232 AMD 2//3UG1CA189824-09S1 5DP1DA048493-05 REVISED 5F30DA050445-03 REVISED 5F31DA056200-02 5K23DA050729-04 5P30DA027828-10 5P30DA027828-10 5P50DA044121-05 5R01DA042082-05 5R01DA042711-05 | 526,121 23,983 724,005 (2,033) 46,769 206,717 (14,627) (73,895) 1,882,442 581,561 58,549 |
| rch | | 93.279 93.279 93.279 93.279 93.279 93.279 93.279 93.279 93.279 93.279 93.279 93.279 | 3R37DA052918-03S1 487-32491-11000001232 AMD 2//3UG1CA189824-09S1 5DP1DA048493-05 REVISED 5F30DA050445-03 REVISED 5F31DA056200-02 5K23DA050729-04 5P30DA027828-10 5P30DA027828-10 5P50DA044121-05 5R01DA042082-05 | 526,121 23,983 724,005 (2,033) 46,769 206,717 (14,627) (73,895) 1,882,442 581,561 |

| Subrecipient expenditures |
|------------------------------|
| |
| 476,524 225,160 |
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| 25,241 32,621 |
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| 3,193,754 |
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| 22,292 111,592 |
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| 55,533 117,034 |
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| 306,451 |
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| 104,616 |
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| 160,487 |
| _ |
| 72,428 181,619 202,085 |
| |

Autophagic regulation of cocaine abuse COVID-19: Multilevel Influences on HIV and Substance Use in a YMSM cohort Multilevel Influences on HIV and Substance Use in a YMSM cohort 9/24- Healthy Brain and Child Development National Consortium Stagewise Implementation To Target – Medications for Addiction Treatment (SITT-MAT) Center for Dissemination and Implementation at Stanford (C-DIAS) Center for Dissemination and Implementation At Stanford (C-DIAS) Research Adoption Support Center Development and Preliminary Testing of an Adjunct Smartphone App to Reduce Marijuana Use in Court-Involved, Non-Incarcerated Adolescents Assessing the impact of opioid prescription duration limits Robust modeling of within- and across-area population dynamics through the development of a practical recurrent neural network toolkit Examining the Quality of Opioid Use Disorder Treatment in a Medicaid Research Network Improving racial equity in opioid use disorder treatment in Medicaid The Healthy Brain and Child Development National Consortium Administrative Core Optimizing PrEP Adherence in Sexual Minority Men who Use Stimulants Family-based Recovery Support Service Network for Youth OUD Supporting Treatment Adherence for Resilience and Thriving (START): A mHealth intervention to improve ART adherence for HIV-positive stimulant-using Neuroscience, Immunology, Social Adversity and the Roots of Addictive Behaviors: Toward a New Framework for Drug Use Etiology and Prevention Stress and Opioid Misuse Risk: The Role of Endogenous Opioid and Endocannabinoid Mechanisms Development of an implantable closed-loop system for delivery of naloxone for the prevention of opioid-related overdose deaths Valency and distance co-optimized peptide bound PEG-b-PPS Filomicelles hydrogel depot for anti-integrin therapeutics Development of a Novel Split Enzyme Diagnostic Platform for Use at the Point of Care Rationally Designed, Target-specific Imaging Probes for Nephro-urology Diagnoses Novel MRI coil technology for safe imaging of children with implants Assessing RF heating of active implantable medical devices in low-field MRI system BehaviorSight: Privacy enhancing wearable system to detect health risk behaviors in real-time. COVID-19: The Center for Innovation in Point-of-Care Technologies for HIV/AIDS at Northwestern University (C-THAN) RADx Supplemental Request The Center for Innovation in Point-of-Care Technologies for HIV/AIDS at Northwestern University (C-THAN) High-content High-speed Chemical Imaging of Metabolic Reprogramming by Integration of Advanced Instrumentation and Data Science An Enzyme Self-Amplification System for Ultrasensitive Detection of Biomarkers at the Point of Care Developing a virtual placenta biobank Patient-adjustable MRI technology for high-resolution imaging of deep brain stimulation Image-Guided Transcatheter Delivery of Natural Killer Cell Therapy Augmented with IFN-Gamma Eluting Microspheres Design-driven engineering of robust mammalian sense-and-respond functions Developing a SMART scaffold for bladder augmentation Reconfigurable MRI technology for safe and high-resolution imaging of deep brain stimulation at 3T A universal multi-drug encapsulation and delivery system employing supramolecular nanogels that self-assemble via dynamic sulfone bonding Assessing RF heating of deep brain stimulation implants in high-field open-bore MRI systems Application of machine learning for fast prediction of MRI-induced RF heating in patients with implanted conductive leads Rapid Pediatric Cardiovascular MRI without Contrast Agent or Anesthesia Next-Generation Cardiovascular MRI powered by Artificial Intelligence 3D Bioprinting of Strong Living Scaffolds Interdisciplinary Graduate Education in Movement and Rehabilitation Sciences Graduate Training Program for Magnetic Resonance Imaging Regenerative Engineering Training Program (RE-Training) Enabling Next Generation Machine Learning for Large Scale Image Analysis Human and Machine Learning for Customized Control of Assistive Robots COVID-19: RADx Clinical Studies Core Development of Software to Rapidly Assess Placenta Images at Birth Bioresorbable RF Coils for Post-Surgical Monitoring by MRI Genomic Analysis of Enhanced Response to Heart Failure Therapy in African Americans (GRAHF) Understanding health disparities in Pakistani, Bangladeshi and Asian Indian immigrants: the role of socio-cultural context, acculturation and resilience resources 2021 National LGBTQ Health Conference: Bridging Research and Practice Racial disparities in police use of deadly force as a cause of racial disparities in sleep health across the life course Developing and Pilot Testing Peer Ambassador Stories for Increasing HIV-Prevention and Behavioral Health Treatment Uptake among Latino MSM Reducing Assessment Barriers for Patients with Low Literacy Understanding socioeconomic disparities in perinatal risk: The role of epigenetic and transcriptional regulation in the placenta

Effectiveness and Implementation of a Mindfulness Intervention for Depressive Symptoms Among Low-income, Racial/Ethnic Minority Adults in a Federally Qualified Characterizing PrEP Adherence and Patterns of Use in a Diverse Community Cohort of Young Men

COVID-19: Collateral Consequences of Parents Incarcerations for Their Adolescent Children: A Prospective Longitudinal Study

Collateral Consequences of Parents Incarcerations for Their Adolescent Children: A Prospective Longitudinal Study

The OPTIMIZE Study: Optimizing Patient Navigation for Perinatal Care

Simulation Modeling to Understand and Address HIV Disparities in Racial, Ethnic, and Sexual Minority Populations Enhancing Perinatal Care Support to Improve Maternal Mortality Disparities

Preventing postpartum depression among immigrant Latinas through a virtual group intervention

African-American Social Support Effectiveness Treatment- Partners Alleviating Perinatal Depression (ASSET-PPD)

Northwestern University Minority Health and Health Disparities Research Training (NU-MHRT)

A Pragmatic Trial of An Adaptive eHealth HIV Prevention Program for Diverse Adolescent MSM

Unraveling Racial Disparities in Portal Hypertension: A Clinical, Spectroscopic and SNP Approach

VietAmerican Health Pilot Study

A Community Based Participatory Trial to Increase Stroke Treatment

Impact of Culturally Aware Mentoring Interventions on Research Mentors and Graduate Training Programs Enhanced Grant Writing Coaching Groups for a Diverse Biomedical Workforce Identifying Mechanisms Governing T Cell Diversity

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

Year ended August 31, 2023

| | Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures | Subrecipient expenditures |
|-------------------|----------------------------|---------------------------------|--|----------------------------|------------------------------|
| | | 93.279 | 5R01DA056720-02 | \$ 463,425 | 58,908 |
| | | 93.279 | 5U01DA036939-09 | 135,784 | |
| | | 93.279 93.279 | 5U01DA036939-09 5U01DA055355-03 | 2,057,902 1,519,209 | 156,304 |
| | 187 | 93.279 | 62756859-181406//5R01DA052975-03 | 35,991 | 150,504 |
| | 187 | 93.279 | 62967837-199049//1P50DA054072-01A1 | 278,047 | _ |
| | 187 | 93.279 | 62968986-199049//1P50DA054072-01A1 | 288,241 | _ |
| | 187 | 93.279 | 63005326-257650//1U2CDA057717-01 | 54,319 | |
| | | 93.279 93.279 | 7K23DA048062-04 7R21DA050047-02 | 130,498 92,203 | 73,871 |
| | 71 | 93.279 | A580885//1RF1DA055667-01 | 92,203 150,424 | 73,071 |
| | 259 | 93.279 | AWD00000068 (132578-11)//5R01DA048029-03 | 29,943 | _ |
| | 259 | 93.279 | AWD00006300 (138503-13)//1R01DA055585-01 | 16,721 | _ |
| | 222 | 93.279 | KR 705056//5U24DA055325 | 124,634 | _ |
| | 248 | 93.279 | OS0000283//5R01DA051848-03 | 10,979 | _ |
| | 155 248 | 93.279 93.279 | PTEA AGMT 10/21/22//1R24DA051946-01 SPC-002231//R01DA049843 | 36,640 18,321 | |
| | 234 | 93.279 | SUB00002412 Amnd 4/5P50DA051361-03 | 315,416 | _ |
| | 280 | 93.279 | VUMC10071//1R01DA050334-01A1 | 11,626 | |
| | 288 | 93.279 | WU-22-0220 AMND No. 2//4UH3DA050303-03 | 1,504,304 | |
| | | | | 14,085,077 | 1,010,318 |
| | 236 | 93.286 93.286 | 18850 AMND 1//5R03EB032602-02 1K99EB034778-01 | 6,964 25.977 | _ |
| | | 93.286 93.286 | 1K99EB034778-01 1R01EB033377-01A1 Revised | 25,977 105,207 | |
| | | 93.286 | 1R01EB033377-01A1 Revised | 18,701 | |
| | | 93.286 | 1R03EB033864-01 | 67,248 | |
| | | 93.286 | 1R21EB030305-01 | 194,572 | _ |
| | | 93.286 | 3U54EB027049-02S1 REVISED | 308,080 | 25,128 |
| | 20 | 93.286 | 3U54EB027049-05S1 | 1,568,645 | 543,562 |
| | 26 | 93.286 93.286 | 4500004177 AMD 001//1R01EB032391-01 Revised 5F32EB031608-02 | 16,837 61,172 | _ |
| | | 93.286 | 5K08EB030120-03 | 148,785 | |
| | | 93.286 | 5R00EB021320-04 | (5,459) | _ |
| | | 93.286 | 5R01EB026207-04 | 146,031 | _ |
| | | 93.286 | 5R01EB026510-04 | 145,292 | _ |
| | | 93.286 | 5R01EB026572-04 | 473,764 | |
| | | 93.286 | 5R01EB030324-03 5R01EB030629-02 | 586,323 | 167,783 |
| | | 93.286 93.286 | 5R01EB030629-02 5R03EB029587-02 | 691,419 13,063 | _ |
| | | 93.286 | 5R03EB032943-02 | 51,924 | _ |
| | | 93.286 | 5R21EB024315-02 REVISED | 17,614 | 17,614 |
| | | 93.286 | 5R21EB030806-02 REVISED | 139,016 | |
| | | 93.286 | 5R21EB032535-02 | 124,964 | |
| | | 93.286 | 5T32EB009406-12 | 46,514 | — |
| | | 93.286 93.286 | 5T32EB025766-05 5T32EB031527-03 | 204,371 295,429 | |
| | 172 | 93.286 | Advance Account//2R44EB032722-02A1 | 5,931 | |
| | 165 | 93.286 | cc 81301 Amend 4//1R01EB024058 | 99,568 | |
| | 247 | 93.286 | OSP/WPM33765-NW//3U54HL143541-02S2 | 924,291 | 353,698 |
| | 156 | 93.286 | S003662-DHHS//1 R01 EB030130-01A1 | 9,035 | |
| | 279 | 93.286 | UNIV61946//5R21EB027881-02 | <u> </u> | 1 107 795 |
| | 259 | 93.307 | | 6,491,427 | 1,107,785 |
| | 259 | 93.307 93.307 | 0041119(124864-3)//5R01MD009118-05 | (364) 172,868 | _ |
| | | 93.307 | 1R13MD015672-01 | 22,199 | |
| | 124 | 93.307 | 236743 Amd 3//5U01MD014023-03 | 18,557 | _ |
| | 248 | 93.307 | 5K23MD015690-03/ OS00000839 Amd 2 | 19,655 | _ |
| | | 93.307 | 5R01MD010440-05 | 640,412 | 382,370 |
| ind Loolth Contor | | 93.307 | 5R01MD011749-05 | 102,655 | |
| ied Health Center | | 93.307 93.307 | 5R01MD012236-05 5R01MD013609-04 | 166,913 167,962 | |
| | | 93.307 | 5R01MD013009-04 5R01MD014020-04 | 42,506 | |
| | | 93.307 | 5R01MD014020-04 | 702,338 | |
| | | 93.307 | 5R01MD014068-05 | 475,108 | 343,438 |
| | | 93.307 | 5R01MD014703-04 REVISED | 429,776 | 324,236 |
| | | 93.307 | 5R01MD016280-03 | 187,654 | 74,487 |
| | | 93.307 | 5R01MD017622-02 | 343,192 | 136,910 |
| | | 93.307 93.307 | 5R21MD014798-02 5T37MD014248-05 | 123,901 285,387 | 42,785 169,568 |
| | | 93.307 | 5U01MD011281-05 | 328,194 | 19,647 |
| | 23 | 93.307 | MD013631-02//7R21MD013631-03 | 19,090 | |
| | 254 | 93.307 | RF00219-2021-0066//1R21MD013542-01A1 | 3,717 | _ |
| | 249 | 93.307 | SUBK00018347//5U01MD010579-05 | 41,371 | 1 402 441 |
| | 275 | 93.310 | 000002668//1U01GM132372-1 | <u>4,293,091</u> 48,680 | 1,493,441 |
| | 272 | 93.310 | 10050224-03//5U01GM132366-04 | 76,839 | _ |
| | | | | , | |

Cell-free DNA sequencing approaches to define the genetic etiology of unexplained epilepsy NURTURE: Northwestern University Recruitment to Transform UnderRepresentation and achieve Equity COVID-19: RADx-UP Coordination and Data Collection Center The 4D nucleome of muscle regeneration in ischemia-induced tissue damage and repair Mechanisms of Genome Organization in Brain Development and Behavior Nutrition Precision Health for All of Us (Chicago Center) Renewable and Specific Affinity Reagents for Mapping Proteoforms in Human Tissues The 4D nucleome of muscle regeneration in ischemia-induced tissue damage and repair The 4D nucleome of muscle regeneration in ischemia-induced tissue damage and repair COVID-19: Community network driven COVID-19 testing among most vulnerable populations in the Central United States COVID-19 testing and vaccination social network diffusion for diverse criminal legal involved communities COVID-19: SCENTinel: A Rapid Smell Test for COVID-19 Surveillance A streamlined platform for phosphoproteome mapping of human tissues The role of nucleoli in human genome organization in normal and disease states Center for 3D Structure and Physics of the Genome Center for 3D Structure and Physics of the Genome Midwest Murine-Tissue Mapping Center (MM-TMC) – Admin Core Midwest Murine-Tissue Mapping Center (MM-TMC) – BIOLOGICAL ANALYSIS CORE Midwest Murine-Tissue Mapping Center (MM-TMC) – DATA ANALYSIS CORE Evaluating diverse technologies for detecting and validating senescent cells in vivo Evaluating diverse technologies for detecting and validating senescent cells in vivo Biospecimen Core for Procurement of Human Somatic and Reproductive Tissues for Senescent Cell Mapping Center for 3D Structure and Physics of the Genome Genetics and quantum chemistry as tools for unknown metabolite identification Translating Scientific Evidence into Practice using Digital Medicine and Electronic Patient Reported Outcomes Informing Ethical Translation of Xenotransplantation Clinical Trials Northwestern University Clinical and Translational Science Institute (NUCATS) Northwestern University Clinical and Translational Science Institute (NUCATS) CRITICAL: Collaborative Resource for Intensive care Translational science, Informatics, Comprehensive Analytics, and Learning COVID-19: Northwestern University Clinical and Translational Science Institute Northwestern University Clinical and Translational Science Institute COVID-19: Clinical and Translational Science Award – Trans-NIH Research Support (Sub award) Advancing Standardized Clinical Outcome Tools for Duchenne Muscular Dystrophy Analyzing and Interpreting Clinician and Patient Adverse Event Data to Better Understand Tolerability in ECOG-ACRIN Studies Structure and Function of the SS18-SSX-containing mSWI/SNF (BAF) Complex Unveiling New Paths Toward Therapeutic Targeting Structure and Function of the SS18-SSX-containing mSWI/SNF (BAF) Complex Unveiling New Paths Toward Therapeutic Targeting Structure and Function of the SS18-SSX-containing mSWI/SNF (BAF) Complex Unveiling New Paths Toward Therapeutic Targeting Center for Synovial Sarcoma Biology and Therapeutics Elucidating the mechanism of Ga13 mediated tumor suppression in pancreatic cancer Structure and Function of the SS18-SSX-containing mSWI/SNF (BAF) Complex Unveiling New Paths Toward Therapeutic Targeting Implementation and Evaluation of an Expanded Bilingual Electronic Symptom Management Program across a Multi-site, Fully-integrated Comprehensive Cancer Advancing the Quality of Cancer Care through Behavioral Economics and Implementation Science Scalable TELeheaLth Cancer CARe: The STELLAR Program to Treat Cancer Risk Behaviors Using Information Technology to Improve Outcomes for Children Living with Cancer Accelerating Colorectal Cancer Screening and Follow-up through Implementation Science in Chicago (ACCSIS-Chicago) COVID-19: Transcending COVID-19 barriers to pain care in rural America: Pragmatic comparative effectiveness trial of evidence-based, on-demand, digital beh Pain Response Evaluation of a Combined Intervention to Cope Effectively (PRECICE) Managed Problem Solving for ART Adherence and HIV Care Retention Delivered by Community Health Workers: A Stepped Wedge Hybrid Type II Effectivenes EHR-based Universal Medication Schedule to Improve Adherence to Complex Regimens Building a causal pathway framework to identify interventions to eliminate racial/ethnic disparities in severe maternal morbidity Mitigating Long-term Treatment-related Morbidity in Childhood Cancer Survivors Disease Beliefs and Cognition: Effect on Diabetes Management in Older Breast Cancer Survivors Modeling Best Approaches for Cardiovascular Disease Prevention in Cancer Survivors Novel Health Equity Intervention to Improve Pediatric Oncology Outcome Disparities: Targeting Poverty and Psychosocial Stress Every Day Counts: A lifestyle program for women metastatic breast cancer West Africa Self-Sampling HPV Based Cervical Cancer Control Program (WA-SS-HCCP) for WLWHA: Barriers, challenges, and needs Patient-Centered Reminders to Inform, Motivate, and Engage Colorectal Cancer Screening Adherence in Rural Communities: The PRIME-CRC Trial Improving Emergency Care and Outcomes of Immune-related Adverse Events: The Immune-related Emergency Disposition Index (IrEDi) Using MOST to EMPOWER: Optimizing an emotion regulation intervention to enhance well-being among young adult cancer survivors Testing Novel Pharmacogenetic and Adherence Optimization Treatments to Improve the Effectiveness of Smoking Cessation Treatments for Smokers with HIV K00 for Deanna Tiek in support of: Novel relationships of splicing factors in temozolomide-resistant glioblastoma Regulation of Human Papillomavirus Gene Expression HPV and the DNA Damage Response Patient Navigation 2.0: Addressing the Challenge of Scaling Navigation through Checklist-based Implementation Progesterone Signaling and Blockade in Human Breast Tumorigenesis and Prevention Reducing the Effects of Active Surveillance Stress, Uncertainty and Rumination thru Engagement in Mindfulness Education (REASSURE ME) The inhibitory network between EZH2 and PARP1 in triple-negative breast cancer Evaluating the protective effect of a tissue selective estrogen complex (TSEC) in women with newly diagnosed ductal carcinoma in situ Genomic Prediction of Doxorubicin-Induced Cardiotoxicity Translating buccal nanocytology for lung cancer screening into clinical practice Role of NF90 in Prostate Cancer

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

Year ended August 31, 2023

| | Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures |
|---------------------------------------|----------------------------|--------------------------------------|--|--|
| | | 93.310 | 1DP2NS111506-01 REVISED | \$ 426,649 |
| | | 93.310 | 1U54CA272163-01 | 353,491 |
| | 66 | 93.310 | 303000056 AMD2 // 5U24MD016258-03 | 62,860 |
| | 66 | 93.310 93.310 | 303000909//5U01HL156064-03 5U01DA053691-04 | 68,343 485,298 |
| | | 93.310 | 5UG1HD107697-02 | 758,879 |
| | | 93.310 | 5UH3CA246635-03 | |
| | 66 | 93.310 | A034155-01//1U01-HL156064-01 | (1,233) (63,743) |
| | 66 | 93.310 | A035307//5U01-HL156064-02 | 55,014 |
| | 226 | 93.310 | AWD101615 (SUB00000363)//3UG1DA050066-03S1 | 106 |
| | 226 | 93.310 | AWD102706 (SUB00000760)//5U01MD017414-02 | 10,584 |
| | 136 154 | 93.310 93.310 | NIH073A03DALTO//5U01DC019578-03 No. 546717, Mod 3//1UG3CA256967-01 | 106,755 107,871 |
| | 247 | 93.310 | OSP33101-00//5U01CA260699-03 | 403,906 |
| | 247 | 93.310 | OSP33133-04//5UM1HG011536-03 | 99,000 |
| | 247 | 93.310 | OSP33133-05//5UM1HG011536-03 | 69,123 |
| | 250 | 93.310 93.310 | P009924301//1U54AG079754-01 P010409101//1U54AG079754-01 Revised | 46,984 |
| | 250 250 | 93.310 | P010409101//1054AG079754-01 REVISED | 274,628 36,888 |
| | 32 | 93.310 | SA16036-NU//5UG3CA268105-02 | 203,228 |
| | 32 | 93.310 | SA16042-NU//5UG3CA268105-02 | 59,774 |
| | 32 | 93.310 | SA60001-NU AMD1//5U54AG075932-02 | 219,232 |
| | 247 234 | 93.310 93.310 | SUB0000208//3UM1HG011536-03S1 SUB00001824//U2CES030167 | 78,591 6,428 |
| | | | | 3,987,953 |
| | 96 | 93.350 | 0255-D514-4609//5U01TR002997-04 | 87,039 |
| | 90 | 93.350 | 410Amd2//5R01TR003844-02 | 123,487 |
| | | 93.350 | 5KL2TR001424-09 | 867,529 |
| | | 93.350 | 5TL1TR001423-08 | 225,837 |
| | | 93.350 93.350 | 5U01TR003528-03 5UL1TR001422-08 | 1,306,436 34,807 |
| | | 93.350 | 5UL1TR001422-08 | 6,068,465 |
| | 128 | 93.350 | AGMT Black 8/16/22//3UL1TR001436-07S1 | 175,354 |
| | 283 | 93.350 | FP00014097_SA001//5R21TR004007-02 | 8,280 |
| | 59 | 93.353 | 1204004//5U01CA233169-05 AMD6 | <u> </u> |
| | 59 | 93.353 | 1205703//1U54CA231638-01 REV | (200) |
| | 59 | 93.353 | 1205704//1U54CA231638-01 REVISED | (38,002) |
| | 59 | 93.353 | 1205705//1U54CA231638-01 REV | 376,212 |
| | 59 | 93.353 | 132501//3U54CA231638-01S1 | 55,885 |
| | 59 | 93.353 93.353 | 1R01CA283925-01 1U54CA231638-01//1205702 | 99 (6,421) |
| er Center | | 93.353 | 3UM1CA233035-01S1 | 1,781,279 |
| | 258 | 93.353 | 5-P50-CA-244690-03//585589 | 61,879 |
| | | 93.353 | 5P50CA271353-02S1 | 984,202 |
| | 226 | 93.353 93.353 | 5U01CA246612-04 AWD069107-01-PR (SUB00000212)Amd3//5UH3CA233229-05 | 394,325 37,899 |
| | | | | 3,747,909 |
| ehavioral treatments for chronic pain | 39 | 93.361 | 0001896810//1R01NR019947 | 19,966 |
| · | 287 | 93.361 | 349-33391-11000001253 AMD2//1UG3NR019196-01 | 38,959 |
| ess Implementation Trial | 258 | 93.361 | 580811//5-R01-NR-019753-03 | 114,365 |
| | 187 | 93.361 93.361 | 5R01NR015444-05 62736588-206060 AMD1//5R01NR020335-02 | 69,517 33,816 |
| | | | | 276,623 |
| | 214 | 93.393 | 000519160-003//5R35CA220502-04 | 148,002 |
| | 96 | 93.393 | 0255-3801-4609 Amnd 8//5R01CA214491-05 | 110,291 |
| | 96 | 93.393 | 0255-H281-4609//1R01CA271604-01A1 | 16,440 |
| | 59 128 | 93.393 93.393 | 1289702//5R01CA267107-02 1R01CA258759-01A1//Bonini AGMT 7/12/22 | 19,762 20,074 |
| | 120 | 93.393 | 1U01CA275129-01 | 609,413 |
| | 121 | 93.393 | 21-08-003 AMD 2//5R01CA240496-03 | 95,028 |
| | 270 | 93.393 | 3001922293 Amnd 1//5R01CA267856-02 | 81,286 |
| | 287 258 | 93.393 93.393 | 412-45117-10000550033Amd3//5R01CA242849-04 578028//5R01CA243914-03 | 75,031 210,577 |
| V | 200 | 93.393 | 578028//5R01CA243914-03 5K00CA234799-06 | 93,515 |
| v | | 93.393 | 5R01CA059655-30 | 358,354 |
| v | | 93.393 | 5R01CA142861-10 | (7,473) |
| v | | · · · · · | | |
| v | | 93.393 | 5R01CA163830-10 5R01CA192124.05 | 650,387 |
| v | | 93.393 | 5R01CA192124-05 | (145) |
| v | | | | |
| v | | 93.393 93.393 93.393 93.393 | 5R01CA192124-05 5R01CA193331-06 REVISED 5R01CA208257-07 5R01CA218436-05 | (145) 110,693 176,859 294,057 |
| V | | 93.393 93.393 93.393 | 5R01CA192124-05 5R01CA193331-06 REVISED 5R01CA208257-07 | (145) 110,693 176,859 |

| Subrecipient expenditures |
|------------------------------|
| _ |
| |
| — |
| |
| 217,150 |
| _ |
| — |
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| _ |
| _ |
| |
| — |
| |
| 217,150 |
| _ |
| 160,708 |
| 909,486 |
| 776,365 |
| |
| 1,846,559 |
| _ |
| _ |
| |
| _ |
| 246,056 |
| _ |
| |
| 246,056 |
| — |
| _ |
| 69,517 10,878 |
| 80,395 |
| |
| — |
| _ |
| 259,082 |
| |
| — |
| _ |
| (8,131) |
| 23,825 |
| 118,366 |
| 162,466 |
| _ |
| |

Determinants of transdermal drug delivery to the normal and the radiated breast Targeting Posttranslational Modifications in Breast Carcinogenesis Small molecule probes of MYC stability and function intumorigenesis Predicting and Preventing Chemotherapy-Induced Cardiotoxicity in African American Children Optimization of a mHealth Physical Activity Promotion Intervention with Mindful Awareness for Adolescent and Young Adult Cancer Survivors Evaluating Patient-Reported Outcomes Monitoring in Routine Care of Patients with Chronic Myeloid Leukemia for Increasing Adherence and Clinical Response to THe The EMPATHY Pilot Study Mutations of Chromatin and its Modifying Machineries in Malignancies Mutations of Chromatin and its Modifying Machineries in Malignancies Technology Facilitated Behavioral Intervention for Depression among Diverse Patients in Ambulatory Oncology Surgical Multispecialty Access to Research in Residency Training (SMART) Informatics Tools for Quantitative Digital Pathology Profiling and Integrated Prognostic Modeling Subcontract: Targeting Posttranslational Modifications in Breast Carcinogenesis Improving PRO Interpretation at the Individual Level for Patients with Cancer using Conversational Agents and Data Visualization CXCR4 as a target for colon cancer chemoprevention Evaluating a mobile application to reduce distress in breast cancer survivors using an adaptive design Biomarker-Based Phase IIB Trial of (Bazedoxifene-Conjugated Estrogen) to Reduce Risk for Breast Cancer Structural Cell Biology of DNA Repair Machines Biomarkers to Improve Targeting of Breast Cancer Prevention in Women with Atypical Hyperplasia The ECOG-ACRIN SUPPORT Trial: Multilevel Intervention to Improve Diverse Enrollment in Cancer Clinical Trials Multi-site adaptive trial of a technology-based, EHR-integrated physical activity intervention in breast and endometrial cancer survivors Predicting Pancreatic Ductal Adenocarcinoma (PDAC) through Artificial Intelligence Analysis of Pre-diagnostic CT Images Optimization of a remote intervention to improve nutrition and physical activity in colorectal cancer survivors Establishing the clinical utility of cell-free tumor DNA methylation profiling as a reliable liquid biopsy approach in brain tumors Development of Next-Generation Blood-to-barcode (B2B) chip for In Vivo CRISPR-Based Discovery of Metastasis Regulators Imaging in 2020: Imaging in Immuno-oncology (I3O) Special Public T Cell Receptor Sequences that Predict Outcomes for Cancer Patients

Comprehensive validation and commercial readiness of SpliceIO, a software platform for neoantigen discovery using RNA-seq data

Hybrid Intelligence for Trustable Diagnosis And Patient Management of Prostate Cancer (HIT-PIRADS) Infection-Associated Cancer Research Training Program in Mali

Risk-stratification of Prostate Cancers via Field Carcinogenesis Nanocytology

Tumor immune and glycan biomarkers for progressive prostate cancer

Catheter-Directed Image-Guided Delivery of Cytostatic and Cytotoxic Combination Therapy to Liver Tumors

Radiologist-Centered Artificial Intelligence (RCAI) for Lung Cancer Screening and Diagnosis

A Multilevel Physical Activity Intervention for South Asian Women and Girls

Novel IL-12 Gene Delivery Vehicles for Transformation of Solid Tumors

Cyst-X: Interpretable Deep Learning Based Risk Stratification of Pancreatic Cystic Tumors

Development of microfluidic enabled CRISPR-Cas9 functional genetic screening technologies for target discovery in cancer immunotherapy Fit2ThriveMIND: Optimizing a mHealth Physical Activity Intervention with Mindful Awareness Lessons in Breast Cancer Survivors

Microvasculature in Colon Field Carcinogenesis: Clinical-Biological Implications

Multi-Site adaptive trial of a technology-based, EHR -integrated physical activity intervention in breast and endometrial cancer survivors Multi-site adaptive trial of a technology-based, EHR-integrated physical activity intervention in breast and endometrial cancer survivors

Improved whole-brain spectroscopic MRI for radiation therapy planning

A highly sensitive linear amplification based DNA methylation profiling technique for clinical cancer research

Improved Detection of Cerebral Metastases using a Novel T1 Relaxation-Enhanced Steady-State (T1RESS) MRI Technique

Development of 5hmC and 5mC biomarkers in cell-free circulating DNA for sensitive colon cancer detection and prognosis

Epigenomic markers of circulating cell-free DNA and treatment outcome in multiple myeloma

Fluorescent Indocarbocyanine PEGylated Lipid Nanoparticles for Understanding and Overcoming Barriers to Drug Delivery in Invasive Glioblastoma Real-time volumetric specimen imager for 3D intra-operative lumpectomy margin assessment

Spherical Nucleic Acid nano-architectures as first-in-class cGAS agonists for the immunotherapeutic treatment of Glioblastoma

Early Therapeutics and Rare Cancer Vice Chair Role

Alliance for Clinical Trials in Oncology (Alliance Executive Officer)

Alliance Operations – Administrative Core

Optimizing pre-analytic sample handling for high throughput TCR sequencing in cutaneous T cell lymphoma

Lineage Plasticity, due to Disruption of MnSOD Biology, drives resistance to Ionizing Radiation / Androgen Deprivation Therapy Innovative Research for Cancer Nanotechnology (IRCN) for Enhancing Melanoma-specific Immune Responses by the Rational Design of Spherical Nucleic Acids Chemo-mediated transcriptional reprogramming in ovarian cancer

Phenotypic marker-guided development of selective antimetastasis therapeutic leads

A Phase II Clinical Trial in Newly Diagnosed Glioblastoma Patients Treated with WP1066 and Radiation

A Novel ULK1 Pathway as a New Therapeutic Target in Melanoma

Pelvic fascia spARing radical prostatectomy TrIAL (PARTIAL)

Evaluation of Transperineal Biopsy under Local Anesthesia, a Novel approach to Decrease Post-Biopsy Infections and Improve Cancer Detection

Phase II trial of mTORC1/mTORC2 inhibitor AZD2014 for sporadic meningioma Epigenetic study of oral HPV infection-associated oral cancer in people living with HIV in Nigeria

North American Star Consortium

A biomarker-driven strategy to guide the use of radiotherapy in non-small cell lung cancer

Modulation of Microglia and T Cell Interactions in Malignant Glioma

Separating autoimmunity and anti-tumor immunity

NKG2D superagonist co-stimulation to enhance adaptive immunotherapy of cancer Retrospective NCI Phantom-Monte Carlo Dosimetry for Late Effects in Wilms Tumor

Radiation induced cancer risk reduction as a function of dose protraction: interspecies comparison

Giving and receiving: A reciprocal support writing intervention to reduce symptoms during stem cell transplant

Targeting Lipid Unsaturation in Ovarian Cancer Stem Cells

Reducing Cancer Transcriptional Heterogeneity through Regulation of Chromatin Structure

Yittrium-90 radiation lobectomy: Dose optimization and prediction of FLR hypertrophy to enable resection of HCC

Blood-brain barrier disruption with implantable ultrasound to enhance paclitaxel delivery: A Phase 1-2 clinical trial in recurrent glioblastoma

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

| | Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures | Subrecipient expenditures |
|----------|----------------------------|---------------------------------|---|-------------------------|------------------------------|
| | | 93.393 | 5R01CA235647-05 | \$ 324,406 | 9,708 |
| | | 93.393 93.393 | 5R01CA250110-02 5R01CA257258-03 | (204) 661,949 | |
| | | 93.393 | 5R01CA261898-03 | 648,204 | 322,043 |
| -HerapY: | | 93.393 | 5R01CA262357-02 | 779,281 | 85,981 |
| | | 93.393 | 5R21CA230367-02 | 2,189 | 1,670 |
| | | 93.393 93.393 | 5R35CA197569-07 5R35CA197569-09 | (15,264) 1,007,855 | |
| | | 93.393 | 5R37CA255875-03 | 814,767 | 252,214 |
| | | 93.393 | 5R38CA245095-03 | 387,206 | 61,738 |
| | 71 | 93.393 | 5U01CA220401-04 | 103,926 | 65,277 |
| | 71 205 | 93.393 93.393 | A662277//7R01CA250110-03REVISED AMD 7/17/23//R01CA271145 | 79,425 54,116 | |
| | 226 | 93.393 | AWD100181 (SUB00000087) AMD 3\\5R01CA240710-04 | 39,729 | _ |
| | 273 | 93.393 | GB10933.PO#2333666//R37CA248434-02 | 18,911 | _ |
| | 240 219 | 93.393 93.393 | GR16843//5R01CA249437-02 NO. 7615718-02//5P01CA092584-22 | 1,083 65,861 | — |
| | 126 | 93.393 | NO. 7615718-02//3F01CA092584-22 NWU-263646-04 Amendment 5//5R01CA237607-04 | 54,623 | _ |
| | 69 | 93.393 | U01CA274996-01-NWU1//1U01CA274996-01 | 88,329 | |
| | | | | 9,395,212 | 1,354,239 |
| | 275 | 93.394 | 000000266//1R37CA225877-01A1 Amd. No. 2 | 9,249 70,707 | — |
| | 39 223 | 93.394 93.394 | 0002121330//5R01CA260955-02 12586sc//5R37CA248774-03 | 79,707 20,301 | _ |
| | 223 | 93.394 | 1R01CA263196-01 | 2,071 | _ |
| | | 93.394 | 1R01CA277507-01 | 308,579 | _ |
| | | 93.394 | 1R13CA254380-01 REVISED | 5,609 | _ |
| | 72 | 93.394 93.394 | 1R21CA277285-01 1R44CA265446-01-NU//1R44CA265446-01A1 | 82,064 165,155 | |
| | | 93.394 | 1U01CA268808-01A1 | 13,689 | _ |
| | | 93.394 | 5D43CA260658-03 | 267,938 | 131,380 |
| | | 93.394 93.394 | 5R01CA200064-05 5R01CA212409-05 | 96,580 220,164 | 96,100 55,476 |
| | | 93.394 | 5R01CA218659-05 | 275,862 | 49,185 |
| | | 93.394 | 5R01CA240639-03 | 571,454 | 111,521 |
| | | 93.394 | 5R01CA242520-05 | 580,713 | 122,142 |
| | | 93.394 93.394 | 5R01CA244872-04 5R01CA246704-04 | 1,121,764 776,171 | 34,353 97,614 |
| | | 93.394 | 5R01CA260170-03 | 260,900 | 80,913 |
| | | 93.394 | 5R01CA266178-02 | 570,747 | |
| | 23 | 93.394 | 7000001387//5R01CA224911-05 | 153,832 | _ |
| | 275 | 93.394 93.394 | 7R37CA225877-05 893K546 AMD 5//1R37CA225877-01A1 | 156,925 161,766 | |
| | 71 | 93.394 | A659518-Amnd 1//5U01CA264039-05 | 36,226 | _ |
| | 226 | 93.394 | AWD103095 (SUB00000749)//1R33CA269100-01 | 101,816 | _ |
| | 147 | 93.394 | EH21-211-S1//1R01CA263091-01A1 | 16,426 | — |
| | 226 226 | 93.394 93.394 | FP064995//5U01CA217078-05 FP066297-02-PR-B//5R01CA223662-05 | 36,938 90,486 | |
| | 229 | 93.394 | FY23.1060.001//1R01CA257958-01A1 | 130,390 | _ |
| | 48 | 93.394 | S01//5R44CA206801-05 | 167,601 | _ |
| | 288 | 93.394 | WU-23-0259//1R01CA275430-01 | 181,948 | |
| | 450 | 00.005 | | 6,663,071 | 778,684 |
| | 152 27 | 93.395 93.395 | 1013080_NORTHWESTERN//5U10CA180888-09 AMD 4 120870//5U10CA180821-10 | 19,622 121,658 | |
| | 27 | 93.395 | 120870//5U10CA180821-10 | 220,174 | _ |
| | 27 | 93.395 | 123941 AMD 3//5U01CA253190-04 | 46,991 | — |
| | 269 | 93.395 93.395 | 169448/169447//5 R01 CA257148-02 1R01CA257926-01A1 | 16,176 625,680 | |
| | | 93.395 | 1R01CA267544-01 | 349,629 | _ |
| | | 93.395 | 1R01CA269967-01 | 594,019 | 194,792 |
| | | 93.395 | 1R01CA272639-01A1 | 33,380 | — |
| | 113 | 93.395 93.395 | 1R21CA245447-01A1 213607//1 R01 CA259173-01A1 | 71,522 69,476 | _ |
| | 113 | 93.395 93.395 | 223295-2 amd3//5R01CA241758-03 | 94,349 | _ |
| | 124 | 93.395 | 229149 AZD2014//5R01CA201130-04 | (8,589) | _ |
| | | 93.395 | 3R01CA274952-02S1 | 585,385 | 349,787 |
| | 211 | 93.395 93 395 | 410013075//3UM1CA186644-06S2 4B37CA222294.06 | 94,549 72,431 | — |
| | | 93.395 93.395 | 4R37CA222294-06 5R01CA120813-15 | 72,431 502,839 | 132,859 |
| | | 93.395 | 5R01CA191317-05 | (262) | |
| | | 93.395 | 5R01CA208246-05 | 223,295 | _ |
| | | 93.395 | 5R01CA219013-05 | 516,137 | 513,237 |
| | | 93.395 93.395 | 5R01CA221150-05 5R01CA223963-04 | (6,136) 384,364 | 187,937 |
| | | 93.395 | 5R01CA224275-05 | 521,732 | 169,862 |
| | | 93.395 | 5R01CA228272-05 REVISED | 518,953 | 84,671 |
| | | 93.395 | 5R01CA233878-03 | 250,185 | _ |
| | | 93.395 | 5R01CA245969-03 | 403,022 | |

Using Prostate Health Index and MRI in Combination for Cost-effectively Detecting High-Grade Prostate Cancer in Minorities Bioinspired chemical probe approach targeting telomerase reverse transcriptase Investigating Rational Combination Therapies for Triple-Negative Breast Cancer Ornithine Aminotransferase Inactivation, a New Approach for Treatment of Cancers Training Swallowing Initiation during Expiration: Impact on Safety and Efficiency Following Treatment for Oropharyngeal Head and Neck Cancer Co-targeting BET Bromodomain Proteins and MNK Kinases in Pancreatic Cancer Web-based Pain Coping Skills Training to Improve Pain and Poor Adherence caused by Aromatase Inhibitor-Associated Arthralgia In Breast Cancer Survivors (SKI Controlled Trial Rapid evaluation of immunotherapy regimens in ex vivo human pancreatic tumor slice cultures. Therapeutic Targeting of Malignant Glioma Stem Cells A biomarker-driven strategy to guide the use of radiotherapy in non-small cell lung cancer Development of B-cell-based vaccine for Glioblastoma Nano-therapeutics Reprogramming of Immunosuppressive Myeloid Cells Potentiate Radiotherapy for Glioblastoma Cellular plasticity gives rise to phenotypic equilibrium in small cell lung carcinoma Northwestern University Lead Academic Participating Site In vivo Drug Testing of Pediatric CNS Tumors Using Patient Derived Orthotopic Xenograft Models Acceptance and Commitment Therapy for Fatigue Interference in Metastatic Breast Cancer Radiation Oncology Chair of the Children's Oncology Group Institutional Membership-Alliance for Clinical Trials in Oncology Toward Translation of an Immunotherapeutic Nanomedicine for Neuroblastoma PSMA-targeted AuNPs for MR guided radiotherapy and radiosensitization ECOG-ACRIN Deputy Chair of Policy and Implementation Pre-clinical development of a novel class of immunotherapeutic antibody for metastatic prostate cancer Advancing treatment and understanding of immunotherapy in glioblastoma MnSOD-K68-Ac reprograms a lineage plasticity switch / stemness in ER+ breast malignancies Optogenetic Control of Tumor Initiation and Tumor Progression in vivo Targeting posttranslational modifications of CD73 in TNBCs Novel immune suppressive activities of Fas/CD95 in triple negative breast cancer The hypoxic niche in glioblastoma is maintained by myeloid produced creatine Mechanisms of KSHV-induced endothelial cell loss of contact inhibition of proliferation Mechanism and therapeutic potential of macrophage regulation in glioblastoma Tumor suppressive functions of TET proteins in B cell malignancies: role of G-quadruplex structures Signal Transduction of Type 1 Interferons in Malignant Cells Targeting Novel Protein Complexes for the Treatment of Acute Myeloid Leukemia Renewal: HPV and the DNA Damage Response Target MIC shedding to revive anti-tumor immunity The role of GPSM3 in tumor-promoting emergency myelopoiesis Identification and targeting of mechanisms specific to glioma stem cells in glioblastoma MnSOD Acetylation Promotes Cancer Stem Cell Phenotypes in Breast Cancer Galpha13 and pancreatic cancer progression Targeting Musashi-2 (MSI2) regulation of VEGFR2/VEGF-A in lung cancer WEE1 inhibition and tumor immunity Role of Desmoglein 1 in Keratinocyte-Melanocyte Communication and Melanoma Genetic mechanisms underlying sexual dimorphism in cancer and response to therapy Targeting a Treg deubiquitinase in antitumor immune therapy How Circulating Melanoma Cells Usurp the Leukocyte Transmigration Mechanism for Successful Metastasis Understanding Progesterone Receptor action in Obesity for Endometrial Cancer Prevention Molecular mechanisms underlying circulating tumor cell aggregation Transcriptional Control of Cellular Survival and Proliferation in KSHV-transformed B Cells Modeling the Glioblastoma Microenvironment to Uncover Progression Mechanisms and Therapeutic Targets The distinct role of cysteinyl leukotriene receptor for myeloid-derived suppressive cells Reactive Oxygen Species in the Initiation, Survival and Racial Disparity of Uterine Leiomyoma A non-canonical role for EZH2 in rRNA methtlation Comprehensive analyses of HOXB13-regulated transcriptional programs critical for prostate cancer progression A deubiquitination module controls Treg adaptation to tumor microenvironment Genome-wide mapping and characterization of exitrons in human cancer Mechanisms of Lymphomagenesis of Skin Resident Gamma Delta T cells Stinging the Glioma Immune Landscape KSHV-induced oncogenic changes in a primary human lymphatic endothelial cell model of Kaposi's Sarcoma DISE – a natural cancer surveillance mechanism – a new road to cancer therapy Mitochondrial metabolism and ROS regulate cancer Computational Approaches for Studying Transcription Elongation Control in Cancer Matching panels of in vivo and in vitro model system of pediatric brain tumors Targeting posttranslational modifications of B7-H4 in carcinogenesis and therapy Targeting posttranslational modifications of CD73 in TNBCs SPORE for Translational Approaches to Brain Cancer Adapting Colorectal Cancer Screening Education Material for Two-Spirit, LGBTQIA, and Urban Native Americans Northwestern University Center for Chromatin NanoImaging in Cancer (NU-CCNIC) Mechanical determinants of organ-selective metastatic colonization, dormancy and outgrowth The Robert H. Lurie Comprehensive Cancer Center – Merit Extension The Northwestern University Cancer Health Equity Research SPORE (NU-CHERS)

The Robert H. Lurie Comprehensive Cancer Center

SPORE in Prostate Cancer SPORE in Prostate Cancer

SPORE for Translational Approaches to Brain Cancer

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

| | Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures | Subrecipien expenditures |
|-------------------------------|----------------------------|---------------------------------|--|-------------------------|-----------------------------|
| | | 93.395 | 5R01CA249973-04 | \$ 726,262 | 252,593 |
| | | 93.395 | 5R01CA254047-03 | 519,396 | 264,941 |
| | | 93.395 | 5R01CA258833-02 | 189,280 | |
| | | 93.395 93.395 | 5R01CA260250-03 5R01CA262502-03 | 450,399 588,347 | 26,558 |
| | | 93.395 | 5R01CA265997-02 | 457,006 | _ |
| KIP-Arthralgia): A Randomized | | | | | |
| | | 93.395 | 5R01CA271220-02 5R21CA255291-02 | 435,901 | 66,074 |
| | | 93.395 93.395 | 5R35CA197725-08 | 92,230 (1,163) | _ |
| | | 93.395 | 5R37CA222294-05 | 332,023 | _ |
| | | 93.395 | 5R37CA258426-03 | 353,351 | _ |
| | | 93.395 | 5R37CA266487-02 | 358,107 | _ |
| | | 93.395 | 5U01CA268052-02 | 430,570 | 5,034 |
| | | 93.395 | 5UG1CA233320-05 | 799,970 | |
| | 17 | 93.395 | 901640-NU AMD 1//5U01CA199288-07 | 33,361 | |
| | 103 160 | 93.395 93.395 | 9121_NW//5R01CA230542-04 AR10934//U10CA180886 | 24,646 22,624 | _ |
| | 5 | 93.395 | Fully-executed 11/13/13 | 30,107 | _ |
| | 279 | 93.395 | OSA00000121//1R01CA274675-01 | 44,369 | |
| | 38 | 93.395 | RES516065 Amnd 3//5R01CA260847-03 | 188,993 | _ |
| | 69 | 93.395 | U10CA180820-06-NWU1A//5U10CA180820-10 | 62,304 | |
| | | | | 12,458,664 | 2,248,345 |
| | 35 | 93.396 | 1001NU//1R44CA247503-02 | (636) | |
| | 223 | 93.396 | 13167sc Amendment 1//5U19CA264338-02 | 300,650 | _ |
| | 269 | 93.396 | 169017/169016//5R01CA253678-02 | 16,255 | _ |
| | 236 | 93.396 | 19012 AMD 1//5R33CA258012-02 | 78,273 | |
| | | 93.396 | 1R01CA258857-01 | (3,815) | |
| | | 93.396 | 1R01CA267815-01A1 | 482,133 | |
| | | 93.396 | 1R01CA279686-01 | 133,758 | |
| | | 93.396 93.396 | 1R01CA285193-01 5R00CA240896-04 | 52,945 291,090 | |
| | | 93.396 | 5R00CA240890-04 5R00CA248835-04 | 303,218 | _ |
| | | 93.396 | 5R01CA077816-22 | 382,025 | _ |
| | | 93.396 | 5R01CA121192-15 | 288,985 | |
| | | 93.396 | 5R01CA142861-12 | 304,766 | _ |
| | | 93.396 | 5R01CA204021-07 | 270,437 | |
| | | 93.396 | 5R01CA208354-05 | 95,703 | _ |
| | | 93.396 | 5R01CA214928-05 | 275,164 | 30,482 |
| | | 93.396 | 5R01CA216882-05 | 247,841 | |
| | | 93.396 93.396 | 5R01CA217907-05 5R01CA218802-05 | 236,141 229,594 | 20,571 |
| | | 93.396 | 5R01CA22963-06 | 210,352 | 20,37 |
| | | 93.396 | 5R01CA228196-05 | 416,087 | 9,255 |
| | | 93.396 | 5R01CA229618-06 | 690,551 | 324,141 |
| | | 93.396 | 5R01CA232347-05 | 288,445 | |
| | | 93.396 | 5R01CA236904-05 | 482,245 | _ |
| | | 93.396 | 5R01CA243249-05 | 418,285 | 71,814 |
| | | 93.396 | 5R01CA245699-04 | 431,944 | |
| | | 93.396 93.396 | 5R01CA247619-04 5R01CA247905-03 | 438,092 646,793 | 19,445 |
| | | 93.396 | 5R01CA250101-04 | 611,385 | |
| | | 93.396 | 5R01CA254367-03 | 562,580 | _ |
| | | 93.396 | 5R01CA256741-02 | 522,781 | 107,635 |
| | | 93.396 | 5R01CA257446-02 REVISED | 441,320 | |
| | | 93.396 | 5R01CA257520-03 | 727,566 | _ |
| | | 93.396 | 5R01CA259388-02 | 293,590 | |
| | | 93.396 | 5R01CA260064-02 REVISED | 526,225 | 121,266 |
| | | 93.396 93.396 | 5R01NS120547-03 REVISED 5R21CA265574-02 | 285,338 203,911 | 49,509 |
| | | 93.396 | 5R35CA197450-07 | (2,405) | _ |
| | | 93.396 | 5R35CA197532-07 | 794,419 | _ |
| | | 93.396 | 5R50CA265372-02 | 142,780 | |
| | 17 | 93.396 | 901599-NU AMD 2//5U01CA217613-03 | 16,427 | |
| | 71 | 93.396 | A679170//7R01CA258765-02REVISED | 11,916 | |
| | 71 | 93.396 | A679670//7R01CA258857-02 REVISED | 426,132 | |
| | | | | 13,571,286 | 754,118 |
| | | 93.397 | 2P50CA221747-06 | 2,253 | _ |
| | 91 | 93.397 | 3P30CA016056-44S3 | 7,258 | — |
| | | 93.397 | 3U54CA268084-02S1 | 1,603,005 | — |
| | 59 | 93.397 | 4560701//U54CA261694/S5594 PO#709222 | 292,367 | |
| | | 93.397 93 397 | 4P30CA060553-29 5P20CA233304.03 | 260,692 1 142 135 | |
| | | 93.397 93.397 | 5P20CA233304-03 5P30CA060553-28 | 1,142,135 5,657,743 | 59,874 |
| | | 93.397 | 5P50CA060555-26 5P50CA180995-05 | 5,657,743 (679) | - |
| | | 93.397 | 5P50CA180995-07 | 1,945,581 | |
| | | | | | |

1/3: The Chicago Cancer Health Equity Collaborative (ChicagoCHEC) Epigenomic Biomarkers of HIV-Associated Cancers in Nigeria The HDAC3 pathway in LKB1-mutant lung cancer and senescence Development and Initial Testing of a Behavioral Intervention to Increase Pre-Test Genetic Counseling Among Families at Risk of Lynch Syndrome NU-TRIHO (Northwestern University Translational Research in Hematology-Oncology) Training Program Training Program in Signal Transduction and Cancer Tissue factor regulation of receptor tyrosine kinases in glioblastoma Elucidation of a structural rationale for the binding of Myc by small molecule inhibitors Investigating Mechanisms of Cancer Redox Homeostasis with Metabolic CRISPR-Based Screens Mechanistic Basis of Resistance to Chemohormonal Treatment in Prostate Cancer Development of Rationally Designed Cancer Vaccines using Protein-Like Polymers (PLPs) Elucidating the Role of C16orf72 in the Cellular Stress Response Network The design, synthesis, and characterization of potent and selective MEK7 inhibitors as targeted therapies for T-cell acute lymphoblastic leukemia Bioresponsive MR probes for imaging pancreatic cancer Irreversible Inhibition of Cerebellar Gli Transcription Factors by Cobalt (III) Complexes A patient-specific hiPSC model of breast cancer to identify genetic determinants of subclonal response and resistance to PARP inhibitors Ovarian Inflammaging as a Mechanism for Ovarian Cancer – NRSA in support of Shweta Satish Dipali Characterizing the role of SRCAP in Epidermal Homeostasis and Squamous Cell Carcinoma Elucidating transcriptional and epigenetic regulators of aggressive cutaneous T cell lymphoma FOXA1 loss-of-function induces inflammatory cytokine signaling and immune suppression in prostate cancer Top-down proteomic characterization of MEK/ERK in MAPK-driven cancers Exosomal CD44 in the metastasis of triple negative breast cancer Mechanisms and therapeutic implications of temozolomide resistance in glioblastoma Improving adherence to posttreatment follow-up care for rural lung cancer survivors through a community-clinical survivorship care team model Using Real Time Mobile Health Approaches to Understand and Promote Oral Chemotherapy Adherence in Adolescents and Young Adults with Leukemia Mechanisms of Translation Regulation by N4-acetylcytidine in Cancer Cells Discovery of Small Molecule-Mediated Protein Degradation Pathways in Human Cancer Carcinogenesis Training Program Carcinogenesis Training Program Training Program in Signal Transduction and Cancer Behavioral and Psychosocial Research Training in Cancer Prevention and Control Collaborative Northwestern Surgical Oncology Research Training (CONSORT) Northwestern Cancer Prevention Consortium NCI Community Oncology Research Program (NCORP) Research Bases (UG1) ECOG-ACRIN NCORP Research Base: Cancer Care Delivery Research (CCDR) ECOG-ACRIN NCORP Research Base – CT – Pilot Study ECOG-ACRIN NCORP Research Base-Clinical Trials-NWU6 ECOG-ACRIN NCORP Research Base-CCDR-NWU7 Young Adult and Midlife Transitions in Physical Activity and Sedentary Behavior with Heart Failure Risk and Progression: Coronary Artery Risk Development in Youn Risk Assessment of Cerebral Aneurysm Growth with 4D flow MRI Machine Learning for the Automated Identification and Tracking of Rare Myocardial Diseases Leveraging a Natural Experiment to Estimate the Effects of School Racial Segregation on Cardiovascular Risk Factors among Youth and Young Adults Cardiac Exosomes in myocardial Ischemic injury Intersection of Material-Need Insecurities and Health Outcomes among People Living with HIV Genomics of Post-Operative Atrial Fibrillation after Cardiac Surgery Proteomics of Cardiovascular Risk: The Multiethnic Study of Atherosclerosis Metabolic effects and mechanisms for heart failure in South Asians Are Interventions Supporting Physical ACtivity modified by the Environment (InSPACE)? Are Interventions Supporting Physical ACtivity modified by the Environment (InSPACE) consortium opportunity Enabling AI/ML Readiness and Modernization of Longitudinal Pregnancy and Cardiovascular Health Data Cholesterol Regulation of Endothelial K+ Channels Coronary Artery Calcium and its Association with Protective/Risk Factors and Epigenetic Patterns in Diverse US Hispanic/Latino Adults High-Definition Conformal Electronics for VT/VF Dissecting the Role of Desmoplakin in Inflammation in Cardiomyopathy Dual-Venc 5D flow for Assessment of Congenital Heart Disease in Pediatrics Utilizing Patient-Specific hiPSC-CMs to Investigate Pediatric Calmodulinopathy (NRSA Predoc Fellowship for Katherine A. Fetterman, BA.) A Mixed-Methods Evaluation of mHealth Weight Management for Racial/Ethnic Minorities Mechanosensing and Mechanotransduction in the Endothelial Nucleus Measurement of ab-initio physiomarker using wearable sensors to predict aneurysm growth and formation Physiologic stress in advanced tissue culture models of cardiomyopathy Mechanisms linking the frail sarcomere to noncompaction cardiomyopathy Reagentless Sensor Technologies For Continuous Monitoring of Heart Failure Biomarkers Quantitative Detection of Coronary Microvascular Dysfunction in Long COVID Patients using a Comprehensive, Rapid, Free-Breathing Cardiovascular MRI Extracellular matrix regulation of cellular crosstalk in cardiac fibrosis STRIVE: Understanding the contributions of stress reactivity to racial disparities in adverse placental and pregnancy outcomes Bridging Basic and Translational Science in Cardiovascular Disease Mitral Regurgitation Quantification Using Dual-venc 4D flow MRI and Deep learning Optimization of electromechanical monitoring of engineered heart tissues Optimizing the Implementation of a Population Panel Management Intervention in Safety-Net Clinics for Childhood Hypertension Optimizing the Implementation of a Population Panel Management Intervention in Safety-Net Clinics for Childhood Hypertension Blood Pressure as a Modifiable Risk Factor for Cardiovascular Events in Liver Transplant Recipients 2-Generation Interventions to Improve Cardiovascular Health in Indiana and Illinois Through Home Visiting (2-NOURISH) The Rhythm Evaluation for Anticoagulation with Continuous Monitoring of Atrial Fibrillation Trial (REACT-AF) Interplay of Myocardial Fibrosis and Cardiac TTR Amyloidosis in Age-Related Cardiac Remodeling in MESA

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

| | Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures | Subrecipient expenditures |
|----------------------|----------------------------|---------------------------------|---|-------------------------|------------------------------|
| | | 93.397 93.397 | 5U54CA203000-08 5U54CA221205-05 | \$ | 58,492 |
| | | | | 14,544,161 | 212,173 |
| | | 93.398 | 1K22CA251636-01A1 | 174,120 | |
| | | 93.398 | 1K99CA277584-01 | 51,668 | |
| | | 93.398 | 1T32CA268935-01A1 | 25,330 | — |
| | | 93.398 93.398 | 2T32CA070085-26A1 5F30CA243288-03 | 25,706 46,302 | _ |
| | | 93.398 | 5F30CA250196-04 | 50,046 | _ |
| | | 93.398 | 5F30CA250236-04 | 44,601 | _ |
| | | 93.398 93.398 | 5F30CA250248-03 5F30CA257519-03 | 48,272 60,931 | |
| | | 93.398 | 5F30CA264513-03 | 30,742 | _ |
| | | 93.398 | 5F31CA228431-04 REVISED | 40,597 | — |
| | | 93.398 93.398 | 5F31CA235997-04 REVISED 5F31CA236175-04 REVISED | 8,213 60 | — |
| | | 93.398 | 5F31CA247395-03 | 40,975 | _ |
| | | 93.398 | 5F31CA257300-02 | 39,735 | _ |
| | | 93.398 | 5F31CA261114-03 | 45,435 | |
| | | 93.398 93.398 | 5F31CA268839-02 REVISED 5F31CA271826-02 | 41,853 28,654 | _ |
| | | 93.398 | 5F32CA246894-02 REVISED | 10,813 | _ |
| | | 93.398 | 5F32CA257345-02 | 42,202 | — |
| | | 93.398 | 5F32CA264883-02 | 68,284 | _ |
| | | 93.398 93.398 | 5K01CA262342-03 5K08CA241335-06 | 151,647 116,944 | |
| | | 93.398 | 5R00CA245035-04 | 207,436 | _ |
| | | 93.398 | 5R00CA248715-04 | 273,992 | _ |
| | | 93.398 93.398 | 5T32CA009560-35 5T32CA009560-37 | (1,444) 305,850 | |
| | | 93.398 | 5T32CA009580-57 5T32CA070085-25 | 123,501 | _ |
| | | 93.398 | 5T32CA193193-09 | 331,323 | |
| | | 93.398 | 5T32CA247801-04 | 310,931 | |
| | | | | 2,744,719 | |
| | 69 | 93.399 93.399 | 5UG1CA242643-04 UG1CA189828-06-NWU1//5UG1CA189828-09 | 987,121 | 185,932 |
| | 69 | 93.399 | UG1CA189828-06-NWU2//5UG1CA189828-09 | 182,290 38,329 | _ |
| | 69 | 93.399 | UG1CA189828-07-NWU4//5UG1CA189828-07 | 30,002 | 30,000 |
| | 69 | 93.399 | UG1CA189828-07-NWU6//5UG1CA189828-09 | 40,836 | — |
| | 69 | 93.399 | UG1CA189828-07-NWU7 Amend 2//5UG1CA189828-09 | 20,476 | |
| | | | | 1,299,054 | 215,932 |
| oung Adults (CARDIA) | 214 161 | 93.837 93.837 | 000528250-SC001//5R01HL149796-01A2 11001052-014Amd3//5R01HL115267-08 | 131,985 103,179 | |
| | 27 | 93.837 | 120816//5R01HL140731-04 | 92,613 | _ |
| | 223 | 93.837 | 12245sc AMD 2//R01HL151638 | 13,438 | |
| | 27 | 93.837 | 123168//5R01HL150401-04 | 54,134 | — |
| | 223 27 | 93.837 93.837 | 12480sc-Amnd 02//5R01HL155226-03 125218//5R01HL149998-03 | 10,749 44,056 | _ |
| | 223 | 93.837 | 12917SCAmd1//5R01HL159081-02 | 34,162 | _ |
| | 223 | 93.837 | 12997sc//1R01HL149809-02 | 602,177 | |
| | 181 | 93.837 | 13018SUB//5R01HL157166-02 | 6,383 | |
| | 181 169 | 93.837 93.837 | 13023SUB | 6,127 12,631 | _ |
| | 236 | 93.837 | 18591//5R01HL73965-15 | 45,958 | |
| | 236 | 93.837 | 18741 AMD1//5R01HL152692-02 | 275,996 | _ |
| | 83 | 93.837 93.837 | 19-S13-02//5R01HL141470-03 1F30HL162454-01A1 | (103,329) 22,916 | |
| | | 93.837 | 1F30HL165805-01A1 | 4,218 | _ |
| | | 93.837 | 1F31HL156596-02 | 11,171 | |
| | | 93.837 93.837 | 1F31HL162555-01A1 1F31HL165767-01 | 41,814 38,682 | |
| | | 93.837 93.837 | 1F31HL165767-01 1F32HL162417-01 REVISED | 38,682 64,062 | _ |
| | | 93.837 | 1K08HL163392-01A1 | 74,361 | _ |
| | | 93.837 | 1K99HL168239-01 | 6,818 | |
| | | 93.837 93.837 | 1R01HL165002-01A1 1R01HL167148-01A1 | 225,200 52,824 | |
| | | 93.837 | 1R01HL167813-01 | 29,388 | _ |
| | | 93.837 | 1R01HL168409-01 | 39,238 | |
| | | 93.837 | 1R13HL165778-01 | 30,000 | |
| | | 93.837 93.837 | 1R21HL168612-01 1R33HL168758-01 | 2,341 85,838 | |
| | | 93.837 | 1R56HL148192-01 | | |
| | | 93.837 | 1R56HL148192-01 | 625 | 625 |
| | | 93.837 | 1R56HL155093-01 | 210,550 | 133,410 |
| | | 00 007 | | EEC A07 | |
| | | 93.837 93.837 | 1UG3HL163121-01 1UG3HL165065-01 | 556,187 1,809,168 | 59,537 1,391,630 |

Cluster title/federal grantor/subagency/project title Effect of Hospital-Cardiologist Integration on Clinical Practice, Healthcare Quality, and Medicare Spending Stigma and the non-communicable disease syndemic in aging HIV positive and HIV negative MSM Pleiotropic Role of PAI-1 in Cardiovascular Aging Implementing an evidence-based mHealth healthy diet and physical activity intervention: Make Better Choices 2 for rural Appalachians Decrypting Variants of Uncertain Significance in Long-QT Syndrome Decrypting Variants of Uncertain Significance in Long-QT Syndrome An Interpersonal Relationships Intervention for Improving Cardiovascular Health in Youth COVID-19: Administrative Supplement to Real-time Wideband Cardiac MRI for Patients with a Cardiac Implantable Electronic Device Immunologic, Inflammatory, and Clinical contributors to HIV-Related Heart Failure with Preserved Ejection Fraction (HFpEF) Mitochondrial DNA, Nuclear DNA Methylation, and Cardiometabolic Disease Traits Racial/Ethnic Disparities in Heart Failure: A cross-cohort collaboration Cook County Clinical Research Site (CC_CRS) of the MACS/WIHS Combined Cohort Study (MW_CCS) Metabolic pathways to cardiovascular disease: A multi-omics approach Efficacy of Potassium Nitrate In Heart Failure with Preserved Ejection Fraction Early Psychosocial Intervention and Child and Parent Cardiovascular Disease Risk Evaluation of Aortic Hemodynamics and Compliance in Aortic Stenosis Skin-like, stretchable, and wearable sensors for monitoring QT interval and hemodynamic variables in Atrial Fibrillation (Fellowship award for Andreas Tzavelis) Requirements and mechanisms of alloantigen-induced cardiac allograft survival by cDC1s – NRSA F30 to support Samantha Leigh Schroth Alternative Mechanisms of Monocyte Transendothelial Migration in Inflammation (NRSA Predoc Fellowship for Margarette Clevenger) "Youth Exposure to Violence and Pulmonary and Cardiovascular Health: Meta- and Primary-Analytic Approaches to Understanding Mediators and Moderators." NRSA Predoc Fellowship for Emily Pinheiro: A Patient-Specific hiPSC Model of Nilotinib-Induced Peripheral Artery Disease Pharmacogenomics NIH NRSA Predoc Fellowship for Mallory Filipp: MerTK as a new target to understand and ameliorate HFpEF (Fellow-Mallory Filipp) Elucidating the Mechanisms by which Macrophages and their TAM Receptors Promote Cardiac Regeneration F31for Connor W Lantz Arrhythmia-resolved 5D Flow MRI in Atrial Fibrillation and Stroke Social Network Characteristics and Cardiovascular Health in South Asian Americans Neighborhood-level Structural Racism and Cardiovascular Health Among African American Youth and Young Adults Predicting risk of cardiotoxicity among young and emerging adult breast cancer patients from treatment to survivorship Pathogenesis of Heart Failure with Preserved Ejection Fraction in Chronic Kidney Disease Electronic Health Record Nudges to Improve Quality of Care in Heart Failure MASALA-2G: Multi-level Assessment of the South Asian Life-course of Atherosclerosis (2nd Generation Offspring Study) Mentored patient-oriented research of novel mechanisms for cardiovascular disease in patients with chronic kidney disease A Fully Biodegradable, Implantable, Wireless, Battery-free, Miniaturized Cardiac Pacemaker with Closed-loop System for Neonatal and Pediatric Patients Non-contrast 3D T1p Mapping for Myocardial Fibrosis Quantification of Pediatric Cardiomyopathy Patients PAI-1 and Vascular Senescence Sarcoglycan in Myopathy and Muscle Membrane Stability Prox1 in Mammalian Lymphangiogenesis Determinants, Trajectories, and Consequences of Abnormal Cardiac Mechanics Functional Cardiovascular 4D MRI in Congenital Heart Disease Precision MRI of Left Atrial Fibrosis for Patients with Atrial Fibrillation Comprehensive Cardiac Structure-Function Analysis in Heart Transplantation Decrypting Variants of Uncertain Significance in Long-QT Syndrome Efferocytosis-Directed Inflammation Resolution and Repair in the Hypoxic Heart Cardiomyopathy Genomes Project Mechanical circulatory support: Measures of adjustment and quality of life Improve PAD PERformance with METformin. The PERMET Trial Community Translation of the South Asian Healthy Lifestyle Intervention (SAHELI) Metabolic Pathways Underlying the Contrasting Sodium-BP and DASH/OmniHeart-BP Relationships Methods to Improve Personalized Cardiovascular Disease Prevention Across the Life Course Rapid Real-Time Cardiovascular MRI for Detecting Coronary Artery Disease Mechanistic insights into the deleterious effects of SIRT2 in the heart under stress conditions TAM-Kinases in Transplant Mechanistic insights into the role of mitochondrial iron in doxorubicin-induced cardiomyopathy using human induced pluripotent stem cells Regulating fibrosis and growth through latent TGFbeta binding proteins Mechanistic insights into HIV-mediated heart failure with preserved ejection fraction Low-Profile 3D-Printed Radiopaque Bioresorbable Vascular Scaffolds Spontaneous cardiac fibrosis in PAI-1-deficient mice and men: A rare mutation informs a common molecular pathophysiology Molecular mechanisms of Foxc-mediated angiogenesis Epigenetic Determinants of Lipoproteins Across the Early Adult Life Course Pathogenesis of lung injury mediated by lung-restricted antibodies Role of Spleen educated monocytes in mediating ischemia-reperfusion injury following lung transplant Non-invasive Evaluation of Intracranial Atherosclerotic Disease Using Hemodynamic Biomarkers Cardiovascular Health Associations with Minority stress: Biobehavioral Evaluations and self-Reported Sociopsychological outcomes by SOGI status (CHAMBERS Real-time Wideband Cardiac MRI for Patients with a Cardiac Implantable Electronic Device Functional roles of lymphatics in organogenesis and tissue repair The identification and pathophysiology of non-infarcted but injured myocardium in the post-ischemic heart Targeting the Meta-organismal Butyrate Pathway to Prevent Arterial Restenosis after Vascular Surgery Myocardial Vulnerability to Ischemia-Induced Dysfunction and Heart Failure: The Impact of HIV/SIV, ART, and Targeted Immunotherapy Cardiovascular Health Trajectories from Birth Thru Adolescence in A Diverse Cohort of Children Role of mRNA-binding protein tristetraprolin in cardiac mRNA regulation and the development of heart failure Combining longitudinal cohort studies to examine cardiovascular risk factor trajectories across the adult lifespan and their association with disease Contributions of Myeloid Metabolism to Diastolic Dysfunction New roles of endothelial regrowth in ischemic tissue recovery and regeneration Defining cellular mechanisms of chronic graft failure in transplanted hearts with single cell multi-omics PRegnancy OuTcomEs and subclinical Cardiovascular disease sTudy: (PROTECT) Mitochondrial respiration as a regulator of lymphatic cell fate and therapeutic lymphangiogenesis Microbe-Related Modulation of Neointimal Hyperplasia after Arterial Injury 4D Virtual Catheter (vCath) Assessment of Hemodynamic Pathways in Aortopathy Pathogenesis **Risk-Based Primary Prevention of Heart Failure**

Vascular Growth and Regeneration

New and Disruptive Therapeutic Approaches to Target Fundamental Molecular Mechanisms Underlying Atrial Fibrillation

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NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

Year ended August 31, 2023

| | Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures |
|----|----------------------------|---------------------------------|---|-------------------------|
| | 83 | 93.837 | 20-M38 AMD3//5R01HL153154-03 | \$ 190,964 |
| | 176 | 93.837 | 25400369//7R01HL60326-03 | 13,633 |
| | 241 | 93.837 93.837 | 2R01HL051387-26 | 25,545 |
| | 241 | 93.837 93.837 | 3200004756-23-020//5R01HL152714-03 3R01HL122010-08S1 | 72,716 (35,481 |
| | | 93.837 | 3R01HL122010-08S1 3R01HL122010-08S1 | 43,069 |
| | | 93.837 | 3R01HL136676-05S1 | 753,365 |
| | | 93.837 | 3R01HL151079-01S1 | 131,293 |
| | | 93.837 | 3R01HL156792-03S1 | 868,889 |
| | 26 | 93.837 | 4500004054 AMD 001//5R01HL155569-02 | 24,950 |
| | 118 | 93.837 | 5001732-02-NORTHW//5R01HL150170-02 | 79,002 |
| | 92 | 93.837 | 50811-324-NWU//5U01HL146245-03 AMD 05 | 36,079 |
| | 253 | 93.837 | 5116709/5R01HL143885-04 | 27,130 |
| | 258 | 93.837 | 574598 Amnd 6//5R01HL121510-05 | (4,176 |
| | 156 | 93.837 93.837 | 5875-NU-DHHS-7809 AMD 5//HL137809 | 35,187 |
| | | 93.837 | 5F30HL145995-04 5F30HL157066-03 | 39,809 32,504 |
| | | 93.837 | 5F30HL162456-02 | 41,588 |
| | | 93.837 | 5F31HL147413-04 REVISED | 48,529 |
| | | 93.837 | 5F31HL147509-03 REVISED | 23,754 |
| | | 93.837 | 5F31HL151160-03 | 29,625 |
| | | 93.837 | 5F31HL156408-03 | 45,463 |
| | | 93.837 | 5F31HL158200-02 | 49,073 |
| | | 93.837 | 5F31HL165915-02 | 41,978 |
| | | 93.837 | 5F32HL149187-02 | (2,208 |
| | | 93.837 | 5F32HL164050-02 | 68,612 |
| | | 93.837 | 5K01HL152009-04 | 184,631 |
| | | 93.837 | 5K23HL150236-03 | 193,465 |
| | | 93.837 | 5K23HL155970-03 | 182,998 |
| | | 93.837 | 5K23HL157766-02 | 168,556 |
| | | 93.837 | 5K24HL150235-04 | 136,680 |
| | | 93.837 | 5K99HL155844-02 | 12,418 |
| | | 93.837 | 5K99HL161469-02 | 73,410 |
| | | 93.837 93.837 | 5R01HL051387-25 5R01HL061322-23 | 213,467 225,330 |
| | | 93.837 | 5R01HL073402-19 | (114,637 |
| | | 93.837 | 5R01HL107577-08 | (114,037) (56,797) |
| | | 93.837 | 5R01HL115828-09 | 348,476 |
| | | 93.837 | 5R01HL116895-08 | 625,671 |
| | | 93.837 | 5R01HL117888-07 | 614,010 |
| | | 93.837 | 5R01HL122010-10 | 1,099,685 |
| | | 93.837 | 5R01HL122309-09 Revised | 532,174 |
| | | 93.837 | 5R01HL128075-09 | 625,820 |
| | | 93.837 | 5R01HL130502-05 | 68,827 |
| | | 93.837 | 5R01HL131771-05 REVISED) | 295,113 |
| | | 93.837 | 5R01HL132978-05 | 349,493 |
| | | 93.837 | 5R01HL135486-04 | 83,117 |
| | | 93.837 | 5R01HL136942-04 | 114,798 |
| | | 93.837 | 5R01HL138578-03 | 2,203 |
| | | 93.837 | 5R01HL138982-04 Revised | 271,369 |
| | | 93.837 03.837 | 5R01HL139812-04 | 377,140 |
| | | 93.837 93.837 | 5R01HL140927-04 5R01HL140938-04 | 475,966 3,069 |
| | | 93.837 | 5R01HL140938-04 5R01HL140973-04 | 3,069 101,778 |
| | | 93.837 | 5R01HL141933-04 | 613,108 |
| | | 93.837 | 5R01HL142761-04 Revised | 208,668 |
| | | 93.837 | 5R01HL144129-04 | 142,346 |
| | | 93.837 | 5R01HL146844-04 | 325,053 |
| | | 93.837 | 5R01HL147290-04 | 258,933 |
| | | 93.837 | 5R01HL147575-04 | 136,524 |
| | | 93.837 | 5R01HL149787-04 | 576,429 |
| 5) | | 93.837 | 5R01HL149866-04 | 613,290 |
| | | 93.837 | 5R01HL151079-04 REVISED | 574,051 |
| | | 93.837 | 5R01HL151388-03 Revised | 507,148 |
| | | 93.837 | 5R01HL152712-03 Revised | 830,009 |
| | | 93.837 | 5R01HL153306-03 | 787,218 |
| | | 93.837 | 5R01HL154862-03 | 732,708 |
| | | 93.837 | 5R01HL155864-03 | 809,252 |
| | | 93.837 | 5R01HL155953-02 Revised | 357,523 |
| | | 93.837 | 5R01HL158963-03 | 681,847 |
| | | 93.837 | 5R01HL159964-02 | 484,565 |
| | | 93.837 | 5R01HL159976-02 | 597,305 380 381 |
| | | 93.837 | 5R01HL160552-02 5R01HL161514.02 Rovised | 389,381 523 546 |
| | | 93.837 | 5R01HL161514-02 Revised | 523,546 |
| | | 93.837 93.837 | 5R01HL162800-02 5R03HL146880.02 | 525,293 (550 |
| | | 93.837 | 5R03HL146880-02 | (550 |
| | | 93.837 | 5R21HL150498-02 REVISED | 16,029 55,202 |
| | | 93.837 93.837 | 5R21HL165376-02 | 55,202 1 087 274 |
| | | 93.837 | 5R35HL140014-06 | 1,087,274 |
| | | 93.837 | 5R35HL161249-02 | 1,387,533 |

| Subrecipient expenditures |
|--|
| |
| 303,116 430,341 |
| |
| |
| |
| |
| 39,149 |
| 229,479 81,148 116,451 547,574 90,886 289,247 |
| 38,171 168,899 19,185 |
| 51,757 — |
| 17,471 |
| 11,364 97,696 |
| 295,107 271,797 72,516 |
| 12,163 57,865 550,662 237,493 6,912 290,918 |
| 7,879 37,653 304,220 |
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Research Training in CVD Epidemiology and Prevention

Vascular Surgery Scientist Training Program

Northwestern University Molecular and Translational Cardiovascular Training Program

Northwestern CORE Clinical Research Site: Trans-omics for HIV/AIDS Research

Underlying Mechanisms in CADASIL

CHIcago Center for Accelerating nextGen Omics, deep phenotyping, and data science in Heart Failure (CHICAGO-HF)

HeartShare DeCODE-HF: Data translation center to Combine Omics, Deep phenotyping, and Electronic health records for Heart Failure subtypes and treatment tar 1/2 + PROmote weight loss in obese PAD patients to preVEnt mobility loss: the PROVE Trial

Precision Medicine for Dilated Cardiomyopathy in European and African Ancestry

The WHI Strong and Healthy SilenT Atrial febrillation Recording study (WHISH STAR) Continuation of the nuMoM2b Heart Health Study

Identifying effective strategies used by Medicare Accountable Care Organizations to improve outcomes for patients with heart failure: A mixed-methods study High-Definition Conformal Electronics for VT/VF

Graphene optoelectronic biointerfaces for enabling optical cardiac pacemaking

Response of the Gut Microbiome and Circulating Metabolome to Diet Intervention in Young Children: Ancillary Study to the Keeping Ideal Cardiovascular Health Fan Understanding Inflammatory and Metabolic Pathways of Myocardial and Vascular Dysfunction in South African Youth Living with Perinatal HIV Physical Activity Measurement in Toddlers

MMP Responsive Nanoparticles for Treating Acute Myocardial Infarction

A New Biological Therapy for Atrial Fibrillation

Hyperglycemia and Adverse Pregnancy Outcome Study- Cardiovascular Health of HAPO Offspring (HAPO CVH)

MMP Responsive Polymeric Materials for Treating Acute Myocardial Infarction Randomized Evaluation of Bromocriptine in Myocardial Recovery Therapy for Peripartum Cardiomyopathy (REBIRTH)

Microbiomic Mechanisms of Association Between Early-Life Social Determinants and Young-Adult Subclinical CVD

Development of a multi-modal targeted nanotherapeutic to prevent restenosis in an atherosclerotic environment

Renin cell identity and blood pressure homeostasis

Precision medicine for dilated cardiomyopathy – novel assessment of cardiac mechanics via speckle tracking echocardiography to identify early phenotypes Plaque and blood derived macrophages: a multi-omic assessment of CVD pathogenesis in PLWH

Pim1 kinase coordinates PPAR gamma pathway and mitochondrial function to mediate pro-atherogenic responses in macrophages

REPRIEVE A5332 and A5333s Trans-omic analysis of epicardial adipose tissue in atrial fibrillation

Processed Food Intake, Metabolomics, and Adiposity

A New and Disruptive Gene Based Therapy for Atrial Fibrillation

Genetic Architecture of Cardiac Structure and Function and Its Impact on Heart Failure CARDIALEN CL007: Novel Therapy System for Atrial Fibrillation

Impacts of Discriminatory Mortgage Lending Practices on Obesity

HCMR – Novel Predictors of Outcome in Hypertrophic Cardiomyopathy

INVESTED – : INfluenza Vaccine to Effectively Stop Cardio Thoracic Events and Decompensated heart failure (INVESTED)

The Fragile Families Cardiovascular Health Follow Up Study

Cardiac Mechanisms of Sudden Unexpected Death in Epilepsy Pravastatin Clinical Center Participation Terms and Conditions – Clinical Center (#28

Dietary sodium, inflammation, and salt sensitivity of blood pressure

A double-blind randomized controlled trial to assess the effectiveness and tolerability of a quadruple ultra-low-dose treatment for hypertension (QUARTET)

Video Telehealth Pulmonary Rehabilitation to Reduce Hospital Readmissions in Chronic Obstructive Pulmonary Disease

Self-management Behaviors Among COPD Patients with Multi-morbidity COVID-19: Collaborative Cohort of Cohorts for COVID Research (C4R)

Classification and Prognostication in Pulmonary Thromboembolism Using Computed Tomography Image Analytics

The transcription factor Miz1-mediated mechanisms of lung aging

COVID-19: NIH Community Engagement Alliance (CEAL) Against COVID-19 Disparities

Metabolic control of regulatory T cells during metabolic stress caused by viral pneumonia – F31 for Manuel Andres Torres

COVID-19: Transitions Among Discrete Clinical States During ICU Stays in Patients with SARS-CoV-2 Pneumonia – F32 to support Catherine A. Gao The role of epigenetic regulator UHRF1 in stability of induced regulatory T-cell function during influenza A virus-induced lung injury -F32 for Anthony Joudi Outcomes for Children with Asthma on Medicaid: Elucidating Key Determinants at the Policy, Plan, Neighborhood, and Person Levels to Address Disparities.

Time to ATTAC: Adoptive Transfer of T cells Against gp100+ Cells to treat LAM

Precision phenotyping of emphysema in the elderly: the MESA Lung Study

Pathogenesis of lung injury mediated by lung-restricted antibodies

An Anesthesia-Centered Bundle to Reduce Postoperative Pulmonary Complications: The PRIME-AIR Study

Combined Cardiopulmonary Failure in COPD: SPIROMICS HF PreCISE Network site study

Implementation of Behavioral Economic Approaches to Improve Evidence Uptake for Mechanically Ventilated Patients

Understanding the interstitial phenotype of impaired respiratory health through CT imaging and blood biomarkers – F32 for Gabrielle Y-Hui Liu

NRSA Postdoc Fellowship for J. Ho in support of: Role of peptidylarginine deiminase in modulating macrophage functions in sepsis

Mitochondrial metabolic regulation of lung epithelium: alveolar generation and regeneration Mechanisms of regulatory T-cell mediated endothelial repair following viral pneumonia in aged hosts

Mechanisms of Recovery from Viral Pneumonia

Transitions from Impaired Respiratory Health to Lung Disease

Wnt-beta-catenin cross interactions in alveolar macrophages and epithelial cells in persistence of SSc-ILD

Donor nonclassical monocytes initiate lung injury following transplantation Role of hypercapnia on the lung airways

Regulation of airway epithelial cell-mediated inflammation by CRAC channels

Mechanisms of regulatory T cell-mediated recovery from severe influenza A virus infection

Lung transplant injury drives chronic lung allograft dysfunction via recruitment of monocyte-derived alveolar macrophages

Targeting linear ubiquitination to attenuate inflammation and promote repair after IAV infection

COVID-19: Targeting abnormal alveolar immune activation and failed epithelial repair in COVID-19

Patterns of Cardiopulmonary health across the life course Alveolar epithelial stress-induced polyploidization in lung injury and repair

A redox-sensitive switch in the macrophage nucleus regulates acute phase inflammatory injury

Training Program In Lung Sciences

The American Lung Association (ALA) Lung Health Cohort

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

Year ended August 31, 2023

| | Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures | Subrecipient expenditures |
|------------------------------------|----------------------------|---------------------------------|--|-------------------------|------------------------------|
| | | 93.837 | 5T32HL069771-20 | \$ 293,457 | _ |
| | | 93.837 | 5T32HL094293-15 | 151,538 | — |
| | | 93.837 | 5T32HL134633-05 | 97,180 | 190 556 |
| | | 93.837 93.837 | 5U01HL146240-04 5U01HL151203-03 | 3,907,367 593,925 | 189,556 |
| | | 93.837 | 5U01HL160279-03 | 267,923 | _ |
| targets | | 93.837 | 5U54HL160273-03 | 2,435,234 | 576,881 |
| 0 | | 93.837 | 5UH3HL141729-05 | 494,423 | 149,599 |
| | 150 | 93.837 | 60077268//5R01HL128857-05 | (3,730) | _ |
| | 187 | 93.837 | 61526610-125216//5R01 HL 136390-05 | 1,386 | |
| | 169 | 93.837 | 7-312-0217457 Amnd 2//1U01HL145358-02S2 | 291,404 | |
| | | 93.837 | 7R01HL139985-04 | 333,253 | 128,879 |
| | | 93.837 93.837 | 7R01HL141470-05 7R21HL152324-03 | 492,037 104,959 | |
| Family Intervention Trial (KIDFIT) | 17 | 93.837 | 901552-NU-AMD 05//5K23HL145101-04 | 15,308 | _ |
| | 17 | 93.837 | 901603-NU//5R01HL151287-03 | 13,104 | _ |
| | 17 | 93.837 | 901653-NU//5R01HL155113-02 | 189,309 | _ |
| | 222 | 93.837 | 97966869 (S9001870)//5R01HL139001-04 | (20,251) | _ |
| | 49 | 93.837 | 982-SUB//3U54HL119810-05S1 | (5,467) | |
| | 17 | 93.837 | A23-0031-NU//1R01HL160921-01A1 | 33,129 | — |
| | 222 | 93.837 | Advance Account//2R01HL139001-05A1 | 1,984 | _ |
| | 259 | 93.837 | AWD00004314(138609-47A)//4UH3HL153847-02 | 2,445 | — |
| | 17 | 93.837 | AWD001632-NU//1R03HL162767-01 | 5,133 | — |
| | 273 | 93.837 93.837 | AWD-004057.GR101253//1R01HL157054-01A1 | 115,546 | |
| | 273 150 | 93.837 93.837 | GB10827.PO#2257593 Amd 003//5R01HL148044-04 GR116057/SPC-1000003925 Amnd 3//5R01HL149423-04 | 20,233 294,061 | |
| | 150 | 93.837 | GR125077 SPC-1000006221 Amnd 2//1R01HL158592-01 | 122,810 | _ |
| | 128 | 93.837 | MCW Agmt 5/23/23//5R01HL153397-02 | 47,910 | |
| | 120 | 93.837 | Mod. 6/PS#225707//U01HL123336-06 | 40,185 | _ |
| | 248 | 93.837 | OS00000639 amd2 //5R01HL155718-03 | 43,567 | _ |
| | 250 | 93.837 | P008292501-AMD2//5R01HL150053-03 | 179,995 | _ |
| | 170 | 93.837 | R44HL154912 NU Amnd 01//R44HL154912-02 | 8,308 | _ |
| | 269 | 93.837 | SA0002993//1R01HL160793-01A1 | 7,957 | |
| | 36 | 93.837 | SBIR2020-02//5R44HL154937-02 | 8,961 | |
| | 164 | 93.837 | SCON-00000510//1R01HL155187-01A1 | 42,336 | _ |
| | 97 | 93.837 | Study# 2222/0003//1U01HL117006-01A1 | 30,054 | |
| | 27 | 93.837 | SUB 5.22.17//5U01HL130163-02 | 11,138 | |
| | 159 249 | 93.837 93.837 | SUB0000420 AMD 3//5R01HL149869-03 Revised SUBK00012386//5R01HL149363-04 | 123,962 33,253 | |
| | 83 | 93.837 | U24HL140168 | 5,520 | _ |
| | 280 | 93.837 | VUMC76348 AMD-03//5R01HL148661-04 | 158,232 | |
| | 288 | 93.837 | WU-23-0285//7R33HL139852-04 | 172,681 | |
| | | | | 38,949,380 | 7,350,305 |
| | 214 | 93.838 | 000530409-SC006/1UG3HL155806-01A1 | 56,945 | |
| | 96 | 93.838 | 0255-8785-4609//5R01HL126508-05 | (1,854) | — |
| | 53 27 | 93.838 93.838 | 12(GG015997-03) //RTI 22-312-0217571-66178 127795//1R01HL164717-01 | 105,259 177,296 | _ |
| | 236 | 93.838 | 17843//5R01HL141459-04 | (20,721) | _ |
| | 236 | 93.838 | 18774-AMD3//OT2HL158287 | 276,880 | |
| | 200 | 93.838 | 1F31HL162490-01A1 | 25,648 | |
| | | 93.838 | 1F32HL162377-01A1 | 51,326 | |
| | | 93.838 | 1F32HL162418-01 | 35,405 | _ |
| | | 93.838 | 1K01HL163457-01 | 143,633 | |
| | | 93.838 | 1R01HL165841-01A1 | 53,071 | _ |
| | 53 | 93.838 | 2(GG017929-01)//5R01HL077612-14 | 109,959 | _ |
| | | 93.838 | 2R01HL147290-05 | 33,506 | |
| | 53 | 93.838 | 3(GG015000-01)//7UH3HL140177-04 | 14,268 | |
| | 53 | 93.838 | 3(GG015835-03) SAPO: G16997//5R01HL093081-11 5125017 H3NORTHWES Amd 3//5U24HL138908.06 | 302,584 | |
| | 253 258 | 93.838 93.838 | 5125917-H3NORTHWES Amd 3//5U24HL138998-06 587267//5-R01-HL-141608-05 | 47,422 31,782 | _ |
| | 200 | 93.838 | 5F32HL162318-02 | 84,615 | |
| | | 93.838 | 5F32HL162378-02 | 86,537 | |
| | | 93.838 | 5K08HL143138-05 | 175,305 | _ |
| | | 93.838 | 5K08HL159356-03 | 221,177 | _ |
| | | 93.838 | 5P01HL154998-03 | 2,902,540 | 17,019 |
| | | 93.838 | 5R01HL122477-09 | 1,886,331 | 1,373,246 |
| | | 93.838 | 5R01HL134800-04 revised | 247,306 | _ |
| | | 93.838 | 5R01HL145478-05 REVISED | 517,963 | |
| | | 93.838 | 5R01HL147070-04 REVISED | 216,790 | |
| | | 93.838 | 5R01HL149385-04 | 237,647 | 9,087 |
| | | 93.838 | 5R01HL149883-04 | 519,742 | |
| | | 93.838 | 5R01HL153312-04 | 1,020,016 | |
| | | 93.838 93.838 | 5R01HL154686-02 5R01HL158139-02 | (489) 710 595 | _ |
| | | 93.838 93.838 | 5R01HL158139-02 5R01HL159250-02 | 710,595 333,062 | 25,504 |
| | | 93.838 | 5R01HL163611-02 | 290,297 | 20,004 |
| | | | 5R01HL163820-02 | 290,297 266,210 | |
| | | | | | |
| | | 93.838 93.838 | | | |
| | | 93.838 93.838 93.838 | 5T32HL076139-20 5U01HL146408-05 | 577,761 3,686,492 | 3,439,908 |

Cluster title/federal grantor/subagency/project title The Role of Placental Maternal Vascular Underperfusion in Neonatal Pulmonary Hypertension Pulmonary Complications in a Birth Cohort after a Randomized Trial of Exposure to AntenatalCorticosteroids: the ALPS Follow-Up Study Wearable Microfluidic Systems for Measuring Sweat Biomarkers in Cystic Fibrosis Patients During Exercise COVID-19: MFMU PASC-PREG: Post-Acute Sequelae of SARS-CoV-2 Infection in Pregnant Patients and Their Offspring Losartan Effects on Emphysema Progression Trial (LEEP) A novel data science and network analysis approach to quantifying facilitators and barriers of low tidal volume ventilation in an international consortium of medical cent COVID-19: RECOVER-EHR-PCORnet Research Program Metabolic perturbations in conventional dendritic cells modulate Tfh13 induction in asthmatic sensitization Azithromycin and the Airway Microbiome in Asthma Chicago Metropolitan Asthma Consortium for severe/exacerbation-prone asthma Third Coast HIV-related Cardiovascular and Sleep Disorders K12 Career Development Program (TC-CSK12) Resolution of Diffuse Inflammatory Lung Injury in Neonatal Mice Eliminating Monitor Overuse (EMO) Hybrid Effectiveness-Deimplementation Trial COVID-19: PASC Recover Capitation Agreement COVID-19: Lung delivery of novel ACE2 proteins for COVID-19 PAD2 and CitH3 in Pathogenesis of Sepsis-induced ALI Mechanisms of Alveolar Homeostasis, Injury, Regeneration, and Fibrosis Targeting gasdermin D to treat myelodysplastic syndromes Understanding thrombosis formation in myeloproliferative diseases Probing silent cerebral infarct pathogenesis in sickle cell disease with cerebrovascular MRI – F31 for Kristina Marie Zvolanek Tailored Adaptation of the AHRQ VTE QI Guide to Local Hospital Context. Implementing Prevention of Venous Thromboembolism for Ambulatory Patients with Cancer (PREVenT-APC) Timeliness of Management of Trauma Related Hemorrhage and Trauma Related Coagulopathy The roles of mDia2 in hematopoietic stem and progenitor cell engraftment and migration MOLECULAR MECHANISMS UNDERLYING CLONAL EXPANSION OF HEMATOPOIETIC STEM CELLS The role of Pleckstrin-2 as a functional node in myeloid proliferation An ultra-low-input RNase footprinting assay to quantify cytosolic and mitochondrial translation simultaneously Transendothelial Migration of Leukocytes: Developing New Paradigms in Health and Disease Leveraging the Microbiome, Local Admixture, and Machine Learning to Optimize Anticoagulant Pharmacogenomics in Medically Underserved Patients Immunobiology of Transfusion Developing small molecule inhibitors of Pleckstrin-2 to treat thrombosis Links between Cardiovascular disease, Lung disease, and Obstructive sleep apnea in HIV: Understanding complex patients 1/2 CATHETER-DIRECTED THERAPY FOR CHRONIC DVT(C-TRACT TRIAL) Supplemental funds: 1/2 CATHETER-DIRECTED THERAPY FOR CHRONIC DVT(C-TRACT TRIAL) Supporting Transitions to Primary care among Under-resourced, Postpartum women: The STEP-UP The International Seminar on Cardiovascular Epidemiology and Prevention, 2020 Implementation and scale-up science of salt reduction policies in Nigeria Mentoring in community engaged implementation research to reduce cardiovascular disparities A mixed methods study to identify and refine implementation strategies to increase use of cardiac rehabilitation for patients with heart failure Leveraging Behavioral Economics to Equitably Implement Cascade Screening in Individuals with Familial Hypercholesterolemia in Partnership with the FH Foundation Evaluating the Implementation and Scale-Up of Nigeria National Sodium Reduction Program Leveraging Behavioral Economics to Equitably Implement Cascade Screening in Individuals with Familial Hypercholesterolemia in Partnership with the FH Foundation Development and Validation of an Observational Rating System for Individual Tailoring in Family-Based Pediatric Obesity Interventions Community Intervention to Reduce CardiovascuLar Disease in Chicago (CIRCL-Chicago) Integrating Hypertension & Cardiovascular Disease Care into existing HIV Services Package in Botswana Transforming Hypertension Management in Nigeria Transforming Hypertension Management in Nigeria Characterization of the lupus nephritis microRNAome Molecular Pathways of Pain Generation in Osteoarthritis Osteoarthritis Progression And Sensory Pathway Alterations Regulation of T helper cell functions by aryl hydrocarbon receptor Knee Arthroplasty AcTivity (KArAT) Trial The Role of Mechanosensation Pathways in Osteoarthritis Joint Damage and Pain Phosphatase-dependent regulation of desmosome intercellular junctions Mentored Patient-Oriented Research of Novel Mechanisms Linking Pain, Sleep-Wake Patterns, and Autonomic Activity in Rheumatic Diseases Role of healthy skin molecular phenotype in the switch to specific skin diseases An In Silico, Medical Record-based Model for Understanding the INitiation of Autoimmune Events (IMMUNE) Chicago Center for Translational Research in Musculoskeletal Pain SKOAP – A Sequenced-Strategy for Improving Outcomes in Patients with Knee Osteoarthritis Pain Mapping the joint-nerve interactome of the knee Replacing Sedentary Time using an Innovative mHealth Intervention among Total Knee Replacement Patients Computer-guided Action Planning to Support Physical Activity (CAPPA) for Employees with Chronic Knee Symptoms Function of Desmoglein 1/Pemphigus Foliaceus Antigen Noninvasive tools for assessing muscle structure and function Transcriptional regulation of skin stem cells and their niche Breaking down barriers: defining the role of EphA2 in building epidermal tight junctions in atopic dermatitis Targeting keratinocyte cholesterol metabolism to reveal novel mechanisms for treating inflammatory skin disease Core Center for Clinical Research at Northwestern University Core Center for Clinical Research at Northwestern University Northwestern University Skin Biology and Diseases Resource-based Center Function of Desmoglein 1/Pemphigus Foliaceus Antigen Desmoplakin Assembly and Function in Epidermis Inter-junctional signaling in epithelial junctional complex

CNS Pain Mechanisms in Early Rheumatoid Arthritis: Implications for the Acute to Chronic Pain Transition

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

| | Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures | Subrecipient expenditures |
|-------|----------------------------|---------------------------------|--|-------------------------|------------------------------|
| | 222 | 93.838 | 705214//7R01HL139798-06 | \$ 12,860 | _ |
| | 83 73 | 93.838 93.838 | Agmt 6/7/17//5R01HL098354-08 Agmt 8/30/2023 Amnd 1//1R43HL162246-01 | 188 29,886 | _ |
| | 272 | 93.838 | Agreement 10063508-08-NWU//OT2HL161847-01 | 40,574 | _ |
| | 13 | 93.838 | ALA-ACRC LEEP 6/1/2021//5U01HL128954-04 | 10,349 | 10,000 |
| nters | 147 | 93.838 | EH17-325-S7 AMD 4//5R01HL140362-05 | 129,735 | _ |
| | 44 | 93.838 | EHR-02-21//OT2HL161847-01 | 58,911 | — |
| | 283 | 93.838 | FP00017337_SA001//1R01HL162991-01A1 | 13,398 | — |
| | 226 | 93.838 | FP064388-02 // 5R34HL136991-02 FP066077-E AMD 7//5UG1HL139125-06 | (13,719) | — |
| | 226 226 | 93.838 93.838 | FP068767-01-PR Amnd 4//5K12HL143959-05 | 59,085 176,470 | |
| | 44 | 93.838 | GRT-00000547/PO No. 20203251//5R01HL114800-08 | (9,689) | _ |
| | 44 | 93.838 | GRT-00001474-0823/ PO# 20414085//5U01HL159880-02 | 38,170 | _ |
| | 83 | 93.838 | Miller AGMT 5/18/22//OT2HL161847-01 | 426,983 | 98,146 |
| | 16 | 93.838 | NU-001//1R43HL160432-O1A1 | 2,638 | — |
| | 249 249 | 93.838 93.838 | SUBK00014340#1//5R01HL155116-02 SUBK00014970-01//5R35HL160770-02 | 37,727 8,036 | |
| | | | | 16,443,908 | 5,055,443 |
| | | 93.839 | 1R01HL169507-01 | 12,245 | _ |
| | 258 | 93.839 | 579931//5R01HL148014-03 AMD 2 | 61,427 | _ |
| | | 93.839 | 5F31HL166079-02 | 42,036 | — |
| | | 93.839 93.839 | 5K08HL145139-04 5K23HL157758-02 | 1,006 167,806 | |
| | | 93.839 | 5K23HL157758-02 5K23HL157832-02 | 183,008 | _ |
| | | 93.839 | 5R01HL148012-04 | 494,068 | 52,673 |
| | | 93.839 | 5R01HL150624-04 | 355,310 | |
| | | 93.839 | 5R01HL150729-09 | 657,356 | 24,275 |
| | | 93.839 | 5R01HL161389-02 | 456,090 | |
| | | 93.839 | 5R35HL155652-03 | 873,374 | _ |
| | 215 | 93.839 | 638586 AMD1//5R01HL158686-02 | 83,590 | — |
| | 273 | 93.839 | GB10756.169831//5P01HL132819-05 | (1) | — |
| | 18 274 | 93.839 93.839 | NU-1//1R43HL165997-01 UWSC14529 BPO# 73413//2R01HL126538-04 | 44,841 18,419 | — |
| | 274 288 | 93.839 | WU-18-261//UG3HL138325 | 7,844 | _ |
| | 288 | 93.839 | WU-23-0360//5UH3HL138325-05 | 23,312 | |
| | | | | 3,481,731 | 76,948 |
| | | 93.840 | 1R01HL168832-01 | 303,742 | 43,449 |
| | 010 | 93.840 | 1R13HL154583-01 | 4,831 | — |
| | 212 | 93.840 | 1UG3HL152381-01_NU_001 AMD1//UG3HL152381 | 9,095 | — |
| | | 93.840 | 3K24HL155897-03S1 | 129,173 | |
| | | 93.840 93.840 | 3R01HL146884-05S1 4R33HL161752-02 | 723,046 97,712 | 268,868 10,830 |
| | 212 | 93.840 | 4UH3HL152381-03_NU_001 | 76,907 | 10,830 |
| | 258 | 93.840 | 584319//1R61HL161752-01 | 68,293 | _ |
| | 200 | 93.840 | 5F31HL160534-02 REVISED | 43,751 | _ |
| | | 93.840 | 5UG3HL154297-03 | 1,503,422 | 530,536 |
| | 216 | 93.840 | AGMT 2-16-23//4UH3HL154499-03//4UH3HL154409-03 | 11,396 | _ |
| | 288 | 93.840 | WU-23-0306//7R01HL144708-04 | 161,896 | _ |
| | 288 | 93.840 | WU-23-0484//5R01HL144708-05 | 54,939 | |
| | 214 | 02.846 | | 3,188,203 | 853,683 |
| | 214 | 93.846 | 000518393-002-A06//5R01AR073850-04 | 4,123 | — |
| | 175 175 | 93.846 93.846 | 10012005-Sub04//5R01AR060364-10 12052504-Sub05//5R01AR064251-09 AMD 7 | 76,096 190 974 | — |
| | 27 | 93.846 93.846 | 12052504-Sub05//5R01AR064251-09 AMD 7 125457 Amendment 2//5R01AR078769-03 | 190,974 23,322 | _ |
| | 27 | 93.846 | 128060//1R01AR080346-01A1 | 25,960 | _ |
| | 175 | 93.846 | 19050702-Sub01//5R01AR077019-03 | 8,101 | _ |
| | | 93.846 | 1F32AR081677-01A1 | 28,908 | _ |
| | | 93.846 | 1K24AR080840-01A1 | 90,087 | 3,357 |
| | | 93.846 | 1R21AR081520-01 | 60,001 | — |
| | | 93.846 | 1R61AR076824-01 REVISED | 29,127 | — |
| | 175 | 93.846 | 20032404-Sub01 AMT1//5P30AR079206-02 | 164,605 | — |
| | 114 | 93.846 | 2004852077//4UH3AR077360-04 | 72,612 | — |
| | 175 261 | 93.846 93.846 | 22021106-SUB02//1UC2AR082186-01 22-4828//5R21AR074780-03 | 62,307 12,142 | — |
| | 123 | 93.846 | 22-4626//3R21AR074760-03 2417-02-02//1R21AR081007-01A1 | 51,764 | _ |
| | 120 | 93.846 | 2R01AR041836-30 | 448,017 | _ |
| | | 93.846 | 2R01AR071162-07 | 514,620 | 236,537 |
| | | 93.846 | 2R01AR071435-07 | 246,166 | |
| | | 93.846 | 3K01AR072773-03S1 | 365 | — |
| | | 93.846 | 5F31AR081685-02 | 27,176 | — |
| | | 93.846 | 5P30AR072579-05 REVISED | 18,459 | 8,413 |
| | | 93.846 | 5P30AR072579-07 | 844,036 | _ |
| | | 93.846 | 5P30AR075049-05 | 706,139 | — |
| | | 93.846 93.846 | 5R01AR041836-29 5R01AR043380-28 | 44 619 242 | — |
| | | 93.846 93.846 | 5R01AR043380-28 5R01AR044016-24 | 619,242 (3,438) | _ |
| | | 93.846 93.846 | 5R01AR064850-10 | (3,438) 544,275 | 265,002 |
| | | | | | |
| 40 | | | | | |
| 46 | | | | | (Continue |

Genetic Analysis of MicroRNA Functions in Skin Stem Cells In Vivo Inflammatory arthritis: mechanistic insights into initiation and progression

Cadherin Clusters and actin filaments

Lupus Intervention Fatigue Trial (LIFT)

Noninvasive tools for assessing muscle structure and function Noninvasive tools for assessing muscle structure and function

Transcriptional regulation of skin stem cells and their niche

Supramolecular nanofibers for recombinant growth factor-free spine fusion

The molecular basis of Interleukin-31 driven itch

Brain Pathophysiology of Osteoarthritis Pain

Damage-Associated Molecular Patterns Driving Fibrosis Progression in Scleroderma

Epidermal Gene Regulation by Transcription Elongation and Termination Synovial Macrophage Transcriptional Signatures for Predicting Therapeutic Efficacy

Neuron-Keratinocyte Communication in the Epidermis in Normal and Diabetic Wound Healing

Social Determinants and Timeliness of Total Knee Replacement: A National Perspective

Leveraging Community-Academic Partnerships and Social Networks to Disseminate Vaccine-Related Information and Increase Vaccine Uptake Among Black Individua Rheumatic Diseases

Macrophage Heterogeneity in Rheumatoid Arthritis

Investigate MOF regulated epigenetic mechanisms of skin development

Investigating immunophenotypic and transcriptional heterogeneity as biomarkers of pain centralization in rheumatoid arthritis Automatic MRI segmentation for upper limb muscles for clinical applications

Identification of therapeutic small molecules for treatment of skin fibrosis by modulating epidermal pro-inflammatory signaling

Post Graduate Program in Cutaneous Biology

Translation of novel and repurposed drugs to address the acute and late effects of mustard exposure

Barrier Damage and The Immune Cascade: Northwestern University CounterACT Center of Excellence (NUCCX) *Admin Core A*

MicroRNA-mediated regulation in mammalian skin

The pregnancy transcriptome in rheumatoid arthritis A precision medicine approach to assess progression from undifferentiated arthritis to rheumatoid arthritis

IMPACCT: Infrastructure for Musculoskeletal Pediatric Acute Care Clinical Trials.

Evolving Adaptive and Effector Mechanisms from Pre-RA Through Established Disease

Pathogenic Wnt-beta catenin target genes in macrophages and fibrosis

ELLIPSS: ELucidating the Landscape of Immunoendotypes in Psoriatic Skin and Synovium

Understanding and Improving Therapies for the Muscular Dystrophies Understanding and Improving Therapies for the MuscularDystrophie

Understanding and Improving Therapies for the Muscular Dystrophies

Metaorganismal TMAO pathway driving scleroderma pathogenesis: novel gene-environment interaction paradigm and therapeutic target

Metaorganismal TMAO pathway driving scleroderma pathogenesis: novel gene-environment interaction paradigm and therapeutic target-R33 Phase -

Postdoctoral Rheumatology Training

Establishing the validity, responsiveness, and appropriateness of patient-reported outcome measures for adult traumatic brachial plexus injury

Advancing Multiparametric Kidney Functional Magnetic Resonance Imaging to Identify Kidney Histopathologic Lesions

SpHincterotomy for Acute Recurrent Pancreatitis Trial (SHARP)

Phosphate Binder Therapy and Chronic Kidney Disease in Children

Mechanisms of Diabetes From Acute Pancreatitis in African Americans and Hispanics

Imaging Morphology of Pancreas in Diabetic Patients following Acute Pancreatitis (IMMINENT)

Dissecting the role of dynamic epigenome prompting pathways leading to kidney allograft fibrosis A role for hypothalamic dopamine in predicting homeostatic need

Circadian SCN-Liver Axis in the Neuroendocrine Response to Calorie Restriction

Role of FGF23 peptides in chronic kidney disease (CKD)

SMARTer weight loss management

Identifying Neutrophil Specific Mechanisms for Resistance to Biologics in Ulcerative Colitis

Hyperbaric Oxygen Therapy for Ulcerative Colitis Patients Hospitalized for Moderate to Severe Flares: A Phase 3 Multi-Center, Randomized, Double-Blind, Sham-Conte Wireless mechano-electrical stimulation of pudendal nerve using piezoelectric platform for stress urinary incontinence

Expanding live donor kidney transplantation through advocacy training and social media

Wake Forest Collaborative Application for an APOLLO Clinical Center

Mechanism of autophagy activation in exercise-induced anti-diabetic benefits

Transplant Surgery Scientist Training Program

10/22 Limited Competition for the Continuation of the Diabetes Prevention Program Outcomes Study (DPPOS) - Clinical Center

Renal Osteodystrophy Precision Medicine Project

HiLo – Pragmatic trial of higher vs. lower serum phosphate targets in patients undergoing hemodialysis (UH3 phase)

Accelerate AHEAD Study: Evaluating Diabetes Technology Use in Primary Care

NRSA Postdoc Fellowship for Chelsea Hepler: A Central Circadian Clock-Adipose Tissue Circuit Regulates Thermogenesis and Energy Balance SenseWhy: Overeating in Obesity Through the Lens of Passive Sensing

Endothelial PHD2/HIF Axis in Ischemic Kidney Injury and Inflammation

Enhanced Clinical Decisions for Management of Benign Prostatic Hyperplasia Using Patient-Reported Outcomes

NW University Program in Endo Diabetes and Hormone Action

Glycemic Profile of Pregnancy Consortium Biostatistics Research Center Preconceptional health of Latinas and its association with child adiposity

Cure GN 2.0 – UNC-PCC

CUREGN -2.0 – Travel supplement

Technology Enabled Strategies to Promote Treatment Adherence in Liver Transplant: The TEST Trial

Continuation of the Coordinating Center for the Chronic Renal Insufficiency Cohort (CRIC) Study

Strategies in Renal Nanomedicine to Impact Treatment Paradigms in Kidney Disease

Biomechanical Mechanisms Underlying Pathologic Hepatic Stellate Cell Behavior in Liver Fibrosis

Circadian Mechanisms of Hedonic Feeding in Obesity Testing Intervention Strategies for Addressing Obesity and Binge Eating

Dissecting the Nutritional Regulation of Feeding Circuits

Non-invasive Imaging Biomarkers to Identify a High-risk Chronic Kidney Disease Phenotype

Circadian Neuronal Basis for Obesity and Diabetes

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

| | Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures | Subrecipient expenditures |
|-------------|----------------------------|---------------------------------|--|-------------------------|------------------------------|
| | | 93.846 | 5R01AR066703-10 REVISED | \$ 530,381 | _ |
| | | 93.846 | 5R01AR070025-05 | (7,426) | — |
| | | 93.846 93.846 | 5R01AR070166-07 REVISED 5R01AR071091-05 | 460,831 528,387 | 88,428 |
| | | 93.846 | 5R01AR071091-05 | 520,507 | |
| | | 93.846 | 5R01AR071162-05 | 10,990 | 10,990 |
| | | 93.846 | 5R01AR071435-06 | 102,486 | |
| | | 93.846 | 5R01AR072721-05 | 506,040 | 393,553 |
| | | 93.846 93.846 | 5R01AR073279-05 5R01AR074274-05 REVISED | 349,546 544,046 | |
| | | 93.846 | 5R01AR074997-02 | (127) | (127) |
| | | 93.846 | 5R01AR075015-04 | 308,479 | (==-) |
| | | 93.846 | 5R01AR075423-05 | 489,747 | 9,833 |
| | | 93.846 | 5R01AR077691-03 | 758,509 | |
| ls with | | 93.846 | 5R01AR078342-02 | 771,521 | 33,759 |
| is with | | 93.846 | 5R01AR080089-02 | 690,693 | 381,716 |
| | | 93.846 | 5R01AR080513-02 REVISED | 925,852 | |
| | | 93.846 | 5R01AR081103-02 | 523,188 | — |
| | | 93.846 | 5R21AR080351-02 | 179,537 | — |
| | | 93.846 | 5R21AR080953-02 | 73,463 | 29,452 |
| | | 93.846 93.846 | 5R21AR081475-02 5T32AR060710-10 | 134,085 187,624 | — |
| | | 93.846 | 5U01AR071168-05 | 204,519 | 20,436 |
| | | 93.846 | 5U54AR079795-02 | 2,907,355 | 20,653 |
| | | 93.846 | 7R01AR059697-11 | (2,042) | |
| | | 93.846 | 7R01AR073111-05 | 208,504 | 8,381 |
| | | 93.846 | 7R21AR076026-03 | 85,462 | — |
| | 17 | 93.846 93.846 | 901634-NORTHWESTERN-AMD//5U01AR079113-02 FY17.090.001 FY23.090.001_AMD11//5UH2AR067681-05 | 12,850 (43,907) | — |
| | 229 296 | 93.846 93.846 | GR106866(CON-80001829) Amnd 4//5R01AR073270-03 | (43,907) 345,936 | |
| | 260 | 93.846 | SUB00000303/UR FAO:GR532320 Amnd 1//1UC2AR081029-0 | 58,608 | _ |
| | 233 | 93.846 | SUB00002815//2P50AR052646-16A1 | 139,271 | _ |
| | 233 | 93.846 | SUB00003405//P50AR052646 | 286,585 | — |
| | 233 | 93.846 | SUB00003406//P50AR052646 | 8,965 | — |
| | 249 | 93.846 | SUBK00015632//7R61AR076821-02 | 12,131 | — |
| | 249 | 93.846 93.846 | SUBK00017921//4R33AR076821-03 T32AR007611-20 | 9,070 211,809 | 72,094 |
| | 288 | 93.846 | WU-22-0495-MOD-1//5R01AR079139-03 | 4,280 | |
| | | | | 17,382,478 | 1,582,477 |
| | 05 | 00.047 | | | .,, |
| | 25 | 93.847 | 01_NWU_05439//5U2CDK114886-4 | 2,365 | — |
| | 152 220 | 93.847 93.847 | 1020881_NORTHWESTERN Amnd 1//5U01DK116743-05 1652 G YA008//5U01DK122013-03 | 10,272 107,950 | |
| | 236 | 93.847 | 18174 Amnd 2//5U01DK127378-03 | 22,098 | _ |
| | 236 | 93.847 | 19093//5 U01 DK127384-03 / UILCDK127384-SUP | 113,455 | _ |
| | 244 | 93.847 | 19283 Amendment 3//5R01DK122682-05 | 20,533 | — |
| | | 93.847 | 1F32DK135313-01 | 29,963 | — |
| | | 93.847 | 1R01DK132647-01A1 | 225,994 | — |
| | | 93.847 | 1R01DK132657-01A1 | 329,344 | — |
| | | 93.847 93.847 | 1R01DK134629-01 1R01DK135620-01 | 10,225 22,139 | |
| olled Trial | | 93.847 | 1U01DK134321-01 | 463,032 | _ |
| | 50 | 93.847 | 200002654//1R01DK135472-01 | 713 | — |
| | 114 | 93.847 | 2003447902//5R01DK1119662-05 | 7,780 | — |
| | 287 | 93.847 | 287-32841-11000000854//5U01DK116040-05 | 10,223 | — |
| | | 93.847 | 2R01DK113170-06 | 347,403 | — |
| | | 93.847 93.847 | 2T32DK077662-16A1 2U01DK048380-28 REVISED | 33,135 49,270 | — |
| | 53 | 93.847 | 3(GG016514-01)//1R56DK127986-01A1 | 267,022 | _ |
| | 66 | 93.847 | 303000905//5UH3DK118748-05 | 23,398 | _ |
| | 4 | 93.847 | 312131//5P30DK020541-47S1 | 62,228 | — |
| | | 93.847 | 3F32DK122675-03S1 | 45,044 | — |
| | | 93.847 | 3K25DK113242-04S1 | 63,809 | — |
| | | 93.847 03.847 | 3R01DK115850-05S1 3R01DK130963-02S1 | 71,230 | 09 547 |
| | | 93.847 93.847 | 3R01DK130963-02S1 3T32DK007169-44S1 | 339,730 283,977 | 93,517 68,079 |
| | | 93.847 | 3U01DK123759-03S1 | 2,922,636 | 2,112,851 |
| | 253 | 93.847 | 5112424 AMD 5//5R01DK116028-05 | 36,278 | 32,372 |
| | 253 | 93.847 | 5124463 AMD2//5U01DK100867-10 | 8,356 | · |
| | 253 | 93.847 | 5126187//5U01DK100867-10 | 2,132 | — |
| | 258 | 93.847 | 585109 Amnd 1//5R01DK131547-02 | 103,991 | — |
| | 258 | 93.847 03.847 | 585234//5U24DK060990-22 | 41,066 35 595 | — |
| | | 93.847 93.847 | 5F30DK123985-05 5F30DK129002-02 | 35,595 35,357 | — |
| | | 93.847 93.847 | 5F30DK129002-02 5F31DK130589-02 | 35,357 46,769 | |
| | | 93.847 | 5K01DK116925-05 | 148,663 | _ |
| | | 93.847 | 5K08DK118188-05 | 47,501 | _ |
| | | 93.847 | 5K23DK120811-03 | 73,200 | — |
| | | 93.847 | 5K99DK124682-02 REVISED | 11,927 | — |
| | | | | | |
| | | | | | |
| 47 | | | | | (Continu |
| | | | | | (|

Cluster title/federal grantor/subagency/project title Disordered Tissue Biomechanics as a Driver of Esophageal Disease Kidney Therapeutics: Translating Discoveries into Prevention, Treatment and Cures for Kidney Diseases Pathogenesis of Diabetic Nephropathy Mast cells in male pelvic pain and lower urinary tract dysfunction Integration of Feeding Time and Glucose Metabolism by the Circadian Gene Network Targeting Hypervigilance and Autonomic Arousal: the Psycho-physiologic Model of GERD Cholestasis and the Unfolded Protein Response Regulation of FGF23 in Chronic Kidney Disease (CKD) by iron and inflammation A Culturally Targeted Transplant Program to Increase Live Donation in Hispanics Lipoteichoic acid mediated modulation of chronic pain Barrier integrity, microbiome and HIV target cell interactions in the human male genital tract pre and post circumcision SMART Weight Loss Management Nuclear Repressors in Genomic Control of Healthful Obesity Nonhuman Primate Model of Bladder Regeneration Using Autologous Bone Marrow Cells Novel Diagnostics and Therapeutic Targets for Calcification in CKD Transplant Regimen Adherence for Kidney Recipients by Engaging Information Technologies: The TAKE IT Trial Transplant Regimen Adherence for Kidney Recipients by Engaging Information Technologies: The TAKE IT Trial The Circadian System as a Neuronal Regulator of Feeding Time and Body Weight Setpoint Mechanism of autophagy activation in the prevention of type 2 diabetes Role of HNF4a in the regulation of FGF23 in health and disease Molecular Mechanisms of Epithelial Contribution to Esophageal Inflammation and Tissue Repair Predicting Newborn and Childhood Adiposity: An Integrated Omics Approach Rescuing Kidneys at Risk of Discard Molecular mechanisms for bone marrow failure and clonal progression during the innate immune response in Fanconi Anemia Estrogen and Abdominal Muscle Fibrosis The Unfolded Protein Response in Fatty Liver Clock Control of Muscle Glucose Metabolism and HIF Activity Autophagy-facilitated secretion in metabolic maintenance Molecular dysregulation of primary cilia TRPP2 channels caused by Finger 1 variants Neutrophils instruct macrophage responses to promote mucosal healing The roles of mDia2 in membrane remodeling and organelle clearance during reticulocyte formation Effects of Epigenetic Regulation in Chronic Pelvic Pain Syndrome Methodological and data-driven approach to infer durable behavior change from mHealth data Evaluating the EVO treatment optimized for resource constraints: Elements Vital to treat Obesity Evaluating the EVO treatment optimized for resource constraints: Elements Vital to treat Obesity Promoting Preconception Care and Diabetes Self-Management among Reproductive-Aged Women with Diabetes: The PREPARED Trial Cross-regulation of Immunometabolism and Circadian Pathways in Obesity Pathophysiology Integrating a culturally competent APOL1 genetic testing program into living donor evaluation Rapid hormonal modulation of feeding circuit dynamics and its disruption in obesity EAT: A Reliable Eating Assessment Technology for Free-living Individuals. REDUCING DISPARITIES IN URINARY CONTROL SYMPTOMS FOR MINORITY WOMEN Role of FGF23 and Phosphate in Chronic Kidney Disease Cilia calcium dysregulation in polycystic kidney disease Natural history, risk prediction and cost of cirrhosis in insured Americans. Telemetric Regenerative Bandage for Accelerating Wound Healing Adaption, Implementation and Testing of a Telehealth Diabetes Discharge Intervention to Improve Transitions of Care Macrophages and attenuation of inflammation resolution in APOL1 nephropathy The role of DMP1 in FGF23-induced hypophosphatemia Harnessing hypoxia responses to treat postischemic kidney injury and inflammation A Micro-Randomized Trial to Optimize Just-in-Time Adaptive Intervention for Binge Eating & Weight-related Behaviors WildCam: A Privacy Conscious Wearable Eating Detection Camera People will Actually Wear in the Wild Designing a Mobile Obesity & Binge Eating Intervention for Implementation in Clinical Settings Health and Economic Impacts of Coverage Requirements and Health System-Community Coordination for Diabetes Prevention Behavioral Nudges for Diabetes Prevention (BEGIN) Trial in Primary Care Use of simulation-based mastery learning to improve polypectomy outcomes Sustaining Women's Engagement and Enabling Transitions after Gestational Diabetes Mellitus (SWEET) Transplant Surgery Scientist Training Program The Northwestern Summer Research Program for Medical Students NRSA Training Core Pelvic Pain and Depression LURN II: Enhanced Characterization of Patients with LUTS Using Biopsychosocial Approaches Glycemic Profiles and Pregnancy Outcomes Study (GLOSS) NORTHWESTERN UNIVERSITY PLUS CLINICAL SITE Liver Cirrhosis Network: Scientific and Data Coordination Center Chicago Kidney Urology Hematology network FOR city-Wide reseArch tRaining and career Development (Chicago KUH FORWARD) Hyperbaric Oxygen Therapy for Ulcerative Colitis Patients Hospitalized for Moderate to Severe Flares: A Multi-Center, Randomized, Double-Blind, Sham Controlled Sharing Digital Self-Monitoring Data with Others to Enhance Long-Term Weight Loss: A Randomized Trial using a Factorial Design Aberrant DNA Methylation Underlying Adverse Prenatal Exposures and Increased Newborn and Childhood Adiposity Aberrant DNA Methylation Underlying Adverse Prenatal Exposures and Increased Newborn and Childhood Adiposity Stent vs. Indomethacin for Preventing Post-ERCP Pancreatitis: The SVI Trial SpHincterotomy for Acute Recurrent Pancreatitis Excess Radiation Exposure in Infants and Children From Videofluoroscopic Swallow Studies Novel Biomarkers for Post-Liver Transplant NASH Fibrosis Role of Clock-HIF signaling in adult muscle stem cell regenerative capacity during obesity

Longitudinal patterns of cardiometabolic risk factors, gestational diabetes, and diabetes

Technology supported treatment of sleep apnea in prediabetes

Longitudinal patterns of cardiometabolic risk factors, gestational diabetes, and diabetes

Chicago Center for Diabetes Translation Research

Patient and Clinician Perspectives on Preventing and Managing T2DM among Older Adults Engaged in HIV Care

Chicago Center for Diabetes Translation Research: Just a Spoonful of Sugar Helps the Messages Go Down: Improving Prediabetes Awareness and Risk Communication among

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

| | Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures |
|--------------------|----------------------------|---------------------------------|--|-------------------------|
| | | 93.847 | 5P01DK117824-05 | \$ 1,330,691 |
| | | 93.847 | 5P30DK114857-05 | 1,147,147 |
| | | 93.847 | 5R01DK060635-19 5R01DK083609-10 | 383,829 |
| | | 93.847 93.847 | 5R01DK083609-10 5R01DK090625-11 | (4,103) 692,684 |
| | | 93.847 | 5R01DK092217-10 | 448,452 |
| | | 93.847 | 5R01DK093807-08 | (170) |
| | | 93.847 93.847 | 5R01DK102815-08 5R01DK104876-05 | 574,521 6,130 |
| | | 93.847 | 5R01DK108127-06 | 438,158 |
| | | 93.847 | 5R01DK108434-05 | 501,210 |
| | | 93.847 93.847 | 5R01DK108678-05 5R01DK108987-08 | 6,286 457,663 |
| | | 93.847 | 5R01DK109539-05 | 330,460 |
| | | 93.847 | 5R01DK110087-05 | 11,454 |
| | | 93.847 93.847 | 5R01DK110172-04 5R01DK110172-04 | 32,585 |
| | | 93.847 | 5R01DK113011-04 | 75,092 |
| | | 93.847 | 5R01DK113170-05 | 25,030 |
| | | 93.847 93.847 | 5R01DK114158-04 5R01DK116988-05 REVISED | 27,406 175,934 |
| | | 93.847 | 5R01DK117491-05 | 347,587 |
| | | 93.847 | 5R01DK118425-04 | 438,442 |
| | | 93.847 93.847 | 5R01DK121354-04 5R01DK121529-05 | 45,774 633,695 |
| | | 93.847 | 5R01DK121997-04 | 317,000 |
| | | 93.847 | 5R01DK123358-04 | 339,760 |
| | | 93.847 93.847 | 5R01DK123447-03 5R01DK123463-05 | 394,954 427,539 |
| | | 93.847 | 5R01DK124199-04 | 357,821 |
| | | 93.847 | 5R01DK124220-04 | 441,101 |
| | | 93.847 93.847 | 5R01DK124460-03 5R01DK125414-03 | 231,907 478,619 |
| | | 93.847 | 5R01DK125749-03 | 42,110 |
| | 264 | 93.847 | 5R01DK125749-04 | 326,014 |
| | | 93.847 93.847 | 5R01DK127184-03 5R01DK127800-03 | 565,641 623,871 |
| | | 93.847 | 5R01DK128207-02 | 569,656 |
| | | 93.847 | 5R01DK128477-03 | 403,886 |
| | | 93.847 93.847 | 5R01DK129843-02 5R01DK129849-02 | 734,935 157,666 |
| | | 93.847 | 5R01DK131046-02-REVISED | 599,839 |
| | | 93.847 | 5R01DK131118-02 | 535,284 |
| | | 93.847 93.847 | 5R01DK131164-02 5R01DK131302-03 | 419,010 879,672 |
| | | 93.847 | 5R01DK131469-02 | 240,318 |
| | | 93.847 | 5R01DK131521-02 | 629,344 |
| | | 93.847 93.847 | 5R01DK132342-02 5R01DK132672-02 | 700,173 262,360 |
| | | 93.847 | 5R01DK133300-02 | 432,989 |
| | | 93.847 | 5R03DK127128-02 | 77,368 |
| | | 93.847 93.847 | 5R03DK128531-02 5R18DK110741-05 | 67,343 132,270 |
| | | 93.847 | 5R18DK123375-04 | 856,437 |
| | | 93.847 | 5R21DK124816-02 | 29,268 |
| | | 93.847 93.847 | 5R34DK125958-02 5T32DK077662-15 | 69,709 212,435 |
| | | 93.847 | 5T35DK126628-03 | 48,422 |
| | | 93.847 | 5TL1DK132769-03 | 555,101 |
| | | 93.847 93.847 | 5U01DK082342-13 5U01DK097779-11 | 126,863 520,834 |
| | | 93.847 | 5U01DK123745-03 | 797,134 |
| | | 93.847 | 5U01DK126045-04 | 257,356 |
| | | 93.847 93.847 | 5U24DK130164-02 5U2CDK129917-03 | 1,886,053 401,047 |
| ed Trial (HBOT-UC) | | 93.847 | 7U34DK126626-02 | (23,837) |
| | 65 | 93.847 | 900144 AMD 1//5R01DK129300-02 | 16,539 |
| | 17 17 | 93.847 93.847 | 901556 – NU AMD 4//5R01DK118403-05 901608 – NU //3R01DK118403-02S1 REVISED | 117,701 2,302 |
| | 130 | 93.847 | A00-2010-S010 Amnd 5//5U01DK104833-06 | 51,317 |
| | 130 | 93.847 | A00-3656-S009//5U01DK116743-03 | 1,300 |
| | 130 259 | 93.847 93.847 | A20-0260-S001 Amd. 2//5R01DK122975-03 AWD00006105 (138711-3) Amendment 1//5R01DK130294-0 | (5,161) 70,776 |
| | 239 | 93.847 | AWD00000103 (138711-3) Amendment 1//SR01DK130294-0 AWD035726-03-PR (SUB00000561)//5P30DK020595-45 | 45,226 |
| | 226 | 93.847 | AWD047431-02-PR (SUB00000333)//5P30DK092949-10 | (3,000) |
| | 226 | 93.847 03.847 | AWD100319 (SUB0000080)//5R01DK120312-04 | 45,043 |
| | 226 226 | 93.847 93.847 | AWD102383 (SUB00000573)//2P30DK092949-11 AWD102383 (SUB00000604)-AMD1//5P30DK092949-12 | 1,330 206,606 |
| | 226 | 93.847 | AWD102383 (SUB00000676) Amnd 1//2P30DK092949-11 | 10,703 |
| unication among | | | | |

| Subrecipient expenditures |
|------------------------------|
| 82,860 44,738 |
| _ |
| 104,683 — |
| _ |
| 160,442 |
| 277,445 1,046 |
| 32,585 |
| 22,587 |
| 50,855 78,840 |
| 17,687 |
| _ |
| _ |
| _ |
| 191,863 6,803 |
| 125,680 |
| 184,131 |
| 44,506 |
| |
| 18,811 |
| |
| 26,082 — — |
| 187,164 |
| |
| 229,341 |
| 149,430 26,517 |
| 26,921 35,469 |
| _ |
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UroEDIC Bladder: Bladder Dysfunction in Type 1 Diabetes Epidemiology of Diabetes Interventions and Complications Study (EDIC) Novel Role of Hepatic SEL1L-HRD1 ERAD in FGF21 Gene Transcription Novel Role of Hepatic SEL1L-HRD1 ERAD in FGF21 Gene Transcription Control of islet beta specific Pdx-1 and MafA transcription Regulation of Rhytmic m6A RNA Modification by ER associated Degradation Schwann Cell Reprogramming after Nerve Injury AtRial Cardiopathy and Antithrombotic Drugs in prevention After cryptogenicstroke (ARCADIA) "study" ARCADIA CSI (Cognition and Silent Infarcts) "Study" Multimodal wireless electrical stimulation for tissue regeneration FVIIa for Acute hemorrhagic Stroke Administered at Earliest Time (FASTEST) Trial FVIIa for Acute hemorrhagic Stroke Administered at Earliest Time (FASTEST) Trial Scalable Electrode Technology for High Resolution Chronic Recording of Brain Novel tools for in vitro electrophysiology and neurotrauma modeling Cracking the Olfactory Code (Project 1) Cracking the Olfactory Code (Project 3) Combining Physiological, Genetic, and Computational Approaches with Naturalistic Climbing Behavior to Elucidate the Funcitonal Elements of Descending Comparative Approaches for the Study of Somatosensory Processing in Drosophila Identifying mechanisms underlying sex differences in motoneuron discharge The Role of Neutrophils in Ischemia/Reperfusion Injury following Acute Stroke The role of ER-phagy in maintaining protein homeostasis in PD patient derived neurons. Glucocorticoid regulation of dopamine circuit function Multi-scale disease modeling of SCN2A-related epilepsy due to gain-of-function variants Mechanisms of Neurodegeneration in KIF5A ALS/FTD Mitochondrial Fidelity in Mammalian Neurons Precise Prediction and Treatment of Seizures After Intracranial Hemorrhage Targeting RNA Splicing in Glioma Post-stroke normal appearing white matter diffusion properties and cognitive trajectories across age Elucidating cellular mechanisms underlying neurodegeneration Regulation of Hippocampal Neurogenesis and Behavior by Noggin The interplay between HIV-1 and amyloid precursor protein in infection and neurotoxicity Development of an EMG-controlled BCI for biomimetic control of hand movement in humans Investigating the Contribution of ALS/FTD-Associated Mutations in the NEK1 Kinase to Disease Pathophysiology Blast-induced TBI in the ferret: small brains, big opportunities Towards elucidation of circuit mechanisms for feeding-related manual dexterity A Synthesis Platform to Leverage the Neuroscience Potential of Yohimbine Alkaloids The role of poison exons in neurodevelopment Regulation of microglia-mediated neuroinflammation by store operated Orai1 channels COVID-19: Neurological consequences of COVID-19 during the early recovery period: Imaging Analysis of the Blood Brain Barrier and Neurovascular alterations A zebrafish model to study functional regeneration of motor circuits Profiles of Common and Unique aspects of Upper Motor Neuron degeneration in HSP and ALS Developing new paradigms for mouse forelimb sensorimotor circuit analysis Cysteine Depletion-induced Ferroptosis as a Therapeutic Vulnerability in Glioblastoma Temozolomide Resistance Adapting Neurogenetic Technologies for Use in the Desert-dwelling Fly Drosophila Mojavensis Defining the Mechanisms by Which Mutations in DNAJC7 Increase Susceptibility to ALS/FTD Genetic Mapping of Modifier Loci in a Mouse Model KCNB1 Encephalopathy Brainstem circuits of corticospinal neurons Bidirectional circuits of locus ceruleus and motor cortex neurons The Physiology of Store-Operated Channels in the Nervous System Dementia Risk Prediction Pooling Project VEGF-Mimetic Supramolecular Nanoparticles for Treating Spinocerebellar Ataxia Type 1 Examining the role of glycosphingolipids in the progression and heterogeneity of synucleinopathies Multiplex Imaging of Brain Activity and Plasticity with Optimized FRET/FLIM-based Sensors Development of anti-LTBP4 as a biologic to treat Neuromuscular Diseases Hippocampal neural dynamics driving affiliation and attachment Examining the role of phosphatidylethanolamine and autophagic disruption in Lewy Body Dementias and Parkinson's disease AT-HOME-PD (Tele-Health Extension for Phase 3 Parkinson's Disease Trial Cohorts) Dopaminergic and Muscarinic Signaling in the Striatum Investigation of Pallidal Neurons in Motor Inhibition Adhesion molecules and developmental epilepsy disorders Cerebral Small Vessels in Motor and Cognitive Decline: Neuroimaging Signatures of Vulnerability & Resilience Clinical Research Site for the Network of Excellence in Neuroscience Clinical Trials (NeuroNEXT site) Fgl2 neutralizing therapy for inducing tumor specific brain resident immune memory against CNS tumor relapse 5-Cog battery to improve detection of cognitive impairment and dementia: pragmatic clinical trial Fecal Microbiota Transfer Attenuates Aged Gut Dysbiosis and Functional Deficits after Traumatic Brain Injury

A Multicenter Randomized Controlled Trial of Surveillance vs. Endoscopic Therapy for Barrett's Esophagus with Low-grade Dysplasia: The SURVENT Trial

LRRK2-mediated molecular and synaptic events in the striatum

Hispanic/Latino Adults

Inflammatory Pathways in BPH/LUTS

Plasticity of Renin Cells in the Kidney Vasculature Shaping diabetogenic T cells by IL-27 in type 1 diabetes Biochemical Mechanism of Beta-Cell Destruction

Data Coordinating Center for the Type 1 Diabetes in Acute Pancreatitis Consortium (T1DAPC)

Data Coordinating Center for the Type 1 Diabetes in Acute Pancreatitis Consortium

Large-scale nutrigenetics and genomics in a tractable metazoan model Epidemiology of Diabetes and Interventions and Complications (EDIC)

A wireless, closed-loop neural probe for optogenetics, pharmacology and neurochemical monitoring Online education for high school students to reduce HIV and related sexual behavior risk S

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

Year ended August 31, 2023

| through entity | listing number | Sponsor award number | Federal s expenditures e |
|-------------------|-------------------|---|-----------------------------|
| 226 | 93.847 | AWD102383 (SUB00000696) AMD 1//2P30DK092949-11 | \$ 30,000 |
| 147 | 93.847 | EH17-341-S1//5R01DK117906-04 | 28,640 |
| 229 | 93.847 | FY23.1035.018//1U01DK129191-01 | 20,809 |
| 273 128 | 93.847 93.847 | GB10541.PO# 2231728//5R01DK116718-03 MCW 1-9-23//5R01DK121747-04 | 24,694 79,981 |
| 128 | 93.847 | MCW 1-9-23//3K01DK121747-04 MCW Agmt 2/1/23//2R01DK052194-25 | 3,571 |
| 156 | 93.847 | NWUDK127384 Amnd 1//5U01DK127384-03 | 58,813 |
| 156 | 93.847 | NWUDK127384 -PC Amnd 1//5U01DK127384-03 | 7,342 |
| 247 | 93.847 | OSP2018042//5R01DK115960-04 | (17) |
| 38 | 93.847 | RES516366//5U01DK094157-11 | (1,699) |
| 38 | 93.847 | RES516477//1R01DK116723-01A1 | 11,886 |
| 38 | 93.847 | RES600215//2U01DK094157-12 | 169,515 |
| 249 | 93.847 | SUBK00009305//5R01DK120330-04 | 2,387 |
| 249 279 | 93.847 93.847 | SUBK00017277//2R01DK120330-05 UNIV59587//5R01DK050203-22 | 203,827 (616) |
| 290 | 93.847 | WSU21103-A2//5R01DK126908-03 | 308,762 |
| | ~~~~~ | | 33,235,609 |
| 275 227 | 93.853 93.853 | 0000003066//1R01NS130566-01A1 | 13,805 |
| 227 | 93.853 | 010785-133385 Amd. 2//1U01NS095869-02 Revised 012340-133385//5U01NS110728-02 | 15,273 2,158 |
| 220 | 93.853 | 0125 G LA398//1R01NS126918-01 | 403,306 |
| 227 | 93.853 | 012765-00017//5U01NS110772-02 | 80,900 |
| 227 | 93.853 | 012765-133385//1U01NS110772-01 | 17,487 |
| 268 | 93.853 | 1806591 AMD 4//R01NS104344 | 147,792 |
| 236 | 93.853 | 18429 Amd 4//5R01NS113935-05 | 271,983 |
| 142 | 93.853 | 19-A0-00-1002081-113030 Amnd 4//5U19NS112953-05 | 644,939 |
| 142 | 93.853 | 19-A0-00-1002081-113032//5U19NS112953-02 | 57,154 |
| | 93.853 93.853 | 1DP2NS120847-01 1F31NS129270-01 | 281,738 22,520 |
| | 93.853 | 1F31NS130767-01A1 | 1,362 |
| | 93.853 | 1F31NS130939-01 REVISED | 30,224 |
| | 93.853 | 1F31NS131055-01 | 28,956 |
| | 93.853 | 1F99NS130873-01 | 42,732 |
| | 93.853 | 1K08NS121601-01A1 | 229,168 |
| | 93.853 | 1K08NS130146-01A1 | 12,758 |
| | 93.853 | 1K99NS126639-01A1 | 83,824 |
| | 93.853 93.853 | 1R01NS117608-01A1 1R01NS125318-01A1 | 1,596 390,505 |
| | 93.853 | 1R01NS126509-01A1 | 85,195 |
| | 93.853 | 1R01NS127204-01 | 533,761 |
| | 93.853 | 1R01NS128053-01A1 | 225,512 |
| | 93.853 | 1R01NS131094-01A1 | 194,784 |
| | 93.853 | 1R01NS131953-01 | 21,380 |
| | 93.853 | 1R01NS134166-01 | 7,330 |
| | 93.853 93.853 | 1R21NS116638-01A1 1R21NS116886-01 | 114,074 (4,059) |
| | 93.853 | 1R21NS120521-01 | 125,342 |
| | 93.853 | 1R21NS121572-01A1 | 253,433 |
| | 93.853 | 1R21NS122347-01 | 163,097 |
| | 93.853 | 1R21NS123871-01 | 168,099 |
| | 93.853 | 1R21NS125207-01 | 177,752 |
| | 93.853 | 1R21NS125465-01A1 | 173,830 |
| | 93.853 93.853 | 1R21NS125594-01 1R21NS126810.01 | 335,528 |
| | 93.853 93.853 | 1R21NS126810-01 1R21NS130554-01 | 133,273 154,121 |
| | 93.853 | 1R21NS130334-01 | 86,109 |
| | 93.853 | 1R21NS134236-01 | 27,590 |
| | 93.853 | 1R34NS116713-01 | 135,749 |
| | 93.853 | 1R34NS127119-01 | 213,736 |
| | 93.853 | 1R35NS132349-01 REVISED | 143,689 |
| | 93.853 | 1R61NS120245-01 | 64,616 |
| | 93.853 93.853 | 1R61NS127141-01A1 1RF1NS109157-01 REVISED | 8,151 272,562 |
| | 93.853 | 1U01NS128655-01 | 349,990 |
| | 93.853 | 1UG3NS127383-01 | 804,694 |
| 220 | 93.853 | 2000 G YK444//1UF1NS122124-01 Revised | 86,559 |
| 121 | 93.853 | 22-08-001//1R56NS114272-01A1 | 101,833 |
| 124 | 93.853 | 232297 AMD 05//5U01NS107009-05 | 54,317 |
| | 93.853 | 2R37NS034696-24 REVISED | 656,739 |
| | 93.853 | 2R56NS069777-11 | 338,534 |
| | 93.853 93.853 | 2R56NS100785-05A1 2RE1NS085002-06 | 417,905 442 203 |
| | 93.853 93.853 | 2RF1NS085002-06 2U24NS107213-06 | 442,203 13,497 |
| 270 | 93.853 | 3001813107 Amendment 3//5R01NS122857-03 | 86,527 |
| 4 | 93.853 | 312248//2U01NS105565-07 | 44,906 |
| | 93.853 | 3K99NS130277-01S1 | 78,774 |
| | 93.853 | 3R01NS097901-05S1 | (416) |
| 230 | 93.853 | 431257//RF1NS118287 | 356,218 |
| 26 | 93.853 | 4500003971 AMD1//5R21HD105482-02 | 20,185 |



Role for Ion Conducting Proteins in Cortical Malformation Diseases Interpretable machine learning for understanding the neural control of movement Dementia Risk Prediction Pooling Project The role of ATP13A2/PARK9 in secretion of exosomes and alpha synuclein Functional Annotation of a Comprehensive Set of SCN2A Variants The Use of Eye Movement Testing to Detect Neurocognitive Change Associated with Subconcussive Impacts Intraoperative functional mapping using infrared thermography A Predictive Model for Assessment of CSF Flow Through Ventricular Shunts A subtype-specific ablation of dopamine neurons to mimic human Parkinson's disease Effects of oxaliplatin-based chemotherapy on proprioception in cancer survivors Trigeminal Nerve Stimulation in Chronic Hemiparetic Stroke EEG Correlates of Inhibitory Control During STN Deep Brain Stimulation NRSA in support of Maria Milagros Pereira Luppi: The role of transcription factor SOX6 in midbrain dopamine neuron lineage and molecular diversity Characterization of a Novel Ataxia Phenotype (NRSA Predoc Fellowship for Alexander Telenson, MS.) Dopamine Dependence of Offset Analgesia Investigation of Histone H3 Post-Translational Modifications in Pediatric Brainstem Glioma Cerebellar Modulation of Innate Defensive Behaviors Molecular mechanisms underlying mitochondria-lysosome membrane contact sites in neuronal function and neurodegeneration A novel blood-CSF adaptive immune response in Alzheimer's disease Measuring Electrical Activity from the Human Brain to Predict Memory Formation and Behavior Across the Lifespan Targeting the Integrated Stress Response to Protect Oligodendrocyte lineage Cells Resilience, Dysregulation, and Rescue of Basal Ganglia Indirect Pathway Function in Progressive Parkinsonism Myoferlin in muscle membrane fusion and repair A primate model of an intra-cortically controlled FES prosthesis for grasp Store-operated channels in the nervous system The Loss of Independent Joint Control of the Upper Limb in Spastic Hemiparetic CP Synaptic Circuit Organization of Motor Cortex Cajal-Retzius cells and neuronal signaling in postnatal cortical networks ZFP24 Control of the myelination program of oligodendrocytes Understanding the Cellular Basis of Movement Disorders Genetic Modifiers of Childhood Epilepsy Concordance of TDP-43 Inclusions with Cortical Atrophy and Clinical Phenotype Neurogenetic Approaches to Study Directed Behavior in Drosophilla The role of a-synuclein accumulation in lysosomal hydrolase trafficking and function Effect of Unilateral and Bilateral STN Stimulation on Eye-Hand Coordination Coding properties of Vibrissal-Responsive Trigeminal Ganglion Neurons Biomimetic Somatosensory Feedback through Intracorticalmicrostimulation Biomimetic Somatosensory Feedback through Intracorticalmicrostimulation Microcircuits of the Subiculum and Epilepsy Cellular Plasticity and equilibrium in GBM Progression IDO1 and Immunotolerance in Glioblastoma The Human Motor Output Map The Human Motor Output Map The role of amyloid precursor protein in HIV-1 replication and associated neurodegeneration A wearable myoelectric computer interface to reduce muscle co-activation in acute and chronic stroke Regulation of CD4+ T cell-mediated Demyelination Following Oligo Ablation Mouse model studies of TMEM230-linked Parkinson's disease Investigation of ALS caused by mutant CHCHD10 Subcortical auditory processing in sports-related concussions and contact/collision sports Tissue Factor as a Key Determinant of IDH1 Mutant versus IDH1 Wild-type Glioma Thrombosis and Malignancy Determining How Defective Nucleo-Cytoplasmic Trafficking Leads To Neurodegeneration In C9orf72-Related ALS And FTD Cellular and Molecular Role of CXCR4 signaling in Painful Diabetic Neuropathy Deciphering the Roles of Kainate Receptors in Developing CNS Circuits Contralesional Corticobulbospinal Structural and Functional Changes Post Stroke: Biomarkers for the upper limb flexion synergy Genetic Approaches to Optimize CAR T cells for Glioblastoma Therapy Molecular Mechanisms Integrating Circadian Timing and Photic Signaling The Role of Mitochondria in TDP-43 Proteinopathy Mechanisms of striatal structural and functional plasticity. Reversible mRNA methylation in oligodendrocyte development and CNS myelination Mechanisms of electrical stimulation of a canonical motor microcircuit Role of Host Cell Factors in Newborn Herpes Simplex Virus (HSV) Encephalitis MAPK as target of glioma immunoediting by CD8 T-cells, and predictor of response to immunotherapy Hemostasis, Hematoma Expansion, and Outcomes After Intracerebral Hemorrhage Motoneuron mortality in neurodegenerative diseases induced by homeostatic dysregulation of excitability Modulation of the prefrontal cortical network in neuropathic pain Optimizing the restoration and rehabilitation of function using cortically-controlled FES following SCI Role of purine metabolism in chemoresistance Combining myoelectric training with sleep-based memory reactivation to improve motor recovery after stroke SLFN5: A Novel Therapeutic Target for Glioblastoma Development of Novel MNK Inhibitors for Treating Glioblastoma Cellular mechanisms of hippocampal network neuroplasticity generated by brain stimulation Neuronal excitability and copy number variation disorders Role of Protein Methylation in Cell Mitosis and Glioblastoma Glutamate receptor signaling pathways in the circuit integration of adult-born neurons Regulation of synaptic plasticity and cognitive functions by store-operated Orai1 channels Real-Time Tracking of Gene Therapy by Bioactivated MR contrast Probes Arginine Metabolism Regulates Myeloid Immune Suppression in Glioblastoma High-resolution Infrared Thermal Imaging (ITI) for Simultaneous Functional Mapping of the Entire Craniotomy in Awake Patients

Models of rodent facial musculature for the study of active tactile sensing Interaction between Tissue Factor, Junctional Adhesion Molecule-A, and Integrin B1 to drive self-renewal in glioblastoma

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

| Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures | Subrecipient expenditures |
|----------------------------|---------------------------------|--|-------------------------|------------------------------|
| | 93.853 | 4R00NS112604-03 | \$ 171,606 | |
| | 93.853 | 4R00NS119787-03 | 26,333 | — |
| | 93.853 | 4R33NS120245-02 | 631,697 | 53,514 |
| 29 | 93.853 93.853 | 4R37NS096241-05 5001370-5500001215//5R21NS110355-02 | (3,265) (64) | _ |
| 20 | 93.853 | 5F31NS106840-02 REVISED | (566) | _ |
| | 93.853 | 5F31NS115362-03 REVISED | 6,459 | — |
| | 93.853 | 5F31NS115422-03 | 86 | _ |
| | 93.853 93.853 | 5F31NS115524-03 5F31NS118832-02 | 47,667 (148) | |
| | 93.853 | 5F31NS120500-02 | 10,318 | _ |
| | 93.853 | 5F31NS120695-02 | 16,488 | — |
| | 93.853 | 5F31NS122481-02 | 17,191 | — |
| | 93.853 93.853 | 5F31NS122495-03 5F31NS126012-02 | 41,569 39,868 | _ |
| | 93.853 | 5K08NS097624-03 | (36) | _ |
| | 93.853 | 5K99NS119783-02 | 8,702 | _ |
| | 93.853 | 5R00NS109252-05 | 83,372 | — |
| | 93.853 93.853 | 5R00NS112458-04 | 182,512 | — |
| | 93.853 93.853 | 5R00NS115918-04 5R01NS034939-23 | 251,567 503,729 | |
| | 93.853 | 5R01NS041280-22 | 585,769 | _ |
| | 93.853 | 5R01NS047726-17 | 278,797 | — |
| | 93.853 | 5R01NS053603-15 | 273,202 | — |
| | 93.853 93.853 | 5R01NS057499-15 5R01NS058667-09 REVISED | 312,917 287,298 | — |
| | 93.853 | 5R01NS061963-15 | 343,981 | _ |
| | 93.853 | 5R01NS064135-13 | 304,505 | _ |
| | 93.853 | 5R01NS067550-09 | 400,845 | — |
| | 93.853 | 5R01NS082351-10 | 196,816 | — |
| | 93.853 93.853 | 5R01NS084959-09 REVISED 5R01NS085770-09 | 650,032 567,760 | 169,409 |
| | 93.853 | 5R01NS086859-10 | 500,981 | 109,409 |
| | 93.853 | 5R01NS092823-07 | 404,250 | _ |
| | 93.853 | 5R01NS092950-05 | (2,112) | — |
| | 93.853 | 5R01NS093585-05 | 136,084 | — |
| | 93.853 93.853 | 5R01NS095251-05 5R01NS095251-05 | (4,274) (27,374) | (27,374) |
| | 93.853 | 5R01NS096092-05 | 307,609 | (21,014) |
| | 93.853 | 5R01NS096376-07 | 433,141 | — |
| | 93.853 | 5R01NS097851-07 | 255,112 | — |
| | 93.853 93.853 | 5R01NS098509-05 5R01NS098509-05 | (914) 34 403 | 34 403 |
| | 93.853 | 5R01NS099064-05 | 34,403 116,810 | 34,403 |
| | 93.853 | 5R01NS099210-05 | 32,972 | _ |
| | 93.853 | 5R01NS099334-05 | (4,604) | — |
| | 93.853 | 5R01NS099623-07 | 444,019 | — |
| | 93.853 93.853 | 5R01NS099638-05 5R01NS102500-05 | (3,981) 320,552 | _ |
| | 93.853 | 5R01NS102669-05 | (1,977) | _ |
| | 93.853 | 5R01NS104219-05 | 112,772 | 3,253 |
| | 93.853 | 5R01NS104295-05 | 49,815 | — |
| | 93.853 | 5R01NS105502-05 | 422,702 | |
| | 93.853 93.853 | 5R01NS105759-05 5R01NS106379-05 | 395,141 332,580 | 7,255 86,218 |
| | 93.853 | 5R01NS106955-05 REVISED | 212,661 | |
| | 93.853 | 5R01NS107396-07 | (207,324) | — |
| | 93.853 | 5R01NS107539-05 | 307,809 | — |
| | 93.853 93.853 | 5R01NS109372-05 | 396,230 474 456 | |
| | 93.853 93.853 | 5R01NS109552-05 5R01NS110631-04 | 474,456 169,944 | _ |
| | 93.853 | 5R01NS110703-04 REVISED | 356,345 | _ |
| | 93.853 | 5R01NS110779-05//5R01NS110779-05 | 820,086 | 373,436 |
| | 93.853 | 5R01NS110953-05 | 532,833 | 332,285 |
| | 93.853 93.853 | 5R01NS112292-04 5R01NS112535-05 | 523,086 388,693 | 16,422 70,874 |
| | 93.853 93.853 | 5R01NS112535-05 5R01NS112856-05 | 285,926 | |
| | 93.853 | 5R01NS112942-05 | 680,047 | _ |
| | 93.853 | 5R01NS113352-04 | 300,886 | _ |
| | 93.853 | 5R01NS113425-05 | 500,242 | 4 000 010 |
| | 93.853 93.853 | 5R01NS113804-05 5R01NS114977-04 | 1,749,756 423,847 | 1,083,012 91,407 |
| | 93.853 93.853 | 5R01NS114977-04 5R01NS115403-04 | 423,847 503,682 | 91,407 25,918 |
| | 93.853 | 5R01NS115471-03 | 395,842 | 41,441 |
| | 93.853 | 5R01NS115508-03 | 407,510 | 11,937 |
| | | | | |
| | 93.853 | 5R01NS115571-04 | 699,679 | 360,514 |
| | 93.853 93.853 | 5R01NS115955-04 | 385,194 | 360,514 — |
| | 93.853 | | | 360,514 — — |

Cluster title/federal grantor/subagency/project title Immune-modifying nanoparticles for the treatment of traumatic brain injury Neuronal roles of Parkinsons Disease Vps13C in regulating autophagy and calcium dynamics Quantitative ASL MR angiography and perfusion imaging for cerebral revascularization Targeting IDH mutations to improve seizure control in glioma patients Mechanisms of gene regulation and RNA processing in synucleinopathies Development of a novel therapeutic strategy for treatment of SOD1-linked ALS by CRISPR/Cas9-mediated SOD1 promoter editing Developmental underpinnings of substantia nigra vulnerability The role of the cortex and brainstem in motor preparation for proximal and distal upper extremity movements Determinants of Basal Ganglia Pathology in Parkinson's Disease Understanding the Behavior of Novel IL13Ralpha2-directed T cell Engager for GBM Dopamine, Synaptic Plasticity and Striatal Ensemble Dynamics Underlying Motor Learning RAD23 Control of ALS phenotypes New Inactivators of GABA Aminotransferase for Epilepsy and Neuropathic Pain Identifying pathogenic mechanisms underlying PACS1 Syndrome: implications for neural development Mechanisms of Genome Architecture Regulation in Motor Learning Mechanism and therapeutic potential of microglia regulation in glioblastoma Defining mechanisms underlying C9orf72-associated frontotemporal dementia with C. elegans and mammalian models Cellular Pathophysiology of Neuronal Na/K-ATPase Dysfunction Supercomputer-based Models of Motoneurons for Estimating Their Synaptic Inputs in Humans Trauma, the gut, and the brain: the gut microbiota-microglia axis in traumatic brain injury Modern approach to electrical conductivity mapping of spinal cord tissues Improving Human Cerebrovascular Function Using Acute Intermittent Hypoxia Targeting ATG4B to Treat Glioblastoma The Role of Aortic-Carotid Hemodynamics and Aberrant Flow in HIV Cerebral Injury Kainate Receptors as a Target for the Anticonvulsant Perampanel Imaging tools to assess circuit connectivity and function in zebrafish Investigating Divergent Disease Severity in Human Neuronal Models of KCNQ2-Related Developmental and Epilepsy Disorders Exploring the Pathogenic Mechanisms of Batten's disease MFSD8 mutations using patient iPSC derived neurons. Characterized Adult Primary Human Microglia Cells for Research Research Education Program for Trainees in Neurology Synaptic Coding in the Cerebellar Corticonuclear Circuit Mechanistic Analysis of Genetic Modifiers in Parkinson's Disease General Motor Control Mechanisms and Disease Training Program TRAINING PROGRAM IN THE NEUROSCIENCE OF HUMAN COGNITION Clinical Coordination Center for STEADY-PD3 Primary Care Detection of Cognitive Impairment Leveraging Health and Consumer Technologies in Underserved Communities: The MyCog Trial Study in Parkinson Disease of Exercise Phase 3 Clinical Trial: SPARX3 Clinical Research Sites for the Network of Excellence in Neuroscience Clinical Trials (NEXT Sites) Channelopathy-Associated Epilepsy Research Center MyCog – Rapid detection of cognitive impairment in everyday clinical settings Maternal Outcomes and Neurodevelopmental Effects of Antiepileptic Drugs (MONEAD) II Perfusion imaging to identify posterior circulation candidates for thrombectomy (PRECISE) Epigenetic Regulation of Nerve Injury An Intracortical Brain-Computer Interface Model for High-Efficiency Development of Closed-Loop Neural Decoding Algorithms. Safety and Cognitive Effects of Acute Intermittent Hypoxia-Induced Neuroplasticity in TBI Targeting Transcriptional Elongation in Pediatric Glioma From Neural Control of Movement to Treatments for Spinal Cord Injury Targeting Transcriptional Elongation in Pediatric Glioma Perinatal Arterial Stroke (PAS): A Phase III Multi-site Trial of I-ACQUIRE MACC/EPICC-Net as a Hub for the HEAL Initiative EPICC-NET MR Imaging of Bioscaffold-Induced Neural Progenitor Migration Calcium Channels in Glioblastoma Predicting Stroke Risk in Intracranial Atherosclerotic Disease with Novel High Resolution, Functional and Molecular MRI Techniques The Interplay between Kinematic and Force Representations in Motor and Somatosensory Cortices during Reaching, Grasping, and Object Transport The contribution of the vermiform appendix to Parkinson's disease Role of interneurons in brain tissue oxygen regulation Cell Type Specific Genetic Manipulation to Dissect Cholinergic Interneuron Function and Plasticity in a Symptomatic Model of DYT1 Dystonia (CREST-2) Trial: Carotid Revascularization and Medical Management for Asymptomatic Carotid Stenosis Trial Molecular and cellular dissection of the pathogenesis of herpes simplex encephalitis with iPSC-derived CNS and PNS cells Molecular and cellular dissection of the pathogenesis of herpes simplex encephalitis with iPSC-derived CNS and PNS cells Web-based Automated Imaging Differentiation of Parkinsonism Web-based Automated Imaging Differentiation of Parkinsonism Multiplatform Variant Prediction (MVP) in Epilepsy NN110 – A Dose Selection Trial of Light Therapy for Impaired Sleep in Parkinson's Disease Revealing neural circuits underlying zebrafish behavior using mesoscopic light field microscopy COVID-19: ACTG CoVPN Startup Fund: COVID Vaccine Prevention Network ("CoVPN") Fecal Microbiota Transplant for C. Difficile Infection in Solid Organ Transplant Recipients Fecal Microbiota Transplant for C. difficile infection in Solid Organ Transplant Recipients COVID-19: CoVPN 3006 Protocol Funding (Fixed-Price)

Fluomics: The Next Generation

COVID-19: SARS-CoV adaptations through a Systems Biology Lens (SYBIL)

Dengue Human Immunology Project Consortium (DHIPC) Core B

Systems Biology of Early Atopy (SUNBEAM) Analysis and Bioinformatics Center EpiMoRPH: A simulation environment for generating spatially-refined intervention strategies for the control of infectious disease

CHEETAH CENTER FOR THE STRUCTURAL BIOLOGY OF HIV INFECTION, RESTRICTION, AND VIRAL DYNAMICS

Systems-based pharmacologic modeling to elucidate beta-lactam clinical pharmacodynamics and define optimal dosing regimens in severe pneumonia

Applying precision MEdicine to optimize desensitization with noveL bIOlogics or cellular theRApies in highly sensiTIzed kidney transplant patiEnts (AMELIORATE) QCRG Pandemic Response Program

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

Year ended August 31, 2023

| | Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures | Subrecipient expenditures |
|----|----------------------------|---------------------------------|---|-------------------------|------------------------------|
| | | 93.853 | 5R01NS117476-04 | \$ 330,483 | |
| | | 93.853 | 5R01NS117750-04 | 561,890 | — |
| | | 93.853 | 5R01NS118019-05 | 125,975 | — |
| | | 93.853 | 5R01NS118039-04 | 498,610 | — |
| | | 93.853 93.853 | 5R01NS118824-04 5R01NS118928-03 | 527,481 234,504 | _ |
| | | 93.853 | 5R01NS119690-03 | 558,457 | _ |
| | | 93.853 | 5R01NS120226-03 | 268,631 | _ |
| | | 93.853 | 5R01NS121174-03 | 728,545 | — |
| | | 93.853 | 5R01NS122395-03 | 340,212 | 42,221 |
| | | 93.853 | 5R01NS122840-02 | 576,175 | — |
| | | 93.853 93.853 | 5R01NS122908-02 5R01NS123057-03 | 431,348 | 172 996 |
| | | 93.853 93.853 | 5R01NS123057-03 5R01NS123163-02 | 643,871 666,569 | 173,886 |
| | | 93.853 | 5R01NS123285-02 | 579,642 | _ |
| | | 93.853 | 5R01NS124594-02 | 375,364 | _ |
| | | 93.853 | 5R01NS124802-02 | 752,950 | 243,104 |
| | | 93.853 | 5R01NS125785-02 | 509,623 | _ |
| | | 93.853 | 5R01NS125863-02 | 319,862 | 92,944 |
| | | 93.853 | 5R01NS127865-02 | 711,709 | 20,978 |
| | | 93.853 93.853 | 5R21NS120166-02 5R21NS121742-02 REVISED | 189,681 | 13,695 |
| | | 93.853 93.853 | 5R21NS121742-02 REVISED 5R21NS122375-02 | 299,384 158,485 | |
| | | 93.853 | 5R21NS122511-02 | 157,330 | 57,745 |
| | | 93.853 | 5R21NS123780-02 | 130,464 | |
| | | 93.853 | 5R21NS125187-02 | 155,198 | — |
| | | 93.853 | 5R21NS125503-02 REVISED | 252,390 | — |
| | | 93.853 | 5R21NS125847-02 | 208,467 | — |
| | | 93.853 03.853 | 5R24NS104160-04 5R25NS070695-13 | 222,977 | — |
| | | 93.853 93.853 | 5R25NS070695-13 5R35NS116854-03 | 94,190 712,357 | |
| | | 93.853 | 5R35NS122257-02 | 1,121,773 | _ |
| | | 93.853 | 5T32NS041234-20 | 266,232 | _ |
| | | 93.853 | 5T32NS047987-15 | 145,360 | _ |
| | | 93.853 | 5U01NS080818-04 | 357 | — |
| | | 93.853 | 5U01NS105562-07 | 733,893 | — |
| | | 93.853 | 5U01NS113851-02 | 3,817,568 | 2,186,294 |
| | | 93.853 | 5U24NS107213-05 | 265,756 | 4 550 945 |
| | | 93.853 93.853 | 5U54NS108874-05 5UH3NS105562-05 | 2,446,047 10,012 | 1,556,815 3,981 |
| | 187 | 93.853 | 61869966-125439//5U01NS038455-20 | 37,621 | 5,901 |
| | 187 | 93.853 | 62747531-193654 AMND 1//5R01NS121720-02 | 5,630 | _ |
| | 275 | 93.853 | 761K946//5R01NS100510-05 | 2,558 | _ |
| | 77 | 93.853 | 800010796/000153//R01NS109257 AMD 3 | 99,812 | _ |
| | 165 | 93.853 | 80255-03 Amendment 2//1R21NS114815 | 52,549 | — |
| | 17 | 93.853 | 901657-NU//1R01NS126513-01 | 7,633 | — |
| | 165 | 93.853 | 9833//1R35NS122336-01 | 21,937 | — |
| | 214 17 | 93.853 93.853 | ADVANCE ACCOUNT Agmt 3/3/20//1U01NS106655-01A1 | 2,015 13,618 | |
| | 128 | 93.853 | AGMT 5/20/21 Amnd 2//1U24NS115679-01 REVISED | 7,754 | |
| | 259 | 93.853 | AWD00004190 (136332-1) Amnd 2//1R01NS122768-03 | 128,306 | _ |
| | 273 | 93.853 | AWD-004192.GR-100549//1R01NS122222-01A1 | 70,073 | _ |
| | 226 | 93.853 | AWD101460 (SUB00000411)//5R01NS114632-02 | 158,534 | _ |
| | 226 | 93.853 | AWD102733 (SUB00000633)//5R01NS125270-02 | 81,711 | _ |
| | 278 | 93.853 | CON-000008 Amd 1//5R01NS114409-04 | 27,618 | — |
| | 147 | 93.853 | EH17-251-S1//5R01NS107383-05 | 53,636 | — |
| | 271 127 | 93.853 93.853 | GMO210305 PO 0000002283 03//5R01NS110853-03 NWU-224063-03//5U01NS080168-07 | 67,206 1,125 | — |
| | 173 | 93.853 93.853 | SUB00000264 AMD 5// 5R01NS072381-12 | 106,873 | |
| | 173 | 93.853 | SUB00000338//5R01NS072381-13 | 47,502 | |
| | 233 | 93.853 | SUB00003119//U01NS119562 | 24,010 | _ |
| | 233 | 93.853 | SUB00003776//5U01NS119562-03 | 480 | _ |
| | 249 | 93.853 | SUBK00011889AMD2//5U54 NS117170-03 | 135,726 | — |
| | 124 | 93.853 | U01NS114001 | 19,613 | — |
| | 288 | 93.853 | WU-22-0102-MOD-1-Mod-2//1R34NS123913-01 | 114,234 | |
| | | | | 54,841,195 | 7,210,275 |
| | 220 | 93.855 | (CoVPN) 1560 B WA693 // 3UM1AI068636-14S2 REVISED | (8,452) | _ |
| | 275 | 93.855 | 0000001731//5U01AI125053-04 | 4,819 | _ |
| | 275 | 93.855 | 0000002711//5U01AI125053-05 | 19,619 | — |
| | 79 | 93.855 | 0001062455 Amnd 3//3 UM1 AI068614-15S1 | 253,366 | _ |
| | 96 | 93.855 | 0255-A135-4609-04 // 5U19AI135972-05 | 24,193 | |
| | 96 | 93.855 | 0255-A136-4609//2U19AI135972-06 | 30,321 | _ |
| | 96 96 | 93.855 03.855 | 0255-C164-4609//5U19AI118610-07 | (53,711) 108 615 | |
| | 96 145 | 93.855 93.855 | 0255-H271-4609//1UM1AI173380-01 1005080-02//R01AI168144 | 108,615 201,046 | |
| | 272 | 93.855 93.855 | 1005080-02//R01A1168144 10062103-07-NW AMD 1//5U54AI170856-02 | 389,900 | |
| | /// | | | 000,000 | |
| | | | 11-1075-7116-5792 Amnd 1//5R01Al158530-02 | | |
| ·) | 135 223 | 93.855 93.855 | | 147,696 19,400 | |

HARC Core 2: Genetics HARC 2.0: Project 3: Genetics and evolution of HIV restriction factors Controlling HIV Latency By Manipulating CycT1 Turnover Recent Advances in AIDS and HIV Research Understanding the Role of HUWE1 in HIV Infection Development of Novel Antiviral Agents Targeting Viral Helicases COVID-19: COVID-19 CoVPN Protocol 3003/Janssen ACTG UCLA Protocol Funds COVID-19: ACTG A5401 Protocol Chair Support AIDS Clinical Trials Group for Research on Therapeutics for HIV and Related Infections [ACTG LOC: TSG-Sci Comm-DMC] ACTG A5357 Protocol Co-Chair Support AIDS Clinical Trials Group for Research on Therapeutics for HIV and Related Infections [ACTG LOC: Chair A5382] AIDS Clinical Trials Group for Research on Therapeutics for HIV and Related Infections [ACTG LOC: Chair A5392] AIDS Clinical Trials Group for Research on Therapeutics for HIV and Related Infections [ACTG CF TSG CR] Precise Combination Strategies Targeting Carbapenem-Resistant Klebsiella pneumoniae Determine host surface interactions of MARTX toxin of foodborne Vibrio vulnificus Quantifying the potential contribution of asymptomatic screening and treatment to malaria control and elimination Deciphering the roles of eosinophils and T lymphocytes in EGID Unraveling the Mechanisms of HIV Persistence and Rebound Clinical analysis and therapeutic development of exosomal ACE2 +TIPs as novel host capsid-binding co-factors in early HIV-1 infection Turning off HIV White Noise: Switching from Long-Lived to Short-Lived Reservoir Lakeside Conference on Protein Toxins and Effectors 2021 Stenotrophomonas Maltophilia Type IV Secretion: Modulator of Both Host-Cell Apoptosis and Interbacterial Killing Stenotrophomonas maltophilia TfcA and TfcB: Antibacterial T4SS effectors from an emerging human pathogen miR-342, a novel glucocorticoid-responsive miRNA necessary for Foxp3+ regulatory T cell function Rethinking Legionella pneumophila type IV pili and their roles in intracellular infection Ex vivo maintenance of endothelial cell barrier integrity via gap junction modification to prevent early ischemic injury in solid organ transplantation Mucinases as Emerging Players in Legionella pneumophila Pathogenesis A new mouse model to study the function of CD1b-restricted germline encoded, mycolyl lipid-reactive (GEM) T cells TGFBR1 Blockade as Novel Release and Kill HIV Strategy Limited Interaction Efficacy Trial of MyPEEPS Mobile to Reduce HIV Incidence in Young Men who Have Sex with Men and Use of Targeted Geospatial Epidemiology HOPE in Action: A Clinical Trial of HIV-to-HIV Liver Transplantation NEW HORIZONS IN THE PREVENTION AND TREATMENT OF FOOD ALLERGY - Opportunity Fund Modulation of Lung Immune Responses to Viral Infection Partnering and Programming for a BIPOC SGM Runway to HIV Research Donor-specific anti-HIV/SIV immunity mediated by APOBEC3 enzymes Regulation of SAMHD1 antiviral activity. Mechanisms of Gonococcal Pilin Antigenic and Phase Variation COVID-19: Successful Clinical Response In Pneumonia Therapy (SCRIPT) Systems Biology Center Evaluation of a Behavioral Intervention to Promote Food Allergy Self-Management Among Early Adolescents: The Food Allergy Mastery Program Consortium of Eosinophilic Gastrointestinal Disease Researchers, 309363 Consortium of Eosinophilic Gastrointestinal Disease Researchers (CEGIR) Consortium of Eosinophilic Gastrointestinal Disease Researchers – Pilot Core Neurotropic herpesvirus envelopment and microtubule-mediated transport Allograft Inflammatory Factor-1 and Immune Tolerance Neurotropic herpesvirus envelopment and microtubule-mediated transport HIV Vaccine Research and Design (HIVRAD) Program (Project 1) COVID-19: Identification of the Initial Targets of Transmission COVID-19: Assessing SARS-CoV-2 Variant Evolution in Patients COVID-19: Expansion of SARS-CoV-2 Testing Supplement, Chicago Clinical Trials Unit Examining Social Ecological and Network Factors to Assess Epidemiological Risk in a Large National Cohort of Cis-gender Women Metabolic impairment plays a critical role in radiation-induced T cell immune dysfunction Rapid Access to Antibiotic Biosynthesis Machinery Using Synthetic Biology Influenza virus receptors on human airway epithelial cells Innate Immune Surveillance of HIV-1 During Transmission and Systemic Infection An Age-Dependent Role of the Inflammasome in the Pathogenesis of HSV Encephalitis Role of molecular drivers in memory group 1 CD1-restricted T cell differentiation and Mycobacterium tuberculosis infection Combining Bacterial Glycosylation Tools and Nanotechnology to Optimize Siglec Ligands for the Treatment of Allergy and Anaphylaxis Cellular and Molecular Mechanisms of the Pathogenesis of Aspirin Exacerbated Respiratory Disease Patient-Oriented Research on Pseudomonas aeruginosa Northwestern-UNIJOS mentored Program for Enhanced Research Administration (NUMPERA) Small host GTPases: Direct targets of Vibrio vulnificus MARTX toxin effectors Pathogenesis of Rebound SIV/HIV Viremia After Antiretroviral Therapy Chronic Rhinosinusitis Integrative Studies Program 2 (CRISP2) Multi-Scale Evaluation and Mitigation of Toxicities Following Internal Radionuclide Contamination Third Coast Center for AIDS Research Third Coast Center for AIDS Research Third Coast Center for AIDS Research ALPHA-HERPESVIRUS TRANSPORT IN AXONS The Role of Group 1 CD1-restricted T Cells in Infectious Disease Population Analysis of Pseudomonas aeruginosa Virulence Harnessing MUC16-IgG Interactions to Enhance HIV Vaccine Function The role of IL-27/Lag3 axis in regulating Foxp3+ regulatory T cell function REDD1 dissociates the therapeutic and adverse effects of glucocorticoids in skin Poxvirus manipulation of the host cell protein synthesis machinery Hormones in allergic disease Distinct mast cell responses in male and female SJL mice underlie sex dimorphic EAE susceptibility Food Allergy Management and Outcomes Related to Racial/Ethnic Differences from Infancy through Adolescence: The FORWARD Study

Macrophage Redox State in Sterilizing and Injurious Inflammation

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

| | Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures | Subrecipient expenditures |
|-------------------------|----------------------------|---------------------------------|--|-------------------------|------------------------------|
| | 223 | 93.855 | 13895sc Amd 1//1U54AI170792-01 | \$ 49,850 | |
| | 223 | 93.855 | 13901sc//5U54AI170792-02 | 66,080 | — |
| | 223 | 93.855 | 14151sc//1R01Al167778-01A1 | 21,004 | — |
| | 223 | 93.855 | 14200sc//5R13AI102630-10 | 14,611 | — |
| | 223 223 | 93.855 93.855 | 14548sc//5U54AI170792-02 14578sc//1U19AI171110-01 | 2,407 | — |
| | 223 | 93.855 93.855 | 1560 B YB245 AMD 9//3UM1Al068636-16S2 | 10,690 2,963 | |
| | 220 | 93.855 | 1560 B YB245 Amid 9//30MTAl068636-1652 | 199,327 | |
| | 220 | 93.855 | 1560 G LB027//3UM1Al068636-15S1 | 10,834 | _ |
| | 220 | 93.855 | 1560 G YB416 Amnd 3//5UM1Al068636-17 | 67,237 | _ |
| | 220 | 93.855 | 1560 G YB703 Amnd 3//5UM1Al068636-17 | 3,521 | _ |
| | 220 | 93.855 | 1560 G YB704 Amnd 2 // 2UM1Al068636-16 | 4,278 | |
| | 220 | 93.855 | 1560 G YB725 Amnd 2//5UM1Al068636-16 | 1,931 | — |
| | 220 | 93.855 | 1560 G ZB055//5UM1AI068636-16 | 1,176 | — |
| | 236 | 93.855 | 19318//1R01AI173064-01 | 41,331 | — |
| | | 93.855 | 1F31AI172382-01 | 41,965 | — |
| | | 93.855 93.855 | 1F31AI172387-01 1K23AI171085-01A1 | 37,240 80,758 | _ |
| | | 93.855 | 1P01AI169600-01 | 849,759 | 48,987 |
| | | 93.855 | 1R01AI167272-01A1 | 465,909 | |
| | | 93.855 | 1R01AI172818-01A1 | 178,578 | _ |
| | | 93.855 | 1R01AI176599-01 | 96,744 | _ |
| | | 93.855 | 1R13AI154685-01A1 | 2,205 | |
| | | 93.855 | 1R21AI139596-01A1 | 46,297 | — |
| | | 93.855 | 1R21AI171325-01A1 | 140,644 | — |
| | | 93.855 | 1R21AI172135-01A1 | 64,016 | |
| | | 93.855 | 1R21AI173514-01A1 1R21AI173763-01A1 | 18,725 | _ |
| | | 93.855 93.855 | 1R21AI175765-01A1 | 1,446 98,293 | _ |
| | | 93.855 | 1R21AI178629-01 | 20,299 | _ |
| | | 93.855 | 1R56AI157822-01A | 84,182 | _ |
| y (MyPEEPS Mobile-LITE) | 53 | 93.855 | 2(GG017774-01)//1UG3AI169658-01 | 20,260 | _ |
| | 114 | 93.855 | 2004130714//5U01AI138897-03 | 14,306 | _ |
| | 114 | 93.855 | 2005712406//5UM2AI130836-07 | 20,956 | _ |
| | 110 | 93.855 | 210310-0223-23 Amnd 1//5U19AI142733-05 | 17,821 | — |
| | 83 | 93.855 | 21-M107//5P30AI117970-07 | 72,889 | _ |
| | 201 | 93.855 | 23-05097.101//1R56AI174877-01 | 8,878 | — |
| | 4 | 93.855 93.855 | 2R01A1150455-08//311789 AMND 4 2R01Al033493-30A1 | 27,942 84,485 | |
| | | 93.855 | 2U19AI135964-06 REVISED | 1,366,931 | |
| | 45 | 93.855 | 30007544-01//1R01AI168090-01A1 | 39,808 | _ |
| | 46 | 93.855 | 309363 Amnd 2//5U54AI117804-08 | 32,634 | _ |
| | 46 | 93.855 | 309364 (CT2) Amnd 2//5U54AI117804-08 | 74,136 | _ |
| | 46 | 93.855 | 309365 Amnd 3//5U54AI117804-08 | 16,707 | — |
| | 4 | 93.855 | 310227//5R01AI125244-06 | 1,595 | — |
| | 4 | 93.855 | 312153//5R21Al171739-02 | 4,069 | — |
| | 4 | 93.855 | 312269/P0926565//2R01AI125244-07A1 | 1,578 | — |
| | 243 | 93.855 | 330183-01//5P01AI048240-16 | 4,601 | 427 409 |
| | | 93.855 93.855 | 3R37Al094595-10S1 3U19Al135964-04S2 REVISED | 479,162 251,518 | 437,498 |
| | | 93.855 | 3UM1AI069471-14S1 | 62,438 | |
| | 53 | 93.855 | 4(GG017770-01)//1R01AI172469-01 | 12,024 | _ |
| | 84 | 93.855 | 425424_AWD7775243-NWU-Amnd 1//1U01AI170024-01 | 13,689 | _ |
| | 29 | 93.855 | 5001434-5500001350Amd4//5U19AI142780-04 | 79,384 | _ |
| | 180 | 93.855 | 5-54319 Amnd 2//5R01AI114730-08 | 172,695 | — |
| | 180 | 93.855 | 5-54728//7R01AI127302-06 | 86,995 | — |
| | | 93.855 | 5F30AI150049-04 Revised | 44,483 | — |
| | | 93.855 | 5F30AI157314-03 | 39,735 | — |
| | | 93.855 93.855 | 5F31AI165279-02 REVISED 5K23AI141694-04 | 33,806 193,998 | — |
| | | 93.855 93.855 | 5K24AI104831-09 | 193,998 | |
| | 239 | 93.855 | 5K43-1556-02//1G11Al169625-01 | 8,677 | _ |
| | 200 | 93.855 | 5K99AI167819-02 | 80,793 | _ |
| | | 93.855 | 5P01AI131346-05 | 799,075 | 159,568 |
| | | 93.855 | 5P01AI145818-05 | 1,641,388 | 585,623 |
| | | 93.855 | 5P01AI165380-02 | 1,576,375 | 1,037,381 |
| | | 93.855 | 5P30AI117943-05 REVISED | (921) | — |
| | | 93.855 | 5P30AI117943-05 REVISED | (1,690) | (1,690) |
| | | 93.855 | 5P30AI117943-09 | 4,812,725 | 1,615,747 |
| | | 93.855 | 5R01AI056346-18 | 532,634 | 168,778 |
| | | 93.855 | 5R01AI057460-16 | 78,518 | _ |
| | | 93.855 | 5R01AI118257-08 | 557,424 | _ |
| | | 93.855 | 5R01AI125171-05 | 167,770 | — |
| | | 93.855 93.855 | 5R01AI125247-06 5R01AI125366-05 | 70,872 287,436 | — |
| | | 93.855 93.855 | 5R01AI125306-05 5R01AI127456-07 | 287,436 488,035 | 75,178 |
| | | 93.855 93.855 | 5R01AI127436-07 5R01AI127783-05 | 488,035 51,072 | |
| | | 93.855 | 5R01AI127763-05 5R01AI128292-05 | (9,918) | _ |
| | | | | | 057.044 |
| | | 93.855 | 5R01AI130348-07S1 | 917,384 | 257,614 |

Autoantibody mediated pathogenesis in chronic rhinosinusitis with nasal polyps: mechanisms and consequences Immune mechanisms regulating allergy Initiators, biomarkers and mechanisms of epithelial dysfunction and immune pathogenesis in chronic rhinosinusitis and aspirin exacerbated respiratory disease (AER Structure and Function of EBV Protein Complexes that Trigger Epithelial Cell Entry Siderophores of Legionella pneumophila The role of non-classical MHC class I molecules in immune responses to Mycobacterium tuberculosis infection Nuclear rotation and cellular reorganization during Cytomegalovirus infection Modulating endothelial cell immunometabolism and mitochondrial morphologyimplications for organ transplantation Design and characterization of biomimetic nanobiomaterials to elicit CD1-restricted T cell responses during sub-unit vaccination Targeting the functions of the gonococcal Type IV pilus Characterizing Mucosal Changes in the FRT Leading to Increased HIV Acquisition Foxp3+ regulatory T cell-dependent treatment of allergic inflammation by glucocorticoids Mitochondria regulate adaptive immunity Mechanism of Herpes Simplex Virus (HSV) Induced Membrane Fusion Virus-host interactions governing alpha-herpesvirus genome delivery and neuroinvasion The role of FEZ1 in early HIV-1 infection Evolution and consequences of multidrug resistant ribosome Functionally Defining HIV-Host Interactions During the Early HIV-1 Lifecycle Heterogeneity of T cell phenotype and function in food allergy Discovery of novel benzimidazole resistance mechanisms Long Non-Coding RNAs in Allergy Vascular endothelial cells and macrophages coordinate neutrophil trafficking in inflammation Transcriptional Regulators in Aging Macrophages Deciphering the Role of CPSF6 in HIV Infection The Relationship Between Brain Macrophages and Cognitive Dysfunction in Systemic Lupus Erythematosus Critical Role for KLF2 in Regulation of PD-1 Expression in T cells HIV immune environment impact on pre-eclampsia Mechanisms and Modifiers of Zika Virus Innate Immune Evasion An unbiased screen to identify Neisseria gonorrhoeae genes important for survival to neutrophil killing mechanisms Vibrio vulnificus toxin-receptor interactions 6mer seed toxicity and AIDS Defining the Effector T cell KLF2 Transcriptome Dynamics of Pseudomonas aeruginosa During Bacteremia Dynamic interactions within alpha-herpesvirus virions and their impact on infection The Role of Social, Economic and Environmental Factors in Food Allergy Disparities Exploiting Siglec-6 for targeted anti-allergy drug delivery into human mast cells Identification of the immunomodulatory mechanisms of nanocarrier-enhanced costimulation blockade in an allogeneic portal vein islet transplantation model Targeting Ischemia/Reperfusion Stress to Inhibit Cytomegalovirus Reactivation After Lung Transplant COVID-19: Assessing the Risk of SARS-CoV-2 Remdesivir Resistance High-Risk Clones of Pseudomonas aeruginosa Regulation of HPV Replication. Novel Short ACE2 variant for Delayed Graft Function Differentiating clinical characteristics between two subtypes of antiphosphatidylethanolamine Ordered gene knockout libraries for Neisseria gonorrhoeae Mechanisms of Gonococcal Pilin Antigenic and Phase Variation Vibrio MARTX toxin effectors in signaling and pathogenesis Identification of the Initial Targets of Transmission Identification of the Initial Targets of Transmission Immunology and Molecular Pathogenesis Training Program Northwestern University Allergy and Immunology Research (NUAIR) Program Northwestern University Infectious Diseases Education and Science (NulDeas) Proteogenomics for Organ Transplantation: Prediction, Diagnosis, Intervention Effectiveness of Relationship Education for Reducing HIV Incidence in Men Who Have Sex with Men Utility of Biomarkers of Rejection and Kidney Injury in Tailoring Liver Transplant Immunosuppression Immune Basis of FPIES COVID-19: Successful Clinical Response In Pneumonia Therapy (SCRIPT) Systems Biology Center Successful Clinical Response In Pneumonia Therapy (SCRIPT) Systems Biology Center Using siglecs and their ligands to treat allergic diseases SALTAD Chicago Clinical Trials Unit Sustained Long Acting Prevention Against HIV Program Operation Innate Immune Surveillance of HIV-1 During Transmission and Systemic Infection [U19] Integrated Genomics of Mucosal Infections: Viral Diversity and Pathogenicity in Mucosal Respiratory and Gastrointestinal Disease A novel multifunctional role of diverse substrate binding and import by the Haemophilus Sap transporter IncRNA Control of Airway Epithelial Cell Responses to Type 2 Inflammation Phenotypic, Functional and Transcriptional Heterogeneity in T Cell Exhaustion Mechanisms for Initiation of Food Allergy Early in Life Intervention to Reduce Early Peanut Allergy in Children (iREACH) Identifying Specific Antigenic Targets of Kawasaki Disease Soy Isoflavones for Inner City Infants at Risk for Asthma (SIRA) Soy Isoflavones for Inner City Infants at Risk for Asthma (SIRA) EpiModel 2.0: Integrated Network Models for HIV/STI Prevention Science Impact of Antibody Effector Function Diversity on Antiviral Activity In Situ Intervention to Reduce Early Peanut Allergy in Children (iREACH) Targeting Inflammation and Alloimmunity in Heart Transplant Recipients with Tocilizumab Dissecting How Xenobiotics Act as Adjuvants for Oral Allergic Sensitization Next-Generation Phylodynamics-targeted Partner Service Models for Combined HIV Prevention Immune Tolerance Network Mucosal mechanisms of altered HIV susceptibility in adolescents Consortium of Eosinophilic Gastrointestinal Disease Researchers Allergen Loaded Nanoparticles for Food Allergy Tolerance

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

| | Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures |
|-----|----------------------------|---------------------------------|--|----------------------|
| | | 93.855 | 5R01AI134952-05 | \$ 362,898 |
| | | 93.855 | 5R01Al136942-06 | 784,741 |
| RD) | | 93.855 93.855 | 5R01Al137174-05 5R01Al137267-05 | 315,449 725,676 |
| | | 93.855 | 5R01AI139054-04 | 225,918 |
| | | 93.855 | 5R01AI141083-05 | 326,131 |
| | | 93.855 | 5R01AI141470-05 | 496,594 |
| | | 93.855 93.855 | 5R01Al142079-06 5R01Al145345-04 | 466,715 624,783 |
| | | 93.855 | 5R01AI146073-04 | 597,924 |
| | | 93.855 | 5R01AI146087-04 | 561,083 |
| | | 93.855 | 5R01Al147498-05 | 493,587 |
| | | 93.855 93.855 | 5R01Al148190-05 5R01Al148478-04 | 423,942 642,147 |
| | | 93.855 | 5R01AI148780-04 | 624,026 |
| | | 93.855 | 5R01AI150559-09 | 227,181 |
| | | 93.855 | 5R01AI150986-04 | 541,788 |
| | | 93.855 93.855 | 5R01AI150998-04 5R01AI151707-05 | 1,490,048 229,071 |
| | | 93.855 | 5R01AI153088-03 | 573,043 |
| | | 93.855 | 5R01AI153344-03 | 343,069 |
| | | 93.855 | 5R01AI153568-03 | 461,912 |
| | | 93.855 93.855 | 5R01Al163742-03 5R01Al165236-03 | 557,216 384,779 |
| | | 93.855 | 5R01AI170938-02 | 743,911 |
| | | 93.855 | 5R03AI159591-02 | 34,532 |
| | | 93.855 | 5R03AI170156-02 | 91,315 |
| | | 93.855 93.855 | 5R21AI148949-02 5R21AI148981-02 | 1,022 109,876 |
| | | 93.855 | 5R21AI149061-02 | 80,955 |
| | | 93.855 | 5R21AI150910-02 | 7,475 |
| | | 93.855 | 5R21AI151333-02 | 65,509 |
| | | 93.855 93.855 | 5R21AI153953-02 5R21AI154104-02 | 49,370 48,574 |
| | | 93.855 | 5R21AI159562-02 | 193,721 |
| | | 93.855 | 5R21AI159586-02 | 145,810 |
| | | 93.855 | 5R21AI159795-02 | 353,207 |
| | | 93.855 93.855 | 5R21AI163876-02 5R21AI163912-02 | 239,738 185,962 |
| | | 93.855 | 5R21AI164254-02 | 174,140 |
| | | 93.855 | 5R21AI165941-02 | 288,961 |
| | | 93.855 | 5R21AI166940-02 | 219,137 |
| | | 93.855 93.855 | 5R21AI171491-02 5R24AI155395-03 | 194,169 547,358 |
| | | 93.855 | 5R37AI033493-29 | 373,483 |
| | | 93.855 | 5R37Al092825-12 | 482,611 |
| | | 93.855 | 5R37Al094595-10 | 147,207 |
| | | 93.855 93.855 | 5R37Al094595-12 5T32Al007476-25 | 656,782 1,440 |
| | | 93.855 | 5T32Al083216-13 | 356,390 |
| | | 93.855 | 5T32AI095207-10 | 18,354 |
| | | 93.855 | 5U01Al084146-05 REVISED | (9,795) |
| | | 93.855 93.855 | 5U01AI156874-03 5U01AI163081-03 | 1,426,269 620,706 |
| | | 93.855 | 5U01AI170836-02 | 146,491 |
| | | 93.855 | 5U19AI135964-05 REVISED | 16,070 |
| | | 93.855 | 5U19AI135964-05 REVISED | 878,902 |
| | | 93.855 93.855 | 5U19AI136443-05 Revised 5UM1AI069471-17 | 621,030 1,117,771 |
| | | 93.855 | 5UM1AI120184-05 | 255,368 |
| | 179 | 93.855 | 60088-12935-NWU// 5 R01 AI127302-05 | (3,386) |
| | 23 | 93.855 | 700000881//5U19AI144297-03 | 2,468 |
| | 168 | 93.855 93.855 | 700191-0223-00-4//5R01AI139519-05 7R01AI141609-05 | 228,995 276,977 |
| | | 93.855 | 7R01AI148403-04 | 171,190 |
| | 103 | 93.855 | 8575 Amnd 3//1R01AI153239-02 | 2,455 |
| | 17 | 93.855 | 901576-NU//5U01AI138907-04 | 27,084 |
| | 17 17 | 93.855 93.855 | 901622 AMD 2//5R01AI150719-03 901635-NU FMM AMD 4//5U01AI160018-02 | 16,599 18,211 |
| | 17 | 93.855 | 901635-NU-DCC (Kim) Amd 3//1U01AI160018-02 | 29,299 |
| | 71 | 93.855 | A011168//5R01AI138783-03 | 97,129 |
| | 66 | 93.855 | A035460 AMD 1//5P01-Al162242-03 | 160,068 |
| | 17 124 | 93.855 93.855 | A19-0148-S001//5U01AI138907-04 AGMT 8/11/20//5U01AI136816-02 | 628,058 7,216 |
| | 296 | 93.855 93.855 | CON-80003656 (GR116267)//5R01Al162645-02 | 20,533 |
| | 226 | 93.855 | FP066835-A (5208888601-6) Amnd 5//5R01Al136056-05 | 46,246 |
| | 24 | 93.855 | FY22ITN590//5UM1AI109565-09 | 33,643 |
| | | | | |
| | 250 46 | 93.855 93.855 | N008806602//R01AI128782 OS00000145/309362 (Admin.) // 5U54AI117804-09 | 4,145 19,112 |

| Subrecipie expenditu | |
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| | |
| 542,7 | 01 |
| 57,7 | 52 |
| 277,8 | |
| 44,8 179,0 93,2 | 29 |
| 82,9 | 76 |
| 741,1 86,6 291,6 | 05 |
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| 57,6 366,1 14,1 245,2 | 94 22 |
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Structure-based functional annotation of microbial genomes The Role of Lipid-specific T cells in Mediating Protection Against M. tuberculosis The Push and Pull of Inflammation on HIV Susceptibility: Impact of Host Variation in CD101 and AXL Cytomegalovirus (CMV) Vaccine in Orthotopic Liver Transplant candidates ("COLT") Comparison of High Dose vs. Standard Dose Influenza Vaccines in Lung Allograft Recipients Comparison of High vs. Standard Dose Influenza Vaccines in Adult Solid Organ Transplant Recipients Viral and Host Determinants of Infant and Childhood Allergy and Asthma Center for the Structural Biology of Cellular Host Elements in Egress, Trafficking, and Assembly of HIV (CHEETAH Center) Micromechanical basis of meiotic chromosome condensation and architecture Cell Penetration Profiling for Biotherapeutics Information Processing by Post-Translational Modification Optical nanosensor for 3D force sensing in intact tissues of live animals High-throughput discovery of protein energy landscapes in natural and designed proteomes The dynamic architecture of living cells: Uncovering intra-organelle events at nanoscale levels Elucidating mechanisms of acetylcholine signaling in bacterial biofilms F31 Microtubule function in the onset of whole-body regeneration Resource for Quantitative Elemental Mapping for the Life Sciences Intercellular junctions and cell polarity Role of BAP1/ASXL3 complex in transcriptional regulation and development Regulation of whole-body regeneration HiDef B8: Commercialization and scaled production of defined, robust, and cost-effective media for iPSCs Sex differences in circadian circuits influence photic processing and photoperiodic encoding Northwestern University Postbaccalaureate Research Education Program Structural and biophysical studies of proteins, nucleic acids, and their complexes Accessing the Silent Majority: Induction of Gene Expression in Fungal Artificial Chromosomes for Natural Product Discovery Investigating the molecular mechanism and function of mitochondrial tethering Regulation mechanisms of ABC transporters Role of Membrane Trafficking in Epithelial Homeostasis Metalloenzymes and metal homeostasis Structural and biophysical studies of proteins, nucleic acids, and their complexes Non-coding RNAs and their mechanisms and functions The molecular mechanisms and functional significance of gene positioning Computational approaches to delineate non-canonical splicing events Medical Scientist Training Program Medical Scientist Training Program Postdoctoral Pathways – Broadening Access to Career Advancement Tissue-Based Metal Imaging Tools and Their Application Towards Spatiotemporal Mapping of Zinc Fluxes in Ovaries (NRSA Postdoc Fellowship Award for David Zhi H Structural and functional characterization of putative Cu importer CopD A Biosynthetic Strategy to Manufacture Less Toxic Amphotericins Structural and Functional Synthetic Proteomimetics of Ankyrin Repeat Proteins The Functions of Mitochondrial Membrane Contact Sites in Space and Time Regulation and Function of Intermediate Filaments in Cell Mechanics National Resource for Translational and Developmental Proteomics Electrocatalysis for the synthesis of chiral and PET imaging pharmaceuticals Metalloregulation by MerR and Fur Protein Families Developmental Dynamics of Ciliated Epithelia Cryo ptychography combined with x-ray fluorescence analysis of metals in cells Activities of nucleoprotein complexes visualized in single-molecule experiments **Biological Transition Metals** Assembly and epigenetic inheritance of the human centromere Molecular Regulation of Radial Intercalation Algorithms for Glyco-Proteoform Detection Capturing Transient Protein and Nucleic Acid Structures During Their Functions on Multiple Spatial and Temporal Scales Investigating the molecular mechanism of mitochondrial tethering RNA Ligation Pathways in Mammalian Unfolded Protein Response Regulation and function of bacterial 100S ribosome Regulation and function of bacterial hibernating 100S ribosome Specific inhibition of transcription factors with Cobalt-Schiff Base Complexes New Methods and Strategies for the Concise Synthesis of Bioactive Polycyclic Molecules Regulation of chromosome segregation during oocyte meiosis Social signals that regulate C. elegans development Prion-mediated protein aggregation/co-aggregation and cellular consequence Cadherin-catenin regulation in dividing epithelial cells Cell signaling in regeneration and tissue scaling The role of monocyte and microglia interaction in the evolution of traumatic brain injury-induced neurodegeneration Enhancement of neural regeneration Functional Roles of Nascent RNA Structure in Regulating and Coordinating Gene Expression Continuous Probing of Nanoconstruct-Cell Interactions at Biologically Relevant Time Scales Development of Photoreversible 4D Cell Culture Technologies Molecular mechanisms controlling kinetochore-microtubule attachments during mitosis Regulation of de novo purine synthesis by the MAPK/ERK pathway Structure and Mechanism of Non-Homologous End Joining Cytokinesis staging mechanisms SCISIPBIO: Understanding and Assembling Dream Teams to Conduct Clinical and Translational Science Intrinsic-contrast super-resolution imaging of DNA at 2-nm resolution

Quantitative analysis of metabotropic glutamate receptor activation and modulation The Interplay of Electric Potential and Morphology of Biomembranes

Development of spectroscopic Single-Molecule Localization Microscopy

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

Year ended August 31, 2023

| | Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures | Subrecipient expenditures |
|------------------|----------------------------|---------------------------------|--|---|------------------------------|
| | 249 | 93.855 | SUBK00016785//5R01AI134678-06 | \$ 113,615 | _ |
| | 274 | 93.855 | UWSC12062//5R01AI146072-03 | 217,791 | — |
| | 274 | 93.855 | UWSC14078- BPO# 68976//1R01AI172479-01 | 47,360 | — |
| | 274 280 | 93.855 93.855 | UWSC14466//5U01AI163090-02 VUMC100494 amd1//5U01AI167789-02 | 2,163 13,972 | _ |
| | 280 | 93.855 | VUMC85656 Amendment 4//5U01AI152967-04 | 84,444 | _ |
| | 280 | 93.855 | VUMC94252 Amnd 2//5U19AI095227-14 | 207,162 | |
| | | | | 45,799,768 | 8,268,912 |
| | 272 | 93.859 | 10044932-10//5P50AI150464-15 | (1,237) | _ |
| | 237 | 93.859 | 101111-18038Amd2//1R01GM135549-01A1 | 78,377 | — |
| | 206 89 | 93.859 93.859 | 102184-00001//2R01GM127585-05 153447.5111019.0005 AMD3//5R01GM105375-08 | 12,659 74,341 | — |
| | 228 | 93.859 | 1560378//1R21GM140347-01 Amd #1 | 59,611 | _ |
| | | 93.859 | 1DP2GM140927-01 | 470,083 | _ |
| | | 93.859 | 1DP2GM146322-01 | 625,155 | — |
| | | 93.859 | 1F31GM143907-01A1 | 42,584 | — |
| | | 93.859 | 1F31GM149178-01 REVISED 1P41GM135018-01 | 9,767 | — |
| | | 93.859 93.859 | 1R01GM148571-01 | (277) 292,485 | _ |
| | | 93.859 | 1R35GM146979-01 | 478,789 | _ |
| | | 93.859 | 1R35GM149280-01 | 64,450 | _ |
| | 61 | 93.859 | 1R44GM140750-01A1//Burridge AGMT 8/26/21 | 63,422 | _ |
| | 123 | 93.859 | 2317-01-02//5R01GM143545-02 | 177,794 | _ |
| | | 93.859 93.859 | 2R25GM121231-06 2R35GM118108-06 | 355,592 225,661 | — |
| | | 93.859 | 3F32GM134679-03S1 | (667) | _ |
| | | 93.859 | 3R01GM120303-07S1 | 401,446 | _ |
| | | 93.859 | 3R01GM140584-04S1 | 308,260 | _ |
| | | 93.859 | 3R01GM141233-02S1 | 358,605 | — |
| | | 93.859 | 3R35GM118035-08S1 | 660,132 | — |
| | | 93.859 93.859 | 3R35GM118108-05S1 3R35GM118144-08S1 | 173,351 559,246 | _ |
| | | 93.859 | 3R35GM136419-04S1 | 554,874 | _ |
| | | 93.859 | 3R35GM142441-04S1 | 362,109 | _ |
| | | 93.859 | 3T32GM008152-35S1 | 68,846 | — |
| | | 93.859 | 3T32GM144295-02S1 | 1,559,865 | — |
| Hong Zoo, PhD) | 26 | 93.859 | 4500004318//3R25GM121257-05S1 5F32GM139401-03 | 61,765 50,705 | — |
| i Hong Zee, PhD) | | 93.859 93.859 | 5F32GM139401-03 5F32GM140573-02 REVISED | 59,795 16,632 | _ |
| | | 93.859 | 5F32GM142211-02 REVISED | (6,563) | _ |
| | | 93.859 | 5F32GM143925-02 | 64,927 | _ |
| | | 93.859 | 5F32GM145160-02 | 68,149 | _ |
| | | 93.859 | 5P01GM096971-09 | 226,433 | 82,992 |
| | | 93.859 93.859 | 5P41GM108569-09 5R00GM140249-03 | 1,743,944 243,810 | _ |
| | | 93.859 | 5R00GM140249-03 5R01GM038784-31 REVISED | 16,941 | _ |
| | | 93.859 | 5R01GM089970-13 | 323,543 | _ |
| | | 93.859 | 5R01GM104530-08 | (13) | (13) |
| | | 93.859 | 5R01GM105847-06 Revised | 378,104 | — |
| | | 93.859 | 5R01GM111097-51 revised | 494,462 | — |
| | | 93.859 93.859 | 5R01GM111907-09 5R01GM113922-06 | 368,497 375,648 | |
| | | 93.859 | 5R01GM115739-06 | 64,005 | _ |
| | | 93.859 | 5R01GM115761-09 | 449,418 | _ |
| | | 93.859 | 5R01GM120303-05 | (470) | — |
| | | 93.859 | 5R01GM120307-04 | 28,879 | — |
| | | 93.859 93.859 | 5R01GM121359-05 5R01GM121359-07 | 7,980 361,087 | — |
| | | 93.859 93.859 | 5R01GM121359-07 5R01GM121518-04 | (5,615) | |
| | | 93.859 | 5R01GM124089-04 | 215,703 | |
| | | 93.859 | 5R01GM124354-05 REVISED | 268,324 | _ |
| | | 93.859 | 5R01GM126125-03 | 318,423 | _ |
| | | 93.859 | 5R01GM126318-05 | 250,562 | — |
| | | 93.859 93.859 | 5R01GM129312-04 5R01GM129339-04 REVISED | 202,755 85,525 | |
| | | 93.859 | 5R01GM129339-04 REVISED | 369,907 | 2,311 |
| | | 93.859 | 5R01GM130835-04 | 657,859 | |
| | | 93.859 | 5R01GM130901-04 REVISED | 362,778 | _ |
| | | 93.859 | 5R01GM131421-04 | 173,849 | _ |
| | | 93.859 | 5R01GM132677-04 | 231,915 | 91,838 |
| | | 93.859 03.850 | 5R01GM135391-04 5R01GM135587.04 REVISED | 366,615 522 120 | — |
| | | 93.859 93.859 | 5R01GM135587-04 REVISED 5R01GM135651-04 REVISED | 522,129 129,780 | 5,066 |
| | | 93.859 93.859 | 5R01GM135051-04 REVISED 5R01GM137133-04 | 394,671 | 5,000 |
| | | 93.859 | 5R01GM137410-04 | 209,499 | 61,041 |
| | | 93.859 | 5R01GM139151-04 | 208,636 | |
| | | 93.859 | 5R01GM140272-04 | 332,042 | _ |
| | | 93.859 93.859 | 5R01GM140461-04 5R01GM140478-03 Revised | 410,298 309,698 | — |
| | | 112 060 | | • | |

Mechanisms of acentrosomal spindle assembly and stability during oocyte meiosis Control of RNA methylation by growth signals through the mTORC1 pathway Histone chaperone networks for new and evicted histones Structure and Mechanism of Eukaryotic Transcription Regulation Regulation and interplay of Heat Shock Factors in growth-associated proteotoxic stresses Optogenomic mapping of chromatin accessibility in live cells A Microfluidic Device for Temporal Live-Cell Analysis of Intracellular Biomolecules Bright and switchable fluorophores for highly multiplexed super-resolution microscopy towards molecular interaction imaging Developing cell-penetrating miniproteins as a new class of therapeutics Exploring the sepsis-delirium connection through glycoproteomics Dictyostelium Community Resource Northwestern University Interdepartmental Neuroscience Postbaccalaureate Research Education Program ESTELE: Developing The Next Generation Of NGSS Elementary Science Teacher Leaders Integrated Empirical and Translational Research to Diversify the Scientific Workforce Impact of genetic variants on gene regulation and 3D genome organization in human diseases High throughput interrogation of non-coding variants and 3D genome organization The Roles of Enhancer RNAs in the Regulation of Gene Expression Microtubule motors, cytoskeletal organization and cell polarity Selective inhibition of nitric oxide synthase for multiple indications New Cooperative Catalysis Concepts for Asymmetric Synthesis Characterizing functional translation in putative 'noncoding' regions of a genome Single Cell Mosaic Mutation Atlas of Human Organ Emergent metabolic coordination and cell-to-cell signaling in bacterial biofilms Cellular and Molecular Basis of Disease Training Program Biotechnology Predoctoral Training Program Chemistry of Life Processes Predoctoral Training Program Molecular Biophysics Training Program at Northwestern University Physical Genomics and Engineering Training Program Dissecting functional cooperation among subunits in a catalytic ribonucleoprotein The role of dynamics in GPCR and arrestin allostery Genetic variation and function of body axis determinants in midges and other flies Establishing the market-readiness of an in vivo technology for assessing drug toxicity-induced tissue damage Photoactivatable Fluorophores for High-Throughput Multiplexed Tracking of Single-Molecules in Live Cells Resource for Quantitative Elemental Mapping for the Life Sciences Mechanobiology of Vimentin Intermediate Filaments in 3D Collective Cell Migration Orthogonal Ubiquitin Transfer to Profile E3 Substrate Specificity Orthogonal Ubiquitin Transfer to Profile E3 Substrate Specificity 100K spontaneous mutations: the foundation for an evolutionary systems biology of C. elegans New Evidence on Conception and Pregnancy Loss in the U.S Development of a standardized measure of social-communication abilities for children with neurodevelopmental disorders Molecular basis of altered drug metabolism during pregnancy Pediatric HIV/AIDS Cohort Study (PHACS): 2020 Pediatric HIV/AIDS Cohort Study (PHACS) 2020 Health Outcomes around Pregnancy and Exposure to HIV/ARV (HOPE): Cortical Interneuron Dysfunction in Fragile X Syndrome Cortical Priming to Optimize Gait Rehabilitation Post Stroke Universal strengths-based parenting support in pediatric health care for families with very young children following the Flint Water Crisis Effects of Bilateral Motor Priming and Task Specific Training on Corticomotor Excitability and Transcallosal Inhibition in People with Upper Limb Hemiparesis So COVID-19: Bridging gaps in healthcare services for new families due to COVID-19 Modeling p63-associated human birth defects with systems developmental biology approaches Clinician perspectives on the postpartum pain experience and attitudes about pain management Upper Midwest Summit for Reproductive Science ENDEAVOR TO STOP NAUSEA/VOMITING ASSOCIATED WITH PREGNANCY (E-SNAP) SBIR from Wearifi Inc Pelvic Floor Disorders Network Clinical Site Shift from unilateral to bilateral sensory-motor connectivity in chronic hemiparetic stroke Pathways linking Early Adversity and Support to Behavioral and Physical Health Quantification of Shoulder Pathology and Manual Wheelchair Propulsion in Children and Adults with Spinal Cord Injury using Advanced Biomechanical Modeling Glycemic Observation Using A1C for Gestational Diabetes Diagnosis Maternal Fetal Medicine Units Network – Northwestern Study Center School-age Outcome After In Utero ZIKV Exposure in Children Without Congenital Zika Syndrome Pathophysiology and Rehabilitation of Neural Dysfunction Bridging gaps in healthcare services for new families due to COVID-19 Multi-level factors affecting postpartum sterilization Clinical utility of novel biomarkers for prediction of early pregnancy loss Quantification of Musculoskeletal Structural Adaptations Underlying Passive Wrist Joint Properties in Children and Adults with Hemiparetic Cerebral Palsy -F31 Maternal psychological stress, adverse birth outcomes, and the role of inflammatory mediators in the placenta Cerebral organoid models of CHD2-associated intellectual and developmental disabilities (NRSA Postdoc Fellowship for Kay-marie Lamar, PhD.) Intergenerational health impacts of interpersonal and community-based violence: From childbirth to childbearing Developmental origins and downstream consequences of abstract verbal reference Examining the Effects of a Two-Generation Education Intervention on Children's Developmental Outcomes and Family Processes Using Diverse Methodologica Research Career Development in Obstetrics and Gynecology Interdisciplinary Engineering Career Development Center in Movement and Rehabilitation Sciences Upper-Extremity Torque Perceptual Impairments in Chronic Hemiparetic Stroke Mechanotransduction mechanisms of ovarian aging Northwestern Uterine Leiomyoma Research Center

Cognitive Architecture of Bilingual Language Processing

PED Screen: Pediatric Sepsis EHR Registry, Clinical Outcomes, and Predictive Model

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

Year ended August 31, 2023

| | Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures |
|---|----------------------------|--|---|---|
| | | 93.859 | 5R01GM141386-02 | \$ 215,441 |
| | | 93.859 | 5R01GM143334-02 | 511,893 |
| | | 93.859 | 5R01GM143638-03 | 428,331 |
| | | 93.859 93.859 | 5R01GM144559-02 5R01GM144617-02 | 387,639 306,660 |
| | | 93.859 93.859 | 5R01GM144617-02 5R01GM149076-02 | 285,067 |
| | | 93.859 | 5R21GM132709-02 | 65,887 |
| | | 93.859 | 5R21GM141675-02 REVISED | 6,278 |
| | | 93.859 | 5R21GM143560-02 | 157,718 |
| | | 93.859 | 5R21GM147847-02 | 147,571 |
| | | 93.859 | 5R24GM137770-04 | 837,322 |
| | | 93.859 | 5R25GM121231-05 | (29,419 |
| | | 93.859 93.859 | 5R25GM146332-02 5R35GM118184-08 | 124,774 677,402 |
| | | 93.859 | 5R35GM124820-05 | 1 |
| | | 93.859 | 5R35GM124820-07 | 364,759 |
| | | 93.859 | 5R35GM128900-05 | 358,905 |
| | | 93.859 | 5R35GM131752-05 | 1,130,335 |
| | | 93.859 | 5R35GM131788-04 | 351,589 |
| | | 93.859 | 5R35GM136440-04 | 327,830 |
| | | 93.859 | 5R35GM138192-04 | 371,301 |
| | | 93.859 | 5R35GM142539-04 | 329,843 |
| | | 93.859 93.859 | 5R35GM147170-02 5T32GM008061-40 | 427,450 953,455 |
| | | 93.859 | 5T32GM008061-40 5T32GM008449-30 | 460,097 |
| | | 93.859 | 5T32GM105538-10 Revised | 301,527 |
| | | 93.859 | 5T32GM140995-03 | 388,117 |
| | | 93.859 | 5T32GM142604-03 | 290,049 |
| | 150 | 93.859 | 60057276//R01GM120582 | (66 |
| | | 93.859 | 7R35GM143054-03 | 55,679 |
| | 226 | 93.859 | AWD102729 (SUB00000627) Amnd 1//5R01GM127366-04 | 21,926 |
| | 67 | 93.859 | NW-0221//1R41GM140538-01 | 43,571 |
| | 248 134 | 93.859 93.859 | OS00000959//1R01GM143397-01A1 RC113087NWU-01 Amnd 2//P41GM135018 | 70,135 471,004 |
| | 125 | 93.859 | S5271, PO# 560195 AMD 2//R01GM140108-01 | 35,670 |
| | 86 | 93.859 | SP00014037-01//3R01GM104498-07S2 | 15,326 |
| | 86 | 93.859 | SP0013463-01//5R01GM104498-09 | 24,930 |
| | 233 | 93.859 | UFDSP00012271 AMD 4//R01GM127433 | 123,001 |
| | | | | 30,436,647 |
| | 275 | 93.865 | 000000695 AMD 2//1R01HD102207-01 | 10,258 |
| | 223 | 93.865 | 10364sc//5R01HD093012-04 | 39,145 |
| | 161 | 93.865 | 11001136-002//7R01HD089455-06 | 101,310 |
| | 89 | 93.865 | 117267-0107-5115573//5P01HD103133-04 | 54,974 |
| | 89 89 | 93.865 93.865 | 117267-0182-5119269//5P01HD103133-04 117270-5112849Amd2//5R01HD101351-02REVISED | 19,020 56,353 |
| | 220 | 93.865 | 1580 G LA313//1R01HD108370-01 | 296,463 |
| | 236 | 93.865 | 18583//5R01HD075777-08 | 18,415 |
| | 142 | 93.865 | 18-A1-00-1001408 AMD 5//5R01HD096909-05 | 11,082 |
| Secondary to Stroke | | 93.865 | 1F31HD111318-01 | 33,400 |
| | | 93.865 | 1R01HD105499-01 | (3,897 |
| | | 93.865 | 1R01HD107841-01A1 | 448,273 |
| | | 93.865 | 1R03HD112103-01 | 48,691 |
| | | 93.865 | 1R13HD113376-01 | 2,358 |
| | 201 | 93.865 | 1R21HD105101-01A1 | 187,846 |
| | 291 | 93.865 93.865 | 1R43HD101189//XU AGMT 8/19/21 1UG1HD110057-01 | 320 74,885 |
| | 256 | 93.865 | 2023-31//1R01HD109157-01 | 15,058 |
| | 255 | 93.865 | 203344NU//5R01HD091235-05 | 26,465 |
| ing and Diagnostic Imaging | 276 | 93.865 | 203405427//R01HD098698 AMD 2 | (4,929 |
| | 124 | 93.865 | 241200//5R01HD104756-02 | 28,819 |
| | | 93.865 | 2UG1HD040512-24 | 100,628 |
| | 45 | 93.865 | 30006103-03//5R01HD102445-02 | 8,077 |
| | | 93.865 | 3T32HD007418-32S1 | 310,088 |
| | 295 | 93.865 93.865 | 5001901-NWU//7R01HD105499-02 5122028//5R01HD098127-04 | 187,033 8,220 |
| | | 33.003 | 5122028//5R01HD098127-04 586797//1-R01-HD-110448-01 | 8,220 19,242 |
| | 253 258 | 93 865 | | |
| 31 to support Divva Joshi | 253 258 | 93.865 93.865 | 5F31HD110236-02 | 41940 |
| 31 to support Divya Joshi | | 93.865 93.865 93.865 | 5F31HD110236-02 5F32HD100076-03 REVISED | 41,940 |
| 31 to support Divya Joshi | | 93.865 | | |
| 31 to support Divya Joshi | | 93.865 93.865 | 5F32HD100076-03 REVISED | 167 241 |
| 31 to support Divya Joshi | | 93.865 93.865 93.865 93.865 93.865 | 5F32HD100076-03 REVISED 5F32HD101280-03 5F32HD102152-03 Revised 5F32HD104408-03 | 167 241 (1,778 73,071 |
| 31 to support Divya Joshi cal Approaches | | 93.865 93.865 93.865 93.865 93.865 93.865 93.865 | 5F32HD100076-03 REVISED 5F32HD101280-03 5F32HD102152-03 Revised 5F32HD104408-03 5F32HD106691-02 REVISED | 241 (1,778) 73,071 74,065 |
| | | 93.865 93.865 93.865 93.865 93.865 93.865 93.865 | 5F32HD100076-03 REVISED 5F32HD101280-03 5F32HD102152-03 Revised 5F32HD104408-03 5F32HD106691-02 REVISED 5K12HD050121-19 | 167 241 (1,778) 73,071 74,065 317,147 |
| | | 93.865 93.865 93.865 93.865 93.865 93.865 93.865 93.865 | 5F32HD100076-03 REVISED 5F32HD101280-03 5F32HD102152-03 Revised 5F32HD104408-03 5F32HD106691-02 REVISED 5K12HD050121-19 5K12HD073945-10 | 167 241 (1,778 73,071 74,065 317,147 689,066 |
| | | 93.865 93.865 93.865 93.865 93.865 93.865 93.865 93.865 93.865 | 5F32HD100076-03 REVISED 5F32HD101280-03 5F32HD102152-03 Revised 5F32HD104408-03 5F32HD106691-02 REVISED 5K12HD050121-19 5K12HD073945-10 5K25HD096116-05 | 167 241 (1,778) 73,071 74,065 317,147 689,066 (869) |
| | | 93.865 93.865 93.865 93.865 93.865 93.865 93.865 93.865 93.865 93.865 93.865 | 5F32HD100076-03 REVISED 5F32HD101280-03 5F32HD102152-03 Revised 5F32HD104408-03 5F32HD106691-02 REVISED 5K12HD050121-19 5K12HD073945-10 5K25HD096116-05 5K99HD108424-02 | 167 241 (1,778 73,071 74,065 317,147 689,066 (869 116,381 |
| | | 93.865 93.865 93.865 93.865 93.865 93.865 93.865 93.865 93.865 | 5F32HD100076-03 REVISED 5F32HD101280-03 5F32HD102152-03 Revised 5F32HD104408-03 5F32HD106691-02 REVISED 5K12HD050121-19 5K12HD073945-10 5K25HD096116-05 | 167 241 (1,778) 73,071 74,065 317,147 689,066 (869) |

| Subrecipient expenditures |
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| 649,132 — |
| 530,002 |
| 550,002 |
| 292,006 |

Integrative Genomics, Epigenomics and Bioinformatics Analyses of Human Uterine Fibroids Bilateral Priming Plus Task Specific Training for Severe Upper Limb Hemiparesis Understanding Diverging Profiles of Academic and Physical Health Outcomes in African American Youth Homeostatic to reactive hyaluronan matrices in ovarian reproductive aging Firearm Involvement Among Parents and Their Adolescent Children: A Prospective Longitudinal Study of At-Risk Youth Effects of device-assisted practice of activities of daily living in a close-to-normal pattern on upper extremity motor recovery in individuals with moderate to severe s PRogressive Abduction LoadINg thErapy (PRALINE): A Phase IIb Stroke Rehabilitation Trial with Longitudinal Tracking Effects of a Prenatal Depression Preventive Intervention on Parenting and Young Children's Self-Regulation and Functioning (EPIC) Patient navigation to improve outcomes among low-income women in the postpartum period Clinical Trial of ENhancing Recovery in CHildren Undergoing Surgery – ENRICH-US 3/4: Pre-IVF treatment with a GnRH antagonist in women with endometriosis – A prospective double blind placebo controlled trial (PREGNANT)

AMH signaling pathway variation in PCOS

Defining epigenetic mechanisms for embryonic patterning

Oocyte genomic instability as a driver of the aging ovarian innate immune response

Extremely Long Lived Proteins and Female Reproductive Aging Determination of the Recruitment of Indirect Motor Pathways in Chronic Hemiparetic Stroke

Early origins of health disparities: Chronic inflammation

Safety Assessment of Perioperative Pain Medications for Children (SAPPhire)

Role of m6A modification in Alpha 1-antitrypsin deficiency induces liver disease

Language development in bilingual preschoolers: A cross-linguistic and cross-cultural comparison

COVID-19: Identification of Risk Factors for predicting outcomes of COVID-19-Related Multisystem Inflammatory Syndrome in Children (MISC) using Real World Pathophysiology and Rehabilitation of Neural Dysfunction

Northwestern Center for Reproductive Science Predoctoral Training Program in Reproductive Science, Medicine, and Technology

Research Training in Sensorimotor Neurorehabilitation Maternal-Fetal Medicine Units Network -- The Northwestern Study Center

Intensive Combination Approach to Rollback the Epidemic (iCARE) in Nigerian Adolescents

Locomotor function following transcutaneous electrical spinal cord stimulation in individuals with hemiplegic stroke

Center for Smart Use of Technology to Assess Rehabilitation

Intensive Rehabilitation Research Grant Writing Workshops in the United States

A Cognitive Test Battery for Intellectual Disabilities

Preterm human milk composition in conditions of maternal overweight and obesity and effects of milk constituents on preterm infant body composition Developmental Origins of Polycystic Ovary Syndrome: Very Early Phenotypes During the Mini Puberty of Infancy and Beyond

Reverse Engineering the Extracellular Neighborhood to Support the Functional Tissue Unit: A Use Case to Restore Ovarian Function

SIESTA (Sleep of Inpatients: Empower Staff to Act) for Acute Stroke Rehabilitation

Accelerating Nerve Regeneration with Therapeutic Electrical Stimulation and Botulinum Toxin Large scale genome sequencing and integrative analyses to define genomic predictors of recurrent pregnancy loss

Psychosocial Intervention, Maternal Inflammation, and Birth Outcomes: Centering vs Routine Prenatal Care (PIINC)

Viral pathogenesis of chronic inflammatory lesions of placenta

COVID-19: The Impact of structural racism and discrimination on perinatal health during the COVID-19 pandemic

Identifying the contributions of the gestational and caregiving environments to socioeconomic disparities in child language and cognitive development

FSH Glycoforms and Ovarian Signaling

Soft Spring Continence Pessary for Women

Cord Blood Adductomics in Bronchopulmonary Dysplasia

COVID-19: Prejudices and Discrimination Toward Asians and Hispanics During and After COVID-19

Adolescent Medicine Trials Network for HIV AIDS Interventions (ATN) Scientific Leadership Center

Novel Pediatric Neurocognitive Screening Using Central Auditory Tests

Long-term effects of zika virus infection on sound processing in the brain

Intensive Rehabilitation Research Grant Writing Workshops in the United States (TIGRR)

Developmental, Contextual, and Psychosocial Predictors of Weathering and Health among Rural African Americans in their Fourth Decade of Life MFMU Network Capitation Agreement

Gestational Diabetes and Pharmacotherapy (GAP)- A Randomized Controlled Trail Investigating Timing of Pharmacotherapy Initiation for Patients with Gestational

Integrative Pathways to Health and Illness

Best Case/Worst Case: A Multisite Randomized Clinical Trial of Scenario Planning for Patients with End-Stage Kidney Disease

MIDUS: Integrative pathways to Health & Illness

Natural Language Processing and Automated Speech Recognition to Identify Older Adults with Cognitive Impairment

The tRNA pool in C9-ALS/FTD

Blood mitochondrial DNA biomarkers of midlife cognitive decline and adverse brain imaging changes – A longitudinal investigation in the CARDIA population-based A new biomarker for frontotemporal lobar degeneration with TDP-43 proteinopathy and Alzheimer's Disease

Long-term Outcomes of Knee OA in the OAI Cohort

Glucose homeostasis and the risk of Alzheimer's disease and Alzheimer's disease related dementias in the Multi-Ethnic Study of Atherosclerosis Structural characterization of Aβ strain variation in AD mouse models

The Subclinical Vascular Contributions to Alzheimer's Disease: The Multi-Ethnic Study of Atherosclerosis (MESA) Multisite Study of AD

Predicting post-transplant mortality and global functional health based on pre-transplant functional status in liver transplantation

Anti-Amyloid Treatment in Asymptomatic Alzheimer's Disease (A4) Open-Label Extension Study

Application of Economics and Social Psychology to Improve Opioid Prescribing Safety (AESOPS)

Longitudinal Relationships Among Sleep, Cognition and Alzheimer's Disease Biomarkers: Discerning Causal Associations, Mediators, and Susceptibility The A3 Study: Ante-Amyloid prevention of Alzheimer's disease

Combination anti-amyloid therapy for preclinical Alzheimer's disease (A45)

Global Alzheimer's Platform Trial-Ready Cohort for Preclinical/Prodromal Alzheimer's Disease (TRC-PAD Fixed Price CTA)

Global Alzheimer's Platform Trial-Ready Cohort for Preclinical/Prodromal Alzheimer's Disease (TRC-PAD) Alzheimer's Disease Neuroimaging Initiative (ADNI3)[Diversity Taskforce Project]

Wake Forest REHAB-HFpEF

Physical Rehabilitation for Older Patients with Acute HFpEF-The REHAB-HFpEF Trial (REHAB-PP)

A Dynamic Environmental Exposure Approach to Study Behaviors in Mid-Life

Hippocampal neurogenesis in cognitive function and dysfunction in Alzheimer's disease

Development of Protein Like Polymer Therapeutics for Modulating the Nrf2/Keap1 Protein Protein Interaction in Neurodegenerative Diseases

The gut microbiome in Alzheimer's disease: exploring the role of astrocytes

Antemortem White Matter Integrity Quantification from DTI and Relationship with Postmortem TDP-43 – F31 to support Ashley Alexandra Heywood

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

Year ended August 31, 2023

| | Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures |
|------------------|--|--|--|--|
| | | 93.865 | 5R01HD089552-05 | \$ 208,769 |
| | | 93.865 | 5R01HD091492-05 | 163,692 |
| | | 93.865 | 5R01HD093718-05 | 713,159 |
| | | 93.865 | 5R01HD093726-05 | 136,906 |
| | | 93.865 | 5R01HD093935-05 | 573,405 |
| stroke | | 93.865 | 5R01HD095187-05 | 348,143 |
| | | 93.865 | 5R01HD096071-05 | 473,151 |
| | | 93.865 93.865 | 5R01HD097215-05 5R01HD098178-05 | 676,805 783,433 |
| | | 93.865 | 5R01HD099344-05 | 639,108 |
| | | 93.865 | 5R01HD100318-04 | 253,087 |
| | | 93.865 | 5R01HD100630-03 Revised | 915,404 |
| | | 93.865 | 5R01HD101563-03 | 411,991 |
| | | 93.865 | 5R01HD105752-03 | 471,947 |
| | | 93.865 | 5R21HD098498-02 | (909) |
| | | 93.865 | 5R21HD099710-02 | 44,952 |
| | | 93.865 | 5R21HD101757-02 | 48,968 |
| | | 93.865 | 5R21HD104078-02 | 303,596 |
| | | 93.865 | 5R21HD104904-02 | 97,342 |
| d Clinical Data | | 93.865 93.865 | 5R21HD106759-02 5R21HD107571-02 | 143,222 |
| | | 93.865 | 5T32HD007418-30 | 78,384 (9,790) |
| | | 93.865 | 5T32HD094699-04 | 204,641 |
| | | 93.865 | 5T32HD101395-02 | 292,007 |
| | | 93.865 | 5UG1HD040512-23 | 150,351 |
| | | 93.865 | 5UH3HD096920-05 | 1,347,017 |
| | 165 | 93.865 | 80428.NU.Y101//R01HD106015 | 127,693 |
| | 165 | 93.865 | 8963]//P2CHD101899 | 49,177 |
| | 130 | 93.865 | A00-3512-S001//5R25HD074546-11 | 12,200 |
| | 217 | 93.865 | A20-1951-S003 AMD2//R01HD076189 | 44,094 |
| | 17 | 93.865 | A21-0107-S001//5R21HD102778-02 | 11,271 |
| | 17 | 93.865 | A22-0163-S001 Amendment 1//1R01HD108399-01 | 30,141 |
| | 17 226 | 93.865 93.865 | A22-0169 – NU//1U01HD110336-01 | 101,018 86,076 |
| | 165 | 93.865 | AWD100824 (SUB00000246) AMD 3//5R01HD097786-03 cc 82347 AMD 1 // 5R03HD101090-02 | 25,485 |
| | 296 | 93.865 | CON-80003728 (GR116814) AMD1//5R01HD105267-02 | 20,238 |
| | 147 | 93.865 | EH17-256-S2-1//5R01HD092446-05 | 105,016 |
| | 147 | 93.865 | EH21-007-S1//5R01HD103762-02 | 10,202 |
| | 147 | 93.865 | EH21-184-S1//3R01HD092446-04S1 | 74,099 |
| | 147 | 93.865 | EH21-336-S1 Amd 1//5R01HD109291-02 | 136,226 |
| | 229 | 93.865 | FY22.1115.001_AMD2//5R01HD103384-02 | 86,466 |
| | 120 | 93.865 | Gaupp AGMT 6/14/22//5R44HD105574-02 | 32,095 |
| | 222 | 93.865 | KR 705246//7R21HD100831-03 | 5,408 |
| | 53 | 93.865 | PO: SAPO G17333 Subaward #1(GG016142-01)//1R21HD10 | 13,077 |
| | 78 | 93.865 | R000003150//1UM2HD111102-01 | 445 |
| | 60 60 | 93.865 93.865 | R1064 4//5R01HD095277-05 R1297//2R21DC017603-02 | 88,306 13,988 |
| | 182 | 93.865 | SHEP-23-0004//R25HD074546 | 4,329 |
| | 234 | 93.865 | SUB00002073Amd5//R01HD030588 | 204,819 |
| | 83 | 93.865 | U24HD036801//AGMT 10/18/21 | 331,542 |
| al Diabetes | 128 | 93.865 | YEE 1/17/23//1R01HD108194-01 | 7,185 |
| | | | | 16,318,162 |
| | 275 | 93.866 | 000000784//1U19AG051426-01A1 | 536 |
| | 275 | 93.866 | 0000002088 AMD 2 // 1R01AG065365-01 | 29,023 |
| | 275 | 93.866 | 0000002491//2U19AG051426-06A1 | 95,085 |
| | 96 | 93.866 | 0255-D461-4609-Amnd 3//5R01AG066471-04 | 117,016 |
| | 202 | 93.866 | 080-02000-S50301//1R21AG082005-01 | 8,505 |
| ed cohort study. | 53 | 93.866 | 1(G017449-01)-AMD3//5R01AG069120-04 | 313,536 |
| | 272 | 93.866 93.866 | 10064064-01-NW//1R21AG080502-01 10477cc//5R01AG050469-05 AMD 05 | 17,489 |
| | 223 287 | 93.866 93.866 | 10477sc//5R01AG050469-05 AMD 05 1100-45116-11000000260//1RF1AG070881-01A1 | 4,868 59,296 |
| | 263 | 93.866 | 110365091 AMD 4//5R01AG061865-05 | 217,929 |
| | 287 | 93.866 | 111-33664-10000551083//5R01AG058969 | 426,516 |
| | 223 | 93.866 | 11739sc Amendment 4//5R01AG059183-05 | 27,666 |
| | 263 | 93.866 | 120151062//1R01AG063689-01/via BWH Subaward #12133 | 42,250 |
| | 263 | 93.866 | 124931788 Amnd 4 // 5R33AG057395-05 | 17,967 |
| | 27 | 93.866 | 125298//5R01AG070867-03 | 256,603 |
| | 263 | 93.866 | 131741339//5R01AG054029-02 | 6,507 |
| | 262 | 93.866 | 131741549//1R01AG061848-01 | 68 |
| | 263 | 00.000 | 135242420//1R01AG053798-01A1 | 20,551 |
| | 263 | 93.866 | | |
| | 263 263 | 93.866 | 135871077//5R01AG053798-05 | 33,306 |
| | 263 263 263 | 93.866 93.866 | 137206820//U19AG024904-12 | (391) |
| | 263 263 263 287 | 93.866 93.866 93.866 | 137206820//U19AG024904-12 1481-32041-11000001166//1R01AG078153-01 | (391) 49,076 |
| | 263 263 263 287 287 | 93.866 93.866 93.866 93.866 | 137206820//U19AG024904-12 1481-32041-11000001166//1R01AG078153-01 1591-32041-11000001260//1R01AG078153-01 | (391) 49,076 800 |
| | 263 263 263 287 287 236 | 93.866 93.866 93.866 93.866 93.866 | 137206820//U19AG024904-12 1481-32041-11000001166//1R01AG078153-01 1591-32041-11000001260//1R01AG078153-01 17784//5R01AG062180-03 | (391) 49,076 800 53,752 |
| | 263 263 263 287 287 | 93.866 93.866 93.866 93.866 93.866 93.866 | 137206820//U19AG024904-12 1481-32041-11000001166//1R01AG078153-01 1591-32041-11000001260//1R01AG078153-01 17784//5R01AG062180-03 18994-01//5R01AG076940-02 | (391) 49,076 800 53,752 27,674 |
| | 263 263 263 287 287 236 | 93.866 93.866 93.866 93.866 93.866 | 137206820//U19AG024904-12 1481-32041-11000001166//1R01AG078153-01 1591-32041-11000001260//1R01AG078153-01 17784//5R01AG062180-03 | (391) 49,076 800 53,752 |

| Subrecipient |
|------------------------------------|
| expenditures 108,089 107,338 |
| 97,902 55,007 — |
| |
| 255,275 |
| 179,765 — |
| 11,787 — 97,955 |
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| |
| 730,416 |
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| |
| 82,677 |
| <u>3,796,457</u> |
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Cluster title/federal grantor/subagency/project title NRSA Predoc Fellowship for N. Rao in support of: Elucidating the contribution of amyloidogenic APP processing to AD-relevant impaired synaptic protein turnover Heart-brain MRI for the evaluation of hemodynamic coupling in aging and Alzheimer's disease Cerebral Autoregulation, Metabolic derangement, and Edema in Encephalopathy Outcome (CAMEEO) Defining native proteoform landscape for amyloid-beta in Alzheimers disease Reducing High Risk Polypharmacy Using Behavioral Economics through Electronic Health Records COVID-19: Long-Term Impact of the COVID-19 Pandemic on Self-Management of Chronic Conditions: The C3 Study Understanding Amyloid Pathology – Multiomic Activity Imaging of Plaque Formation Dynamics (AmyMAP) Epigenetic Regulation in Aging and Alzheimer's Disease PATIENT-TAILORED PHYSICAL ACTIVITY INTERVENTION AMONG OLDER WOMEN WITH GYNECOLOGIC CANCERS UNDERGOING CHEMOTHERAPY Hepatocyte growth factor to Improve Functioning in PAD: the HI-PAD Study Molecular Targets of Aging-Triggered Memory Decline in a Stress-Reactive Rat Strain Understanding the impact of stress reactivity on racial/ethnic disparities in cognitive function. Microglia mediate cognitive dysfunction in elderly survivors of pneumonia Far Red Light to Improve Functioning in PAD: the LIGHT PAD Trial Insights into Amyloid Pathogenicity Dynamics Mitochondrial Stress Response in FUS proteinopathy and related dementia Evolutionary Advantage of Heterozygous PAI-1 Deficiency in Humans Regulation of Peripheral Proteostasis Molecular and cellular mechanisms of the UNC5C netrin receptor in Alzheimer's disease pathogenesis Sex Differences in Central Stress Response and Alzheimer's Disease Neuropathology The Role of RNA Binding Protein Networks in Tauopathy and Related Dementia Exploring the origins of myelin abnormalities in normal ageing and in vascular dementia Estrogen, Astrocyte Reactivity, and Sex Differences in Alzheimer's Disease Mechanisms of Angiotensin I Converting Enzyme in Alzheimer's disease GMP Production and Extended Toxicology of an Oral Formulation Drug for Alzheimer's Disease ARMCADA – Advancing Reliable Measurement in Cognitive Aging and Decision-making Ability Leveraging the 24-hour Movement Paradigm to Preserve Cognitive Function and Prevent Alzheimer's Disease: The Multi-Ethnic Study of Atherosclerosis (MESA) 24F The role of human blood and brain 5-hydroxymethylcytosine in linking vascular risk factors to ADRD in older White and Black persons Microbiome-mediated therapies for aging and healthspan in marmosets Physical Activity and Weight Loss to Improve Function and Pain after Total Knee Replacement Chicago Asian Resource Center for Minority Aging Research (RCMAR) Communication Bridge: A person-centered Internet-based intervention for individuals with primary progressive aphasia Synaptic substrates of age-dependent memory deficits BACE1 as a Therapeutic Target for Alzheimer's Disease Predoctoral and Postdoctoral Training Program in Aging and Dementia The Mobile Toolbox for Monitoring Cognitive and Behavioral Function (MTB2) First-in-human SAD & MAD trials for MW151, a novel Alzheimer's disease drug candidate that attenuates proinflammatory cytokine dysregulation Novel Gastrocnemius Muscle Characteristics in Peripheral Artery Disease Patients Associated with Impaired Functional Performance COVID-19: Genetic Epidemiology of Caffeine and Cognitive Decline Genetic Epidemiology of Caffeine and Cognitive Decline Integrative Multi-Scale Systems Analysis of Gene-Expression-Driven Aging Morbidity Alterations of Sleep and Circadian Timing in Aging Sustaining quality of life of the aged: Heart transplant or mechanical support? COVID-19: Pathogenic mechanisms of Neuro-PASC in older adults Clinical, Neuroanatomic, and Pathologic Signatures of FTLD-tau in Dementia Phenotypes Slow Outward Currents and Learning In Aging Hippocampus Boston Early Adversity and Mortality Study (BEAMS): Linking administrative data to long-term longitudinal studies Transition to Aging Research for Predoctoral Students (F99/K00 Clinical Trial Not Allowed) Emotion, Aging, and Decision Making Life Course Process of Alzheimer's Disease: Sex Difference and Biosocial Mechanisms CARDIA Year 35 Brain MRI Renewal Effect of Post-Acute Care Pay for Performance in Skilled Nursing Facilities on Outcomes and Disparities Modeling Ovarian Aging Phenotype in Mechanically Tuned 3D Matrices (NIH NRSA Predoc Fellowship for Emma Gargus, BS.) NRSA in support of Michael Fernandopulle: Mechanisms of autophagic dysfunction in progranulin-related neurodegeneration NRSA in support of Wesley Peng: Regulation of neuronal calcium transfer between mitochondria and lysosomes in health and neurodegeneration. Alzheimer's Disease Characterization via a Novel Native Mass Spectrometry Platform Dysfunction of microglial proteostasis drives neuroinflammation and cognitive decline following pneumonia Pathologic Substrates of Neuropsychiatric Symptoms in Aphasic Dementia Development of Novel Synthetic Proteomimetics for Mediating Tauopathy in Alzheimer's Disease The role of APOE and Bone Morphogenic protein 4 (BMP4) in early cellular pathophysiology of Alzheimer's Disease Management of Complex Medication Regimens among Older Adults with Alzheimer's Disease and Related Dementias and their Caregivers Aging, immunosenescence and glioblastoma Investigating the role of neuroinflammation in Limbic-predominant age related TDP43 encephalopathy COVID-19: Disordered Proteostasis as a Driver of Disease in the Aging Lung Disordered Proteostasis as a Driver of Disease in the Aging Lung Proteostasis in Aging and Neurodegenerative Disease Alzheimer's Disease Core Center Alzheimer's Disease Core Center The Claude D. Pepper Older Americans Independence Center (OAIC) at Northwestern University Northwestern Alzheimer's Disease Research Center Personality and Well-being Trajectories in Adulthood COVID-19: LitCog IV: Health Literacy and Cognitive Function Among Older Adults LitCog IV: Health Literacy and Cognitive Function Among Older Adults Noninvasive Stimulation to Improve Hippocampal-Dependent Memory in Older Adults Stellate Ganglion Blockade for the Management of Vasomotor Symptoms Stellate Ganglion Blockade for the Management of Vasomotor Symptoms Role of BMP Signaling in the Aging Brain Communication Bridge: A person-centered Internet-based intervention for individuals with primary progressive aphasia Determinants of neurodegenerative decline in the aphasic variant of Alzheimer's disease INTERmittent pneumatic ComprEssion for Disability rEversal in PAD: the INTERCEDE Trial

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NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

| | Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures | Subrecipient expenditures |
|--------------------|----------------------------|---------------------------------|--|-------------------------|------------------------------|
| | | 93.866 | 1F31AG079653-01 | \$ 31,338 | _ |
| | | 93.866 93.866 | 1K01AG080070-01 1K23AG078705-01A1 | 70,464 51,697 | — |
| | | 93.866 | 1R01AG063903-01 | 595,591 | _ |
| | | 93.866 | 1R01AG070054-01A1 | 268,189 | 19,022 |
| | | 93.866 | 1R01AG075043-01 | 498,740 | 71,328 |
| | | 93.866 | 1R01AG078796-01 | 306,153 | — |
| | | 93.866 | 1R01AG079989-01 | 200,451 | |
| PY (FIT4TREATMENT) | | 93.866 93.866 | 1R01AG081291-01 1R21AG056903-01 | 224,012 (384) | |
| | | 93.866 | 1R21AG062968-01A1 | (6,699) | _ |
| | | 93.866 | 1R21AG069435-01 | 33,415 | 11,776 |
| | | 93.866 | 1R21AG075423-01 | 154,583 | _ |
| | | 93.866 | 1R21AG080426-01 | 51,064 | _ |
| | | 93.866 93.866 | 1R21AG080705-01 1R56AG061327-01A1 REVISED | 127,384 46,434 | _ |
| | | 93.866 | 1R56AG077278-01 | 301,100 | _ |
| | | 93.866 | 1RF1AG057296-01 | (57,439) | _ |
| | | 93.866 | 1RF1AG057727-01A1 REVISED | 60,660 | _ |
| | | 93.866 | 1RF1AG057884-01 | 24,523 | _ |
| | | 93.866 | 1RF1AG068140-01 | 1,420,639 | — |
| | | 93.866 | 1RF1AG072080-01 | 559,574 | |
| | | 93.866 93.866 | 1RF1AG079419-01A1 1RF1AG080092-01 | 164,065 839,346 | |
| | | 93.866 | 1U01AG076480-01 | 1,641,593 | 588,576 |
| | | 93.866 | 1U24AG082022-01 | 189,886 | 38,477 |
| IH-ACT Study | 53 | 93.866 | 2(GG0115353-03)//R01AG071032-02 | 78,555 | |
| | 175 | 93.866 | 20112002-Sub02-AMD01//1RF1AG074549-01 | 146,766 | |
| | 201 | 93.866 | 22-04848.401//5R01AG065546-03 | 27,922 | |
| | 261 176 | 93.866 93.866 | 22-4568-AMD1//5R01AG070004-02 2675 Amd 1//5P30AG059304-05 | 23,224 19,768 | _ |
| | 170 | 93.866 | 2R56AG055425-06 | 832,295 | 7,799 |
| | | 93.866 | 2RF1AG017139-15 | 7,766 | |
| | | 93.866 | 2RF1AG022560-16 REVISED | 300,703 | _ |
| | | 93.866 | 2T32AG020506-21 | 394,184 | |
| | 044 | 93.866 | 2U2CAG060426-06 | 3,980 | _ |
| | 241 241 | 93.866 93.866 | 3200002345-19-178//1R01AG061898-03 3200003801-21-236 AMD 2//5R01AG066724-03 | 105,684 | |
| | 241 | 93.866 | 3K01AG053477-05S1 | 19,375 97,623 | _ |
| | | 93.866 | 3K01AG053477-05S1 | (16,092) | |
| | | 93.866 | 3K99AG068544-02S1 | 64,242 | _ |
| | | 93.866 | 3P01AG011412-22S1 | 732,508 | 151,374 |
| | | 93.866 | 3R01AG047416-05S1 | (6,017) | (6,017 |
| | | 93.866 | 3R01AG059291-04S1 | 469,522 | |
| | | 93.866 93.866 | 3R01AG062566-04S1 3R37AG008796-28S1 | 481,439 (1,014) | |
| | | 93.866 | 3RF1AG064006-01S2 | 905,940 | 594,931 |
| | | 93.866 | 4K00AG068511-03 | 44,404 | |
| | 63 | 93.866 | 501668SG2231 01//2R01AG043533-06A1 | 43,622 | — |
| | 253 | 93.866 | 5113263 AMD 4//5R01AG057800-05 | 78,626 | — |
| | 258 | 93.866 | 578134//5R01AG062819-04 | 93,847 | |
| | 258 | 93.866 93.866 | 584483//5R01AG071610-02 5F30AG058387-04 | 42,699 (90) | _ |
| | | 93.866 | 5F30AG060722-04 Revised | 6,588 | _ |
| | | 93.866 | 5F30AG066333-04 | 34,426 | _ |
| | | 93.866 | 5F31AG069456-02 | 516 | |
| | | 93.866 | 5F31AG071225-03 Revised | 35,677 | |
| | | 93.866 | 5F31AG076318-02 Revised | 55,021 | |
| | | 93.866 93.866 | 5F31AG076334-02 5F31AG079540-02 | 40,229 47,404 | |
| | | 93.866 | 5F3TAG079540-02 5K01AG070107-03 | 132,879 | |
| | | 93.866 | 5K02AG68617-03 | 46,417 | |
| | | 93.866 | 5K08AG065463-03 REVISED | 56,955 | |
| | | 93.866 | 5P01AG049665-08 | 81,333 | |
| | | 93.866 | 5P01AG049665-08 | 1,995,621 | 255,451 |
| | | 93.866 | 5P01AG054407-05 | 2,991,980 | 2,395,047 |
| | | 93.866 93.866 | 5P30AG013854-25 5P30AG013854-25 | (8,880) 10,416 | 10,416 |
| | | 93.866 | 5P30AG059988-04 | 1,431,293 | 32,210 |
| | | 93.866 | 5P30AG072977-02 | 3,226,207 | |
| | | 93.866 | 5R01AG018436-20 | 288,239 | 102,130 |
| | | 93.866 | 5R01AG030611-16 | 2,252 | — |
| | | 93.866 | 5R01AG030611-16 | 1,050,545 | 89,620 |
| | | 93.866 | 5R01AG049002-06 | 26,911 | — |
| | | 93.866 93.866 | 5R01AG049924-05 5R01AG049924-05 | (158,453) 262 735 | 262,735 |
| | | 93.866 93.866 | 5R01AG054429-05 5R01AG054429-05 | 262,735 (130,011) | 202,735 |
| | | 93.866 | 5R01AG055425-04 | (130,011) 81,467 | 60,031 |
| | | | | | 00,001 |
| | | 93.866 | 5R01AG056258-10 REVISED | 844,774 | · |

LEAF 2.0: Randomized trial of a technology-based positive emotion intervention for informal caregivers of individuals with Alzheimer's disease Decision Making and Implementation of Aging-in-Place/Long Term Care Plans among Older Adults An Epidemiologic Study of Disparities in Sleep and Cognition in Older Adults (DISCO) Lifecourse determinants and outcomes of epigenetic age acceleration across two generations Novel Protein Aggregation Inhibitors and Upper Motor Neuron Stabilizers for ALS and other Neurodegenerative Diseases The role of activity induced exosome signaling in synaptic pathology of Alzheimer's Disease Molecular Mechanisms Underlying Behavioral and Psychological Symptoms in Alzheimers Disease Genetic modifiers of the Mediterranean-DASH diet Intervention for Neurodegenerative Delay (MIND) response Bioenergetic Mechanisms Underlying Circadian Dietary Intervention Contributions of stress reactivity to risk of Alzheimer's disease and related dementia's in a community-based cohort Personality and Non-Cognitive Factors in the Prediction and Moderation of Risk of Dementia Progression and Physical Health Cognitive SuperAging: A model to explore resilience and resistance to aging and Alzheimers disease Negotiation Training to Optimize Caregiver Communication in Alzheimer's Disease COCOA flavanols to improve walking performance in PAD: the COCOA-PAD II Trial Reconstructing the temporal landscape of gene regulation in aging ToolBox Detect: Low Cost Detection of Cognitive Decline in Primary Care Settings LIVOPT -- LIVer cirrhosis – Optimizing Prediction of Patient OuTcomes Health Literacy and Cognitive Function among Middle-Aged Adults: The MidCog Study BEET root juice to reverse functional impairment in PAD: The BEET PAD Trial Development and Validation of a Telehealth Strategy for Routine Detection of Cognitive Impairment in Primary Care: The MyCog Mobile Assessment Sequential Multiple Assessment Randomized Trial of Exercise for PAD: SMART Exercise for PAD Asymmetric Neurodegeneration and Language in Primary Progressive Aphasia The role of apolipoprotein E in Alzheimer's adaptive immunity Epigenomic landscape of individual- and neighborhood-level social disadvantages and cardiovascular health disparity Longitudinal Trajectories of Physical and Cognitive Function among Persons Aging with HIV A PILOT STUDY OF PREHABILITATION DURING THE NEOADJUVANT WINDOW OF OPPORTUNITY IN OLDER WOMEN WITH OVARIAN CANCER (FIT A test of the calcium hypothesis of Alzheimers disease Unacylated Ghrelin to Improve FuncTioning in PAD: the GIFT Trial APelin, EXercise, and mobility in Peripheral Artery Disease, the APEX-PAD Study Long-lived proteins as pillars of mitochondrial architecture in rodent brains Fisetin to Reduce Senescence and mobility impairment in PAD: the FIRST Pilot Randomized Trial Behavioral Economics Applications to Geriatrics Leveraging EHRs (BEAGLE) The Impact of Race on Quality of Life of the Aged after Heart Transplant or Destination Therapy Mechanical Support Predoctoral and postdoctoral training program in aging and dementia Preclinical development of a novel small molecule inhibitor of Alzheimer's disease-related cognitive impairment Study to Uncover Pathways to Exceptional Cognitive Resilience in Aging (SUPERAging) ARMADA: Advancing Reliable Measurement in Alzheimer's Disease and cognitive Aging. The Mobile Toolbox for Monitoring Cognitive Function Role of perictyte nanotubes in age-related neurovascular dysfunction Prot #PBD 01187: A Phase 2A Randomized Double-Blind Placebo-Controlled Trial to Evaluate the Efficacy and Safety of Varoglutamstat (PQ912) in Patients with E Disease with a Stage Gate to Phase 2B (VIVA-MIND) Early Onset AD Consortium – the LEAD Study (LEADS) Alzheimer's Disease Neuroimaging Initiative (ADNI3) Quantitative cerebral blood vessel imaging biomarkers for AD and VCID Long-Term Nicotine Treatment of Mild Cognitive Impairment The role of personality in cognitive aging and dementia Contribution of Longitudinal Neighborhood Determinants to Cognitive Health and Dementia Disparities within a Multi-Ethnic Cohort Social Worker Funding – Subaward No. 9092 NW (SW) – LEADS PRagmatic EValuation of evENTs And Benefits of Lipid-lowering in oldEr Adults (PREVENTABLE) Functional Limitations and Disability Among Middle-Aged Adults Personality and Health: A Longitudinal Study Cardiovascular and HIV/AIDS Effects on Brain Structure/Function and Cognition Building the Geriatric Emergency Care Applied Research (GEAR) Network Improving Emergency Department Care and Care Transitions: Perspectives of Persons Living with Dementia and their Care Partners Identifying Older Adults with Delirium in the Emergency Department: Risk Factors and Phenotypes Geriatric Emergency care Applied Research network 2.0 – Advancing Dementia Care (GEAR 2.0 ADC) Improving Emergency Department Care and Care Transitions: Perspectives of Persons Living with Dementia and their Care Partners Geriatric Emergency care Applied Research network 2.0 – Advancing Dementia Care (GEAR 2.0 ADC) A harmonized medial temporal lobe subregion segmentation protocol: an essential element for dementia research Amyloid beta oligomer induction of Alzheimer's disease in nonhuman primates ARTFL LEFFTDS Longitudinal Frontotemporal Lobar Degeneration (ALLFTD) Optimization of small molecule SERCA2b activators to inhibit neuron loss in Alzheimer's disease ARTFL LEFFTDS Longitudinal Frontotemporal Lobar Degeneration (ALLFTD)--Fixed Price Trial of Parkinson's And Zoledronic Acid (TOPAZ) The Effect of High Intensity Walking on Frailty SAGE LEAF: Reducing Burden in Alzheimer's Disease Caregivers through Positive Emotion Regulation and Virtual Support Tailored Non-Pharmacotherapy Services for Chronic Pain: Testing Scalable and Pragmatic Approaches Using the PROMIS Cognition Item Bank for Early Detection of Cognitive Decline in Primary Care Long-Term Nicotine Treatment of Mild Cognitive Impairment Guiding Aging Long-Term Opioid Therapy Users Into Safer Use Patterns (DPPOS-4) Alzheimer's Disease and Alzheimer's Disease Related Dementias in Prediabetes and Type 2 Diabetes: The Diabetes Prevention Program Outcomes St Development of a novel anti-neuroinflammatory experimental therapeutic for epilepsy and Alzheimer's risk Production and quality analysis of clinical drug for a novel CNS protein kinase inhibitor therapeutic candidate National Alzheimer's Coordinating Center (NACC) Innovative Analytical Methods for DNA Methylation Age Well-being as a Protective Factor against Cognitive Decline and Dementia Lifecourse CVD Risk and Midlife Cognitive Trajectories and Brain Aging: Implications for Alzheimer's and Dementia Prevention

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

Year ended August 31, 2023

| | Pass- | Assistance | | | |
|-----------------------|-------------------|-------------------|--|-------------------------|--|
| | through entity | listing number | Sponsor award number | Federal expenditures | |
| | | 93.866 | 5R01AG058613-05 | \$ 927,278 | |
| | | 93.866 | 5R01AG058777-05 | 616,295 | |
| | | 93.866 | 5R01AG059291-05 | 1,194,567 | |
| | | 93.866 | 5R01AG061006-05 | 377,700 | |
| | | 93.866 | 5R01AG061708-04 | 718,844 | |
| | | 93.866 | 5R01AG061787-05 | 141,622 | |
| | | 93.866 93.866 | 5R01AG062249-05 5R01AG065398-03 | 492,957 386,084 | |
| | | 93.866 | 5R01AG065988-04 | 513,824 | |
| | | 93.866 | 5R01AG067557-03 | 1,118,309 | |
| | | 93.866 | 5R01AG067622-05 | 890,538 | |
| | | 93.866 | 5R01AG067781-04 | 1,058,407 | |
| | | 93.866 | 5R01AG068421-04 | 519,149 | |
| | | 93.866 93.866 | 5R01AG068458-03 5R01AG068579-03 | 802,417 | |
| | | 93.866 | 5R01AG069762-03 | 620,224 1,572,478 | |
| | | 93.866 | 5R01AG070194-02 | 594,705 | |
| | | 93.866 | 5R01AG070212-03 | 753,932 | |
| | | 93.866 | 5R01AG073257-02 | 549,382 | |
| | | 93.866 | 5R01AG074245-03 | 908,110 | |
| | | 93.866 | 5R01AG076577-02 Revised | 414,006 | |
| | | 93.866 | 5R01AG077444-17 | 1,280,814 | |
| | | 93.866 | 5R01AG078713-02 | 690,219 251,750 | |
| | | 93.866 93.866 | 5R01AG081244-02 5R03AG067980-02 | 351,750 120,918 | |
| T4SURGERY) | | 93.866 | 5R03AG074031-02 | 120,918 | |
| (HOURGERT) | | 93.866 | 5R21AG060267-02 | (276) | |
| | | 93.866 | 5R21AG063076-02 | 22,078 | |
| | | 93.866 | 5R21AG065705-02 REVISED | 47,562 | |
| | | 93.866 | 5R21AG072343-02 | 238,063 | |
| | | 93.866 | 5R21AG075613-02 | 50,766 | |
| | | 93.866 | 5R33AG057383-05 | 406,906 | |
| | | 93.866 | 5R36AG073531-02 | 57,002 | |
| | | 93.866 | 5T32AG020506-20 | (979) | |
| | | 93.866 93.866 | 5U01AG066722-04 5U19AG073153-03 | 913,365 2,637,341 | |
| | | 93.866 | 5U2CAG057441-03 | 760,889 | |
| | | 93.866 | 5U2CAG060426-05 | 2,811,292 | |
| | 23 | 93.866 | 7000001746//1R21AG074163-01A1 | 26,727 | |
| Early Alzheimer's | | | | | |
| | 222 | 93.866 | 705194//5R01AG061146-03 | 18,697 | |
| | 103 | 93.866 | 7861_NW-Amnd 9//5U01AG057195-05 | 60,442 | |
| | 263 | 93.866 | 79634993 AMD 5//WEI2015-01/U19AG024904 | 110,499 | |
| | 263 | 93.866 93.866 | 7RF1AG072490-02 81622655//6R01AG047992-02 | 642,740 (22,448) | |
| | 190 | 93.866 | 83945/2/1152237//5R01AG06093304 | 47,655 | |
| | 65 | 93.866 | 900142//5R01AG072634-03 | 46,734 | |
| | 103 | 93.866 | 9092_NW (SW)//5U01AG057195-04 | 6,609 | |
| | 66 | 93.866 | A032814//1U19AG065188-01 | 32,066 | |
| | 66 | 93.866 | A033130//5R01AG062502-04 | 50,646 | |
| | 153 | 93.866 | AGMT 6/30/22//5R01AG020048-24 | 58,335 | |
| | 259 | 93.866 | CNVA00050794(128001-6)//5R01AG0348529-10 | 37,311 | |
| | 296 | 93.866 | CON-80002943 (GR112405)//5R33AG058926-06 | 57,424 | |
| | 296 296 | 93.866 93.866 | CON-80003990 (GR118438)//5R61AG069822-02 CON-80004022 (GR118506)//5R33AG058926-06 | 30,989 25,350 | |
| | 296 296 | 93.866 93.866 | CON-80004022 (GR118506)//5R33AG058926-06 CON-80004264(GR119224)//4R33AG069822-03 | 25,350 64,643 | |
| | 290 | 93.866 | CON-80004204(GR119224)//4R33AG009822-03 CON-80004519(GR121110)//4R33AG069822-03 | 13,259 | |
| | 296 | 93.866 | GR113862 (CON80003141)//1R61AG069822-02 | 65,705 | |
| | 150 | 93.866 | GR127026 PO-SPC-1000006859//R01AG070592 | 24,184 | |
| | 285 | 93.866 | Klein AGMT 5/20/21//9R44AG067832-02 | 6,618 | |
| | 126 | 93.866 | NOR-263134-02/PO#68234739//5U19AG063911-03 | 36,531 | |
| | 140 | 93.866 | NW-0521//2R42AG062001-02A1 | 93,901 | |
| | 126 | 93.866 | NWU-263134-01//5U19AG063911-03 | 5,616 | |
| | 194 | 93.866 | P-163-PO28000007864//R01AG059417 | 8,454 | |
| | 47 28 | 93.866 93.866 | R01AG060162/AGMT 12/17/2020 R43AG065080-SB1//R43AG065080 | 47,603 (532) | |
| | 28 116 | 93.866 | R43AG065080-SB1//R43AG065080 RNG210885-NORTHWESTERN//5UH3AG067493-04 | (532) 28,375 | |
| | 164 | 93.866 | SCON-00000397-01//R61AG069776 | 30,555 | |
| | 263 | 93.866 | SCON-00003468//2R56AG047992-07A1 | 371 | |
| | 263 | 93.866 | SCON-00004067//3P30AG024968-20S1 | 56,892 | |
| Study AD/ADRD Project | 83 | 93.866 | S-DPA2223-LM10//1U19AG078558-01 | 282,898 | |
| - • | 102 | 93.866 | SP0064289 AMD 2//1R44AG071062-01A1 | 55,839 | |
| | 141 | 93.866 | STTR//4R42AG062095 | (20,170) | |
| | 274 | 93.866 | UWSC12990//5U24AG072122-02 REVISED | 43,176 | |
| | 288 | 93.866 | WU-22-0335-MOD-1//5R21AG068955-02 | 15,916 | |
| | 288 | 93.866 | WU-23-0295-MOD-1//4R00AG071838-04 | 78,185 | |
| | 146 | 93.866 | YAF2194-04 AMD3//5R01AG063887-04 | 41,015 | |
| | | | | 55,472,555 | |

| Subrecipient |
|------------------------------|
| expenditures 456,553 |
| 3,813 |
| 247,437 |
| 120,075 169,559 |
| 268,132 427,387 |
| 98,019 7,249 |
| 244,203 236,389 32,862 |
| 65,341 |
| 107,172 |
| 27,962 317,227 |
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| 11,892 |
| 112,391 — |
| 371,429 1,300,191 |
| 273,491 1,706,650 |
| 1,700,030 — |
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Role of the ipRGC Circadian Clock in Visual Perception Health Disparities in Utilization, Quality, and Outcomes for Three Common Ocular Conditions (HealthDOC) Investigating the function and mechanism of interleukin 7 receptor-expressing pro-angiogenic macrophages during experimental choroidal neovascularization Novel ocular imaging and molecular analysis of anterior eye segment for glaucoma Re-engineering Connectivity in the Drosophila Brain Genetic Manipulation of Retinal Ganglion Cell Subtypes Dynamic Tracer Kinetic Model to Detect Preclinical Diabetic Retinopathy Mechanisms of neurovascular coupling in the retina and their dysfunction in diabetes NIH NRSA Predoc Fellowship for Andrew Jo: Intersectional Genetic Dissection of Inner Retinal Circuits The role of beta2-adrenergic receptor and interleukin-6 signaling in macrophage-driven choroidal neovascularization The Role of Vimentin Cytoskeleton in the Mechanobiology of Schlemm's Canal Endothelium. Function of Basal Synapses at Mammalian Photoreceptors Signal Processing in the Inner Retina The Role of MicroRNAs in Corneal Epithelial Homeostasis Activation of the Angiopoietin-Tie2/TEK Pathway to Treat Ocular Hypertension and Glaucoma Mechanisms underlying the formation of the cornea and ocular surface epithelium The Roles of Autophagy in Limbal/Corneal Epithelia. Investigating nanoscale neuronal damages in early glaucoma towards clinical optical detection Spatially resolved measurements of retinal metabolism Exploring the angiogenesis-to-fibrosis transition in ischemic retinopathies Cell types and functional circuitry in the mouse retina Non-canonical GABAergic Pathways in the Visual System Synapses as Independent Computational Units in the Excitatory Pathways of the Retina Functional consequences of heterotypic retinal ganglion cell coupling Monitoring the hemodynamic response to therapy in diabetic retinopathy Regulation of kainate receptor expression in cone bipolar cells The role of Angiopoietin-TEK signaling in polypoidal choroidal vasculopathy Single-cell RNA sequencing reveals novel regulatory pathways in maintaining limbal epithelial stem cell homeostasis A Nanocarrier Platform for Targeting Schlemm's Canal Cells Reconstructing positional information in the eye from scRNA-seq and relating it to signal transduction Multidisciplinary Visual Sciences Training Program Clinical glaucoma management enabled by visible-light OCT DRCR Retina Network Chair Emeritus – Diabetic Retinopathy Clinical Research Network CLARIFYING THE OPTIMAL APPLICATION OF SLT THERAPY (COAST) Diabetic Retinopathy Clinical Research Network 2019-2023 Master Agreement Data-Driven Biomechanical Simulation of Eye Movement and Strabismus ER stress and diabetic retinopathy Developing novel deep-learning based methods for deciphering non-coding gene regulatory code Network Models for Metabolomics Guiding humans to create better labeled datasets for machine learning in biomedical research Health For All: Advancing Library-Academic Medical Center Partnerships to Navigate Wellness and Scale Preventive Services Access Modeling the Incompleteness and Biases of Health Data Network of the National Library of Medicine Evaluation Center Partnership for Global Health Research Training Program Building capacity for patient-centered outcomes research to improve the quality and impact of HIV care in Tanzania Simulator-Based Focused Cardiac Ultrasound (FoCUS) Training in Haiti Northwestern/Nigeria Research Training Program in HIV and Malignancies (NN-HAM) Expanded Multidisciplinary NeuroAIDS Research Training to Improve HIV Outcomes in Nigeria Building the Next Generation of Researchers in TB/HIV Diagnostics in Mali (B-NextGen) Cardiovascular Research Training In Nigeria (CeRTIN) Global Hypomethylation Biomarkers for Cervical Cancer Screening in Women Living with HIV in LMICs University of Ibadan MEPI Junior Faculty Research Training Program (UI-MEPI-J) Building Research And Innovation in Nigeria's Science – (BRAINS) ACTG A5423 Protocol Chair Support A randomized, double-blind, placebo-controlled study of 4-hydroxtamoxifen topical gel in women with mammographically dense breasts -fixed pricing Cognitive Function, Self-Management, and Health Outcomes among Liver Transplant Recipients: the LivCog Cohort Multi-Ethnic Study of Atherosclerosis – Field Center Multi-Ethnic Study of Atherosclerosis (MESA) Exam 7 – Task Area B Coronary Artery Risk Development in Young Adults (CARDIA) Study - Chicago Field Center Centers for Research on Structural Biology of Infectious Diseases Pilot Testing, Refinement, and Validating of the NBT, and Preparation for Norming Study (NIH Infant and Toddler Toolbox: TO 2) NIH Infant and Toddler Toolbox Task Order 3 NIH Infant and Toddler Toolbox Task Order 4 A PreTACT meeting for study and milestone discussion for a BPN Biologics project (Contract for UG3-Development of anti-LTBP4 as a biologic to treat Neuromus NU NCI20C06 – Phase II Trial of the Immune Checkpoint Inhibitor Nivolumab in Patients with Recurrent Select Rare CNS Cancers WashU-Northwestern Genomic Variation and Function Data and Administrative Coordinating Center Determining how neurotensin mediates valence processing and compulsive cocaine seeking IPA for Michael Bass Cancer Prevention Agent Development Program: Early Phase Clinical Research

Cancer Prevention Agent Development Program: Early Phase Clinical Research

CARDIA Task Area B – Cohort Limited Exam (Year 35)

Coronary Artery Risk Development in Young Adults (CARDIA) Study - Chicago Field Center

Phase II Multi-Center, Prospective, Randomized, Double-Blind Study of Nitazoxanide in Acute and Chronic Norovirus in Hematpoietic Stem Cell and Solid Organ Structural Genomics Centers for Infectious Diseases

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

Year ended August 31, 2023

| | Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures |
|---|----------------------------|--|--|---|
| | | 93.867 | 1F31EY034387-01 | \$ 40,948 |
| | | 93.867 | 1R01EY034444-01 | 51,991 |
| | | 93.867 | 1R01EY034486-01 | 89,750 |
| | | 93.867 | 1R01EY034740-01 | 166,911 |
| | | 93.867 93.867 | 1R21EY031849-01 | 217,300 |
| | 192 | 93.867 | 1R21EY033523-01A1 2103355-02 Amendment 1//5R01EY032222-03 | 180,951 114,471 |
| | 102 | 93.867 | 5F30EY031565-03 | 46,586 |
| | | 93.867 | 5F31EY031985-03 | 45,131 |
| | | 93.867 | 5K08EY030923-04 | 202,654 |
| | | 93.867 | 5K99EY032547-02 | 94,556 |
| | | 93.867 93.867 | 5R01EY012141-24 5R01EY018204-12 | (3,606) 512,138 |
| | | 93.867 | 5R01EY019463-13 | 342,737 |
| | | 93.867 | 5R01EY025799-08 | 494,854 |
| | | 93.867 | 5R01EY028304-04 | 158,293 |
| | | 93.867 | 5R01EY028560-05 | 337,892 |
| | | 93.867 93.867 | 5R01EY029121-04 5R01EY029306-03 | 282,731 85,724 |
| | | 93.867 | 5R01EY030121-04 | 344,102 |
| | | 93.867 | 5R01EY030169-05 | 319,847 |
| | | 93.867 | 5R01EY030565-04 | 472,133 |
| | | 93.867 | 5R01EY031029-04 | 427,885 |
| | | 93.867 | 5R01EY031329-03 | 418,133 |
| | | 93.867 93.867 | 5R01EY031815-04 5R01EY032506-02 | 355,716 378,140 |
| | | 93.867 | 5R01E1032500-02 5R01EY032609-03 | 517,824 |
| | | 93.867 | 5R01EY032922-03 | 324,160 |
| | | 93.867 | 5R01EY033813-02 | 288,607 |
| | | 93.867 | 5R21EY032233-02 REVISED | 208,916 |
| | | 93.867 93.867 | 5T32EY025202-09 5U01EY033001-03 | 102,150 |
| | 112 | 93.867 | Agmt#12/3/19 Amd 2 | 295,851 55,292 |
| | 259 | 93.867 | AWD00002935 (136658-2)//5UG1EY031651-02 | 28,074 |
| | 112 | 93.867 | DRCR Site 61: JCHR-Site DRCR Addendum AF | 783 |
| | 82 | 93.867 | E2048123 AMD 4 // R01EY029715 | 33,794 |
| | 189 | 93.867 | R1217228//5R01EY019949-13 | 98,594 |
| | | | | 8,132,013 |
| | 190 | 93.879 | 1R01LM01372201//1170742/2/92468 AMD1 | 6,933 |
| | 246 | 93.879 93.879 | 21-015788 A01//5R01LM013444-03 3R01LM013523-02S1 | 198,910 513,658 |
| | | 93.879 | 5G08LM013188-03 | 120,733 |
| | | 93.879 | 5R01LM013337-04 | 254,881 |
| | | 93.879 | 5U24LM013751-03 | 798,786 |
| | | | | 1,893,901 |
| | 89 | 93.989 | 117181-5121333 Amendment 2//5D43TW010543-07 | 18,730 |
| | 25 | 93.989 | 3D43TW010946-05S1 | 339,339 |
| | 25 | 93.989 93.989 | 5970-NWUC-02A1//5 R21 TW012165-02 5D43TW009575-09 | 5,645 361,090 |
| | | 93.989 | 5D43TW009608-10 | 235,793 |
| | | 93.989 | 5D43TW010350-08 | 257,749 |
| | | 93.989 | 5D43TW011976-03 | 195,861 |
| | | 93.989 | 5R21TW012092-02 | 88,114 |
| | 235 242 | 93.989 93.989 | 7/225/29/NU-06/NCE//D43TW010140-05 SP0031902-60041063//5D43TW010134-05 | 10,065 1,476 |
| | | | | 1,513,862 |
| | 220 | 93.RD | 1560 G IA637//5UM1Al068636-17 | 10,463 |
| | 270 | 93.RD | 300861182//HHSN2612012000034I-TO11-4 | 1,275 |
| | 258 | 93.RD | 584798 Amnd 1//5R01DK132138-02 | 115,733 |
| | | 93.RD | 75N92020D00004/75N92020F00001-P00003 | 570,022 |
| | | 93.RD 93.RD | 75N92020D00004-75N92021F00001 75N92023D00004 | 407,043 63,954 |
| | | 93.RD 93.RD | 75N93022C00035 | 63,954 3,475,209 |
| | | 93.RD | 75N94019D00005 P00002 | (6,148) |
| | | 93.RD | 75N94019D00005-75N94021F00001 | 1,976,023 |
| | | 02 00 | 75N94019D00005-75N94022F00001 | 1,866,473 |
| | | 93.RD | | |
| nuscular Diseases) | 400 | 93.RD | 75N95A23P00038 | 2,400 |
| nuscular Diseases) | 129 288 | 93.RD 93.RD | 75N98020D00086 | 2,400 6,618 |
| nuscular Diseases) | 129 288 | 93.RD 93.RD 93.RD | 75N98020D00086 Advance Account | 2,400 6,618 24,615 |
| nuscular Diseases) | | 93.RD 93.RD | 75N98020D00086 | 2,400 6,618 |
| nuscular Diseases) | | 93.RD 93.RD 93.RD 93.RD 93.RD 93.RD | 75N98020D00086 Advance Account Advanced Account | 2,400 6,618 24,615 42,336 |
| nuscular Diseases) | | 93.RD 93.RD 93.RD 93.RD 93.RD 93.RD 93.RD | 75N98020D00086 Advance Account Advanced Account Agmt 10/10/20 HHSN261201200035I 75N91019F00130 P00005 HHSN261201200035I/HHSN26100014 P00005 | 2,400 6,618 24,615 42,336 18,756 347,975 621,039 |
| nuscular Diseases) | | 93.RD 93.RD 93.RD 93.RD 93.RD 93.RD 93.RD 93.RD | 75N98020D00086 Advance Account Advanced Account Agmt 10/10/20 HHSN261201200035I 75N91019F00130 P00005 HHSN261201200035I/HHSN26100014 P00005 HHSN268201800003I 75N92020F00001 | 2,400 6,618 24,615 42,336 18,756 347,975 621,039 303,175 |
| nuscular Diseases) n Transplant Recipients | | 93.RD 93.RD 93.RD 93.RD 93.RD 93.RD 93.RD | 75N98020D00086 Advance Account Advanced Account Agmt 10/10/20 HHSN261201200035I 75N91019F00130 P00005 HHSN261201200035I/HHSN26100014 P00005 | 2,400 6,618 24,615 42,336 18,756 347,975 621,039 |

| Subrecipient expenditures |
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| 276,978 |
| 218 |
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| 138,100 |
| 219,627 |
| 94,249 164,612 |
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| 11,887 |
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| 2,058,208 |
| 987,074 |
| 900,028 |
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| 210.000 |
| 210,909 |
| 8,179 |
| 29,786 |
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Structural Genomics Centers for Infectious Diseases Intergovernmental Personnel Act- IPA Assignment Agreement Intergovernmental Personnel Act IPA Assignment Agreement COVID-19: A Federated COVID-Rich ICU Database Per- and polyfluoroalkyl substances (PFAS) and breast cancer risk in a racially and ethnically diverse U.S. cohort Adductomics analysis in the Sister Study Rutgers-NYU Center for Asian Health Promotion and Equity Office of the Director, National Institutes of Health: Comparing Harmonization Methods in ECHO Infrastructure: Illinois Precision Medicine Consortium (IPMC) ECHO PRO Research Resource: A Developmentally-based Measurement Science Framework for Assessing Environmental Exposure and Child Health All of Us Participant Technology Systems Center (OT2) Promoting Color Brave Conversations in Families: A Public Health Strategy to Advance Racial Equity Kinetic Imaging Plate Reader for Drug Discovery and Biology State-of-the-art High Field 7T MRI System Upgrade to Accelerate Translational Sciences Illumina MiSeq High-Throughput DNA Sequencer Electrical/Asymmetric Flow Field-Flow Fractionation (EAF4) System with Light Scattering Detection A robotic plate handling system for high content screening and 3D organoid culture Orbitrap Eclipse Mass Spectrometer for Northwestern University Neuroproteomic Collaboration Hub SyncroPatch 384 Automated Patch Clamp Instrument Understanding the mechanism(s) of systemic antibody delivery, distribution, and localization to mucosal sites in the in vivo macaque model Tandem Genetically Encoded Voltage and Calcium Indicator Mice for Optical Physiology of Excitable Cells Genetic and genomic tools for C. briggsae research Data and Research Support Center Data and Research Support Center: Precision Medicine Initiative Cohort Program Coordinating Center (U2C) Flexible Hybrid Cloud Infrastructure for Seamless Management of HuBMAP Resources Comprehensive Structural and Functional Mapping of the Mammalian Cardiac Nervous System Substance Abuse and Mental Health Services: Center for Child Trauma Assessment, Services and Interventions Implementing Agent Based Models to reduce overdose deaths Total Department of Health and Human Services Department of Homeland Security: Wireless Physiological Monitoring for Heat Stress Accumulation COVID-19: Value-focused Robust Optimization for Disaster Response Total Department of Homeland Security Department of Justice: Office on Violence Against Women: Testing the effectiveness of a domestic violence intervention at reducing recidivism National Institute of Justice: Firearm involvement of parents and their adolescent children: A prospective intergenerational study of high-risk youth Delinquent and Criminal Behaviors of Parents and Their Adolescent Children: A Prospective Intergenerational Study of Children of Former Juvenile Offenders Improving Employment & Reducing Recidivism Among Prison Offenders via Virtual Reality Job Interview Training Total Department of Justice Department of State: Innovative Approaches to Evaluating Citizen-Led Rule of Law Initiatives in Ukraine Total Department of State Department of the Interior: United States Geological Survey: Probabalistic Seismic Hazard Map testing in Nepal: A cooperative agreement between the USGS and Northwestern University Total Department of the Interior Department of Transportation: Tier I Center on TELEMOBILITY Federal Highway Administration: Optimizing Bridge Abutment Slope Protection at Stream Crossing Third Generation Simulation (TGSim) Data: A Closer Look at the Impacts of Automated Driving Systems on Human Behavior Total Department of Transportation Department of Veterans Affairs: Edward Hines, Jr. VA Hospital: Amplify Gait to Improve Locomotor Engagement in Spinal Cord Injury (AGILE SCI Trial) VA IPA for Erin Reedy IPA For Todd Parrish: Neural Target Identification for Functional Disability Associated with Alcohol Related Characteristics Among Veterans with Co-occurring AUD Intergovernmental Personnel Act (IPA) Assignment Agreement for Elizabeth Platt (Sponsored by NU Principal Investigator Dr. Bonnie Martin-Harris, PhD.) VA IPA agreement for Shamali Dusane Intergovernmental Personnel Act (IPA) Assignment Agreement for Jungwha (Julia) Lee, Sponsored by Dr. Bonnie Martin-Harris Intergovernmental Personnel Act (IPA) Assignment Agreement for Jungwha (Julia) Lee (Sponsored by NU PI, Dr. Bonnie M. Harris, PhD.) Development of ultrasound imaging phantoms appropriate for quantification of muscle (Cheng Sun, Effort)

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

Year ended August 31, 2023

| | Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures | Subrecipient expenditures |
|---------|----------------------------|---------------------------------|---|--------------------------|------------------------------|
| | | 93.RD | HHSN272201700060C-14 P00017 | \$ 1,333,603 | 1,333,603 |
| | | 93.RD | IPA AGMT 10/28/22 | 25,107 | |
| | | 93.RD | IPA AGMT 8/9/22 | 26,933 | — |
| | 125 | 93.RD | S5207, PO#584331//75N97020C00013 | 18,426 | _ |
| | 184 | 93.RD | SSS-FUN-S-22-01007//HHSN273201800005I | 124,672 | — |
| | 184 176 | 93.RD 93.RD | SSS-FUN-S-23-01015//HHSN273201800005I, TO HHSN2730 SUB00002389//7P50MD017356-02 | 4,567 30,604 | _ |
| | | | | | |
| | 66 | 93.310 93.310 | 303001174//5U2COD023375-07 3OT2OD026557-01S5 | 83,074 14,347,422 | 9,259,803 |
| | | 93.310 | 5U24OD023319-05 | 4,283,576 | 364,954 |
| | 281 | 93.310 | AGMT – 09/24/2021//3OT2OD030043-01S4 | 292,974 | — |
| | 207 | 93.310 | TUL-HSC-559715-21&22//1U01OD033242-01 | 48,607 | |
| | | | | 19,055,653 | 9,624,757 |
| | | 93.351 | 1S10OD030339-01 | 595,268 | — |
| | | 93.351 93.351 | 1S10OD032221-01A1 1S10OD032243-01A1 | 903,994 101,970 | _ |
| | | 93.351 | 1S10OD032243-01A1 | 324,593 | _ |
| | | 93.351 | 1S10OD032367-01 | 137,000 | |
| | | 93.351 | 1S10OD032464-01A1 | 1,345,196 | _ |
| | | 93.351 | 1S10OD034362-01 | 599,040 | _ |
| | | 93.351 | 3K01OD026571-03S1 | (1,863) | _ |
| | | 93.351 | 5R210D025345-02 REVISED | 65,611 | |
| | 150 | 93.351 | SPC1000006269 GR126698-001//5R21OD030067-02 | 49,164 | |
| | | | | 4,119,973 | |
| | 280 | 93.368 | VUMC 107289//10T20D035404-01 | 36,054 | _ |
| | 280 | 93.910 | VUMC60724//5U2COD023196-05 | 62,065 | — |
| | 37 220 | 93.RD 93.RD | 1090672 -463891 // 1OT2OD026675 1553 G ZF013//OT2OD023848-01S7 | 6,240 21,800 | _ |
| | | | | | |
| | 262 | 93.243 93.788 | 5H79SM085084-03 5820-1336-00-A//1H79T1083343 (SOR-CARE-39) | 599,794 2,175 | 22,271 |
| | | | | 522,502,954 | 84,894,169 |
| | 005 | 07.044 | | 22.225 | |
| | 225 19 | 97.044 97.061 | GR107351//EMW-2021-FP-00567 ASUB00000364//17STQAC00001-06-00 | 69,225 84,704 | _ |
| | | | | 153,929 | |
| | | | | | |
| | | 16.026 | 2020-SI-AX-0009 | 106,707 | _ |
| | | 16.560 | 2017-IJ-CX-0019 | (479) | |
| | | 16.560 | 2020-MU-MU-0001 | 214,348 | |
| | 249 | 16.560 | SUBK00009850//2019-MU-MU-0004 – AMD 02 | 1,770 | |
| | | | | 215,639 | |
| | | | | 322,346 | |
| | | 10.045 | | 40.055 | |
| | | 19.345 | SLMAQM19GR2131 M003 | 42,355 | |
| | | | | 42,355 | |
| | | 15.808 | G19AC00104-02 | 2 424 | |
| | | 15.000 | G 19AC00104-02 | 2,434 | |
| | | | | | |
| | | 20.701 | 69A3552047139 | 80,497 | 14,107 |
| | 294 237 | 20.RD 20.RD | 0092-21-02 102471-18316//693JJ321C000002 | 6,028 36,893 | _ |
| | 231 | 20.00 | 102471-10310//093333210000002 | 123,418 | 14,107 |
| | | | | 120,410 | 14,107 |
| | | 64.RD | 36C24E21D0007 P00002 | 34,581 | |
| | | 64.RD | Agmt 4/14/23 | 11,597 | _ |
| ID+mTBI | | 64.RD | Agmt 578/151 | 12,158 | _ |
| | | 64.RD | IPA AGMT Signed 04/18/2023 | 8,072 | |
| | | 64.RD | IPA AGMT Signed 08-15-2022 (FY2022-23) | 28,872 | |
| | | | | | |
| | | 64.RD | IPA Agmt. Signed 01/13/2023 (578/151) | 6,615 | |
| | | | IPA Agmt. Signed 01/13/2023 (578/151) IPA AGMT. Signed 04/18/2023 IPA AGMT. SIGNED 07/07/2022 | 6,615 3,780 10,751 | _ |

Cluster title/federal grantor/subagency/project title Vu, Thanh Huyen VA Proposal IPA Agreement for Megan McHugh Intergovernmental Personnel Act (IPA) Assignment Agreement for Heather Henderson Intergovernmental Personnel Act (IPA) Assignment Agreement for Erin Lindsay Reedy (FY2021-22) Intergovernmental Personnel Act (IPA) Assignment Agreement for Elizabeth Bisch Platt Development of ultrasound imaging phantoms appropriate for quantification of muscle fascicle architecture and mechanical properties (Eric Perreault, Effort) Jesse Brown VA Medical Center: FY2020-21 VA IPA for Christina Spaulding Intergovernmental Personnel Act (IPA) Assignment Agreement for Elisa J. Gordon (NU Supervisor: David Bentrem) IPA for Fei Chen with Jesse Brown VA Medical Center Intergovernmental Personnel Act (IPA) Assignment Agreement in support of Bin Liu Linda India IPA FY23 VA IPA for Francis Suh FY22 VA IPA for Nida Mubin 07/01/22-09/30/22 VA-IPA for Nida Mubin 10/01/2022-09/30/2023 IPA Agreement FY23 – Isac IPA for Marina S. Casalino Matsuda, PhD with Jesse Brown VA Medical Center Intergovernmental Personnel Act (IPA) Assignment Agreement for Yanni Yu FY2022-2023 (Sponsored by NU PI, Dr. Joshua Meeks, MD) Dr. Marcelo Bonini VA-IPA 1101BX004268 Intergovernmental Personnel Act (IPA) Assignment Agreement for Christina Spaulding (Dec FY2022 to Sept FY2023) IPA Agreement FY21 – Cardenas Intergovernmental Personnel Act (IPA) Assignment Agreement for Aneta Baran Intergovernmental Personnel Act (IPA) Assignment Agreement in Support of Weiqi Huang Intergovernmental Personnel Act (IPA) Assignment Agreement for Hao Wang Intergovernmental Personnel Act (IPA) Assignment Agreement for Julie Johnson (Supervisor: David Bentrem) Intergovernmental Personnel Act (IPA) Assignment Agreement for Yasuhiro Omura Intergovernmental Personnel Act (IPA) Assignment Agreement for Yinu Wang Intergovernmental Personnel Act (IPA) Assignment Agreement for Yaqi Zhang Intergovernmental Personnel Act (IPA) Assignment Agreement for Ryan Caldwell FY21 IPA Intergovernmental Personnel Act (IPA) Assignment Agreement for Stefania Fatone, Sponsored by Dr. Steven Gard VA IPA Agreement for Christopher Futtner for FY21 VA IPA FY20 for Elspeth Beauchamp VA IPA: Jun Qian (2022-2023) VA IPA: Mairah Khan (2022-2023) VA-IPA for Anastasia Metropulos 10/01/2022-09/30/2023 Total Department of Veterans Affairs Environmental Protection Agency: Approaches to Reduce Nutrient Loadings for Harmful Algal Blooms Management Total Environmental Protection Agency National Aeronautics and Space Administration: Stellar Dynamics and Stellar Collisions in Star-by-Star Models of Nuclear Star Clusters Accretion Physics from Horizon Scale Black Hole Images and Movies Testing the Predictions of RAT Theory in Star Forming Clouds Biosignature Preservation in Sulfate-Dominated Hypersaline Environments The Late-time X-ray Behavior of Short Gamma-ray Bursts: Implications for Energetics and Rates Investigating a novel role for iron redox cycling in the lithification of microbial mats and the rise and fall of stromatolites in Earth history Multi-Scale Modeling of AGN Outbursts & Feedback in M87 Testing the Predicted Two-phase Growth of SMBHs with X-ray Observations Across Mass and Redshift Project FUSION: Facilitating Unified Systems of Interdependent Organizational Networks Multiple Undergraduate Research Projects Air-Carbon Boundary Layer Chemistry for Hypersonic Ablation. ACRE – Autonomous Casting RovEr Testing a new physical model for the formation of galactic disks and its implications for star formation variability, ISM kinematics and galactic winds Using the full power of the HST Archive to Address the Red Supergiant Problem (Support for Dr. Charles Kilpatrick) Measuring the Star Formation Efficiency of Molecular Clouds in the Small Magellanic Cloud The Panchromatic Hubble Andromeda Southern Treasury (PHAST) Solidifying the Origin of a Possible Kilonova at 350 Mpc Snapshot Observations of Type II Supernovae Spatially Resolving the First z>1 Fast Radio Burst Host Galaxy Stellar Afterlives and Black Hole Cataclysms: Learning about Stars, Galaxies, and the Cosmic Expansion with Gravitational Waves The study of hyper-accreting black holes and the origin of gold in the Universe Illuminating the Progenitors and Environments of Energetic Transients with Radio Observations High Contrast Imaging of Exoplanets and Exoplanetary Systems with JWST Imaging the Shadow of a Black Hole through SGr A* Monitoring The First Resolved View of Individual Star Formation Across a Spiral Arm CECILIA: A direct-method metallicity calibration for CosmicNoon through the Epoch of Reionization

NASA Ames Research Center:

Rodent Research Standard Housing Mission: Multidisciplinary Approach to Understanding Spaceflight Responses.

Effects of Partial Gravity on Multi-system Mammalian Physiology: Microbiome and Related Systems

Effects of Spaceflight on Gastrointestinal Microbiota in Mice: Mechanisms and Impact on Multi-System Physiology

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

Year ended August 31, 2023

| Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures | Subrecipient expenditures |
|--|--|--|---|------------------------------|
| | 64.RD | IPA AGMT. Signed 08/02/2022 (PO #578-D27103) | \$ 36,455 | _ |
| | 64.RD | IPA AGMT. Signed 08/30/2023 | 31,687 | _ |
| | 64.RD | IPA AGMT. Signed 09/01/2021 | 6,837 | — |
| | 64.RD 64.RD | IPA AGMT. Signed 12/19/2022 (578/151) IPA AGMT. Signed 12/20/22 (578/151) | 31,892 13,705 | — |
| | 64.RD | IPA AGMT. Signed 7/12/22 (578/151) | 15,002 | _ |
| | 64.018 | IPA Agmt 04/05/2021 | 6,799 | _ |
| | 64.018 | IPA Agmt. Signed 08/03/2021 | 3,067 | _ |
| | | | 9,866 | |
| | 64.RD | Agmt 08/01/2023 | 71,198 | _ |
| | 64.RD | Agmt 08/10/22 | 59,686 | — |
| | 64.RD 64.RD | Agmt 10/05/22 AGMT Eklund 8/10/22 | 1,424 | — |
| | 64.RD | AGMT Extund 8/10/22 AGMT Munshi 7/14/22 | 74,735 6,115 | |
| | 64.RD | AGMT Munshi 7/14/22 | 48,922 | _ |
| | 64.RD | Agreement 4/19/23 | 35,406 | _ |
| | 64.RD | IPA Agmt 8/1/2023 | 38,660 | — |
| | 64.RD | IPA AGMT. 04/06/2023 | 65,491 | — |
| | 64.RD | IPA AGMT. Signed 03/04/2021 | 1,063 | — |
| | 64.RD 64.RD | IPA AGMT. Signed 06/28/2022 IPA AGMT. Signed 06/28/2023 | 60,708 25,317 | |
| | 64.RD | IPA AGMT. Signed 08/20/20/20/20/20/20/20/20/20/20/20/20/20/ | 57,002 | _ |
| | 64.RD | IPA Agmt. Signed 08/26/22 | 72,335 | _ |
| | 64.RD | IPA AGMT. Signed 08/26/22 | 95,354 | — |
| | 64.RD | IPA AGMT. Signed 09/03/2021 | 1,334 | — |
| | 64.RD | IPA AGMT. Signed 09/12/2022 | 32,479 | — |
| | 64.RD 64.RD | IPA AGMT. Signed 09/17/2021 IPA AGMT. Signed 09/17/2021 | 77,385 2,453 | _ |
| | 64.RD | IPA AGMT. Signed 11/3/2022 | 12,896 | _ |
| | 64.RD | IPA AGMT. Signed 7/07/2021 (537/151) | (4) | _ |
| | 64.RD | IPA AGMT. Signed 8/10/22 | 87,473 | — |
| | 64.RD | IPA AGMT. Signed 8/26/22 | 43,844 | — |
| | 64.RD 64.RD | Meeks 7/14/22 Meeks 7/14/22 | 89,666 | — |
| | 64.RD | Munshi AGMT 7/13/22 | 46,086 55,216 | |
| | | | 1,424,114 | |
| 289 | 66.509 | Wells AGMT 12/15/20//84008601 | 48,202 | _ |
| | | | 48,202 | |
| 37 | 43.001 | 1110258 – 471048 // 80NSSC22K0722 | 66 119 | |
| 228 | 43.001 | 1558870 04//80NSSC20K0527 | 66,118 75,726 | |
| 282 | 43.001 | 530105Northwestern//SOFIA Grant 09_0535 AMND No. 1 | 24,039 | _ |
| 84 | 43.001 | AWD7774162-GR206675//80NSSC20K0849 | 33,367 | — |
| 183 | 43.001 | GO1-22043X-1//NAS8-03060 | 8,818 | _ |
| 263 | 43.001 | SCON-00004012//80NSSC19K0479 | 92,875 | — |
| 183 183 | 43.001 43.001 | TM1-22005X Amd 2//NAS8-03060 TM2-23005X AMD 1//NAS8-03060 | 34,650 49,655 | |
| | | | 385,248 | |
| 234 | 43.003 | SUB00001667//80NSSC18K0511 | (74) | _ |
| 237 | 43.008 | 099286-17921 05//80NSSC20M0046 | 98,495 | — |
| 228 | 43.012 | 1561377//80NSSC21K1574 | 24,270 | — |
| 138 | 43.RD | Award Letter 3/9/23 | 108,254 | — |
| 186 186 | 43.RD 43.RD | HST-AR-16124.001-A AMND #4//NAS5- 26555 HST-AR-16136.006-A Amnd 4//NAS5-26555 | 73,411 4,368 | — |
| 186 | 43.RD 43.RD | HST-GO-15912.001-A AMND #5//NAS5-26555 | 4,368 52,578 | _ |
| 186 | 43.RD | HST-GO-16778.013-A//NAS5- 26555 | 13,399 | |
| | | HST-GO-16923.005-A//NAS5- 26555 | 24,586 | _ |
| 186 | 43.RD | | 24,000 | |
| 186 | 43.RD | HST-GO-17070.001-A//NAS5- 26555 | 51,092 | |
| 186 186 | 43.RD 43.RD | HST-GO-17070.001-A//NAS5- 26555 HST-GO-17277.006-A//NAS5- 26555 | 51,092 18,446 | |
| 186 186 186 | 43.RD 43.RD 43.RD | HST-GO-17070.001-A//NAS5- 26555 HST-GO-17277.006-A//NAS5- 26555 HST-HF2-51455.001-A Amnd 1//NAS5-26555 | 51,092 18,446 20,328 | |
| 186 186 186 186 | 43.RD 43.RD 43.RD 43.RD | HST-GO-17070.001-A//NAS5- 26555 HST-GO-17277.006-A//NAS5- 26555 HST-HF2-51455.001-A Amnd 1//NAS5-26555 HST-HF2-51487.001-A AMD#2//NAS5- 26555 | 51,092 18,446 20,328 100,987 | |
| 186 186 186 186 186 | 43.RD 43.RD 43.RD 43.RD 43.RD | HST-GO-17070.001-A//NAS5- 26555 HST-GO-17277.006-A//NAS5- 26555 HST-HF2-51455.001-A Amnd 1//NAS5-26555 HST-HF2-51487.001-A AMD#2//NAS5- 26555 HST-HF2-51504.001-A Amd 1//NAS5-26555 | 51,092 18,446 20,328 100,987 116,420 | |
| 186 186 186 186 186 186 | 43.RD 43.RD 43.RD 43.RD 43.RD 43.RD | HST-GO-17070.001-A//NAS5- 26555 HST-GO-17277.006-A//NAS5- 26555 HST-HF2-51455.001-A Amnd 1//NAS5-26555 HST-HF2-51487.001-A AMD#2//NAS5- 26555 HST-HF2-51504.001-A Amd 1//NAS5-26555 JWST-ERS-01386.047-A//NAS5- 03127 | 51,092 18,446 20,328 100,987 116,420 11,984 | |
| 186 186 186 186 186 | 43.RD 43.RD 43.RD 43.RD 43.RD | HST-GO-17070.001-A//NAS5- 26555 HST-GO-17277.006-A//NAS5- 26555 HST-HF2-51455.001-A Amnd 1//NAS5-26555 HST-HF2-51487.001-A AMD#2//NAS5- 26555 HST-HF2-51504.001-A Amd 1//NAS5-26555 | 51,092 18,446 20,328 100,987 116,420 | |
| 186 186 186 186 186 186 186 | 43.RD 43.RD 43.RD 43.RD 43.RD 43.RD 43.RD | HST-GO-17070.001-A//NAS5- 26555 HST-GO-17277.006-A//NAS5- 26555 HST-HF2-51455.001-A Amnd 1//NAS5-26555 HST-HF2-51487.001-A AMD#2//NAS5- 26555 HST-HF2-51504.001-A Amd 1//NAS5-26555 JWST-ERS-01386.047-A//NAS5- 03127 JWST-GO- 02235.001-A//NAS5-03127 | 51,092 18,446 20,328 100,987 116,420 11,984 36,740 | |
| 186 186 186 186 186 186 186 186 | 43.RD 43.RD 43.RD 43.RD 43.RD 43.RD 43.RD 43.RD | HST-GO-17070.001-A//NAS5- 26555 HST-GO-17277.006-A//NAS5- 26555 HST-HF2-51455.001-A Amnd 1//NAS5-26555 HST-HF2-51487.001-A AMD#2//NAS5- 26555 HST-HF2-51504.001-A Amd 1//NAS5-26555 JWST-ERS-01386.047-A//NAS5- 03127 JWST-GO- 02235.001-A//NAS5-03127 JWST-GO-02128.006-A//NAS5-03127 | 51,092 18,446 20,328 100,987 116,420 11,984 36,740 12,761 | |
| 186 186 186 186 186 186 186 186 | 43.RD 43.RD 43.RD 43.RD 43.RD 43.RD 43.RD 43.RD 43.RD 43.RD | HST-GO-17070.001-A//NAS5- 26555 HST-GO-17277.006-A//NAS5- 26555 HST-HF2-51455.001-A Amnd 1//NAS5-26555 HST-HF2-51487.001-A AMD#2//NAS5- 26555 HST-HF2-51504.001-A Amd 1//NAS5-26555 JWST-ERS-01386.047-A//NAS5- 03127 JWST-GO- 02235.001-A//NAS5-03127 JWST-GO-02128.006-A//NAS5-03127 JWST-GO-02593.008-A//NAS5- 03127 80NSSC23K0599 80NSSC20K1519 P00004 | 51,092 18,446 20,328 100,987 116,420 11,984 36,740 12,761 36,960 34,826 229,352 | |
| 186 186 186 186 186 186 186 186 | 43.RD 43.RD 43.RD 43.RD 43.RD 43.RD 43.RD 43.RD 43.RD | HST-GO-17070.001-A//NAS5- 26555 HST-GO-17277.006-A//NAS5- 26555 HST-HF2-51455.001-A Amnd 1//NAS5-26555 HST-HF2-51487.001-A AMD#2//NAS5- 26555 HST-HF2-51504.001-A Amd 1//NAS5-26555 JWST-ERS-01386.047-A//NAS5- 03127 JWST-GO- 02235.001-A//NAS5-03127 JWST-GO-02128.006-A//NAS5-03127 JWST-GO-02593.008-A//NAS5- 03127 | 51,092 18,446 20,328 100,987 116,420 11,984 36,740 12,761 36,960 34,826 | |

NASA George C. Marshall Space Flight Center:

The Columnar to Equiaxed Transitionand Dendrite Fragmentation

Microstructure Evolution in Freeze-Cast Materials Investigation of Spin Squeezing for Quantum Sensors.

NASA Goddard Space Flight Center:

The Strontium Isotope Composition of Neoproterozoic Carbonates: Implications for the Carbon Cycle and the Evolution of the Bioshpere

The Physics, Observational Signatures, and Consequences of AGN-Driven Galactic Winds Tools for Modeling Selection Biases and for Advanced Astrophysical Interpretation of LISA Observations

Multi-messenger Constraints on Close Binary Evolution in the Milky Way

Magneto-Rotational Instability in the Sun? Global Radiation-MHD Simulations of the Near-Surface Shear Layer

Preparing LISA for Intermediate-Mass Black Hole Science Relativistic Simulations of Accreting Neutron Stars

Simulating neutron star merger remnant outflows and their electromagnetic signatures

COVID-19: Supernova Remnant Observations with Micro-X COVID Extension

Simulating Dynamical Ejecta Effects On High Energy Emission From Neutron Star and Black Hole Collisions

A Search for the first X-ray counterpart to an extragalactic FRB

A SWIFT Response to Thermonuclear Explosions

On the quest of BeX-ray transients: how do highly magnetized neutron stars cool? A Survey of Late-Time X-ray Emission in VLASS Detected Supernovae

The Physics of the CGM and its Role in Galaxy Evolution

Dynamical Signatures of Planet Formation in Wide-Separation Exoplanets and Brown Dwarfs

Remote quantification of evolving stability conditions in deep-seated landslides from InSAR displacement rate measurements, structural mapping, and geomechan

Self-Organized Nanostructured Bonds through Transient Liquid Phase Dealloying Grain Boundary Engineering of Thermoelectrics for RTGs

NASA Lyndon B. Johnson Space Center:

Team Task Switching in Astronaut Crews on the International Space Station: Integrating Multiteam Membership, Multiteam Systems, multi-tasking, & Multidimensi Monitor & Enable Functional Work Shifts in Astronaut Crews

Composing Teams with TEAMSTaR: Tool for Evaluating And Mitigating Space Team Risk

CREWS: Crew Recommender for Effective Work in Space

Total National Aeronautics and Space Administration

National Science Foundation:

EFRI C3 SoRo: An integrated approach towards computational design, fabrication and understanding of bio-hybrid soft architectures capable of adaptive behavior Engineering Research Center for Innovative and Strategic Transformation of Alkane Resources – CISTAR

EFRI CEE: Engineered Retinal Epigenomics

Novel force sensors for in vivo live animal applications

DMREF: Collaborative Research: A Data-Centric Approach for Accelerating the Design of Future Nanostructured Polymers and Composites Systems

RAISE--EQuiP: Single--Chip, Wall--Plug Photon Pair Source and CMOS Quantum Systems on Chip

RoL: RAISE: DESYN-C3: A platform for Modular Pseudo-Organelles for Compartmentalization and Control of Pseudo-Cell Processes NRI: INT: Co-Robot Controllers for Human-Like Physical Interaction and Improved Motor Learning

EFRI C3 SoRo: Strong Soft Robots—Multiscale Burrowing & Inverse Design

Multi-functional, scalable graphene-based protective coatings for high energy density lithium-ion cathodes

Scalable Manufacturing of Supramolecular Polymers for Regenerative Medicine

Oleophilic Hydrophobic Multifunctional (OHM) Sponge: An Environmental Remediation Platform

SNM: Scalable Nanomanufacturing of Graphene-based Real-time Water Sensors through Customized Inkjet Printing

FMRG: Manufacturing Advanced Electronics through Printing Using Bio-based and Locally Identified Chemicals (MADE-PUBLIC)

PIPP Phase I: Robust Epidemic Surveillance and Modeling (RESUME)

Collaborative Research: DMREF: GOALI: Discovering Materials for CO2 Capture in the Presence of Water via Integrated Experiment, Modeling, and Theory Spectroscopic photon localization microscopy for super-resolution molecular imaging

GOALI: Advanced biomanufacturing with inducible feedback promoters

GOALI: Charge Interactions in Transport of Mixed Solutes in Nanofiltration Membranes COVID-19: Convergence: RAISE: Systems Approaches for Vulnerability Evaluation and Urban Resilience (SAVEUR)

Convergence: RAISE: Systems Approaches for Vulnerability Evaluation and Urban Resilience (SAVEUR)

Convergence: RAISE: Systems Approaches for Vulnerability Evaluation and Urban Resilience (SAVEUR)

Collaborative Research: Towards a Generalized Microkinetic Description of Lignin Liquefaction

GOALI: Flow driven segregation at the particle level

Collaborative Research: Cell-free glycoprotein synthesis technology for point-of-care vaccine biomanufacturing

Structural Health Monitoring of Biofilms for Sustainable Reactive Nitrogen Management

Elucidating and Computing Metabolic Networks for Co-Valorization of Cellulose and Lignin Derivatives

ECO-CBET: Collaborative Research: Towards a Circular Nitrogen Bioeconomy: Tandem Bio- and Chemocatalysis for Sustainable Nitrogen Recovery and Nitrous Oxi CAREER: Metabolomics-Enabled Approaches to Advance Characterization of Organic Matter Trapping in Natural and Engineered Matrices

CAREER: Redefining 'antimicrobial' in the context of microbe-chemical interactions indoors

Collaborative Research: Engineering MS2 Capsid Assembly Using Systematic Fitness Landscapes CAREER: Design Rules for Electrical Transport in Suspensions of Conductive Particles

Electrohydrodynamic interactions of drops

CAREER: Probing and Controlling Acidic Electrocatalytic Oxidation Mechanisms and Catalyst Degradation Processes

Addressing Rigor and Reproducibility in Heterogeneous, Thermal Catalysis

GOALI: Fine Particle De-Mixing in Granular Flows

CAREER: Assessing Air Quality Co-Benefits and Tradeoffs of Sustainable Climate Solutions Conference Travel: CECAM Flagship Workshop, Lausanne, Switzerland

CONFERENCE: micro Flow and Interfacial Phenomena (µFIP)

Strong and Multifunctional Geopolymer Composites: A Multi-Scale Study

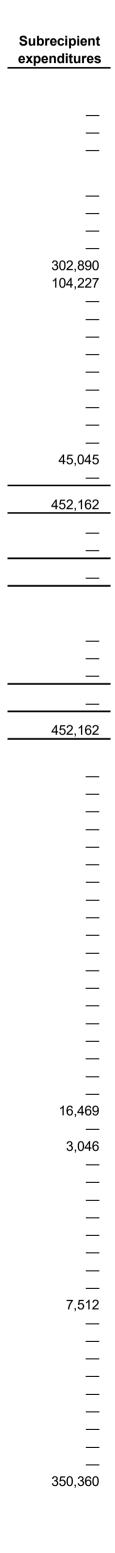
LEAP-HI: Catalyzing Resilient Urban Infrastructure Systems: Integrating the Natural and Built Environments

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

Year ended August 31, 2023

| | Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures |
|--------------------|----------------------------|--|---|---|
| | | | | |
| | | 43.003 | NNX17AD48G-000011 | \$ (12) |
| | | 43.007 | 80NSSC18K0196-P00013 | 150,216 |
| | | 43.012 | 80NSSC23M0106 | 28,662 |
| | | 43.001 | 80NSSC17K0245 P00003 | 34,235 |
| | | 43.001 | 80NSSC18K1096 P00004 | 106,738 |
| | | 43.001 | 80NSSC19K0323-P00002 | 188,175 |
| | | 43.001 43.001 | 80NSSC20K0504 – P00004 80NSSC20K1280 P00002 | 41,708 481,927 |
| | | 43.001 | 80NSSC21K1722 P00002 | 277,550 |
| | | 43.001 | 80NSSC21K1746 P00001 | 182,441 |
| | | 43.001 | 80NSSC21K1851 P00003 | 41,116 |
| | | 43.001 | 80NSSC21K1856 P00001 | 11,257 |
| | | 43.001 43.001 | 80NSSC22K0031 80NSSC22K0221 | 80,000 18,579 |
| | | 43.001 | 80NSSC22K0541 | 8,139 |
| | | 43.001 | 80NSSC22K0799 | 33,022 |
| | | 43.001 | 80NSSC22K0800 | 8,576 |
| | | 43.001 | 80NSSC22K0809 | 52,622 |
| nical modeling | | 43.001 43.001 | 80NSSC23K0280 80NSSC23K0734 | 65,474 |
| nical modeling | | 43.001 | 00110302310734 | 24,685 1,656,244 |
| | | 43.012 | 80NSSC21K1810 P00002 | 163,394 |
| | | 43.012 | 80NSSC22K1217-P00001 | 68,541 |
| | | | | 231,935 |
| | | | | |
| sional Networks to | | 43.003 | 80NSSC18K0276 – P00016 | 18,965 |
| | | 43.003 | 80NSSC21K0925 P00008 | 415,590 |
| | | 43.003 | NNX15AM32G-000022 | 8,793 |
| | | | | 443,348 |
| | | | | 3,977,089 |
| | 237 | 47.041 | 093088-175159//EFMA-1830881-03 | 1,213 |
| | 161 | 47.041 | 10001437-044 AMD 9 // 1647722-EEC | 555,207 |
| | 263 | 47.041 | 132226948 AMD No. 2//EFMA-1933394 | 305,882 |
| | 228 66 | 47.041 47.041 | 1562737 01//CBET 2029559 333-2389 AMD 5//1818574 | 45,535 93,974 |
| | 26 | 47.041 | 4500002864//ECCS-1842692 | 4,521 |
| | | 47.041 | 4CBET-1844336 | 140,500 |
| | 165 | 47.041 | 8913 cc 82089//2024488 | 112,224 |
| | 250 | 47.041 | A007037602 AMD 1 // EFMA-1830950 | 3,991 |
| | 286 14 | 47.041 47.041 | AGMT 2-25-22//IIP-2036267 AGMT 4/22/22//IIP-2151711 | 141,721 14,813 |
| | 132 | 47.041 | Agmt 8-29-22//TI-2151578 | 76,961 |
| | 226 | 47.041 | AWD101315 (SUB00000317)//2039268 | (2,573) |
| | 226 | 47.041 | AWD101466 (SUB00000407)-2//2037026 | 478,075 |
| | 226 | 47.041 47.041 | AWD103196 (SUB00000703)//2200234 CBET 2119433 | 46,893 |
| | | 47.041 | CBET-1706642 001 | 335,182 254 |
| | | 47.041 | CBET-1803747 | 15,108 |
| | | 47.041 | CBET-1840816-001 | 92,747 |
| | | 47.041 | CBET-1848683 | 16,469 |
| | | 47.041 47.041 | CBET-1848683 CBET-1848683 | (11,764) 3,046 |
| | | 47.041 | CBET-1926412 | (124) |
| | | 47.041 | CBET-1929265 | 115,719 |
| | | 47.041 | CBET-1936789-001 | 61,154 |
| | | 47.041 47.041 | CBET-1937290 CBET 2022854 | 24,665 |
| | | 47.041 | CBET-2022854 CBET-2033793 | 232,317 |
| Dxide Mitigation | | | | 273 420 |
| Dxide Mitigation | | 47.041 47.041 | CBET-2041669 | 273,420 49,328 |
| Dxide Mitigation | | 47.041 47.041 47.041 | CBET-2041669 CBET-2043156 -003 | 49,328 121,134 |
| Dxide Mitigation | | 47.041 47.041 47.041 47.041 | CBET-2041669 CBET-2043156 -003 CBET-2043973 | 49,328 121,134 79,371 |
| Oxide Mitigation | | 47.041 47.041 47.041 47.041 47.041 | CBET-2041669 CBET-2043156 -003 CBET-2043973 CBET-2047365 | 49,328 121,134 79,371 61,783 |
| Dxide Mitigation | | 47.041 47.041 47.041 47.041 47.041 47.041 | CBET-2041669 CBET-2043156 -003 CBET-2043973 CBET-2047365 CBET-2126498 | 49,328 121,134 79,371 61,783 39,111 |
| Dxide Mitigation | | 47.041 47.041 47.041 47.041 47.041 | CBET-2041669 CBET-2043156 -003 CBET-2043973 CBET-2047365 | 49,328 121,134 79,371 61,783 39,111 66,923 |
| oxide Mitigation | | 47.041 47.041 47.041 47.041 47.041 47.041 47.041 | CBET-2041669 CBET-2043156 -003 CBET-2043973 CBET-2047365 CBET-2126498 CBET-2144365 001 | 49,328 121,134 79,371 61,783 39,111 |
| Dxide Mitigation | | 47.041 47.041 47.041 47.041 47.041 47.041 47.041 47.041 47.041 47.041 | CBET-2041669 CBET-2043156 -003 CBET-2043973 CBET-2047365 CBET-2126498 CBET-2144365 001 CBET-2152559 CBET-2203703 CBET-2239834 | 49,328 121,134 79,371 61,783 39,111 66,923 (49) 137,199 22,725 |
| Oxide Mitigation | | 47.041 47.041 47.041 47.041 47.041 47.041 47.041 47.041 47.041 47.041 47.041 | CBET-2041669 CBET-2043156 -003 CBET-2043973 CBET-2047365 CBET-2126498 CBET-2144365 001 CBET-2152559 CBET-2203703 CBET-2239834 CBET-2317140 | 49,328 121,134 79,371 61,783 39,111 66,923 (49) 137,199 22,725 5,000 |
| Dxide Mitigation | | 47.041 47.041 47.041 47.041 47.041 47.041 47.041 47.041 47.041 47.041 | CBET-2041669 CBET-2043156 -003 CBET-2043973 CBET-2047365 CBET-2126498 CBET-2144365 001 CBET-2152559 CBET-2203703 CBET-2239834 | 49,328 121,134 79,371 61,783 39,111 66,923 (49) 137,199 22,725 |



Cluster title/federal grantor/subagency/project title CAREER: The mechanics of subsurface urban heat islands Collaborative Research: AI-Driven Multi-Scale Design of Materials under Processing Constraints EAGER: Collaborative Research: An Autonomous Modular Vehicle Technology-based Multifaceted Mobility Service Paradigm – A Proof-of-Concept Study Dynamic Matching Problems with Application to Kidney Allocation Exploiting Network Structure in Routing Problems: Applications to School Bus Routing Data-driven multiscale damage and failure prediction Equitable and Efficient Scarce Resource Allocation using Stochastic Fractional Optimization Collaborative Research: GOALI: Modeling and optimizing patient-flow dynamics in hospitals and hospital networks Decision-Flow Queueing Networks for Analysis of Knowledge-Based Service Operations Systems CAREER: Modeling Soil-Machine Interaction for Advances in Civil Construction and Terrestrial Robotics CAREER: Transformative mobility analysis: Mixed Methods framework Convergence: RAISE: Auto-regulatory Scaffolds for Directed Evolution of Non-living Functional Materials Asset Allocation: A Statistical Learning Approach Multi Scale Multi Material Printing of 3D Bead Arrays via Self Focused Electrohydrodynamic Jets Manipulating Nanoparticle-Modified Melt Pool Dynamics in Additive Manufacturing An Atomistic Experimental Investigation of Fracture in Transitional Metal Dichalcogenides Collaborative Research: A Hierarchical Multidimensional Network-based Approach for Multi-Competitor Product Design Service Systems with Dependencies: Theory and Applications Freeze-Cast Manufacturing of Stable Iron-Alloy Foams for Energy Conversion and Storage NRI: INT: Robotic Shepherding for Flow Control in Uncertain Dynamic Environments Photonic Moiré Nanostructures for Scalable Fabrication of Quantum Structures New Kind of Experiment and Theory to Determine the Effect of Crack-Parallel Stresses on Fracture of Concrete and Similar Materials Linking Matrix Composition with Spatially Resolved Mechanical Properties in Polymicrobial Biofilms Micro-Casting of Titanium Alloys in Self-boiling Molds Policy-Robust Processing Networks: Characterization and Design CAREER: Catastrophic Rare Events: Theory of Heavy Tails and Applications Cusatis NSF Rotator Appointment Collaborative Research: Inference on expensive, grey-box simulation models Toward an integrative approach to machine learning for traffic management BRITE Fellow: AI Enabled Discovery and Design of Programmable Material Systems Travel Support for Dynamic Traffic Assignment Conference (DTA 2023); Evanston, Illinois; 10-12 July 2023 Collaborative Research: Designing Polymer Grafted-Nanoparticle Melts through a Hierarchical Computational Approach NNCI: Soft and Hybrid Nanotechnology Experimental (SHyNE) Resource CAREER: Principled Deep Reinforcement Learning for Societal Systems NCS-FO: How Ecology Induces Cognition: Paleontology, Machine Learning, and Neuroscience CPS: Medium: Information based Control of Cyber-Physical Systems operating in uncertain environments Anti-ferromagnetic Electric-field-controlled Memory Devices NSF-BSF: CCSS: Resistance Tomography with 2D Sensor Membranes BioNet: a distributed network of bioelectronic devices for closed-loop control of physiological processes Collaborative Research: SWIFT: LARGE: Dynamics and Security Aware Predictive Spectrum Sharing with Active and Passive Users Room temperature high-power terahertz semiconductor laser with high-quality beam shape and stable spectral emission Spintronic Spectrum Analyzer and Limiter based on Tunable Magnetic Tunnel Junction Arrays Scalable Three Terminal Memory Devices based on Silicon-Compatible Antiferromagnetic Materials REU SITE: RESEARCH EXPERIENCE FOR UNDERGRADUATES IN NANOSCALE SCIENCE AND ENGINEERING PROPOSAL RET Site: Collaborative Research: Research Experiences for Teachers across the National Nanotechnology Coordinated Infrastructure EFRI CEE: Macrogenomic engineering via modulation of chromatin nanoenvironment EFRI-CEE: Epigenetic Cell Reprogramming In Situ: A Novel Tool for Regenerative Engineering EFRI CEE: Epigenomic Regulation Over Multiple Length Scales: Understanding Chromatin Modifications Through Label Free Imaging and Multi-Scale Modeling Partnership for development of high-performance magnetic memory technology Collaborative Research: FW-HTF-R: Toward an Ecosystem of Artificial-intelligence-powered Music Production (TEAMuP) NSF Engineering Research Center for Hybrid Autonomous Manufacturing Moving Evolution to Revolution (HAMMER) Collaborative Research: FW-HTF-R: Toward an Ecosystem of Artificial-intelligence-powered Music Production (TEAMuP) Integrating Human and Machine Learning for Enabling Co-Adaptive Body-Machine Interfaces Unveiling the Obscured Formation of Stars and Galaxies: Large-Scale Legacy Surveys with a New Three Color High Resolution Imaging Polarimeter on a 50-m Teleson PREM: UTA/NU Partnership for Functional Materials SII-Center: SpectrumX – The National Center for Spectrum Innovation U.S. CMS Operations at the Large Hadron Collider Center for the Chemistry of Molecularly Optimized Networks CCI Phase I: NSF Center for Synthesizing Coherence NSF Center for Molecularly Optimized Networks CCI Phase I: Center for Sustainable Separations of Metals U.S. CMS Upgrades for the High-Luminosity Large Hadron Collider Center for Sustainable Polymers Center for Sustainable Polymers NSF Center for Sustainable Polymers: Quantitative framework for cost and sustainability evaluation of emerging sustainable polymers Student Observing Support Program Accretion and Star Formation Induced by the Galactic Center Supermassive Black Hole Sgr A* CAREER: The Physics of Stellar Feedback and Star Formation Regulation in Galaxies WoU-MMA, Collaborative Research: Searches After Gravitational Waves Using Arizona's Observatories WoU-MMA: Luminous Supermassive Black Hole Accretion Systems as High-Energy Neutrino Factories CAREER: Navigating the Environments of the Universe's Fastest Transients A Self-Consistent Bayesian Investigation of Star Cluster Binary Populations Collaborative Research: CDS&E: Constraining the uncertain physics of galaxy formation: cosmic rays, black holes, and beyond Gravitational Wave Sources from Dense Star Clusters REU Site: Preparing a Diverse Workforce through Interdisciplinary Astrophysics Research Collaborative Research: Tidal Disruption of Stars by Massive Black Holes Materials Research and Science Engineering Center – Renewal 02 ACME III: Advanced Cold Molecule Electron Electric Dipole Moment Search

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

Year ended August 31, 2023

| 246 47.049 17-009589 D Amd. 3/AST-1636621 266 47.049 2021GC0406//2122128 255 47.049 204303NU AMND 4//2132700 252 47.049 25-0521-0244-009//PHY-2121686 66 47.049 333-2440// CHE-1832256 66 47.049 333-2555//CHE-1925690 66 47.049 333-2766//CHE-2116298-001 | penditures |
|---|--------------------|
| 47.041 CMMI 203282 47.041 CMMI 213728 47.041 CMMI 203300 47.041 CMMI 203370 47.041 CMMI 203370.005 47.041 CMMI 2032774 47.041 CMMI 2032774 47.041 CMMI 2032774 47.041 CMMI 2032774 47.041 CMMI 203280 47.041 CMMI 203281 | 141,704 |
| 47.041 CMM 2157286 47.041 CMM 2157286 47.041 CMM-172203-C01 47.041 CMM-172203-C01 47.041 CMM-172303 47.041 CMM-172303 47.041 CMM-172303 47.041 CMM-172303 47.041 CMM-172303 47.041 CMM-192357 47.041 CMM-192350 47.041 CMM-193350 47.041 CMM-193350 47.041 CMM-193350 47.041 CMM-193350 47.041 CMM-193350 47.041 CMM-203561 47.041 CMM-203573 47.041 CMM-2032773 47.041 CMM-203273 47.041 CMM-2032561 47.041 CMM-2032563 47.041 CMM-2032563 47.041 CMM-2032562 47.041 CMM-2032563 47.041 CMM-2032563 47.041 CMM-2032563 47.041 CMM-20325071 47.041 | 133,351 |
| 47.041 CMM-172774-002 47.041 CMM-172744-002 47.041 CMM-175305 47.041 CMM-175306 47.041 CMM-175306 47.041 CMM-175306 47.041 CMM-175306 47.041 CMM-196917 47.041 CMM-196916 47.041 CMM-1920774 47.041 CMM-1920774 <t< td=""><td>98,515</td></t<> | 98,515 |
| 47.041 CMM-172035-01 47.041 CMM-172035-01 47.041 CMM-173500 47.041 CMM-173500 47.041 CMM-1703503 47.041 CMM-1703503 47.041 CMM-1703503 47.041 CMM-180353 47.041 CMM-180313 47.041 CMM-1903450 47.041 CMM-1903451 47.041 CMM-1903451 47.041 CMM-1202861 | 312,454 |
| 47.041 CMM-1783305 47.041 CMM-1783305 47.041 CMM-1783305 47.041 CMM-1826373 47.041 CMM-1826373 47.041 CMM-1826373 47.041 CMM-1826373 47.041 CMM-1826371 47.041 CMM-1826371 47.041 CMM-193457-005 47.041 CMM-193457-005 47.041 CMM-193457-005 47.041 CMM-193457-005 47.041 CMM-203571 47.041 CMM-203571 47.041 CMM-203561-1001 47.041 CMM-203510 47.041 CMM-203510 47.041 CMM-203510 47.041 CMM-203510 47.041 CMM-203571 47.041 CMM-203573 47.041 CMM-203573 47.041 CMM-203573 47.041 CMM-203573 47.041 CMM-203574 47.041 CMM-203574 47.041 CMM-223573 47.041 CMM-223573 47.041 CMM-223573 | (235 (1 |
| 47.041 CMM-162633 47.041 CMM-162633 47.041 CMM-162633 47.041 CMM-162633 47.041 CMM-162633 47.041 CMM-162630 47.041 CMM-163630 47.041 CMM-163630 47.041 CMM-163630 47.041 CMM-163630 47.041 CMM-263670 47.041 CMM-2736261 47.041 CMM-2736261 47.041 CMM-273671 47.041 CMM-273675 47.041 CMM-273675 47. | 32,460 |
| 47.041 CMM-184837 47.041 CMM-184837 47.041 CMM-184833 47.041 CMM-184813 47.041 CMM-184813 47.041 CMM-193487/005 47.041 CMM-193487/005 47.041 CMM-20387/005 47.041 CMM-20387/01 47.041 <td>(127</td> | (127 |
| 47.041 CMMI-164613 47.041 CMMI-164614 47.041 CMMI-20640 47.041 CMMI-20640 47.041 CMMI-20640 47.041 CMMI-20640 47.041 CMMI-20640 47.041 CMMI-20641001 47.041 CMMI-20641001 47.041 CMMI-20641001 47.041 CMMI-206473 47.041 CMMI-206473 47.041 CMMI-206473 47.041 CMMI-206473 47.041 CMMI-206473 47.041 CMMI-206480 47.041 CMMI-206473 47.041 CMMI-206473 47.041 CMMI-206474 47.041 CMMI-206474 47.041 CCS 204075 47.041 CCS 204075 47.041 CCS 3040274 < | (1,375 |
| 47.041 CMMI-196616 47.041 CMMI-193430 47.041 CMMI-193430 47.041 CMMI-193430 47.041 CMMI-193430 47.041 CMMI-193430 47.041 CMMI-200661-001 47.041 CMMI-200561-001 47.041 CMMI-200573 47.041 CMMI-220507 47.041 ECS 2042074 47.041 ECS 2042075 47.041 ECS 2042076 47.041 ECS 2042076 47.041 ECS 2042076 47.041 | 132,404 |
| 47.041 CMMI-194330 47.041 CMMI-194330 47.041 CMMI-1953806 47.041 CMMI-1953806 47.041 CMMI-2008530 47.041 CMMI-2008530 47.041 CMMI-2008510 47.041 CMMI-2008513 47.041 CMMI-2008513 47.041 CMMI-2008513 47.041 CMMI-2008513 47.041 CMMI-2008513 47.041 CMMI-2008513 47.041 ECCS 202814 47.041 | 122,652 2,308 |
| 47.041 CMMI-193480-005 47.041 CMMI-193480-005 47.041 CMMI-193480-005 47.041 CMMI-205681-0001 47.041 CMMI-201681 47.041 CMMI-201686 47.041 EC25 200033 47.041 EC25 | 33,792 |
| 47,041 CMMI-200591-0001 47,041 CMMI-200591-0001 47,041 CMMI-200591-0001 47,041 CMMI-201591 47,041 CMMI-201591 47,041 CMMI-2028773 47,041 CMMI-2028773 47,041 CMMI-2028773 47,041 CMMI-2028773 47,041 CMMI-2028773 47,041 CMMI-201647 47,041 CMMI-201647 47,041 CMMI-201666 47,041 CMMI-201666 47,041 CMMI-201666 47,041 CMMI-2028973 47,041 CMMI-2028973 47,041 CMMI-2028973 47,041 CMMI-2028974 47,041 CMMI-2028974 47,041 CMMI-202974 47,041 CMMI-202974 47,041 CCMI-202974 47,041 CMMI-202974 47,041 CCMI-202974 47,041 ECCS-180376 47,041 ECCS-180376 47,041 ECCS-202934 47,041 ECCS-202941 47,041 | 97,741 |
| 47,041 CMMI-2008350 47,041 CMMI-2008350 47,041 CMMI-2008350 47,041 CMMI-2018541 47,041 CMMI-2028774 47,041 CMMI-2028774 47,041 CMMI-2028641 001 47,041 CMMI-2028641 001 47,041 CMMI-213252 47,041 CMMI-213252 47,041 CMMI-2139566 47,041 CMMI-2146530 47,041 CMMI-2146530 47,041 CMMI-225087 47,041 CMMI-225087 47,041 CMMI-2225087 47,041 CMMI-2226081 47,041 CMMI-2226081 47,041 CMMI-2226081 47,041 CCS-3030274 47,041 ECCS-3030274 47,041 ECCS-3030274 47,041 ECCS-3030274 47,041 ECCS-3030274 47,041 ECCS-3030274 47,041 ECCS-3030261 47,041 ECCS-3030251 47,041 ECCS-3030251 47,041 ECCS-3030251 47,041 | 178,610 |
| 47,041 CMII-2015641 47,041 CMII-2015641 47,041 CMII-2024774 47,041 CMII-2024774 47,041 CMII-2028641 001 47,041 CMII-2028641 001 47,041 CMII-210447 47,041 CMII-2102862 47,041 CMII-210866 47,041 CMII-210866 47,041 CMII-2108673 47,041 CMII-2108673 47,041 CMII-222807 47,041 CMII-222807 47,041 CMII-222807 47,041 CMII-222807 47,041 CMII-222807 47,041 CMII-222807 47,041 CMII-22383 AM0 D04 47,041 ECOS-2028081 AM0 D04 47,041 ECOS-2028081 AM0 D04 47,041 ECOS-202804 AM0 D04 | 76,282 |
| 47,041 CMM-2024774 47,041 CMM-2028773 47,041 CMM-2028773 47,041 CMM-2028773 47,041 CMM-2028773 47,041 CMM-2028773 47,041 CMM-2028773 47,041 CMM-213262 47,041 CMM-214861-006 47,041 CMM-214861-006 47,041 CMM-2226073 47,041 CMM-2226073 47,041 CMM-2226087 47,041 CMM-2226087 47,041 CMM-2226087 47,041 CMM-2226081 47,041 ECCS-20804004 47,041 ECCS-2080475 47,041 ECCS-18128309 47,041 ECCS-181283400 47,041 ECCS-181284-001 47,041 ECCS-2030243 47,041 ECCS-2030243 47,041 ECCS-2030430 47,041 ECCS-2030430 47,041 ECCS-2030430 47,041 ECCS-2030430 47,041 ECCS-2030430 47,041 ECCS-2030430 47,041 | 156,823 |
| 47,041 CVMI-2028773 47,041 CVMI-2028773 47,041 CVMI-20287474 47,041 CVMI-202841001 47,041 CVMI-2028747 47,041 CVMI-2028747 47,041 CVMI-2028252 47,041 CVMI-2128252 47,041 CVMI-2128252 47,041 CVMI-2148530 47,041 CVMI-2148630 47,041 CVMI-225807 47,041 CVMI-2228087 47,041 CVMI-2228081 47,041 CVMI-2228081 47,041 CVMI-2228081 47,041 ECCS-1835389 47,041 ECCS-1835389 47,041 ECCS-1835389 47,041 ECCS-1835389 47,041 ECCS-183539 47,041 ECCS-202824 <t< td=""><td>41,070</td></t<> | 41,070 |
| 47.041 CMM-202873 47.041 CMM-202841 001 47.041 CMM-210941 001 47.041 CMM-210941 001 47.041 CMM-210850 47.041 CMM-210850 47.041 CMM-210850 47.041 CMM-210851-006 47.041 CMM-228973 47.041 CMM-228973 47.041 CMM-228087 47.041 CMM-228087 47.041 CMM-228087 47.041 CMM-228087 47.041 CMM-228087 47.041 CMM-228074 47.041 CMM-228073 47.041 CMM-228074 47.041 CCS-2803074 47.041 ECCS-2803074 47.041 ECCS-1837515 47.041 ECCS-1837515 47.041 ECCS-203249 47.041 ECCS-203249 47.041 ECCS-203249 47.041 ECCS-203243 47.041 ECCS-203243 47.041 ECCS-203243 47.041 ECCS-203243 47.041 ECCS-203243 | 144,432 |
| 47.041 CMM-202841 001 47.041 CMM-202841 001 47.041 CMM-213252 47.041 CMM-213256 47.041 CMM-214683 47.041 CMM-214683 47.041 CMM-214683 47.041 CMM-214683 47.041 CMM-226973 47.041 CMM-227641 47.041 CMM-220607 47.041 CMM-220601 47.041 CMM-220601 47.041 CMM-220601 47.041 CS 202653 AMD 004 47.041 ECCS 202663 AMD 004 47.041 ECCS 183579 47.041 ECCS 202840 47.041 ECCS 202840 47.041 ECCS 202843 47.041 ECCS 202843 </td <td>330,330 101,984</td> | 330,330 101,984 |
| 47.041 CMM-210047 47.041 CMM-210252 47.041 CMM-213056 47.041 CMM-2140830 47.041 CMM-2140830-006 47.041 CMM-214081-006 47.041 CMM-2250873 47.041 CMM-2250873 47.041 CMM-2220874 47.041 CMM-2220874 47.041 CMM-2220831 47.041 CMM-2220831 47.041 CCS-20320341 47.041 CCS-20320374 47.041 ECCS-2033075 47.041 ECCS-2033075 47.041 ECCS-183756 47.041 ECCS-183756 47.041 ECCS-183756 47.041 ECCS-203243 47.041 ECCS-203243 </td <td>113,056</td> | 113,056 |
| 47.041 CMM-2132282 47.041 CMM-2149580 47.041 CMM-2146530 47.041 CMM-2146530 47.041 CMM-2236973 47.041 CMM-2227641 47.041 CMM-22227641 47.041 CMM-2220637 47.041 CMM-2227641 47.041 CMM-2220611 47.041 ECCS 202683 47.041 ECCS 202683 47.041 ECCS-1835389 47.041 ECCS-1835389 47.041 ECCS-1835376 47.041 ECCS-19284-001 47.041 ECCS-203043 47.041 ECCS-203044 47.041 ECCS-203042 47.041 ECCS-203043 47.041 ECCS-203043 47.041 ECCS-203043 47.041 ECCS-203042 47.041 ECCS-203042 47.041 ECCS-203043 47.041 ECCS-203044 47.041 ECCS-203043 47.041 ECCS-203042 47.041 ECCS-203042 47.041 ECCS-185339 <td>57,200</td> | 57,200 |
| 47,041 CMML2146380 47,041 CMML2148381-006 47,041 CMML220807 47,041 CMML2226061 47,041 CMML222607 47,041 CMML222607 47,041 CMML222607 47,041 CMML222607 47,041 CMML222607 47,041 ECCS 2025633 AMD 004 47,041 ECCS-183539 47,041 ECCS-183539 47,041 ECCS-183539 47,041 ECCS-183539 47,041 ECCS-1835399 47,041 ECCS-1835879 47,041 ECCS-1835879 47,041 ECCS-1835879 47,041 ECCS-1835879 47,041 ECCS-1835879 47,041 ECCS-203241 47,041 ECCS-203242 47,041 ECCS-203242 47,041 ECCS-203242 47,041 ECCS-203243 47,041 ECCS-203243 47,041 ECCS-203243 47,041 ECCS-203243 47,041 ECCS-203243 47,041 ECCS-185 | 147,691 |
| 47,041 CMMI-2148861-006 47,041 CMMI-2206973 47,041 CMMI-2226987 47,041 CMMI-2227641 47,041 CMMI-2330274 47,041 CMMI-2330274 47,041 CMMI-2330274 47,041 CMMI-2330274 47,041 ECCS-208037 47,041 ECCS-208037 47,041 ECCS-1837516 47,041 ECCS-1837515 47,041 ECCS-1837515 47,041 ECCS-2032819 47,041 | 251,844 |
| 47 041 CMMI-2206973 47 041 CMMI-2225087 47 041 CMMI-2225087 47 041 CMMI-223087 47 041 CMMI-223087 47 041 CMMI-223081 47 041 CMMI-223081 47 041 ECCS 2048075 47 041 ECCS 204075 47 041 ECCS-1835389 47 041 ECCS-1837515 47 041 ECCS-1837515 47 041 ECCS-2023849 47 041 ECCS-2023849 47 041 ECCS-2023849 47 041 ECCS-2023849 47 041 ECCS-202342 47 041 ECCS-202342 47 041 ECCS-202343 47 041 ECCS-202343 47 041 ECCS-202343 47 041 ECCS-202343 47 041 ECCS-202342 47 041 ECCS-202343 | 164,097 |
| 47 041 CMMI-2228087 47 041 CMMI-2228081 47 041 CMMI-2230274 47 041 DMR-2226081 47 041 ECCS 202653 AMD 004 47 041 ECCS 203539 47 041 ECCS 2035715 47 041 ECCS 2030251 47 041 ECCS-2030251 47 041 ECCS-2030251 47 041 ECCS-2030251 47 041 ECCS-2030242 47 041 ECCS-203242 47 041 ECCS-203242 47 041 ECC-157618 47 041 ECC-157618 47 041 EC-157618 47 041 EC-157618 47 041 EC-157618 47 041 ECM-1830961-006 47 041 ECM-1830961-006 47 041 ECC-157518 47 041 ECC-157518 50 47 041 ECC-157519213030 | 319,859 |
| 47 041 CMMI-2302741 47 041 CMMI-230274 47 041 DMR-2226081 47 041 ECCS 202583 AMD 004 47 041 ECCS 319389 47 041 ECCS 319389 47 041 ECCS 2023849 47 041 ECCS 2030251 47 041 ECCS 2030251 47 041 ECCS 2030251 47 041 ECCS 203243 47 041 ECCS 203243 <t< td=""><td>41,786 99,875</td></t<> | 41,786 99,875 |
| 47 041 CMMI-2330274 47,041 DMR-2228081 47,041 ECCS 202563 AMD 004 47,041 ECCS 202563 AMD 004 47,041 ECCS 202563 AMD 004 47,041 ECCS 202683 AMD 004 47,041 ECCS 202681 47,041 ECCS 20202849 47,041 ECCS 2023849 47,041 ECCS 2020261 47,041 ECCS 20203242 47,041 ECC 2005203344 47,041 ECC 2005203344 47,041 ECC 2003242 47,041 ECC 200520343 47,041 ECC 200510000 11 II | 1,360 |
| 47.041 DMR-226081 47.041 ECCS 202563 AMD 004 47.041 ECCS 202603 AMD 004 47.041 ECCS-1835389 47.041 ECCS-1835795 47.041 ECCS-1835879 47.041 ECCS-1835879 47.041 ECCS-1805879 47.041 ECCS-203249 47.041 ECCS-203249 47.041 ECCS-2030251 47.041 ECCS-203242 47.041 ECCS-203242 47.041 ECCS-203243 47.041 ECC-1953437 47.041 ECC-1953437 47.041 EFMA-1830968-008 226 47.041 EFMA-1830968-008 47.041 IN-2022369 150 47.041 IS-2222369 165 47.041 SUB00000521/URFAO:GR533249//222129 165 47.041 SUB00000521/URFAO:GR533249//2 | 15,145 |
| 47.041 ECCS 2048075 47.041 ECCS-1835389 47.041 ECCS-1835379 47.041 ECCS-1837515 47.041 ECCS-1912694-001 47.041 ECCS-203849 47.041 ECCS-2032849 47.041 ECCS-203241 47.041 ECCS-203242 47.041 ECCS-203242 47.041 ECCS-203243 47.041 ECCS-203243 47.041 ECCS-203243 47.041 ECCS-203243 47.041 ECCS-203243 47.041 ECC-1757618 47.041 ECC-1953437 47.041 EFC-1953437 47.041 EFC-1953437 47.041 EFC-1953437 47.041 EFMA-1830961-006 47.041 IP-1919109 47.041 IIP-222369 150 47.041 IIP-222369 150 47.041 SUB00000521/URFA0:GR533249//2222129 260 47.041 SUBaward 9531//2054406 260 47.041 SUBaward 9531//2054406 266 47.049 217. | 22,125 |
| 47.041 ECCS-1833589 47.041 ECCS-1833515 47.041 ECCS-1833879 47.041 ECCS-1853879 47.041 ECCS-1833819 47.041 ECCS-2030251 47.041 ECCS-2030251 47.041 ECCS-2030251 47.041 ECCS-2030251 47.041 ECCS-203024 47.041 ECCS-203043 47.041 ECCS-203043 47.041 ECC-185361 47.041 ECC-185361 47.041 ECCS-203043 47.041 ECCS-203043 47.041 ECCS-203043 47.041 ECCS-203043 47.041 ECCS-203040 47.041 ECCS-203040 226 47.041 IP-068540-01-PR AMD 3//1830969 47.041 IIP-1919109 1 | 1,255,976 |
| 47.041 ECCS-1837515 47.041 ECCS-1853879 47.041 ECCS-1912694-001 47.041 ECCS-2033241 47.041 ECCS-2030251 47.041 ECCS-2030251 47.041 ECCS-2030251 47.041 ECCS-2030251 47.041 ECCS-2030242 47.041 ECCS-2030243 47.041 ECC-1757618 47.041 ECC-1757618 47.041 ECC-1757618 47.041 ECC-1757618 47.041 ECC-1757618 47.041 EFMA-1830961-006 47.041 EFMA-1830961-006 47.041 EFMA-1830961-006 47.041 EFMA-1830961-006 47.041 EFMA-1830961-008 226 47.041 IIP-19109 47.041 IIP-222369 150 47.041 SUB0000521/URFAO:GR533249//2222129 165 47.041 SUB0000521/URFAO:GR533249//2222129 165 47.041 SUB0000521/URFAO:GR533249//2222129 165 47.041 SUB0000521/URFAO:GR533249//2222129 165 47.04 | 70,506 |
| 47.041 ECCS-1853879 47.041 ECCS-2023849 47.041 ECCS-2023849 47.041 ECCS-2030251 47.041 ECCS-2030251 47.041 ECCS-2030251 47.041 ECCS-203243 47.041 ECCS-203242 47.041 ECCS-203243 47.041 ECCS-203243 47.041 EEC-1757618 47.041 EEC-1757618 47.041 EEC-1953437 47.041 EFMA-1830968-008 47.041 EFMA-1830968-008 47.041 EFMA-1830968-008 47.041 EFMA-1830969-008 47.041 IP-1919109 47.041 IIP-222369 150 47.041 SUB0000521/URFAC-GR53249//2222129 165 47.041 SUB0000521/URFAC-GR53249//2222129 165 47.041 SUB00000521/URFAC-GR53249//2222129 165 47.041 SUB0000521/URFAC-GR53249//2222129 165 47.049 201GC0406//2122128 200 47.049 2021GC0406//212218 201 2246 47.049 2021GC0406//2 | 51,533 |
| 47.041 ECCS-1912694-001 47.041 ECCS-2023849 47.041 ECCS-2023849 47.041 ECCS-2030251 47.041 ECCS-203242 47.041 ECCS-203243 47.041 ECCS-203243 47.041 EEC-1757618 47.041 EEC-1953437 47.041 EEC-1953437 47.041 EEC-1953437 47.041 EFCA-1830961-006 47.041 EFMA-1830961-006 47.041 EFMA-1830961-006 47.041 EFMA-1830961-006 47.041 EFMA-1830968-008 226 47.041 IP-1919109 47.041 IIS-222269 47.041 IIS-222269 150 47.041 SPC-1000006997 GR128751//2133630 260 47.041 SUB000052/UIRFAO:GR533249//2222129 165 47.041 Subaward 9531//2054406 | 84,207 |
| 47.041 ECCS-2023849 47.041 ECCS-2030251 47.041 ECCS-2030251 47.041 ECCS-203242 47.041 ECCS-203243 47.041 ECCS-203243 47.041 ECCS-203243 47.041 EEC-1757618 47.041 EEC-1757618 47.041 EFMA-1830961-006 47.041 EFMA-1830961-006 47.041 EFMA-1830961-006 47.041 EFMA-1830961-006 47.041 EFMA-1830961-006 47.041 FP068540-01-PR AMD 3/1830969 47.041 IIP-1919109 47.041 IIP-2222369 47.041 IIP-222369 165 47.041 SUB00000521/URFAO:GR533249//2222129 165 47.041 SUB00000521/URFAO:GR533249//2222129 165 47.041 Subaward 9531//2054406 | 45,133 78,414 |
| 47.041 ECCS-2030251 47.041 ECCS-2149908-003 47.041 ECCS-203242 47.041 ECCS-203243 47.041 EEC-1757618 47.041 EEC-1757618 47.041 EEC-1757618 47.041 EEC-1653437 47.041 EFMA-1830961-006 47.041 EFMA-1830961-006 47.041 EFMA-1830968-008 47.041 IB-1919109 47.041 IIB-1919109 47.041 IIB-2222369 150 47.041 IIS-222369 150 47.041 SUB0000521/URFA0:GR533249//2222129 165 47.049 2010C0406/PT 266 47.049 20210C0406/PT 255 47.049 204303NU AMND 4//2132700 252 4 | 422,761 |
| 47.041 ECCS-2149908-003 47.041 ECCS-2203242 47.041 ECCS-2203243 47.041 EEC-1757618 47.041 EEC-1757618 47.041 EEC-1953437 47.041 EFMA-1830961-006 47.041 EFMA-1830968-008 226 47.041 EFMA-1830968-008 47.041 IFP-1919109 47.041 IIS-222369 47.041 SPC-1000006997 GR128751//2133630 260 47.041 SPC-1000006997 GR128751//213630 260 47.041 SUB0000521/URFAO:GR533249//222129 260 47.041 SUB00000521/URFAO:GR533249//222129 266 47.041 SUB00000521/URFAO:GR533249//222129 266 47.049 2021GC0406//212128 255 47.049 2021GC0406//212218 255 47.049 204303NU AMIND 4//2132700 | 167,171 |
| $ \begin{array}{cccccccccccccccccccccccccccccccccccc$ | 169,220 |
| $ \begin{array}{cccccccccccccccccccccccccccccccccccc$ | 13,093 |
| $ \begin{array}{cccccccccccccccccccccccccccccccccccc$ | 14,020 |
| 47.041 EFMA-1830961-006 47.041 EFMA-1830968-008 226 47.041 FP068540-01-PR AMD 3/1830969 47.041 IIP-1919109 47.041 IIP-222369 150 47.041 SPC-1000006997 GR128751//213630 260 47.041 SUB0000521/URFAO:GR533249//2222129 165 47.041 SUB0000521/URFAO:GR533249//2222129 165 47.041 SUbaward 9531//2054406 | (23,653 |
| 47.041 EFMA-1830968-008 226 47.041 FP068540-01-PR AMD 3//1830969 47.041 IIP-1919109 47.041 IIS-222369 47.041 IIS-222369 150 47.041 SPC-1000006997 GR128751//2133630 260 47.041 SUB0000521/URFAO:GR533249//2222129 165 47.041 SUB00000521/URFAO:GR533249//2222129 165 47.049 2021GC0406//2122128 165 47.049 204303NU AMND 4//2132700 165 47.049 233-240///CHE-1832256 166 | 32,080 |
| 226 47.041 FP068540-01-PR AMD 3//1830969 47.041 IIP-1919109 47.041 IIS-222369 150 47.041 SPC-1000006997 GR128751//2133630 260 47.041 SUB0000521/URFAO:GR533249//2222129 165 47.041 Subaward 9531//2054406 | 614,063 209,023 |
| 47.041 IIP-1919109 47.041 IIS-2222369 150 47.041 SPC-1000006997 GR128751//2133630 260 47.041 SUB00000521/URFAO:GR533249//2222129 165 47.041 Subaward 9531//2054406 | 99,602 |
| 150 47.041 SPC-1000006997 GR128751//2133630 260 47.041 SUB0000521/URFAO:GR533249//2222129 165 47.041 Subaward 9531//2054406 cope 246 47.049 17-009589 D Amd. 3/AST-1636621 266 47.049 2021GC0406//2122128 255 47.049 204303NU AMND 4//2132700 252 47.049 25-0521-0244-009//PHY-2121686 66 47.049 333-2440// CHE-1832256 66 47.049 333-2555//CHE-1925690 66 47.049 333-2766//CHE-2116298-001 | 175,645 |
| 260 47.041 SUB0000521/URFAO:GR533249//2222129 165 47.041 Subaward 9531//2054406 | 70,860 |
| 165 47.041 Subaward 9531//2054406 sope 246 47.049 17-009589 D Amd. 3/AST-1636621 266 47.049 2021GC0406//2122128 255 47.049 204303NU AMND 4//2132700 252 47.049 25-0521-0244-009//PHY-2121686 66 47.049 333-2440// CHE-1832256 66 47.049 333-2555//CHE-1925690 66 47.049 333-2766//CHE-2116298-001 | 226,503 |
| cope 246 47.049 17-009589 D Amd. 3/AST-1636621 266 47.049 2021GC0406//2122128 255 47.049 204303NU AMND 4//2132700 252 47.049 25-0521-0244-009//PHY-2121686 66 47.049 333-2440// CHE-1832256 66 47.049 333-2555//CHE-1925690 66 47.049 333-2766//CHE-2116298-001 | 61,114 |
| cope 246 47.049 17-009589 D Amd. 3/AST-1636621 266 47.049 2021GC0406//2122128 255 47.049 204303NU AMND 4//2132700 252 47.049 25-0521-0244-009//PHY-2121686 66 47.049 333-2440// CHE-1832256 66 47.049 333-2555//CHE-1925690 66 47.049 333-2766//CHE-2116298-001 | 63,585 |
| 266 47.049 2021GC0406//2122128 255 47.049 204303NU AMND 4//2132700 252 47.049 25-0521-0244-009//PHY-2121686 66 47.049 333-2440// CHE-1832256 66 47.049 333-2555//CHE-1925690 66 47.049 333-2766//CHE-2116298-001 | 11,558,820 |
| 266 47.049 2021GC0406//2122128 255 47.049 204303NU AMND 4//2132700 252 47.049 25-0521-0244-009//PHY-2121686 66 47.049 333-2440// CHE-1832256 66 47.049 333-2555//CHE-1925690 66 47.049 333-2766//CHE-2116298-001 | 79,040 |
| 25547.049204303NU AMND 4//213270025247.04925-0521-0244-009//PHY-21216866647.049333-2440// CHE-18322566647.049333-2555//CHE-19256906647.049333-2766//CHE-2116298-001 | 22,826 |
| 6647.049333-2440// CHE-18322566647.049333-2555//CHE-19256906647.049333-2766//CHE-2116298-001 | 368,841 |
| 6647.049333-2555//CHE-19256906647.049333-2766//CHE-2116298-001 | 13,592 |
| 66 47.049 333-2766//CHE-2116298-001 | (3,774 |
| | (571 |
| | 433,889 |
| 258 47.049 577550//1925708 56 47.049 79433-20666-06//PHY-1946735 | 22,801 146,700 |
| 250 47.049 A008060101//CHE-1901635 Amd.3 | 150,297 |
| 250 47.049 A008060102-03//CHE-1901635 | 94,741 |
| 250 47.049 A008060113 AMD2//CHE-1901635 | 104,700 |
| 20 47.049 Agmt 3/17/21//AST-1519126 | 525 |
| 47.049 AST-1517246 002 | (1 |
| 47.049 AST-1652522 47.040 AST 1000258 | 168,729 |
| 47.049 AST-1909358 47.049 AST-2009884 | (83) 163,036 |
| 47.049 AST-2009884 47.049 AST-2047919 AMD 000 | 241,947 |
| 47.049 AST-2107738 | 179,056 |
| 47.049 AST-2108230 | 5,111 |
| 47.049 AST-2108624 | 79,827 |
| 47.049 AST-2149425 | 127,637 |
| 47.049 AST-2206471 | 25,825 |
| 226 47.049 AWD101244 (SUB00000356)-3//2011854 | 151,349 |
| 226 47.049 AWD102289 (SUB00000527)//2136573 | 35,54 |

| Subrecipient expenditures |
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| 245,404 |
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Conference: Active Matter and Complex Media Collaborative Research: Electronic Coherence Effects in Multichromophore Systems Probed by Two-Dimensional Electronic Spectroscopy New Lewis Bases for Chemical Catalysis Voltage-dependent interfacial structure and properties of room temperature ionic liquids: operando X-ray studies Allosterically Regulated Supramolecular Capsules and Receptors Assembled via the WLA Correlative Tools for in Situ Analysis of Single Nanoparticles and their Ligands Transient Physicochemical Properties of Nanomaterials CAREER: Photocontrolled Dynamic Covalent Crosslinkers for Light-Responsive Polymer Networks Synthetic, Mechanistic, and Catalytic Studies of Electrophilic d- and f-Element Complexes Hyperpolarized Multi-Spin Systems as Qubits for Quantum Information Science Studying the Processes of Assembly and Stimuli-Responsive Morphological Transformations in Solvated Macromolecular Nano-Assemblies Studying the Processes of Assembly and Stimuli-Responsive Morphological Transformations in Solvated Macromolecular Nano-Assemblies Collaborative Research: Isotopologue Synthesis and Use for Elucidating Important Chemical Mechanisms of Organic Condensation Reactions in Atmospheric Particles Donor-acceptor energy transfer involving classical and quantum light in the presence of photonic and plasmonic structures Spatial Organization of Ions in Supramolecular Nanostructures Quantum control of nanochemistry CAS-MNP: Molecular Probing Surface Reactivity Dynamics of Native versus Photo-Oxidized Microplastics and Nanoplastics in Environmental Aqueous Media EAGER: ADAPT: Optimizing chemical reaction networks with AI CAREER: Investigating Strong Electron-Phonon Interactions in Semiconducting Crystals Using Reciprocal-Space Quantum-Classical Modeling Electrostatics and Structure at Electrode: Electrolyte Interfaces from Nonlinear Optics Photogenerated Multi-Spin Systems as Qubits for Quantum Information Science Catalytic Synthesis for Saturated Heterocycles CCI Phase I: NSF Center for Chemoenzymatic Synthesis Atom-Efficient Heteroatom Transformations Mediated by f-Element and d(0) Catalysts Nanoscale Coronas: Surface Chemistry and Reactivity on Particle Scaffolds Ink-based additive manufacturing of high-entropy alloys from oxide and hydride powders MRSEC: Center for Multifunctional Materials DMREF: Collaborative Research: Synthesis, Characterization, and Modeling of Complex Amorphous Semiconductors for Future Device Applications DMREF: Collaborative Research: Synthesis, Characterization, and Modeling of Complex Amorphous Semiconductors for Future Device Applications DMREF: Collaborative Research: Accelerating Thermoelectric Materials Discovery via Dopability Predictions CAREER: Understanding the Role of Structure on Ionic/Electronic Properties in Polymeric Mixed Conductors JUAMI (Joint Undertaking for Africa Materials Institute) Collaborative Research: Predictive theory, synthesis and characterization of a new type of transparent conductor without doping EAGER: Enabling Quantum Leap: Driven Non-Equilibrium Room Temperature Quantum States Engineering New Anisotropic Superfluid Phases of 3He Symmetry Breaking in Non-Hermitian Plasmonic Lattices Solid-State Oxides and Oxide-Fluorides Manipulation of Hole-pinned Vortices: Classical and Quantum Total Tomography of Nonplanar Heterostructures for Quantum Information Processing Bioengineering Single Crystal Growth Optical Spin Orientation and Transport in Layered Mono- and Di-chalcogenide Semiconductors GOALI : "Collaborative Research: An Experimental and Theoretical Study of the Microstructural and Electrochemical Stability of Solid Oxide Cells" GOALI: Investigation of Cyclic Failure in Aluminosilicate Nanocomposites Unconventional Heteroanion Ceramics: 2D Layered Seleno- and Thio-Phosphates CAREER: Visualizing single-chain conformation and dynamics of bottlebrush polymers in the bulkstate Collaborative Research: Optical Transitions in Metallic Nanoclusters at High Pressure Synthesis of Complex and Advanced Chalcogenide Materials Quantum Coherence Effects on Charge Generation in Organic Semiconductors Collaborative Research: Impact of a colloidal suspension droplet; Suspension flows at extreme shear rates Probing Fundamental Magneto-Electronic Properties of Two-Dimensional Metal Halides lons at aqueous interfaces: X-ray fluorescence and scattering studies Peptide Brush Polymers: Theory and Synthesis for Functional Design Motile Colloids with Tunable Random Walk: Individual Dynamics and Collective Behavior" Structure and Function of Heteroanionic Materials Joint Undertaking for an African Materials Institute (JUAMI) COVID-19: RAPID: Regenerable Antiviral Nanoporous Materials for Protection Protein Crystallization Programmed with DNA Collaborative Research: Design and Demonstration of Persistent Spin Textures in Ferroelectric Oxide Thin Film Role of Organic Matrix Molecules in the Formation of Very High Magnesium Calcite NSF-BSF Influences of cohesion enhancing elements, impurities and hydrogen or deuterium at grain boundaries and heterophase interfaces on embrittlement (ductilization) Collaborative Research: DMREF: Accelerated Data-Driven Discovery of Ion-Conducting Material Collaborative Research: DMREF: GOALI: High-Affinity Supramolecular Peptide Materials for Selective Capture and Recovery of Proteins Characterizing and Exploiting the Remarkable Surface Redox Chemistry of Ceria and Its Derivatives CAREER: Hybrid membranes as platforms for biomolecule detection, synthesis, and transport Designer Photonic Lattices and Multilayer Structures that support Bound Optical Modes and Electrically-driven Excitation Collaborative Research: Metal-Organic Nanotubes as Tunable Porous Fibers Collaborative Research: Compositional and Atomic-Scale Ordering Effects on Aqueous Passivation of Binary BCC and FCC Alloys Quantum Coherent Applications of Superfluid 3He N-Doping of Organic Semiconductor Materials Probing topological effects in multiterminal Josephson junction devices Defining Reaction Paths for Chalcogenide Materials Discovery CAS: Reprocessable Thermosets for High Performance Composites Northwestern University Materials Research Science and Engineering Center Hybrid Bonding Polymers Collaborative Research: Gel rupture under simple and dynamic loading: manipulation of failure mode via patterned heterogeneity in soft materials K-Stability, Moduli Spaces and Singularities Analysis on Manifolds RTG: Interdisciplinary Training in Quantitative Biological Modeling CAREER: Complexity of Disordered Systems

A Sheaf-Theoretic Approach to M5-Brane Geometry

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

Year ended August 31, 2023

| | Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures |
|---------------------|----------------------------|---------------------------------|------------------------------------|-------------------------|
| | | 47.049 | CBET-2227695 | \$ 14,997 |
| | | 47.049 | CHE 1955806 | 119,855 |
| | | 47.049 47.049 | CHE-1665141 CHE-1665255 | 14,820 540 |
| | | 47.049 | CHE-1709888-001 | (8,559) |
| | | 47.049 | CHE-1808502 | 137,165 |
| | | 47.049 | CHE-1808590 | 47,276 |
| | | 47.049 47.049 | CHE-1847948 003 CHE-1856619 001 | 198,210 180,844 |
| | | 47.049 | CHE-1900422 | (532) |
| | | 47.049 | CHE-1905270 | (20,332) |
| | | 47.049 | CHE-1905270 | 58,161 |
| les | | 47.049 47.049 | CHE-2003359 CHE-2055565 | 119,741 132,447 |
| | | 47.049 | CHE-2102662 | 340,564 |
| | | 47.049 | CHE-2108612 | 99,002 |
| | | 47.049 | CHE-2109097 | 15,243 |
| | | 47.049 47.049 | CHE-2141385 CHE-2145433 | 167,592 48,171 |
| | | 47.049 | CHE-2153191 | 192,774 |
| | | 47.049 | CHE-2154627-001 | 240,510 |
| | | 47.049 | CHE-2154820 | 176,146 |
| | | 47.049 47.049 | CHE-2221346 CHE-2247666 | 398,084 1,660 |
| | | 47.049 | CHE-2305039 | 8,234 |
| | | 47.049 | DMR 2004769 | 127,699 |
| | | 47.049 | DMR-1720139-007 DMR-1729016 | 2,569,086 |
| | | 47.049 47.049 | DMR-1729016 | (10,351) 104,365 |
| | | 47.049 | DMR-1729487-001 | 68,979 |
| | | 47.049 | DMR-1751308-004 | 56,015 |
| | | 47.049 47.049 | DMR-1756245 DMR-1806912 | 919 685 |
| | | 47.049 | DMR-1838507 | (509) |
| | | 47.049 | DMR-1903053-003 | 66,718 |
| | | 47.049 | DMR-1904385-001 | 63,145 |
| | | 47.049 47.049 | DMR-1904701 003 DMR-1905742-003 | 193,021 88,650 |
| | | 47.049 | DMR-1905768 | 16,779 |
| | | 47.049 | DMR-1905982-002 | 148,133 |
| | | 47.049 | DMR-1905986 | 68,636 |
| | | 47.049 47.049 | DMR-1912530/002 DMR-1928702 001 | 126,817 116,762 |
| | | 47.049 | DMR-1929356 | 35,283 |
| | | 47.049 | DMR-1945249-002 & 003 | 93,565 |
| | | 47.049 | DMR-2002891 | 35,851 |
| | | 47.049 47.049 | DMR-2003476 DMR-2003739 | 229,501 76,812 |
| | | 47.049 | DMR-2004176 002 | 88,862 |
| | | 47.049 | DMR-2004420 | 109,050 |
| | | 47.049 | DMR-2004557-001 | 113,524 |
| | | 47.049 47.049 | DMR-2004899 DMR-2004926 | 65,560 252,802 |
| | | 47.049 | DMR-2011208 002 | 138,484 |
| | | 47.049 | DMR-2023698 | 202,760 |
| | | 47.049 | DMR-2029270 | (1) |
| | | 47.049 47.049 | DMR-2104353 DMR-2104397 | 130,151 60,080 |
| | | 47.049 | DMR-2104759-001 | 59,806 |
| lization) of steels | | 47.049 | DMR-2105362 | 107,859 |
| | | 47.049 | DMR-2118839 | 100,203 |
| | | 47.049 47.049 | DMR-2119686 DMR-2130831 | 202,634 150,441 |
| | | 47.049 | DMR-2145050-001 | 132,660 |
| | | 47.049 | DMR-2207215 | 87,277 |
| | | 47.049 | DMR-2207269-001 | 158,828 |
| | | 47.049 47.049 | DMR-2208865 DMR-2210112 002 | 85,744 199,291 |
| | | 47.049 | DMR-2223922 | 19,160 |
| | | 47.049 | DMR-2303536 | 52,002 |
| | | 47.049 | DMR-2305731 | 13,639 |
| | | 47.049 47.049 | DMR-2308601 DMR-2308691 | 10,244 8,322 |
| | | 47.049 | DMR-2310178 | 43,550 |
| | | 47.049 | DMR-2311698 | 9,405 |
| | | 47.049 | DMS 2148266 001 | 3,729 |
| | | 47.049 47.049 | DMS-1502632-005 DMS-1547394-004 | 15,578 283 251 |
| | | 47.049 47.049 | DMS-1547394-004 DMS-1653552 004 | 283,251 22,232 |
| | | 47.049 | DMS-1708503 | 7,513 |
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| Subrecipient expenditures |
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| |
| 58,161 |
| |
| 153,618 |
| 77,487 104,365 |
| (509) |
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Midwest Probability Colloquium 2017-2019 Midwest Topology Seminar Northwestern University Quantitative Biology Center (NUQuB) Moduli of Galois representations and applications Singularities and Smoothness in Geometric Partial Differential Equations Global Harmonic Analysis Collaborative Research: Factorization Homology, Deformation Theory, and Duality CAREER: An Integrated Inferential Framework for Big Data Research and Education Collaborative Research: Adaptive Gaussian Markov Random Fields for Large-scale Discrete Optimization via Simulation Problems in combinatorics and number theory via ergodic theoretic methods Word distributions in groups The 41st Stochastic Processes and Applications (SPA 2019) Causeway Postbaccalaureate Program FRG: Collaborative Research: Geometric Structures in the p-adic Langlands Program Collaborative Research: Variational inference approach to computer model calibration, uncertainty quantification, scalability, and robustness Inducing and exploiting grid structures for fast, adaptive and accurate estimation Nonlinear Partial Differential Equations and Geometry Zero-Order and Stochastic Methods for Large-Scale Optimization Novel Decomposition and Active-Set Techniques Enabling Scalable Computational Frameworks for Large-Scale Nonlinear Optimization Problems Collaborative Research: High-Dimensional Decision Making and Inference with Applications to Personalized Medicine CAREER: Rigidity of Group Actions on Manifolds Linear Partial Differential Equations on Singular Spaces Combinatorial and Algebraic Structures in Dynamics Cyclotomic Spectra and p-Divisible Groups Moduli Problems and Applications of Constructible Sheaves Fractal Fourier Extension Estimates Nonlinear dynamics of colloidal rotors: chaos and order CAREER: Higher Brauer Groups and Topological Azumaya Algebras RTG: Dynamics: Classical, Modern and Quantum REU Site: Quantitative Biology REU (QBREU) at Northwestern University FRG: Collaborative Research: Higher Categorical Structures in Algebraic Geometry Spin Glasses and Other Models of Disordered Media Collaborative Research: AMPS: Robust Failure Probability Minimization for Grid Operational Planning with Non-Gaussian Uncertainties Workshops in Spectral Methods in Algebra, Geometry, and Topology Dynamics of Lattice and Mean-Field Spin Systems Quantized Lagrangian Submanifolds of Moduli Spaces and Representation Theory Singularities of Minimal Hypersurfaces and Lagrangian Mean Curvature Flow Abelian Varieties, Hecke Orbits, and Specialization Institute for Data, Econometrics, Algorithms and Learning (IDEAL) The SuperCDMS at SNOLAB Operations Program Collaborative Research: A Mechanical Atlas for Embryogenesis at Single-Cell Resolution Collaborative Research: Disciplinary Improvements: Creating a FAIROS Materials Research Coordination Network (MaRCN) in the Materials Research Data Alliance Photochemical Strategies to Activate Far-Red Fluorescence with Green Light Telecom-Band Rotational Cooling of a Heavy Molecular Ion Collaborative Research: Axion Resonant InterAction Detection Experiment (ARIADNE) - a renewal proposal Collaborative Research: The SuperCDMS SNOLAB Experiment Gravitational-Wave Inference from Binary Compact Objects Collaborative Research: Uncovering how riboswitches exploit out-of-equilibrium RNA folding pathways to make genetic decisions Measuring gravity at the micron-scale with laser-cooled trapped microspheres: a renewal proposal PM: Electron and Positron Magnetic Moments from a Quantum Cyclotron Collaborative Research: The SuperCDMS at SNOLAB Science Program Data Acquisition and Analysis for the MUonE Pilot Run PM: Doped Inert Single Crystals for Probing Beyond the Standard Model Gravitational-Wave Data Analysis and Population Inference Q-Array Deployment and Science Analysis for the Ricochet Experiment Collaborative Research NSF-ANR: Mechanisms of terminal erythroid enucleation Universal Aspects of Quantum Entanglement in Higher Dimensional Disordered Quantum Magnets The CSUF-led partnership for inclusion of underrepresented groups in gravitational-wave astronomy Student Observing Support for Joseph Michail NRAO Student Observing Support for Nycole Wenner in Archival Studies of Star Formation in the Galactic Center Molecular Ring CCI Phase I: NSF Center for Quantum Electrodynamics for Selective Transformations (QuEST) Center for Integration of Modern Optoelectronic Materials on Demand (IMOD) Network Cluster CINet: Critical Interface Network in Intensively Managed Landscapes NSFGEO-NERC: Collaborative Research: Developing a new Lower Cretaceous time scale: Foundation for the next generation of paleoceanographic CAREER: triple-isotope approach to unraveling subsurface food webs Collaborative Research: Evolution of Subsurface Microbe-Rock-Fluid Systems

Superdeep Diamonds from the Transition Zone and Lower Mantle

Collaborative Research: Dry Rifting In the Albertine-Rhino graben (DRIAR), Uganda

Effect of Pore Pressure Rate on Rate and State Frictional Slip In Experiments

NERC-NSFGEO SMARTWATER: Diagnosing controls of pollution hot spots and hot moments and their impact on catchment water quality

PREEVENTS Track 2: Collaborative Research: Defining precursors of ground failure: a multiscale framework for early landslide prediction through geomechanics and I GP-IN: Graduate soft skills development through mentoring pre-university students through diverse geoscience

Focused CoPe: Strengthening Resilience of Manoomin, the Sentinel Species of the Great Lakes, with Data-Science Supported Seventh Generation Stewardship

Expeditions: Mind in Vitro – Computing with Living Neurons Computing Innovation Fellows 2021 Project Computing Innovation Fellows 2021 Project – Fumeng Yang

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

Year ended August 31, 2023

| Prove the second second | Federal expenditures | Sponsor award number | Assistance listing number | Pass- through entity | |
|---|-------------------------|----------------------------------|---------------------------------|----------------------------|------------------|
| 47:48 1048:17427 1048:174211144 1048:174211144 1048:17427<td>\$ 7,520</td><td>DMS-1744209-001</td><td>47.049</td><td></td><td></td> | \$ 7,520 | DMS-1744209-001 | 47.049 | | |
| 47.640 DMR-180207402 MAR-10011 47.640 DMR-180207402 MAR-10411 47.640 DMR-180207402 47.640 DMR-180420 47.640 DMR-180420 47.640 DMR-180421 47.640 DMR-200411 <l< td=""><td>4,782</td><td></td><td></td><td></td><td></td></l<> | 4,782 | | | | |
| 4 - 0.40 UMA-180211 UMA-180214 UMA-18024 UMA-1802 | 542,408 15,176 | | | | |
| 4 7.040 DMR-181047-802 4 7.040 DMR-181087-802 4 7.040 DMR-181087-801 4 7.040 DMR-2810588 0.01 4 7.040 DMR-2810588 0.01 4 7.040 DMR-2810589 0.01 4 7.040 DMR-2810878 0.01 4 7.040 DMR-2810878 0.01 4 7.040 DMR-2810878 0.01 4 7.040 DMR-2810879 0.01 4 7.040 DMR-2810870 0.01 0.0 | (197) | | | | |
| 47.046 DMS-1644583002 47.048 DMS-1644582 47.049 DMS-1644582 47.049 DMS-164282 47.049 DMS-16427 47.049 DMS-200311 47.049 DMS-20031 47.049 DMS-20031 47.049 DMS-210050 47.049 DMS-210011 47.049 DMS-210021 47.049 DMS-210021 47.049 DMS-210021 47.049 DMS-210021 47.049 DMS-210021 47.049 <td>28,156</td> <td></td> <td></td> <td></td> <td></td> | 28,156 | | | | |
| A 7-04 DNR-1835522 A 7-04 DNR-1835522 A 7-04 DNR-183552 A 7-04 DNR-185572 A 7-04 DNR-18557 A 7-04 DNR-18557 A 7-04 DNR-18577 A 7-04 DNR-18577 A 7-04 DNR-18577 A 7-04 DNR-1857 A 7-04 DNR-1857 A 7-04 DNR-1857 A 7-04 DNR-1857 A 7-04 DNR-1957 A 7-04 DNR-291 | 38,823 | | | | |
| A 7-040 DBA-990741 47-040 DBA-990741 47-040 DBA-99076 AM0021 47-040 DBA-990276 AM0022 47-040 DBA-990276 AM002 47-040 DBA-9902776 AM002 47-040 DBA-99027778 AM002 47-040 DBA-99027778 AM002 47-040 DBA | 3,739 9,523 | | | | |
| d'ende sansing 47.448 DMA-10021 47.449 DMA-10022 47.449 DMA-10022 47.449 DMA-10022 47.449 DMA-10022 47.449 DMA-10022 47.449 DMA-10022 47.449 DMA-20021 47.449 DMA-20021 47.449 DMA-20021 DMA-20021 DMA-20021 DMA-20021 A7.449 DMA-200311 47.449 DMA-200311 47.449 DMA-200311 DMA-200311 A7.449 DMA-200444 47.449 DMA-200444 47.449 DMA-200444 47.449 DMA-2004701 47.449 DMA-200471 47.449 <li< td=""><td>18,369</td><td></td><td></td><td></td><td></td></li<> | 18,369 | | | | |
| di omale sensing 47:648 DBA-19507 AM/DO22 47:649 DBA-19507 AM/DO22 47:649 DBA-19507 AM/DO22 47:640 DBA-202111 47:640 DBA-202111 47:640 DBA-202110 47:640 DBA-2021010 47:640 DBA-210087-601 47:640 DBA-220160 47:640 DBA-220160 47:640 DBA-2202110 47:640 PHY-191826 47:640 PHY-191826 47:640 PHY-191826 47:640 PHY-2018 | 76,704 | | | | |
| 4.7404 DMS-192287 AMD012 4.7404 DMS-192387 AMD012 4.7404 DMS-1933111 4.7404 DMS-201340 AMS-201240 AMS-20140 AMS-20140 | 16,329 | | | | |
| 47-040 DMS-1922897 47-040 DMS-2003111 47-040 DMS-201595 DMS | 191,202 42,132 | | | | |
| 47.048 DMS-1933111 47.048 DMS-201434 47.048 DMS-201210 DMS-2012010 DMS-2012010 DMS-20210 DMS-20210 DMS-20210 DMS-202010 DMS-202010 DMS-202010 DMS-202010 CONSTRUCTION 47.048 DMS-202010 CONSTRUCTION CON | 4,925 | | | | |
| 47.040 DMS-2011041 47.040 DMS-201508 003 47.041 DMS-201508 003 47.042 DMS-201508 003 DMS-201508 003 DMS-201403 DMS-201411 DMS-201411 DMS-201414 DMS-201411 <lidms-201411< li=""> <lidms-201411< li=""></lidms-201411<></lidms-201411<> | 19,392 | | | | |
| 47.049 DMS-2013410 MS 47.049 DMS-202013 003 MS 47.049 DMS-202013 003 MS 47.049 DMS-202013 003 MS 47.049 DMS-202013 003 MS 47.040 DMS-20443 47.040 DMS-20443 47.040 DMS-20443 47.041 DMS-20443 47.041 DMS-20443 47.041 DMS-20443 47.041 DMS-20493 47.041 DMS-20493 47.041 DMS-20493 47.042 DMS-210952 47.043 DMS-210952 47.044 DMS-210952 47.044 DMS-210952 47.044 DMS-210952 47.044 DMS-220150 47.044 DMS-220130 47.044 DMS-220231 47.046 DMS-220233 47.049 PHY - 180881 002 47.049 | 59,809 | | | | |
| 47.049 DMS-2015686.003 47.049 DMS-202442 47.049 DMS-202443 47.049 DMS-202443 47.049 DMS-210210 47.049 DMS-210210 47.049 DMS-210210 47.049 DMS-210210 47.049 DMS-210210 47.049 DMS-210201 47.049 DMS-210201 47.049 DMS-210201 47.049 DMS-210201 47.049 DMS-210201 47.049 DMS-210201 47.049 DMS-210221 47.049 DMS-210221 47.049 DMS-210221 47.049 DMS-20223 47.049 DMS-20223 47.049 DMS-20223 47.049 DMS-20223 47.049 DMS-20224470 47.049 DMS-20224470 47.049 DMS-20224470 47.049 DMS-20224470 47.049 DMS-20224870 47.049 DMS-20224877 47.049 DMS-20224871 47.049 PHY-2110244001 < | 22,782 60,483 | | | | |
| 47.049 DMS-202013.03 47.049 DMS-202013.03 47.049 DMS-202443 47.049 DMS-202443 47.049 DMS-202443 47.049 DMS-202013.01 47.049 DMS-210087 47.049 DMS-210087 47.049 DMS-210087 47.049 DMS-212026 47.049 DMS-212027 47.049 DMS-212028 47.049 DMS-212028 47.049 DMS-212028 47.049 DMS-220180 47.049 DMS-200823 47.049 DMS-200821 47.049 DMS-200821 47.049 DMS-200821 47.049 PHY-21184 47.049 PHY-21184 47.049 PHY-21184 <td< td=""><td>19,392</td><td></td><td></td><td></td><td></td></td<> | 19,392 | | | | |
| 47 0.49 47 0.49 47 0.49 47 0.49 57 0.49 47 0.40 47 | 95,865 | | | | |
| 47 0.49 CMS-210007-001 47 0.49 CMS-210067-001 47 0.49 CMS-210502 47 0.49 CMS-210513 47 0.49 CMS-210513 47 0.49 CMS-220153 47 0.49 CMS-220517 47 0.49 CMS-220517 </td <td>95,716</td> <td></td> <td></td> <td></td> <td></td> | 95,716 | | | | |
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| 47 049 DMS-210502 47 049 DMS-210502 47 049 DMS-210505 47 049 DMS-210505 47 049 DMS-210514 47 048 DMS-210514 47 049 DMS-210514 47 049 DMS-210514 47 049 DMS-220159 47 049 DMS-220150 47 049 DMS-220159 47 049 DMS-220150 47 049 DMS-220150 40 0MS-20150 40 0MS-20150 | 108,281 | | | | |
| 47.049 DMS-210205 47.049 DMS-210217 47.049 DMS-210235 47.049 DMS-210235 47.049 DMS-220101 47.049 DMS-220102 47.049 DMS-220102 47.049 DMS-2300230 47.040 DMS-2300230 47.040 DMS-230023016 47.040 DMS-230023016 47.040 DMS-230023016 47.040 DMS-23002301701 47.040 DMS-23002301701 47.040 DMS-2300220198 47.040 DMS-2300220198 47.040 DMS-2300220198 47.040 DMS-2300220198 47.040 DMS-2300220198 47.049 DMY-1805012016 47.049 DMY-18047002 47.049 DMY-2110524 47.049 DMY-2110526 47.049 DMY-211056 47.049 DMY-211056 47.049 DMY-211056 47.049 DMY-211056 47.049 DMY-210366 47.049 DMY-210366 47.049 DMY-210366 47.040 DMY-210101 47.040 DMY-210102 < | 3,516 | DMS-2107729 | 47.049 | | |
| 47.049 DMS-210314 47.049 DMS-2150134 47.049 DMS-215076 47.049 DMS-22331 47.049 DMS-2233159 47.049 DMS-2233159 47.049 DMS-2233159 47.049 DMS-2233164 47.049 DMS-2332447 47.049 DMS-2332447 47.049 DMS-2337467 47.049 DMS-2337467 47.049 DMS-23237467 47.049 DMS-23021916 47.049 PMY-2110540 47.049 PMY-211054 47.049 PMY-2207519 47.049 PMY-2207519 47.049 PMY-2207519 47.049 PMY-2207519 47.049 PMY-2207519 47.049 PMY-2207519 47.049 PMY-2207519 47.049 PMY-2207519 47.049 S-7859,0A222457 47.049 S-7859,0A222457 47.049 S-7859,0A222457 47.049 UMS-22027457 47.049 UMS-22027457 47.049 UMS-221100 47.049 PMY-2207519 47.049 PMY-2207519 47.049 PMY-2207519 47.049 PMY-2207519 47.049 DMY-2207519 47.049 DMY-2207519 47.049 DMY-2207519 47.049 EMX-221100 47.049 EMX-221100 47.049 EMX-221100 47.049 EMX-221100 47.049 EMX-221100 47.049 EMX-221100 47.049 EMX-221100 47.049 EMX-221100 47.049 EMX-221100 47.049 EMX-221100 47.040 EMX-22100 47.040 EMX-22100 47.040 EMX-22100 47.040 EMX-22100 47.040 EMX-22100 47.040 EMX-22100 47.040 EMX-22100 47.040 EMX-2210 47.040 EMX-2210 47.040 EMX-2210 47.040 EMX-2210 47.040 EMX-2210 47.040 EMX-2210 47.040 EMX-2210 47.040 EMX-2210 47.040 EMX-2210 47.040 EMX-2210 47.050 EMX-2210 47.050 EMX-2210 47.050 EMX-2210 | 118,125 | | | | |
| 47.049 DMS-219233 47.049 DMS-219233 47.049 DMS-219233 47.049 DMS-220410 47.049 DMS-220410 47.049 DMS-220410 47.049 DMS-220410 47.049 DMS-2204780 47.049 DMS-2204780 47.049 DMS-2204787 47.049 DMS-2204787 47.049 DMS-2204787 47.049 DMS-2204787 47.049 DMS-2204787 47.049 DMS-2204787 47.040 DMS-2204787 47.040 DMS-2204787 47.040 DMS-2204787 47.040 DMS-2204787 47.040 PHY - 119740 47.040 PHY - 2197001 47.040 PHY - 2197045 47.040 PHY - 2197045 </td <td>(7,996) 270,632</td> <td></td> <td></td> <td></td> <td></td> | (7,996) 270,632 | | | | |
| 47.049 DMS-2154076 47.049 DMS-22161076 47.049 DMS-2230159 47.049 DMS-2230159 47.049 DMS-2302624 47.049 DMS-2302624 47.049 DMS-2307624 47.049 DMS-230764 47.049 DMS-23074071 47.049 DMS-23074071 47.049 DMS-23074071 47.049 DMS-23074071 47.049 DMS-201247 47.049 DMS-201247 47.049 PHY-2110261 | 103,342 | | | | |
| 47.049 DMS-2220190 47.049 DMS-2220190 47.049 DMS-2303624 47.049 DMS-2303624 47.049 DMS-2303747 47.049 DMY-185430 47.049 PHY-185430 47.049 PHY-180430 47.049 PHY-210365 47.049 PHY-210364 47.049 PHY-210364 47.049 PHY-210365 47.049 PHY-210364 47.049 PHY-210364 47.049 PHY-210365 47.049 PHY-210365 47.049 PHY-210364 47.049 PHY-210365 <tr< td=""><td>23,193</td><td></td><td></td><td></td><td></td></tr<> | 23,193 | | | | |
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| 47,049 DMS-2302624 47,049 DMS-2302623 47,049 DMS-2302623 47,049 DMS-2302623 47,049 DMS-2302623 47,049 DMS-23027467 47,049 GO2109.7500/2201166 47,049 GO2109.7500/2201166 47,049 OMS-232437 47,049 OMS-232437 47,049 PMY-180581 002 47,049 PMY-210584 47,049 PMY-210584 47,049 PMY-210585 47,049 PMY-2101544 200 47,049< | 55,042 | | | | |
| 47.049 DMS-2302624 47.049 DMS-2302623 47.049 DMS-2302633 47.049 DMS-2302631 47.049 DCS-221897-0-01 185 47.049 GOCS-221897-0-01 47.049 OMS-22026176 47.049 OMS-220274 47.049 OMS-220477 47.049 OMS-220477 47.049 OMS-220477 47.049 OMS-220477 47.049 OMS-220477 47.049 PHY-1196861 002 47.049 PHY-11912048 47.049 PHY-11912048 47.049 PHY-2110554 47.049 PHY-2110545 47.049 PHY-2110545 47.049 PHY-2110545 47.049 PHY-2210556 47.049 PHY-2210565 47.049 PHY-2210565 47.049 PHY-2210565 47.049 PHY-2210565 47.049 PHY-2210564 47.049 PHY-2210565 47.049 PHY-221056 47.049 PHY-2210565 47.050 | 24,757 42,000 | | | | |
| 47.049 DNS-2305233 47.049 DNS-23057467 47.049 CCCS-2216970-0011 185 47.049 GCCS-2216970-0014 47.049 OCCS-2216970-0016 47.049 OCCS-2216970-0016 47.049 OKCS-2208417 47.049 PHY 2110560 47.049 PHY 2111544 47.049 PHY 21105241 47.049 PHY 2210365 47.049 PHY 2210361 47.049 SUSPADA.027/IAST-1519128 20 47.049 SU | 55,662 | | | | |
| 47.049 ECCS-2216370.001 G002109166 47.049 MCR-220437 47.049 MCR-220437 47.049 MCR-220437 47.049 MCR-220437 47.049 PHY-1056861 002 47.049 PHY-111544 47.049 PHY-111544 47.049 PHY-111544 47.049 PHY-111544 47.049 PHY-211154 47.049 PHY-211554 47.049 PHY-211554 47.049 PHY-211554 47.049 PHY-211555 PHY-211555 PHY-211554 47.049 PHY-211555 PHY-211555 PHY-211555 PHY-211556 PHY-21156 PHY-211564 PHY-21157 PHY-21152 PHY-21154 PHY-21154<!--</td--><td>61,537</td><td></td><td></td><td></td><td></td> | 61,537 | | | | |
| 185 47.049 G002109-7500/2209186 47.049 G02204277 47.049 OAC-2228417 47.049 OAC-2228417 47.049 PHY-1806861.002 47.049 PHY-1806861.002 47.049 PHY-211954 47.049 PHY-211954 47.049 PHY-211955 47.049 PHY-211955 47.049 PHY-211955 47.049 PHY-211955 47.049 PHY-211955 47.049 PHY-2207819 47.049 PHY-2207819 47.049 PHY-2207819 47.049 PHY-2207819 47.049 PHY-2207819 47.049 PHY-2207819 47.049 PHY-220785 47.049 PHY-220785 47.040 PHY-220785 47.040 PHY-220785 47.041 PHY-220785 47.042 PHY-220785 47.042 PHY-220785 47.041 PHY-220785 47.042 PHY-220785 47.044 PHY-220785 47.045 PHY-220785 | 60,480 | | | | |
| 47.049 VC-220437 VC-220417 VC-220410 VC-220417 VC-220417<td>349,764 84,878</td><td></td><td></td><td>195</td><td></td> | 349,764 84,878 | | | 195 | |
| a 47 049 OAC-2226117 248 47 049 OS000274/0/CHE-185430 47 049 PHY-180861 102 47 049 PHY-1808730-003 47 049 PHY-191248 47 049 PHY-191248 47 049 PHY-191248 47 049 PHY-2110524 47 049 PHY-2110524 47 049 PHY-2110524 47 049 PHY-2111556-01 47 049 PHY-2207819 47 049 PHY-2207819 47 049 PHY-2207819 47 049 PHY-2207845 20 47 049 SOSPADA-020//NST-1519126 20 47 049 SOSPADA-020//NST-1519126 20 47 049 UWSC12982-11/2019444 21 47 050 EAR-2012850 21 1 | 52,866 | | | 105 | |
| hd remote sensing Hd remote se | 34,514 | | | | |
| 47.049 PHY 2111544 47.049 PHY-1809730-003 47.049 PHY-1912648 47.049 PHY-2110524 47.049 PHY-2110524 47.049 PHY-2110524 47.049 PHY-2110565 47.049 PHY-2110565-01 47.049 PHY-2211056-01 47.049 PHY-2210369 47.049 PHY-2210389 47.049 PHY-22103089 47.049 PHY-22103089 47.049 PHY-22103089 47.049 PHY-22103089 47.049 SPR50-026//AST-1519126 20 47.049 SOSPADA-027//AST-1519126 20 47.049 SUB00000131AMI/UR FAO GR531225 20 47.049 SUB00000131AMI/UR FAO GR531225 214 47.050 101348-18067//EAR-2012850 47.050 191335 EAR-002 47.050 EAR 2102112 47.050 EAR 2102124 47.050 EAR-1803521 47.050 EAR-1803521 47.050 EAR-2102174 47.050 EAR-2313032 47.050 | 29,715 | | | 248 | |
| hd remote sensing | 67,479 | | | | |
| 47.049 PHY-1912648 47.049 PHY-2110567 47.049 PHY-2110565 47.049 PHY-2110566 47.049 PHY-2111324 47.049 PHY-2111324 47.049 PHY-2111324 47.049 PHY-2111324 47.049 PHY-2111324 47.049 PHY-2207819 47.049 PHY-2207819 47.049 PHY-2207810 47.049 PHY-2207813 47.049 PHY-2207813 47.049 PHY-2207813 47.049 PHY-2207813 47.049 PHY-2207813 47.049 PHY-2207813 47.049 PHY-2210369 47.049 SOSPADA-026/ASTT-1519126 20 47.049 SUB50000131/M1/M1/MF FAO GR531225 21 47.049 SUB50000131/M1/M1/MF FAO GR531225 21 47.050 IAPA-2021/AST 21 47.050 IAPA-20212850 21 47.050 EAR-2012850 22 47.050 EAR-2012850 237 47.050 EAR-2012851 | 184,826 20,958 | | | | |
| 47.049 PHY-2110565 47.049 PHY-2111324 001 47.049 PHY-2111356-01 47.049 PHY-2211356-01 47.049 PHY-2207819 47.049 PHY-2207819 47.049 PHY-2207819 47.049 PHY-2207819 47.049 PHY-2207819 47.049 PHY-220369 47.049 PHY-2210369 47.049 PHY-2210369 47.049 PHY-2210369 47.049 PHY-2210369 47.049 SOSPADA-026//AST-1519126 20 47.049 SOSPADA-027//AST-1519126 20 47.049 SUB30000131AMI/UR FAO GRS31225 20 47.049 SUB30000131AMI/UR FAO GRS31225 21 47.050 101348-18067//EAR-2012850 21 47.050 1951835 EAR-002 21 47.050 EAR 2120374 47.050 EAR 2120374 47.050 EAR-231932 47.050 EAR-231932 47.050 EAR-231932 47.050 EAR-231932 47.050 EAR-231932 | (1) | | | | |
| 47.049 PHY-2110566 47.049 PHY-2111324 001 47.049 PHY-2111356-01 47.049 PHY-2207819 47.049 PHY-2207945 47.049 PHY-2209586 47.049 PHY-220369 47.049 PHY-220369 47.049 PHY-220369 47.049 PHY-2210369 47.049 PHY-2210361 20 47.049 SOSPAD-026//ST-1519126 20 47.049 SOSPAD-027//ST-1519126 20 47.049 SUB00000131AM1//UR FAO GR531225 274 47.049 SUB00000131AM1//UR FAO GR531225 274 47.050 101348-18067//EAR-2012850 47.050 1951835 EAR-002 47.050 EAR 2042249 47.050 EAR 2042249 47.050 EAR 2120312 47.050 EAR-1853521 47.050 EAR-2120374 47.050 EAR-2120374 47.050 EAR-2120374 47.050 EAR-2333932 47.050 EAR-2320326 | 114,853 | | | | |
| 47.049 PHY-2111324 001 47.049 PHY-2207819 47.049 PHY-2207819 47.049 PHY-2207945 47.049 PHY-2210369 47.049 PHY-2210369 47.049 PHY-2210369 47.049 PHY-2210369 47.049 PHY-2210369 47.049 SOSPADA-026/AST-1519126 20 47.049 SOSPADA-026/AST-1519126 20 47.049 SOSPADA-027/AST-1519126 20 47.049 SUB00000131AM1/UR FAO GR531225 20 47.049 SUB00000131AM1/UR FAO GR531225 20 47.049 SUB00000131AM1/UR FAO GR531225 20 47.049 UWSC12982-11/2019444 20 47.050 101348-18067//EAR-2012850 47.050 EAR 2120912 47.050 EAR 2120912 47.050 EAR-2120374 47.050 EAR-2120374 47.050 ICER-1854951 47.050 ICER-2023263 47.050 ICER-2023263 47.050 ICER-2023263 | 144,843 | | | | |
| 47.049 PHY-2111556-01 47.049 PHY-2207815 47.049 PHY-2207845 47.049 PHY-2209585 47.049 PHY-2210369 47.049 PHY-2210706 47.049 PHY-2210708 47.049 PHY-2210708 47.049 PHY-2210708 47.049 PHY-2210708 47.049 SOSPADA-026//AST-1519126 20 47.049 SOSPADA-027//AST-1519126 20 47.049 SUB0000131AM1//UR FAO GR531225 20 47.049 SUB0000131AM1//UR FAO GR531225 20 47.050 101348-18067//EAR-2012850 47.050 1951835 EAR-002 47.050 EAR 210212 47.050 EAR 210212 47.050 EAR 210212 47.050 EAR-1853521 47.050 EAR-212374 47.050 EAR-212374 47.050 EAR-212374 47.050 EAR-212374 47.050 EAR-212374 47.050 ICER-1854951 47.050 ICER-203223 47.050 ICER-20 | 180,821 77,515 | | | | |
| 47.049 PHY-2207819 47.049 PHY-2209585 47.049 PHY-2210369 47.049 PHY-2310706 47.049 PHY-2310706 47.049 PHY-2310706 20 47.049 SOSPADA-026//AST-1519126 20 47.049 SOSPADA-026//AST-1519126 20 47.049 SOSPADA-027//AST-1519126 20 47.049 SUSPADA-027//AST-1519126 20 47.049 SUSPADA-027//AST-1519126 20 47.049 SUSPADA-027//AST-1519126 20 47.049 UWSC12982-1//2019131AM1//UR FAO GR531225 274 47.050 101348-18067//EAR-2012850 47.050 1951835 EAR-002 47.050 47.050 EAR 2120912 47.050 47.050 EAR-1853521 47.050 47.050 EAR-2021721-001 47.050 47.050 EAR-2031392 47.050 40 remote sensing 47.050 ICER-2023263 47.050 ICER-2023263 47.050 | 174,872 | | | | |
| 47.049 PHY-2209585 47.049 PHY-2210369 47.049 PHY-22103706 34 47.049 S-7859_Northwestern//AST-2219109 20 47.049 SOSPADA-026//AST-1519126 20 47.049 SOSPADA-027//AST-1519126 20 47.049 SUB0000131AM1//UR FAO GR531225 274 47.049 UWSC12982-11/2019444 - - 237 47.050 101348-18067//EAR-2012850 47.050 EAR 2042249 - 47.050 EAR 2042249 - 47.050 EAR-1805521 - 47.050 EAR-2021721-001 - 47.050 EAR-2021721-001 - 47.050 EAR-202374 - 47.050 EAR-202374 - 47.050 ICER-1854951 - 47.050 ICER-2023263 - 47.050 RISE-2209226 - | 38,438 | | | | |
| 47.049 PHY-2210369 47.049 PHY-2310706 34 47.049 S-7859_Northwestern//AST-2219109 20 47.049 SOSPADA-026//AST-1519126 20 47.049 SOSPADA-027//AST-1519126 20 47.049 SOSPADA-027//AST-1519126 200 47.049 SUB00000131AM1//UR FAO GR531225 274 47.049 UWSC12982-1//2019444 | 222,720 | | | | |
| 47.049 PHY-2310706 34 47.049 S-7859_Northwestern//AST-2219109 20 47.049 SOSPADA-026//AST-1519126 20 47.049 SOSPADA-027//AST-1519126 20 47.049 SUB00000131AM1//UR FAO GR531225 274 47.049 UWSC12982-1//2019444 - - 237 47.050 101348-18067//EAR-2012850 47.050 1951835 EAR-002 - 47.050 EAR 2042249 - 47.050 EAR 2120912 - 47.050 EAR-82021721-001 - 47.050 EAR-220374 - 47.050 EAR-2120374 - 47.050 EAR-2331932 - 47.050 EAR-2331932 - 47.050 ICER-1854951 - 47.050 ICER-2023263 - 47.050 RISE-2209226 - | 405,403 | | | | |
| 34 47.049 S-7859_Northwestern//AST-2219109 20 47.049 SOSPADA-026//AST-1519126 20 47.049 SOSPADA-026//AST-1519126 20 47.049 SUB60000131AM1//UR FAO GR531225 274 47.049 UWSC12982-1//2019444 - - 237 47.050 101348-18067//EAR-2012850 47.050 1951835 EAR-002 - 47.050 EAR 2042249 - 47.050 EAR 2042249 - 47.050 EAR-2021721-001 - 47.050 EAR-2021721-001 - 47.050 EAR-2120374 - 47.050 EAR-2120374 - 47.050 EAR-2120374 - 47.050 EAR-2120374 - 47.050 ICER-1854951 - 47.050 ICER-2023263 - 47.050 RISE-2209226 - | 96,889 28,842 | | | | |
| 20 47.049 SOSPADA-026//AST-1519126 20 47.049 SOSPADA-027//AST-1519126 260 47.049 SUB00000131AM1//UR FAO GR531225 260 47.049 UWSC12982-1//2019444 | 4,022 | | | 34 | |
| 260 47.049 SUB0000131AM1//UR FAO GR531225 274 47.049 UWSC12982-1//2019444 . | 9,931 | SOSPADA-026//AST-1519126 | 47.049 | 20 | |
| 274 47.049 UWSC12982-1//2019444 | 4,965 | | | | |
| 47.050 1951835 EAR-002 47.050 EAR 2042249 47.050 EAR 2120912 47.050 EAR-1853521 47.050 EAR-2021721-001 47.050 EAR-2120374 47.050 EAR-2331932 47.050 ICER-1854951 47.050 ICER-2023263 47.050 RISE-2209226 | 124,871 85,354 | | | | |
| 47.050 1951835 EAR-002 47.050 EAR 2042249 47.050 EAR 2120912 47.050 EAR-1853521 47.050 EAR-2021721-001 47.050 EAR-2120374 47.050 EAR-2331932 47.050 ICER-1854951 47.050 ICER-2023263 47.050 RISE-2209226 | 17,586,529 | | | | |
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| 47.050 EAR 2120912 47.050 EAR-1853521 47.050 EAR-2021721-001 47.050 EAR-2120374 47.050 EAR-2331932 47.050 ICER-1854951 47.050 ICER-2023263 47.050 RISE-2209226 | 35,657 | | | | |
| 47.050 EAR-1853521 47.050 EAR-2021721-001 47.050 EAR-2120374 47.050 EAR-2331932 47.050 ICER-1854951 47.050 ICER-2023263 47.050 RISE-2209226 | 123,234 75,618 | | | | |
| 47.050 EAR-2120374 47.050 EAR-2331932 47.050 ICER-1854951 47.050 ICER-2023263 47.050 RISE-2209226 | 67,499 | | | | |
| 47.050 EAR-2331932 ad remote sensing 47.050 ICER-1854951 47.050 ICER-2023263 47.050 RISE-2209226 | 59,164 | EAR-2021721-001 | 47.050 | | |
| ad remote sensing 47.050 ICER-1854951 47.050 ICER-2023263 47.050 RISE-2209226 | 63,952 | | | | |
| 47.050 ICER-2023263 47.050 RISE-2209226 | 580 154 357 | | | | d remote sensing |
| 47.050 RISE-2209226 | 154,357 43,134 | | | | |
| | 96,509 | | | | |
| | 794,728 | | | | |
| 237 47.070 108555-18951-01//IIS-2123781-002 | 138,429 | 108555-18951-01//IIS-2123781-002 | 47.070 | 237 | |
| 54 47.070 2021 CIF-Northwestern-10//2127309 | 129,276 | | 47.070 | 54 | |
| 54 47.070 2021CIF-Northwestern-45//2127309 | 130,958 | 2021CIF-Northwestern-45//2127309 | 47.070 | 54 | |



HDR Institute: Institute for Data Driven Dynamical Design CRI: CI-SUSTAIN: Racket on Alternative Platforms CAREER: Robot Learning from Motor-Impaired Instructors and Task Partners AitF: Collaborative Research: Algorithms for Probabilistic Inference in the Real World CAREER: Bevond Worst-Case Analysis: New Approaches in Approximation Algorithms and Machine Learning Collaborative Research: TRIPODS Institute for Optimization and Learning CAREER: SOISTICe: Software Synthesis with Timing Contracts for Cyber-Physical Systems CAREER: Design and Synthesis of Energy-efficient Time-domain Computing for Intelligent Edge Processing SHF: Small: The Compiler-Architecture Solution to the Data Dependent, Circuit-Level Critical-Paths Variations CIF: Small: Wireless Massive Access: From Fundamental Limits to Practical Design HDR TRIPODS: Collaborative Research: Institute for Data, Econometrics, Algorithms and Learning Collaborative Research: AF: Medium: Design and Analysis of Models and Algorithms for Real-life Problems Collaborative Research: CIF: Small: Convexification-based Decomposition Methods for Large-Scale Inference in Graphical Models Collaborative Research: CIF: Small: A Unified Framework of Distributional Optimization via Variational Transport SHF: Small: Development of Differentiable Memory Augmented Neural CPU Architecture for Cognitive Computing Collaborative Research: PPoSS: Planning: Unifying Software and Hardware to Achieve Performant and Scalable Zero-cost Parallelism in the Heterogeneous Future Collaborative Research: FET: Medium: Neuroplane: Scalable Deep Learning through Gate-tunable MoS2 Crossbars Collaborative Research: PPoSS: Planning: A Disciplined Approach to Scaling in the Post-Moore's Law Era Collaborative Research: PPoSS: LARGE: Unifying Software and Hardware to Achieve Performant and Scalable Frictionless Parallelism in the Heterogeneous Future Small: New Directions in Community Detection SHF: Small: A Chip of "Happiness": Device-to-System Developments of Affective Computing for Human-in-the-loop Computer System Collaborative Research: CIF: Medium: Learning to Control from Data: from Theory to Practice AF: Small: Mechanism Design for the Classroom CAREER: The Rational Programmer, An Investigative Method for Programming Language Pragmatics Collaborative Research: SHF: Medium: Verifying Deep Neural Networks with Spintronic Probabilistic Computers Interface-Aware Intelligence for Robot Teleoperation and Autonomy SCC-IRG Track 1: Strengthening Resilience of Ojibwe Nations Across Generations (STRONG) SaTC: CORE: Small: Efficient Logic Encryptions for Hardware IP Protection CSR: Medium: Collaborative Research: Interweaving the Parallel Software/Hardware Stack NeTS: Small: Incentivizing Internet-Scale Web Mining with Webcoin CSR: Small: Development of Distributed Neural Processing Electronics for Whole-body Computing and Biomedical Sensor Fusion Computer Science for All: Researcher Practitioner Partnerships Workshops (CS for All: RPP), Chicago and Los Angeles SCC: I4all (interests for all): A smart socio-technical infrastructure to identify, cultivate, and sustain youth STEAM interests in a diverse midsized American city CPS: Synergy: Securing the Timing of Cyber-Physical Systems CAREER: Efficient Query Processing for Private Data Federations CRII: CSR: Systems and Tooling Enabling Adaptive Intermittent Computing CNS Core: Small: Collaborative Research: The Interplay of Markets and Security in 5G Shared Spectrum Services DCL: SaTC: Early-Stage Interdisciplinary Collaboration: Privacy Enhancing Framework to Advance Behavior Models Envisioning Workshops for Computing in Undergraduate Education Collaborative Research: MLWiNS: ANN for Interference Limited Wireless Networks Collaborative Research: SaTC: CORE: Medium: Quicksilver: a Write-oriented, Private, Outsourced Database Management System Collaborative Research: Chameleon: A Large Scale, Reconfigurable Experimental Environment for Cloud Research COVID-19: RAPID: Internet Traffic and Compliance with Government Stay-at-Home Measures CPS: Medium: Battervless Sensors Enabling Smart Green Infrastructure SCC-CIVIC-PG Track B: Strengthening Resilience of Ojibwe Nations across Generations (STRONG): Sovereignty, Food, Water, and Cultural (in)Security CAREER: Designing for Learning at the Intersection of Sports, Analytics and Physical Computing Collaborative Research: CNS Core: Medium: On the Criticality of the Submarine Cable Network Collaborative Research: CNS Medium: Systems Foundations for Battery-free Body Area Intelligence and Sensing SCC-PG: Improving healthcare access in marginalized communities through smart connected technologies CAREER: Enabling Dynamic, Adaptive, and Reliable Battery-free Embedded Computing RINGS: Accelerating the NextG Protocols Definition to Code Generation with an Automatic and Secure Verification-Compilation Tool-Chain RINGS: Robust and Resilient Wireless Networks using Next Generation Spectrum CNS Core: Medium: A Systems and User-based Approach to Floating Point Correctness and Resilience Collaborative Research: CNS Core: Medium: The Privacy Backplane – A Full Stack Approach to Individualized Privacy Controls Throughout the Internet-of-Things SaTC: CORE: Small: Collaborative: Towards Facilitating Kernel Vulnerability Reproduction by Fusing Crowd and Machine Generated Data Collaborative Research: SaTC: CORE: Small: Towards Label Enrichment and Reinement to Harden Learning-based Security Defenses CAREER: Securing Deep Reinforcement Learning CNS Core: Small: Enabling Streaming Analytics at the Network Edge FMSG: Distributed Surface Patterning Through a Cohort of Robots Collaborative Research: CNS Core: Small: Accelerating Serverless Cloud Network Performance. CAREER: Pushing the Practicality of Secure Multiparty Computation CHS: Small: Computer-supported Collective Deliberation for the Future of Work NSF Cyberlearning: Context-Aware Metacognition Practice: Instrumenting Classroom Ecosystems to Help Introductory Computer Science Students Develop Effect Collaborative Research: HCC: Medium: TouchBots for Surface Haptics HDR DSC: Collaborative Research: The Metropolitan Chicago Data Science Corps (MCDC): Learning from Data to Support Communities CAREER: Understanding and addressing geographic inequalities in location-aware technologies EXP: Readily Available Learning Experiences: Turning the Entire Web into Scaffolded Examples to Bridge Conceptual Knowledge Gaps for Novice Web Developeration CHS: Small: Collaborative Research: Structured Data Peer Production: Addressing Challenges and Leveraging Opportunities RI: Small: A Unified Compositional Model for Explainable Video-based Human Activity Parsing Making Minecraft Multimodal: A Naturalistic Platform for Collaborative Learning and Creating Collaborative Research: NCS-FO: Discovering dynamics in massive-scale neural datasets using machine learning BIGDATA: IA: Collaborative Research: Asynchronous Distributed Machine Learning Framework for Multi-Site Collaborative Big Brain Data Mining CAREER: Computational Journalism: Integrating Algorithms and People in the Production of News Information CHS: Medium: Next Generation Content Production Tools for People with Vision Impairments CHS: Medium: Collaborative Research: Empirically Validated Perceptual Tasks for Data Visualization CHS: Small: Collaborative Research: Representing and Learning Visualization Design Knowledge CHS: Small: Collaborative Research: Modeling the Ecological Dynamics of Online Organizations CAREER: Enhancing Critical Reflection on Data by Integrating Users' Expectations in Visualization Interaction CAREER: Transforming Online Scholarly Communication with Networked Crowd Computation Collaborative Research: RI: Medium: Living Architectures: From Army Ants to Self-Assembling Robot Swarms

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

Year ended August 31, 2023

| | Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures |
|--------------------------|----------------------------|---------------------------------|---|-----------------------------|
| | 51 | 47.070 | 402052_5805-002//2118201 | \$ 108,226 |
| | 144 | 47.070 | 502648-780501//CNS-1823244 | (632 |
| | 165 | 47.070 | 81454 Amd 7//IIS-1552706 | 65,291 |
| | | 47.070 | CCF-1637585 | (2,058 |
| | | 47.070 | CCF-1652491 004 | 194,182 |
| | | 47.070 47.070 | CCF-1740735 CCF-1834701 002 | 99,603 93,955 |
| | | 47.070 | CCF-1846424-002 | 86,622 |
| | | 47.070 | CCF-1908488 | 184,096 |
| | | 47.070 | CCF-1910168 | 40,947 |
| | | 47.070 | CCF-1934931/001 | 270,464 |
| | | 47.070 | CCF-1955351 003 | 180,621 |
| | | 47.070 | CCF-2007814 | 58,347 |
| | | 47.070 | CCF-2008827 | 39,363 |
| | | 47.070 | CCF-2008906 | 180,180 |
| re | | 47.070 | CCF-2028851-002 | 37,297 |
| | | 47.070 | CCF-2106964-001 & 002 | 136,609 |
| | | 47.070 | CCF-2118708 | 70,011 |
| lre | | 47.070 47.070 | CCF-2119069 002 CCF-2154100 | 205,204 |
| | | 47.070 | CCF-2208573 | 76,722 25,952 |
| | | 47.070 | CCF-2210873 | 10,846 |
| | | 47.070 | CCF-2229162 | 135,086 |
| | | 47.070 | CCF-2237984 | 29,840 |
| | | 47.070 | CCF-2311296 | 11,520 |
| | | 47.070 | CMMI-2208011 | 221,532 |
| | | 47.070 | CMMI-2233912 | 25,492 |
| | | 47.070 | CNS 2113704 | 121,014 |
| | | 47.070 | CNS-1763743 005 | 73,835 |
| | | 47.070 | CNS-1810582 | 206,543 |
| | | 47.070 | CNS-1816870 | 97,694 |
| | | 47.070 | CNS-1821362 | 532 |
| | | 47.070 47.070 | CNS-1831685 CNS-1839511 | (24,268 |
| | | 47.070 | CNS-1846447 003 | (127 94,265 |
| | | 47.070 | CNS-1850496-002 | (8,056 |
| | | 47.070 | CNS-1908807 | 14,809 |
| | | 47.070 | CNS-1915847 | 17,459 |
| | | 47.070 | CNS-1944777 | 45,635 |
| | | 47.070 | CNS-2003098 | 71,534 |
| | | 47.070 | CNS-2016240 | 59,668 |
| | | 47.070 | CNS-2027173 002 | 87,158 |
| | | 47.070 | CNS-2027922 | 7,456 |
| | | 47.070 | CNS-2038853 | 229,948 |
| | | 47.070 | CNS-2044053 | 2,944 |
| | | 47.070 | CNS-2047693-001 | 57,951 |
| | | 47.070 | CNS-2107392-002 | 148,834 |
| | | 47.070 47.070 | CNS-2107400 CNS-2125488 | 43,944 103,273 |
| | | 47.070 | CNS-2125488 CNS-2145584-001 | 299 |
| | | 47.070 | CNS-2148177 001 | 120,023 |
| | | 47.070 | CNS-2148183 002 | (1,023 |
| | | 47.070 | CNS-2211315-001 | 53,374 |
| | | 47.070 | CNS-2211508 | 53,367 |
| | | 47.070 | CNS-2221122 | 105,449 |
| | | 47.070 | CNS-2225225 | 57,101 |
| | | 47.070 | CNS-2225234 002 | 107,406 |
| | | 47.070 | CNS-2226107 | 48,757 |
| | | 47.070 | CNS-2229170 | 96,438 |
| | | 47.070 | CNS-2229454 | 72,818 |
| | | 47.070 | CNS-2236819 | 19,119 |
| tive Learning Strategies | | 47.070 47.070 | IIS 2008450 IIS 2016900 | 115,814 100,139 |
| live Learning Strategies | | | | |
| | | 47.070 47.070 | IIS 2106191 IIS 2123447-003 | 225,944 146,251 |
| | | 47.070 | IIS-1707296-003 | 34,174 |
| S | | 47.070 | IIS-1735977 | (10 |
| | | 47.070 | IIS-1815507/001 | 13,199 |
| | | 47.070 | IIS-1815561 | 83,269 |
| | | 47.070 | IIS-1822865-002 | 2,542 |
| | | 47.070 | IIS-1835345 | 3,507 |
| | | 47.070 | IIS-1837999 | 9,379 |
| | | 47.070 | IIS-1845460-006 | 164,823 |
| | | 47.070 | IIS-1901456-005 | 175,107 |
| | | 47.070 | IIS-1901485 | 104,408 |
| | | 47.070 | IIS-1907941 | 150,187 |
| | | 47.070 | IIS-1910202-002 | 53,354 |
| | | | 10 4000040 /005 | |
| | | 47.070 | IIS-1930642 /005 | |
| | | 47.070 47.070 47.070 | IIS-19306427005 IIS-1943506 003 IIS-1956019 | 140,561 37,319 22,034 |

| Subrecipient expenditures |
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RI: Small: Extracting and Representing Commonsense Knowledge Using Language Models

RI: Small: Visual Reasoning and Self-questioning for Explainable Visual Question Answering

Collaborative Research: NRI: FND: Flying Swarm for Safe Human Interaction in Unstructured Environments CAREER: Towards Intelligent Learning Environments that Support the Practice of Programming

Collaborative Research: RI: Medium: Thermal Computational Imaging

Collaborative Research: HCC: Medium: Intelligent support for non-experts to navigate large information spaces

HCC: Medium: Visual Processing Capacity in Dynamic Data

Supporting Computational Literacy by Designing a Collaborative Platform at the Intersection of Music and Code

- CHS: Small: Collaborative Research: Validating and Communicating Model-based Approaches for Data Visualization Ability Assessment
- NCS-FO: The Biology and Technology of Online Planning

CHS: Small: Developing a Probabilistic Grammar of Graphics for Flexible Uncertainty Visualization Collaborative Research: HCC: Small: Science communication in the ecosystem of digital media platforms

Collaborative Research: HCC: Designing Technologies for Marginalized Communities

HCC: Medium: Improving model-driven reasoning in data analysis by facilitating multiverse analyses

NRI: Shape-Based Remote Manipulation

CyberTraining: CIU: The LSST Data Science Fellowship Program

Collaborative Research: Framework: Data: HDR: Nanocomposites to Metamaterials: A Knowledge Graph Framework Collaborative Research: Frameworks: Multiphase Fluid-Structure Interaction Software Infrastructure to Enable Applications in Medicine, Biology, and Engineering Mid-scale RI-1 (M1:IP):SAGE: A Software-Defined Sensor Network

Multi-scale Modeling of Accretion and Jets in Active Galactic Nuclei

S&AS: INT: Autonomous Multi-Robot Visual Monitoring for Urban, Agricultural, and Natural Resource Management

- Expeditions: DISCoVER: Design and Integration of Superconducting Computation for Ventures beyond Exascale Realization
- Frameworks: Bayesian Analysis for Nuclei in Diverse Theories

Quantifying the Prevalence and Phenotypic Consequences of Transcriptional Irreversibility in Bacteria

Collaborative Research: Hyaluronan, NRF2 and Protracted Female Fertility in Long-lived Naked Mole-Rats

REU Site: Synthetic Biology (SynBREU)

CSBR: Living Stocks – Enhancement of the Caenorhabditis Natural Diversity Resource Collaborative Research: IIBR Instrumentation: The Nanosizer – A new tool for the preparation of arbitrary bioactive surfaces

Capacity: Biological Collections: Enhancement of the Caenorhabditis Natural Diversity Resource

EAGER: Integrating host-associated microbes into trait-based community ecology frameworks

Integrative Wildlife Nutrition: From Molecules and Microbes to Macro-Ecology

National Institute for Theory and Mathematics in Biology

Collaborative Research: MTM 1: Decoding the genomic rules of denitrification in bacterial communities

URoL:EN: Towards a unified theory of regulatory functions and networks across biological and social systems

Mechanisms of sensory control of developmental rate

Reticulospinal Execution of Innate Decision-Making

The Vibrissotactile Natural Scene

CAREER: Discovery of the molecular mechanisms underlying microevolution of phenotypic plasticity in a developmental trait

CAREER: Mapping the proteomic landscape of neural systems.

Collaborative Research: EAGER: Customized cell biosensors for interrogating cancer cell physiology

Biophysical Studies of Metalloenzymes

Novel determinants of prokaryotic copper homeostasis

CAREER: Uncovering new rules of multicellular life using synthetic microbial communities

BII: New Roots for Restoration: Integrating plant traits, communities, and the soil ecosphere to advance restoration of natural and agricultural ecosystems NeuroNex: Communication, Coordination, and Control of Neuromechanical Systems (C3NS)

Unpacking Compound Treatments in Email Audit Experiments, with an Application to Racial Discrimination in Access to Justice

Diversity and Networking in Law School: Are Law Students from Diverse Backgrounds Disadvantaged? Doctoral Dissertation Research: Active assignment of quantifier scope guides language processing

The Development of Relational Processing in Infancy

Surviving the Terminal Classic Maya Collapse: Settlement and Household Archaeology at Aventura, Belize

NCS-FO: Collaborative Research: Understanding the neural basis for sensorimotor control loops using whisker-based robotic hardware platforms

Doctoral Dissertation Research: Ritual, Network Formation, and Governance among Displaced Communities

LEARNING, CREATIVE PROBLEM-SOLVING, REM SLEEP, AND AWARENESS OF DREAMING.

CAREER: Stereotypes of Teens and Adolescent Neuropsychological Development

Memory and context effects on representations of variation in phrasal intonation

Doctoral Dissertation Improvement Award: Urban Longevity and Social Inequality Collaborative Research: The Chicagoland Language Project

COVID-19: RAPID: Generating knowledge with next phase serological testing for SARS-CoV-2

Collaborative Research: Using AI-enabled Smart Blocks to Understand and Support Spatial Reasoning and Learning

Doctoral Dissertation Research: The influence of the social environment on the infant skin microbiome

NSF-BSF: Linking theta with offline memory consolidation: A bridge from wake to sleep

From sensation to symptom: The social shaping of functional illness experience

Doctoral Dissertation Research: Role of Prior Knowledge in Consolidation of Novel Phonotactic Patterns for Speech Production

Doctoral Dissertation Research: High school choice and the social meanings of NCS reversal in Chicago Doctoral Dissertation Research: The effects of experience and attitudes on heritage bilinguals' language processing

Collaborative Research: An archaeological examination of the cultural and ecological consequences of colonialism

Doctoral Dissertation Research: Intonational Cues in Emotional Contexts

Doctoral Dissertation Research: Food Security Under Colonial Rule at Transconquest Purun Llaqta del Maino, Peru Doctoral Dissertation Research: Community Building with Local Mortuary Ceramics in Long-Distance Economies: A Compositional Study on Ancient Aksum (50-400

Collaborative Research: International Migration and Fertility: Towards a Multidimensional Understanding

Tribal Constitutions: Constructing Power by Developing Structures of Self-Governance

The Network Structure of Police Misconduct

CAREER: Bridging the STEM Skills and Employment Gap for the Future of Work Fiduciary Duty, Financial Regulation, and the Market for Retirement Products

Applications of Dynamic Information Design

Collaborative Research: Evidence in Economic Models

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

| | Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures | Subrecipient expenditures |
|-------|----------------------------|---------------------------------|------------------------------------|-------------------------|------------------------------|
| | | 47.070 | IIS-2006851 | \$ 118,597 | |
| | | 47.070 | IIS-2007613 | 180,427 | |
| | | 47.070 47.070 | IIS-2024615 IIS-2045809 | 112,831 40,933 | |
| | | 47.070 | IIS-2106786 | 40,933 56,308 | |
| | | 47.070 | IIS-2107334 | 132,912 | _ |
| | | 47.070 | IIS-2107490 | 82,703 | |
| | | 47.070 47.070 | IIS-2119701 IIS-2120750-000 | 304,153 1,267 | 56,052 |
| | | 47.070 | IIS-2123725 | 407,000 | _ |
| | | 47.070 | IIS-2126598 | 131,435 | 90,922 |
| | | 47.070 | IIS-2133963 | 70,059 | _ |
| | | 47.070 47.070 | IIS-2210844 IIS-2211939 | 6,776 99,159 | |
| | | 47.070 | IIS-2221571 | 84,770 | _ |
| | | 47.070 | OAC-1829740 | 94,677 | _ |
| | | 47.070 | OAC-1835782 | 175,130 | |
| | | 47.070 47.070 | OAC-1931372 OAC-1935984 12 | 40,808 3,146,397 | 382,016 |
| | | 47.070 | OAC-1933984 12 OAC-2031997 | 2,715 | 562,010 |
| | 221 | 47.070 | S-001096//IIS-1724341 | 83,081 | _ |
| | 263 | 47.070 | SCON-00003341 Amnd 1//CCF-2124453 | 35,573 | _ |
| | 151 | 47.070 | UT21727 Amnd 2//OAC-2004601 | 580 | |
| | | | | 12,159,446 | 688,013 |
| | 271 | 47.074 | 7GMO 230921 PO 0000002785//2206974 | 148,388 | — |
| | 56 | 47.074 | 91778-20440//IOS-2005919 | 12,462 | — |
| | | 47.074 47.074 | DBI-1757973 DBI-1930382 | 27,734 (34,615) | _ |
| | | 47.074 | DBI-2032180-002 | 183,823 | _ |
| | | 47.074 | DBI-2224885-001 | 69,696 | _ |
| | | 47.074 | DEB-1938302-001 | 17,838 | |
| | | 47.074 47.074 | DEB-2217317 DMS-2235451 | 248,966 135 | 131,848 |
| | | 47.074 | EF-2025521 | 32,955 | |
| | | 47.074 | EF-2133863 | 122,721 | — |
| | | 47.074 | IOS- 1755244 | 157,330 | — |
| | | 47.074 47.074 | IOS-1456830 IOS-1558068 | (7,081) (6,924) | _ |
| | | 47.074 | IOS-1751035-003 | 126,367 | _ |
| | | 47.074 | IOS-1846234-004 | 46,261 | _ |
| | | 47.074 | MCB-1745753 | (5,491) | _ |
| | | 47.074 47.074 | MCB-1908587 MCB-1938715 | 114,010 | — |
| | | 47.074 | MCB-1938715 MCB-2239567 | 192,526 68,545 | |
| | 41 | 47.074 | NU.SP0067132//2120153 | 61,507 | _ |
| | 38 | 47.074 | RES515698 // DBI-2015317 | 295,945 | |
| | | | | 1,873,098 | 131,848 |
| | 176 | 47.075 | 00002905//SES-2217522 | 27,440 | — |
| | 218 | 47.075 | 2022-1652//SES-2147011 Amd 1 | 8,339 | _ |
| | | 47.075 47.075 | BCS 2116989 BCS-1729720 | 1,707 (2,192) | _ |
| | | 47.075 | BCS-1732129 | 35,168 | |
| | | 47.075 | BCS-1734981 | 32 | _ |
| | | 47.075 | BCS-1851195 | 2,646 | — |
| | | 47.075 47.075 | BCS-1921678-002 BCS-1944644-002 | 11,310 80,290 | |
| | | 47.075 | BCS-1944644-002 BCS-1944773 | 80,290 65,666 | _ |
| | | 47.075 | BCS-1946385 | 7,239 | _ |
| | | 47.075 | BCS-2017716 | 65,123 | — |
| | | 47.075 47.075 | BCS-2035114 BCS-2040421 | (1,821) 61,668 | |
| | | 47.075 | BCS-2040421 BCS-2041600 | 20,152 | _ |
| | | 47.075 | BCS-2048681 | 251,410 | 97,159 |
| | | 47.075 | BCS-2051512 AMD 2 | 82,693 | _ |
| | | 47.075 | BCS-2116802 BCS-2116057 | (1,099) | _ |
| | | 47.075 47.075 | BCS-2116957 BCS-2141430 | 1,509 12,350 | |
| | | 47.075 | BCS-2150876 | 6,467 | |
| | | 47.075 | BCS-2215338 | 6,695 | — |
| | | 47.075 | BCS-2219566 | 12,777 | — |
| 0 AD) | | 47.075 47.075 | BCS-2227814 SES 1918274 | 3,704 3,285 | |
| | | 47.075 | SES 1918274 SES 2044007 | 3,285 134,366 | |
| | | 47.075 | SES 2049513-003 | 69,178 | _ |
| | | 47.075 | SES 2239538 002 | 28,393 | — |
| | | 47.075 | SES-1824463 | 49,366 | — |
| | | 47.075 47.075 | SES-1851883 SES-1919494 | 48,469 (8,360) | |
| | | | | (-,) | |
| | | | | | |
| 67 | | | | | (Continued |
| | | | | | (<u>)</u> |

Collaborative Research: Transparency and the Rule of Law: A Field Experiment in Ukraine Workshop: Human technology partnerships and the changing nature of work Monotone Methods in Reputations: Behavioral Predictions and Reputation Sustainability Collaborative Research: Time-Sharing Experiments for the Social Sciences (TESS): Proposal for Renewed Support, 2020-2023 Understanding the Long-Run Effects of School Desegregation on Political and Social Preferences Collaborative Research: Social Norms, Trust and the Inter-generational Flow of Innovations in a Developing Country COVID-19: RAPID: Quantifying the Downstream Effects of COVID-19 Online Misinformation on Risk Perceptions, Decision-making, Policy Preferences, and Preven Doctoral Dissertation Research in Economics: The Heterogeneous Effects of Student Loans COVID-19: Surveying the Effect of COVID-19 on Trust COVID-19: The Next Normal for Teaming: Transitioning Out of COVID-19 COVID-19: Collaborative Research: U.S. institutions after COVID-19: Trust, accountability, and public perceptions A Large-Scale Analysis of Mergers and Merger Simulations Career: Information, Algorithms, and Learning Collaborative Research: New Techniques for High-Dimensional and Incomplete Network Data HNDS-R – Collaborative Research: An Integrated Analysis of the COVID-19 Crisis on Labor Market Outcomes and Mortality Private Disclosures in Competing Mechanisms Safe Bets and Risky Propositions: Leveraging Rich Data to Understand Scientific Diversity, Impact, and Potential of Teams Production, Migration, & Differentiation: Analyzing the Co-Evolution of Careers & Knowledge Production SCISIPBIO: A data-science approach to evaluating the likelihood of fraud and error in published studies Collaborative Research: Identifying Reproducible Research Using Human-in-the-loop Machine Learning COVID-19: RAPID: Impact of the Covid-19 Pandemic on the Biomedical Research Workforce: Productivity and Progress in Academia Retrieval Practice Scaffolded Argumentation to Facilitate Problem Solving on Structured and Semi-Structured Tasks in Introductory Undergraduate Physics Teachers and Students Synergistic Learning Through Youth Participatory Science Collaborative Research: Data in Space and Time: Supporting learners in understanding and analyzing spatiotemporal data AGEP National Research Conference 2020: Sustaining, Institutionalizing and Scaling AGEP Alliance Models and Initiatives Collaborative Research: NSF INCLUDES Alliance: An Alliance to Develop an Inclusive and Diverse National Faculty for Broadening Success of Underrepresented 2-V 4-Year STEM Students Fostering AI Literacy through Embodied Interaction and Creativity across Informal Learning Spaces REU Site: Synthetic Biology at Northwestern: From Molecules to Society (SynBREU2.0) Graduate Research Fellowship Program (GRFP) NRT-URoL: Synthesizing Biology Across Scales – A Convergent Synthetic Biology Training Program Graduate Research Fellowship Program (GRFP) Collaborative Research: Professional Learning to Support Teacher Customizations of 3D Science Curriculum Materials for Equitable Student Sensemaking Synthesizing Research on Spatial Taxonomies Collaborative Research: Adapting and Implementing a Geospatial High School Course in Career and Technical Education Clusters in Urban Settings Collaborative Research: Next Generation Science Standards (NGSS) and Designing School System Educational Infrastructure to support Elementary School Science Methods for assessing replication "CT-ifying" the High-School Science Curriculum to Broaden Participation in Computational Science Building theories of scientific phenomena: Comparing and Integrating aggregate pattern-based and agent-based computational approaches Collaborative Research: Strategies: Building a Learning Ecology to Increase STEM Participation Among Middle School Girls Collaborative Research: Making Space for Story-Based Tinkering to Scaffold Early Informal Engineering Learning Collaborative Research: Investigating a Nested Mentoring Model Designed to Support STEM Skill and Identity Development for Middle School Youth, Scient Collaborative Research: Modern Meta-Analysis Research Institute Improving Evaluations of R&D in STEM Education An investigation of the impact of culture and experience on reasoning about complex ecological phenomena among students from diverse backgrounds Computational Thinking and Physical Computing in Physical Education Collaborative Research: Mastery in Out-of-School-Time (MOST): Documenting STEM Learning to Expand Educational Pathways Collaborative Research: Leveraging the Power of Reflection ... Collaborative Research: Intergenerational Learning, Deliberation, and Decision Making For Changing Lands and Waters Collaborative Research: Learning in Places: PK-5+ Field Based Science Education Across Schools, Families, and Communities Developing Methods for Neurally-Informed Curriculum Design Based on Long-term Outcomes from a Spatial STEM Classroom Collaborative research: Analogy Training to Promote Science Learning NSF-BSF-NCS-FO: Enhancing speech and deep learning research through holistic acoustic analysis Collaborative Research: IUSE: EHR - Inclusive Learning and Teaching in Undergraduate STEM Instruction Collaborative Research: AGEP Transformation Alliance: CIRTL AGEP – Improved Academic Climate for STEM Dissertators and Postdocs to Increase Interest in Fac CAREER: Investigation of Undergraduate Learning Contexts Considering Ethical, Racial, and Disciplinary Identities of Students in Engineering and Computer Science Ice Worlds: A Giant Screen Film and Outreach Project Barry University Engaged STEM Scholars (ESS) Program Facilitating Teacher Learning with Video Clips of Instruction in Science CREST Partnership Supplement Sound Travels: Research in Service to Practice Learning in Places: Field Based Science in Early Childhood Education Improving the STEM preparation of K-5 preservice teachers through a project-based, interdisciplinary approach Seasonality of Abrupt Climate Change over Greenland: Direct Tests for the Younger Dryas and 8.2 ka event using Paleolimnology Collaborative Research: Climate Change and Human Adaptation in Arctic-like Environments across the Pleistocene-Holocene Transition Collaborative Research: NSF-BSF: WoU-MMA: Crossing the Chasm: From Compact Object Mergers to Cosmic Fireworks

PIRE: Computationally-Based Imaging of Structure in Materials (CuBISM)

MRI: Development of Multi-Material Printing and Multi-Modal Sensing Capabilities for Directed Energy Deposition A1: Systemic Content Analysis of Litigation Events (SCALES) Open Knowledge Network to Enable Transparency and Access to Court Records Collaborative Research: GCR: Accelerated Discovery of Synthetic Biological Materials

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

Year ended August 31, 2023

| | Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures | Subrecipient expenditures |
|-------------------------|----------------------------|---------------------------------|--|-------------------------|------------------------------|
| | | 47.075 | SES-1921294/002 | \$ 27,876 | _ |
| | | 47.075 | SES-1940668 Amd 2 | 33,668 | — |
| | | 47.075 47.075 | SES-1947021 SES-2017581-005 | 134,241 387,102 | 241,723 |
| | | 47.075 | SES-2018869 | 36,052 | |
| | | 47.075 | SES-2019086 | (7,777) | _ |
| entive Health Behaviors | | 47.075 | SES-2031705 | 11,192 | _ |
| | | 47.075 | SES-2049909 | 24,000 | — |
| | | 47.075 47.075 | SES-2051194 SES-2052366 | 31,516 133,893 | _ |
| | | 47.075 | SES-2116465 | 40,214 | _ |
| | | 47.075 | SES-2116934 | 96,556 | _ |
| | | 47.075 | SES-2145352 | 115,714 | — |
| | | 47.075 | SES-2149422 | 68,846 | _ |
| | | 47.075 47.075 | SES-2242472 SES-2315652 | 26,880 50,400 | |
| | | 47.075 | SMA-1856090 | 152,431 | _ |
| | | 47.075 | SMA-1934313 | 120,949 | _ |
| | | 47.075 | SMA-1956338 | (15) | — |
| | | 47.075 | SMA-2022466 | (144) | — |
| | | 47.075 | SMA-2035112 AMD 001 | 13,471 | |
| | 161 | 47.076 | 10002112-005//DUE-2111138 001 | 2,581,035 | 338,882 |
| | 236 | 47.076 | 16774-03//DRL 1720856 | 27,831 15,036 | _ |
| | 55 | 47.076 | 378.23.02//DUE-2201154 | 23,654 | _ |
| 2-Year and | 26 | 47.076 | 4500002984//HRD-1841978 | 2,524 | — |
| | 21 | 47.076 | Agmt 5/16/19//HRD-1834518 | 65,471 | _ |
| | 85 | 47.076 | AWD-003891-G1//2214463 | 61,628 152,065 | — |
| | | 47.076 47.076 | DBI-2150269-001 DGE – 1842165-003 | 152,065 17,333 | _ |
| | | 47.076 | DGE 2021900 | 592,002 | |
| | | 47.076 | DGE-2234667 AMD 1 | 4,463,876 | _ |
| | | 47.076 | DRL 2101377 001 | 233,599 | _ |
| | | 47.076 | DRL 2135743 | 24,268 | — |
| | | 47.076 | DRL-1759360 | 27,011 | — |
| ce Instruction | | 47.076 | DRL-1761057-002 | 259,603 | — |
| | | 47.076 47.076 | DRL-1841075 DRL-1842374-001 | 221,152 191,325 | _ |
| | | 47.076 | DRL-1842375 | 175,714 | 33,273 |
| | | 47.076 | DRL-1850543 | (1,010) | |
| | | 47.076 | DRL-1906808 | 74,009 | — |
| | | 47.076 | DRL-1906971-004 | 137,423 | 28,976 |
| | | 47.076 | DRL-1937633 | 30,342 | — |
| | | 47.076 47.076 | DRL-1937719 DRL-1946478 | 156,223 168,475 | _ |
| | | 47.076 | DRL-2031467-001 | 453,162 | |
| | | 47.076 | DRL-2115326 | 282,087 | _ |
| | | 47.076 | DRL-2115905 | 92,738 | _ |
| | | 47.076 | DRL-2115963 | 228,441 | _ |
| | | 47.076 | DRL-2201253 | 260,493 | 80,402 |
| | | 47.076 | DRL-2201307 | 26,768 | — |
| | | 47.076 47.076 | DRL-2201894 001 DRL-2219843 | 153,337 108,924 | |
| | | 47.076 | DUE-1821684/003 | 228,552 | 23,238 |
| Faculty Careers | | 47.076 | HRD-1647146-005 | 4,392 | , |
| nce | | 47.076 | HRD-1855494-002 | 110,405 | _ |
| | 88 | 47.076 | IWNU1//DRL-2116070 | 65,731 | — |
| | 22 | 47.076 | NSF-1930076 ESS-Sub 1//DUE-1930076 | 7,813 | — |
| | 78 200 | 47.076 47.076 | R000002772//2000833 AMND NO. 1 S23-0901-Nu(505519)//1614745 | 566 4,280 | |
| | 197 | 47.076 | Terc Project #12961//2215101 | 29,904 | _ |
| | 274 | 47.076 | UWSC11018 Amd. #3//DRL-1720578 AM 004 | (899) | _ |
| | 274 | 47.076 | UWSC13096//DUE-2111261 | 66,200 | |
| | | | | 9,242,448 | 165,889 |
| | | 47.078 47.078 | OPP-2002515 OPP-2305723-001 | 130,522 17,089 | |
| | | | | 147,611 | |
| | | 47.079 | AST-2107839 | 66,225 | _ |
| | | 47.079 | OISE-1743748 | 412,428 | |
| | | | | 478,653 | |
| | | 47.083 47.083 | CMMI-2216298 ITE-2033604 003 | 66,068 1,223,315 | 183,215 |
| | | 47.083 | QIA-2219149 | 55,570 | |
| | | | | 1,344,953 | 183,215 |

A National Network for Critical Technology Assessment: A First-Year Pilot Machine learning powered simulation of additive manufacturing for real-time design and process optimization NSF Convergence Accelerator Track H: Mobility Independence through Accelerated Wheelchair Intelligence

Total National Science Foundation U.S. Agency for International Development: MOMENTUM 2C – ACCEL Comparing HIV Mucosal Targets in US and Kenyan Populations COVID-19: Impact of COVID-19 on Food Security Rule of Law Activity in Georgia Total U.S. Agency for International Development

U.S. Intelligence Community

Office of the Director of National Intelligence:

Tailoring NIR/IR-Light Absorbing Conjugated Polymers for Mechanically and Environmentally Robust Electrochromic Devices

Total U.S. Intelligence Community

Total Research and Development

Student Financial Assistance:

Department of Education: Federal SEOG 2022-2023

Federal Work-Study Administrative Cost Allowance FY22 Federal Work-Study 2021-2022 Federal Work-Study 2022-2023 Federal Work-Study 2023-2024

Federal Perkins Loans – Outstanding as of 9/1/22

Federal Pell Grant 2021-2022 Federal Pell Grant 2022-2023

Federal Direct Loan Program 2021-2022 Federal Direct Loan Program 2022-2023 Federal Direct Loan Program 2023-2024

Health Professionals Student and Primary Care – Outstanding as of 9/1/22

Total Department of Education

Total Student Financial Assistance

N/A:

Corporation for National and Community Service: Jumpstart Northwestern

Total Corporation for National and Community Service

Department of Education:

COVID-19: Student Aid Portion for Public and Nonprofit Institutions-Northwestern University

Total Department of Education

Department of Health and Human Services:

Administration for Community Living:

Age Friendly Chicago – Alzheimer's Disease Program Initiative

Centers for Disease Control and Prevention: State Unintentional Drug Overdose Reporting 2023

Advancing Surveillance of Violent Deaths Using the National Violent Death Reporting System (NVDRS) Collecting Violent Death Information Using National Violent Death Reporting System (NVDRS): Illinois Overdose Data to Action, Strategy 2: Statewide Unintentional Drug Overdose Reporting System

Surveillance of antimicrobial-resistant Gram negative bacteria and fungi in a Pakistan health care system

CDPH Wastewater Surveillance 2-Year Demonstration Project

Implementation of Core Elements of Antimicrobial Stewardship in Outpatient Settings COVID-19: Project No. 90: Evaluation of Shelter-Based Services Teams

CSTE Injury Data Science COVID-19: COVID-19 Electronic Health Data Initiative

Improving the Health of Illinoisans Through Chronic Disease Prevention (ENRICH) Illinois Cancer Alliance to Reduce mortality and Enhance Screening (CARES) Center for the Evaluation of the Chicago HIV Services Portfolio .

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

Year ended August 31, 2023

| Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures | Subrecipient expenditures |
|----------------------------|---------------------------------|---|--------------------------|------------------------------|
| 37 | 47.084 | 1123649-464363//2241237 | \$ 150,000 | |
| 75 | 47.084 47.084 | AGMT 11/23/22//TI-2151667 ITE-2236354 | 11,488 246,132 | |
| | | | 407,620 | |
| | | | 58,174,941 | 3,484,686 |
| 89 | 98.001 | 115423.5116109//7200AA20CA00003 | 85,636 | _ |
| 105 117 | 98.001 98.001 | 7503// AID-OAA-A-16-00032 A00-1012-S002/7200AA18LA00003//AID-OAA-L-14-00006 | 239,696 23,283 | |
| 68 | 98.001 | G-1977-22-100-3047-20//72011422CA00001 | 41,117 | |
| | | | 389,732 | |
| 149 | 12.RD | Marks AGMT 9/21/22 | 6,787 | _ |
| | | | 6,787 | |
| | | | 678,446,269 | 104,068,730 |
| | | | o / oo o / / | |
| | 84.007 | P007A221246 | 2,186,241 | _ |
| | 84.033 84.033 | P033A21146 | 288,239 602,975 | |
| | 84.033 | P033A221246 | 2,667,925 | _ |
| | 84.033 | P033A231246 | 27,071 | |
| | 84.038 | | 3,586,210 | |
| | 84.038 | P063P211371 | 8,171,877 | _ |
| | 84.063 | P063P221371 P063P221371 | (887) 9,403,383 | |
| | | | 9,402,496 | |
| | 84.268 | P268K221371 | 14,448 | _ |
| | 84.268 84.268 | P268K231371 P268K241371 | 193,582,362 5,266,079 | _ |
| | 01.200 | | 198,862,889 | |
| | 93.342 | | 133,783 | |
| | 001012 | | 222,343,496 | |
| | | | 222,343,496 | |
| | | | | |
| 115 | 94.006 | 27102302022 | 74,797 | |
| | | | 74,797 | |
| | 84.425E | P425E205897 | (198,000) | |
| | | | (198,000) | |
| 42 | 93.470 | Invoice 2/26/21 | 28,661 | _ |
| 100 | 93.136 | 33382002K | 1,249,072 | 94,776 |
| | 93.136 | 5 NU17CE010141-02-00 | 456,201 | - |
| 100 | 93.136 93.136 | 6 NU17CE010031-02-02 AGMT 23282003J-A1//NU17CE924980 | 11,870 128,487 | 8,728 30,433 |
| | | | 1,845,630 | 133,937 |
| | 93.318 | 5 NU3HCK000007-03-00 | 456,479 | 270,643 |
| 236 | 93.323 | 18840//6 NU0CK000556-02-03 | 125,254 | _ |
| 100 43 | 93.323 93.323 | 32680009K-1 6 NU0CK000556-02-03 // TOR# 51549-41-TSK-00009 – P | 132,287 14,455 | _ |
| | 00.020 | | 271,996 | |
| 57 | 93.421 | 7618//5NU38OT000297 | (3,238) | |
| 195 | 93.421 | Agmt# 03/07/21//NU38OT000316-03-02 | 3,595 | |
| | | | 357 | |
| 100 226 | 93.426 93.800 | 33286008K//5NU58DP006511-05-00 AWD101193 (SUB00000357)//5NU58DP006764-03-00-amd2 | 48,308 16,092 | |
| 43 | 93.940 | 51549 TOR 666//NU62PS924560-01-00 | 192,717 | 24,587 |

Integrated HIV Surveillance and Demonstration 2022 Evaluation of Chicago's Community HIV Services Portfolio Working together to reduce disparities and improve outcomes for all birthing people and newborns across Illinois Illinois Perinatal Quality Collaborative Working Together for Healthier Moms and Babies in Illinois COVID-19: ILPQC CDC (FY) 2021 COVID-19 Supplemental funds CDC Supplement: ILPQC MNO Initiative additional support for provider education and safe discharge planning Centers for Medicare & Medicaid Services: Medicaid 1115 SUD Waiver Evaluation Project (Federal Portion) Health Resources and Services Administration: I PROMOTE ILLINOIS (Innovations to ImPROve Maternal OuTcomEs in Illinois) ACOG Alliance for Innovation on Maternal Health ("AIM") Evaluation of HIV Services Portfolio Quality Management of HIV Prevention Services in Chicago Home Visiting Research and Development Platform Mothers and Babies Training and Technical Assistance Evaluation of HIV Services Portfolio Quality Management of HIV Prevention Services in Chicago Illinois Perinatal Quality Collaborative (ILPQC) Title V Federal National Institutes of Health: Zenodo and the Generalist Repository Ecosystem Initiative (GREI) Office of the Assistant Secretary for Health: Increasing Minority Participation and Awareness in Clinical Trials+ (IMPACT+) The Deep South Lupus Health Equity Project (DS-LHEP) Training to Increase Minority Enrollment in Lupus Clinical Trials with CommunitY Engagement (TIMELY) Substance Abuse and Mental Health Services: Addiction Technology Transfer Center of New England Center for Child Trauma Assessment, Services and Interventions Total Department of Health and Human Services Department of Homeland Security: Federal Emergency Management Agency (FEMA) Public Assistance Grant Agreement Total Department of Homeland Security Department of State: Mandela Washington Fellowship for Young African Leaders – Leadership Institute Total Department of State Department of the Treasury: Training and Technical Support to ICJIA Violence Prevention & Intervention Grantees COVID-19: Surveillance of Pathogens in Sewage in Oklahoma City in 2022 Total Department of the Treasury National Aeronautics and Space Administration: NASA Langley Research Center RFQ T3-0687-FY22: TI-21-01685 Independent Technical Assessment of NASA and External Quantum Sensing Capability Total National Aeronautics and Space Administration National Foundation of the Arts and Humanities: National Endowment for the Arts: A Site of Struggle: Making Meaning of Anti-Black Violence in American Art and Visual Culture Dario Robleto: The Aorta of an Archivist National Endowment for the Humanities: Augmenting Polarized Light Microscopy with Computational Imaging and Deep Learning for Cultural Heritage Constructing African Medical Heritage: Legacies of Empire and the Geopolitics of Culture, 1890-1990 The People Who Created "America's City" (New York 1770-1800) Total National Foundation of the Arts and Humanities

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

| | Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures | Subrecipient expenditures |
|--|----------------------------|---------------------------------|--|-------------------------|------------------------------|
| | 43 | 93.940 | 98537//NU62PS5924560 | \$ 422,449 | 358,000 |
| | 43 | 93.940 | Agreement No. 51549 TOR 668 | 87,676 702,842 | <u>6,704</u> 389,291 |
| | | 93.946 | 1 NU58DP007250-02-00 | 231,237 | 84,378 |
| | | 93.946 | 5 NU58DP006360-05-00 | 206,090 | 115,777 |
| | | 93.946 93.946 | 5 NU58DP006360-05-00 6NU58DP006360-03-01 | 65,243 11,387 | 30,901 6,668 |
| | | | | 513,957 | 237,724 |
| | 292 | 93.RD | 18-489-NU-2//BMS2200000011 | 28,649 | _ |
| | 236 9 | 93.110 93.110 | 17747//5U7AMC33720-03-00 AMD 02 Agmt 02/06/2020//UC4MC28042 | 37,644 8,411 | 15,405 |
| | | | | 46,055 | 15,405 |
| | 43 | 93.686 | 51549 TOR 666//6UT8HA33950-02-01 | 14,985 | _ |
| | 43 | 93.686 | TO 700 HSRA//5 UT8HA33950-03-00 | 32,313 | |
| | 444 | 00.070 | 0005500000/// IDEN 000700 | 47,298 | |
| | 114 99 | 93.870 93.870 | 2005590860//UD5MC30792 FCSBV04131 | 63,199 47,980 | |
| | | | | 111,179 | |
| | 43 43 | 93.914 93.914 | 51549 TOR 666 // H89HA00008 51549 TOR 700//H89HA00008 | 87,559 318,050 | 60,610 |
| | | | | 405,609 | 60,610 |
| | 100 | 93.994 | 36380001 K | 283,556 | 180,147 |
| | | 93.RD | 3OT2DB000013-01S1 | 97,593 | _ |
| | 122 | 93.137 | 8130-300-2551-50 Amnd 2//1CPIMP211305 | 27,687 | _ |
| | 213 10 | 93.137 93.137 | A21-0567-S001-A01-1//5CPIMP211309-02-00 Agmt 10-11-2022//5CPIMP211307-02-00 | 2,019 10,382 | _ |
| | 10 | 33.137 | Agint 10-11-2022//301 INIT 211307-02-00 | 40,088 | |
| | 31 | 93.243 | 00002166//6UR1TI080209-05S1 | 80,977 | _ |
| | | 93.243 | 5U79SM080018-05 | 3,923 | |
| | | | | 84,900 | |
| | | | | 4,906,046 | 1,287,757 |
| | 101 | 97.036 | 4489DR-IL//031-U5JYM-00 | 1,280,096 | |
| | | | | 1,280,096 | |
| | 106 | 19.009 | FY22-YALI-BE-NWU-08//S-ECAGD-21-CA-3082 | 2,119 | _ |
| | | | | 2,119 | |
| | 98 | 21.027 | #822037//SLFRP4406 | 526,418 | _ |
| | 256 | 21.027 | 2022-63 // Agr signed 03/01/2022 | 14,662 | |
| | | | | 541,080 | |
| | 15 | 43.RD | TEAMS3-Northwestern University-R06//80LARC17C0003 | 30,423 | |
| | | | | 30,423 | |
| | | 45.024 | 1865835-44-21 | 41,902 | |
| | | 45.024 | 1895248-44-22 | 30,000 | |
| | | | | 71,902 | |
| | | 45.149 | PR-284405-22 | 20,681 | _ |
| | | 45.161 | RZ-271304-20 | 30,804 | |
| | | 45.164 | MD-290203-23 | 8,072 | |

Cluster title/federal grantor/subagency/project title

U.S. Agency for International Development: Agency for International Development: Promoting Rule of Law in Georgia Between Failure and Redemption: A Convening of Scholars on the Future of the Ethiopian Social Contract Total U.S. Agency for International Development Total N/A CCDF Cluster: Department of Health and Human Services: Administration for Children and Families: Competition or Cooperation? The Effect of School-Based Prekindergarten Roll-Out during COVID-19 on CCDF-Funded and Head Start Programs in Chicago Total Department of Health and Human Services Total CCDF Cluster Health Center Program Cluster: Department of Health and Human Services: Health Resources and Services Administration: AllianceChicago Research: Health Services Research Scientist Optimizing Virtual Care for Underserved Populations Total Department of Health and Human Services Total Health Center Program Cluster Highway Planning and Construction Cluster: Department of Transportation: Illinois, a Leader in Mobility 4.0 and Beyond Advancing Air Mobility in Illinois Total Department of Transportation Total Highway Planning and Construction Cluster Grand Total

See accompanying notes to schedule of expenditures of federal awards.

NORTHWESTERN UNIVERSITY

Supplementary Schedule of Expenditures of Federal Awards

| | Pass- through entity | Assistance listing number | Sponsor award number | Federal expenditures | Subre exper |
|---|----------------------------|---------------------------------|--|---|----------------|
| | 68 64 | 98.001 98.RD | AID-114-A-15-00004 8001 FAA-2022-ETH064 | \$ 4 50,000 50,004 50,004 | |
| 0 | | 93.575 | 90YE0273-01-00 | 97,064 97,064 97,064 | |
| | 7 74 | 93.527 93.527 | Agmt 2-27-2023//1H2QCS33120-01-00 Q8VCS45437-01-00-NU//6 Q8VCS45437-01-01 | 46,805 109,338 156,143 156,143 | |
| | 237 237 | 20.205 20.205 | 087795-18408//1775200501 087795-18586 Mod No. 01//1775200501 | 22,391 103,346 125,737 125,737 | |
| | | | | \$ 908,109,936 | 105,3 |
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| Subrecipient expenditures | |
|------------------------------|--|
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| 105,356,487 | |

Supplementary Schedule of Expenditures of Federal Awards

Legend for Pass-Through Award Prime Recipients

| | Sponsor full name | Pass-through ID Number |
|--|--|---------------------------|
| Adaptive Health, Inc. | | 1 |
| Advanced Technology International | | 2 |
| Aerojet Rocketdyne, Inc. | | - 3 |
| Albert Einstein College of Medicine | | 4 |
| Alliance for Clinical Trials in Oncology | | 5 |
| Alliance for Sustainable Energy, LLC, Na | tional Renewable Energy Laboratory | 6 |
| AllianceChicago | 5, , | 7 |
| Altarum Institute | | 8 |
| American College of Obstetricians and G | vnecologists | 9 |
| American College of Rheumatology | , . | 10 |
| American Foundry Society Inc. | | 11 |
| American Institutes for Research | | 12 |
| American Lung Association | | 13 |
| Amphix Bio, Inc. | | 14 |
| Analytical Mechanics Associates, Inc. | | 15 |
| Angiotensin Therapeutics, Inc. | | 16 |
| Ann & Robert H. Lurie Children's Hospita | 1 | 17 |
| Aplexis, Inc. | | 18 |
| Arizona State University | | 19 |
| Associated Universities, Inc., National Ra | adio Astronomy Observatory | 20 |
| Association of Public and Land-grant Unit | | 21 |
| Barry University | | 22 |
| Baylor College of Medicine | | 23 |
| Benaroya Research Institute at Virginia | | 24 |
| Boston Medical Center | | 25 |
| Boston University | | 26 |
| Brigham and Women's Hospital | | 27 |
| BrightOutcome Inc. | | 28 |
| Broad Institute, Inc. | | 29 |
| Brookhaven Science Associates, LLC, Br | rookhaven National Laboratory | 30 |
| Brown University | | 31 |
| Buck Institute for Research on Aging | | 32 |
| California Institute of Technology | | 33 |
| California State University, Fullerton | | 34 |
| CanCure LLC | | 35 |
| Cardialen, Inc. | | 36 |
| Carnegie Mellon University | | 37 |
| Case Western Reserve University | | 38 |
| Cedars-Sinai Medical Center | | 39 |
| Cerner Government Services, Inc. | | 40 |
| Chicago Botanic Garden | | 41 |
| Chicago Department of Family and Supp | ort Services | 42 |
| Chicago Department of Public Health | | 43 |
| Children's Hospital of Philadelphia | | 44 |
| Children's Research Institute | | 45 |
| Cincinnati Children's Hospital Medical Ce | enter | 46 |
| CJE SeniorLife | | 47 |
| Clarix Imaging Corporation | | 48 |
| Cleveland Clinic Lerner College of Medici | ine of Case Western Reserve University | 49 |
| Cleveland State University | - | 50 |
| Colorado School of Mines | | 51 |
| Colorado State University | | 52 |
| Columbia University | | 53 |
| Computing Research Association, Inc. | | 54 |
| Concord Consortium | | 55 |
| | | 50 |
| Cornell University | 72 | 56 (Continu |
| | | |

Supplementary Schedule of Expenditures of Federal Awards

Legend for Pass-Through Award Prime Recipients

| Sponsor full name | Pass-through ID Number |
|---|---------------------------|
| Council of State and Territorial Epidemiologists | 57 |
| Critical Path Institute | 58 |
| Dana-Farber Cancer Institute | 59 |
| Dartmouth College | 60 |
| Defined Biosciences, Inc. | 61 |
| Delaware State University | 62 |
| DePaul University | 63 |
| Dexis Interactive Incorporated | 64 |
| Drexel University | 65 |
| Duke University | 66 |
| Durametrix LLC | 67 |
| East-West Management Institute Inc. | 68 |
| ECOG-ACRIN Medical Research Foundation, Inc. | 69 |
| Elorac, Inc. | 70 |
| Emory University | 71 |
| Envisagenics, Inc. | 72 |
| Epicore Biosystems, Inc. | 73 |
| Erie Family Health Center | 74 |
| ExLattice, Inc. | 75 |
| Fermi Research Alliance, LLC, Fermi National Acceloratory Laboratory | 76 |
| Florida International University | 77 |
| Florida State University | 78 |
| Fred Hutchinson Cancer Research Center | 79 |
| General Electric Company | 80 |
| General Motors Holdings LLC | 81 |
| George Mason University | 82 |
| George Washington University | 83 |
| Georgetown University | 84 |
| Georgia Institute of Technology | 85 |
| Georgia State University | 86 |
| Great Lakes Hemophilia Foundation | 87 |
| GSF Ice World, LLC | 88 |
| Harvard University | 89 |
| Hastings Center Inc. | 90 |
| Health Research, Incorporated | 91 |
| Hektoen Institute for Medical Research | 92 |
| Helios-NRG, LLC | 93 |
| Hennepin Healthcare Research Institute | 94 |
| Henry M. Jackson Foundation for the Advancement of Military Medicine | 95 |
| cahn School of Medicine at Mount Sinai | 96 |
| CON Clinical Research, LLC | 97 |
| llinois Criminal Justice Information Authority | 98 |
| llinois Department of Human Services | 99 |
| llinois Department of Public Health | 100 |
| llinois Emergency Management Agency | 101 |
| mmunoChem Therapeutics, LLC | 102 |
| ndiana University | 102 |
| nspiration At Work Inc | 103 |
| nternational AIDS Vaccine Initiative | 105 |
| | 106 |
| nternational Research & Exchanges Board owa State University | 107 |
| owa State University owa State University, Ames Laboratory | 107 |
| | 108 |
| J. Craig Venter Institute | 110 |
| Jackson Laboratory | 110 |
| Jackson State University | 111 |
| Jaeb Center for Health Research | 112 |
| | |
| Joan and Sanford I. Weill Medical College of Cornell University 73 | 113 (Conti |

Supplementary Schedule of Expenditures of Federal Awards

Legend for Pass-Through Award Prime Recipients

| Johns Hopkins University 114 Jumpstar for Young Children, Inc. 115 Kaiser Foundation Research Institute 116 Karavas State University 117 Kernas State University 119 Lobig University 119 Lobig University 119 Lobig Control of America, Inc. 121 Louge Condition of America, Inc. 123 Massachusetts Institute Of Technology 126 Mayo Clinic 285 Mayo Clinic 286 Mayo Clinic 286 Mayo Clinic 128 Massachusetts Institute of Technology 126 Mayo Clinic 286 Mayo Clinic 286 Mayo Clinic 128 Medical University 130 Medical University 131 Medical University 134 Michigin North America, Inc. 132 Michigin Softa Consina 136 Maneel Communical Senses Conter 138 National Technology and Engineering Solutions of Sandia, LLC 139 | Sponsor full name | Pass-through ID Number |
|--|--|---------------------------|
| Jumpstar for Young Children, Inc.116Kaster Foundation Research Institute116Kaster State University117Kent County Menorial Hospital118Lohgh University119Louistan State University Health Sciences Center Shreveport121Lupis Foundation of America, Inc.122Marquette University123Massachusetts General Hospital126Massachusetts General Hospital126Massachusetts General Hospital126Mayo Clinic126Mayo Clinic Jacksonville127Medical College of Wisconsin128Medical College of Wisconsin131Medical College of Wisconsin132Medical College of Wisconsin132Michelin North America, Inc.132Michelin North America, Inc.133Michelin North America, Inc.136Michelin North America, Inc.136 <td></td> <td>114</td> | | 114 |
| Kalser Foundation Research Institute116Karnas State University117Kerna County Memorial Hospital118Lehigh University Hospital Sciences Center Shreveport120Louisions State University Hospita Sciences Center Shreveport121Luy Lapus Foundation of America, Inc.122Marquette University123Massachuestite University126Massachuestite University126Massachuestite Institute of Technology126Mayo Clinic126Mayo Clinic Jacksonville127Medical Science & Computing, LLC129Medical University of South Catolina130Metisal Science & Computing, LLC132Michigin North America, Inc.132Michigin North America, Inc.133Michigian State University134Michigian State University134Michigian State University136National Institute of Accengace138National Institute of Accengace136National Institute for Science and Education142Norther Actionia Institute for Research and Education142Norther Actionia Institute for Research and Education146Norther Actionia Institute for Research and Education146Orico Inversity152Parimetry Din Left Aduetionsity153Orico Inversity <td></td> <td>115</td> | | 115 |
| Kent County Memotial Hospital118Lehigh University120Luvians State University Health Sciences Center Shreveport121Lupas Foundation of America, Inc.122Marquette University123Massachusetts Institute of Technology126Mayo Clinic126Mayo Clinic126Mayo Clinic Jacksonville127Medical College of Wisconsin128Medical Science & Computing, LLC129Medical Science & Computing, LLC130Medical Science & Computing, LLC131Medical University of South Carolina130Medical University of South Carolina133Michelina State University134Michelina State University134Michelina State University136Monial Institute of Aerospace138National Institute of Aerospace138National Institute of Aerospace136National Institute of Aerospace141National Institute of Research and Education143Neurodon LLC143Neurodon LLC143Norther Marcina Institute for Research and Education146Norther Materials, LLC143Norther Materials, State U | | 116 |
| Kent County Memotial Hospital118Lehigh University120Luvians State University Health Sciences Center Shreveport121Lupas Foundation of America, Inc.122Marquette University123Massachusetts Institute of Technology126Mayo Clinic126Mayo Clinic126Mayo Clinic Jacksonville127Medical College of Wisconsin128Medical Science & Computing, LLC129Medical Science & Computing, LLC130Medical Science & Computing, LLC131Medical University of South Carolina130Medical University of South Carolina133Michelina State University134Michelina State University134Michelina State University136Monial Institute of Aerospace138National Institute of Aerospace138National Institute of Aerospace136National Institute of Aerospace141National Institute of Research and Education143Neurodon LLC143Neurodon LLC143Norther Marcina Institute for Research and Education146Norther Materials, LLC143Norther Materials, State U | Kansas State University | |
| Lehigh University119Liv Labs, Inc.120Louisiana State University Health Sciences Center Shreveport121Lup Scundation of America, Inc.122Marquette University123Massachusts General Hospital124Massachusts General Hospital126Mayo Clinic126Mayo Clinic Jacksonville127Medical College of Wisconsin128Medical College of Wisconsin130Medical Science & Computing, LLC130Medical University of South Carolina131Michelin North America, Inc.132Michelin North America, Inc.136Michelin North America, Inc.136Nati LLC136Nati LLC136Nati LLC136Nati LLC136National Institute of Aerospace136National Institute of Aerospace136National Institute for Aerospace136National Institute for Research and Education146North University144Northerastern University146Northerastern University146Northerastern University146Northerastern University146Northerastern University146Northerastern University150Ohio University151Oregon Heastern University152Oregon Heastern University154Northerastern University154Ohio State University Applied Research Laboratory154Parinersity Die Die Medication <td< td=""><td>•</td><td></td></td<> | • | |
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| Lupus Foundation of America, Inc.122Marquette University123Massachusetts Institute of Technology125Mayo Clinic126Mayo Clinic Jacksonville127Medical College of Wisconsin128Medical Science & Computing, LLC129Medical University of South Carolina130Metis Foundation (TX)131Michelin Nothmerica, Inc.132Michelin Noth Menrica, Inc.133Michelin Noth Menrica, Inc.134Michelin Noth Menrica, Inc.134Michelin Noth Menrica, Inc.135Monell Chemical Senses Center136National Institute of Aerospace137National Institute of Aerospace138National Institute of Aerospace141Neurodon LLC143Neurodon LLC143Northeastern University144Northeastern University145Northeastern University145Northeastern University151Oregon Research and Education146Oak Ridge Institute for Research and Education146Northersity Education152Oregon Research Institute for Research and Education152Oregon Research Institute for Research and Education154Ohio State University151Oregon Research Institute for Research and Education152Oregon Research Institute for Research and Education154Ohio State University151Oregon Research Institute for Research Laboratory152Or | | |
| Marguette University133Massachusetts General Hospital124Massachusetts Institute of Technology125Mayo Clinic Jacksonville126Mayo Clinic Jacksonville127Medical College of Wisconsin128Medical College of South Carolina130Metical University of South Carolina130Metis Foundation (TX)131Michis Foundation (TX)132Michigin North America, Inc.133Michigin Sutte University135Michigin State University135Michigin State University136Michigin State University136National Institute of Aerospace137National Institute of Aerospace138National Institute of Aerospace141New York University School of Medicine142New Tech Materials, LtC143Northesatern University144Northern California Institute for Research and Education146Northern California Institute for Science and Education146Northern California Institute for Science and Education148Oak Ridge Institute for Science and Education150Ohio State University151Origen Research Institute152Origen Research Institute154Partnersity In End Addiction154Origen Research Institute154Origen Research University154Origen Research Institute154Origen Research Institute154Origen Research Institute154 <t< td=""><td></td><td></td></t<> | | |
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| Mayo Clinic 126 Mayo Clinic Jacksonville 127 Medical College of Wisconsin 128 Medical Science & Computing, LLC 129 Medical Science & Computing, LLC 130 Metical Science & Computing, LLC 131 Metical University of South Carolina 130 Michelin Nathmerica, Inc. 133 Michelin Nathmerica, Inc. 133 Michelin State University 136 Monell Chemical Senses Center 136 National Institute of Aerospace 138 National Institute of Aerospace 138 National Institute of Aerospace 140 NeuroKine Therapeutics, LLC 141 New York University School of Medicine 142 Northecastern University 144 Northecastern University 144 Northecastern University 144 Northecastern University 145 Northecastern University 146 Oak Ridge Institute for Science and Education 146 Oak Ridge Institute for Science and Education 148 Ohio University | | |
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| Michigan State University134Midwestern University135Monell Chemical Senses Center136Nadi LLC137National Institute of Aerospace138National Institute of Aerospace139Neurodon LLC140Neurodon LLC141New York University School of Medicine142NexTech Materials, Ltd.143Northeastern University144Northeastern University145Northeastern University146Northeastern University146Northeastern University147Northeastern University146Northeastern University146Northeastern University146Northeastern University147Northeastern University146Northeastern University147Northeastern University148Odi Ridge Institute for Research and Education146NorthsDore University HealthSystem150Ohio University151Oregon Research Institute152Oregon Research Institute153Pacific Northwest National Laboratory156Pennsylvania State University156Pennsylvania State University Applied Research Laboratory157Photonweres Corporation158Princeton University159Public Health Institute160Princeton University159Public Health Institute161Quester Innovations LLC162Radiation Monitoring Devices, Inc.1 | | |
| Midwestern University135Monell Chemical Senses Center136Nadi LLC137National Institute of Aerospace138National Technology and Engineering Solutions of Sandia, LLC139Neurodon LLC140Neurodine Therapeutics, LLC141New York University School of Medicine142NexTech Materials, Ld.144Northeastern University144Northeastern University145Northene California Institute for Research and Education146Northy LC147Nour, LLC148Oak Ridge Institute for Science and Education146Ohio University150Ohio University151Oregon Research Institute for Science and Education152Oregon Research Institute153Partnership to End Addiction155Pennsylvania State University156Pennsylvania State University157Photonwares Corporation158Princeton University157Photonwares Corporation158Princeton University159Public Health Institute160Purdue University159Photonwares Corporation158Princeton University159Public Health Institute160Public Health Institute160Public Health Institute161Quester Innovations LLC162Radiation Monitoring Devices, Inc.163RAND Corporation163RAND Corporation164< | | |
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| Northern Arizona University145Northern California Institute for Research and Education146NorthShore University HealthSystem147Nour, LLC148Oak Ridge Institute for Science and Education149Ohio State University150Ohio University151Oregon Health & Science University152Oregon Research Institute153Pacific Northwest National Laboratory154Partnership to End Addiction155Pennsylvania State University156Pennsylvania State University Applied Research Laboratory157Photonwares Corporation158Princeton University159Public Health Institute160Purdue University159Public Health Institute160Purdue University161Ques Tek Innovations LLC162Radiation Monitoring Devices, Inc.163RAND Corporation164 | Northeastern University | 144 |
| Northern California Institute for Research and Education146NorthShore University HealthSystem147Nour, LLC148Oak Ridge Institute for Science and Education149Ohio State University150Ohio University151Oregon Health & Science University152Oregon Research Institute153Pacific Northwest National Laboratory154Partnership to End Addiction155Pennsylvania State University Applied Research Laboratory157Photonwares Corporation158Princeton University159Public Health Institute160Purdue University161Ques Tek Innovations LLC162Radiation Monitoring Devices, Inc.163RAND Corporation164 | • | 145 |
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| Princeton University159Public Health Institute160Purdue University161QuesTek Innovations LLC162Radiation Monitoring Devices, Inc.163RAND Corporation164 | | |
| Public Health Institute160Purdue University161QuesTek Innovations LLC162Radiation Monitoring Devices, Inc.163RAND Corporation164 | | |
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| Radiation Monitoring Devices, Inc.163RAND Corporation164 | - | |
| RAND Corporation 164 | | |
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| Rehabilitation Institute of Chicago 165 | · | |
| | Rehabilitation Institute of Chicago | 165 |

Supplementary Schedule of Expenditures of Federal Awards

Legend for Pass-Through Award Prime Recipients

| Sponsor full name | Pass-through ID Number |
|--|---------------------------|
| Research Corporation | 166 |
| Research Foundation for Mental Hygiene, Inc. | 167 |
| Research Institute at Nationwide Children's Hospital | 168 |
| Research Triangle Institute | 169 |
| Rhythm Therapeutics, Inc. | 170 |
| Rice University | 171 |
| RNET Technologies, Inc. | 172 |
| Rockefeller University | 173 |
| Rosalind Franklin University of Medicine and Science | 174 |
| Rush University Medical Center | 175 |
| Rutgers University, the State University of New Jersey | 176 |
| San Diego Biomedical Research Institute | 177 |
| San Diego State University Foundation | 178 |
| Sanford Burnham Prebys Medical Discovery Institute | 179 |
| Scripps Research Institute | 180 |
| Seattle Children's Hospital | 181 |
| Shepherd Center Inc. | 182 |
| Smithsonian Astrophysical Observatory | 183 |
| Social & Scientific Systems, Inc. | 184 |
| Southern Methodist University | 185 |
| Space Telescope Science Institute | 186 |
| Stanford University | 187 |
| Stanford University, SLAC National Accelerator Laboratory | 188 |
| State University of New York at Buffalo | 189 |
| State University of New York at Stony Brook | 190 |
| Stealth Software Technologies, Inc. | 191 |
| Stevens Institute of Technology | 192 |
| Sustainable Manufacturing Innovation Alliance Corp. | 193 |
| Sutter Bay Hospitals | 194 |
| Task Force for Global Health, Inc. | 195 |
| Temple University | 196 |
| TERC, Inc. | 197 |
| Texas A&M Engineering Experiment Station | 198 |
| Texas A&M University | 199 |
| Texas A&M University Kingsville | 200 |
| Texas Biomedical Research Institute | 201 |
| Thomas Jefferson University | 202 |
| Triad National Security, LLC, Los Alamos National Laboratory | 203 |
| Triton Systems, Inc. | 204 |
| Tufts Medical Center, Inc. | 205 |
| Tufts University | 206 |
| Tulane University | 207 |
| UChicago Argonne, LLC, Argonne National Laboratory | 208 |
| UES, Inc. | 209 |
| UI Labs | 210 |
| University Health Network (Toronto) | 211 |
| | |

Supplementary Schedule of Expenditures of Federal Awards

Legend for Pass-Through Award Prime Recipients

| Sponsor full name | Pass-through ID Number |
|---|---------------------------|
| University of Abuja | 212 |
| University of Alabama | 213 |
| University of Alabama at Birmingham | 214 |
| University of Arizona | 215 |
| University of Botswana | 216 |
| University of California, Davis | 217 |
| University of California, Irvine | 218 |
| University of California, Lawrence Berkeley National Laboratory | 219 |
| University of California, Los Angeles | 220 |
| University of California, Riverside | 221 |
| University of California, San Diego | 222 |
| University of California, San Francisco | 223 |
| University of California, Santa Barbara | 224 |
| University of Central Florida | 225 |
| University of Chicago | 226 |
| University of Cincinnati | 227 |
| University of Colorado | 228 |
| University of Colorado Denver | 229 |
| University of Connecticut | 230 |
| University of Connecticut Health Center | 231 |
| University of Delaware | 232 |
| University of Florida | 233 |
| University of Georgia | 234 |
| University of Ibadan | 235 |
| University of Illinois at Chicago | 236 |
| University of Illinois at Urbana-Champaign | 237 |
| University of Iowa | 238 |
| University of Jos | 239 |
| University of Kansas Medical Center Research Institute, Inc. | 240 |
| University of Kentucky | 241 |
| University of Lagos | 242 |
| University of Louisiana at Lafayette | 243 |
| University of Maryland, Baltimore | 244 |
| University of Maryland, College Park | 245 |
| University of Massachusetts Amherst | 246 |
| University of Massachusetts Medical School | 247 |
| University of Miami | 248 |
| University of Michigan | 249 |
| University of Minnesota | 250 |
| University of Nebraska at Omaha | 251 |
| University of Nebraska-Lincoln | 252 |
| University of North Carolina at Chapel Hill | 253 |
| University of North Texas Health Science Center at Fort Worth | 254 |
| University of Notre Dame | 255 |
| - , | |

Supplementary Schedule of Expenditures of Federal Awards

Legend for Pass-Through Award Prime Recipients

| Sponsor full name | Pass-through ID Number |
|---|---------------------------|
| University of Oklahoma | 256 |
| University of Oregon | 257 |
| University of Pennsylvania | 258 |
| University of Pittsburgh | 259 |
| University of Rochester | 260 |
| University of South Carolina | 261 |
| University of South Florida – Tampa | 262 |
| University of Southern California | 263 |
| University of Tennessee | 264 |
| University of Tennessee Health Science Center | 265 |
| University of Texas at Arlington | 266 |
| University of Texas at Austin | 267 |
| University of Texas at Dallas | 268 |
| University of Texas Health Science Center at Houston | 269 |
| University of Texas M. D. Anderson Cancer Center | 270 |
| University of Texas Southwestern Medical Center at Dallas | 271 |
| University of Utah | 272 |
| University of Virginia | 273 |
| University of Washington | 274 |
| University of Wisconsin-Madison | 275 |
| University of Wisconsin-Milwaukee | 276 |
| UT-Battelle, LLC, Oak Ridge National Laboratory | 277 |
| Van Andel Research Institute | 278 |
| Vanderbilt University | 279 |
| Vanderbilt University Medical Center | 280 |
| Vibrent Health | 281 |
| Villanova University | 282 |
| Virginia Commonwealth University | 283 |
| Virginia Department for Aging and Rehabilitative Services | 284 |
| Virscio, Inc. | 285 |
| Volexion, Inc. | 286 |
| Wake Forest University Health Sciences | 287 |
| Washington University in St. Louis | 288 |
| Water Research Foundation | 289 |
| Wayne State University | 290 |
| Wearifi, Inc. | 291 |
| West Virginia University | 292 |
| WestEd | 293 |
| Wisconsin Department of Transportation | 294 |
| Women & Infants Hospital of Rhode Island | 295 |
| Yale University | 296 |

Notes to Supplementary Schedule of Expenditures of Federal Awards

Year ended August 31, 2023

(1) Organization and Scope of Operations

Northwestern University (the University) is a not-for-profit corporation organized under the laws of the State of Illinois, whose charter establishes a Board of Trustees to oversee the implementation of its mission to carry out research and education. The U.S. Department of Health and Human Services has been designated as the University's cognizant agency.

(2) Summary of Significant Accounting Policies

Basis of Accounting – The accompanying supplementary schedule of expenditures of federal awards (the Schedule) summarizes the expenditures of the University and its subsidiaries under programs of the federal government for the year ended August 31, 2023. Since the Schedule presents only a selected portion of the operations of the University, it is not intended to, and does not, present the consolidated financial position, changes in net assets, or cash flows of the University.

For purposes of the Schedule, federal awards include all grants, contracts, and similar agreements entered into directly between the University and agencies and departments of the federal government and all subawards to the University by nonfederal organizations pursuant to federal grants, contracts, and similar agreements. Complete Assistance Listing (AL) numbers and pass-through numbers are provided on the Schedule when available.

Indirect Costs – The University has negotiated indirect cost rates with the U.S. Department of Health and Human Services. The University does not use the 10% de minimis indirect cost rate described in 2 CFR 200.414 of the Uniform Guidance.

Expenditure and Revenue Recognition – The Schedule presents the expenditures of individual programs on the accrual basis of accounting. All program outlays, including accrued expenditures and capital outlays, are reported as expenditures. Related revenues (which are not presented in the Schedule or herein) are recognized up to award amounts for consolidated financial statement and program reporting. Award reporting periods do not necessarily coincide with the fiscal reporting period of the University. Negative amounts presented in the Schedule represent adjustments, in the normal course of business, to expenditures reported in prior years.

Pass Through Awards – The University receives certain federal awards from pass-through awards of the State of Illinois and other nonfederal organizations. The total amount of expenditures for such pass-through awards is included in the Schedule.

Subrecipients – The University passes through certain funds to subrecipient organizations. The total amount of such subrecipient expenditures is included in the Schedule for each federal award, where applicable.

Notes to Supplementary Schedule of Expenditures of Federal Awards

Year ended August 31, 2023

(3) Student Financial Assistance Programs

Loans made by the University to eligible students under federal student loan programs and federally guaranteed loans originating with the University and issued to its students during the year ended August 31, 2023, are summarized as follows:

| Federal Perkins Loan Program (ALN 84.038) | \$ | — |
|---|----|-------------|
| Federal Direct Student Loans (ALN 84.268) | | 198,862,889 |
| HPSL Primary Care Loans (ALN 93.342) | | |
| Total Federal Student Loan Programs | \$ | 198,862,889 |

The Perkins and Health Professions Student Loan (HPSL) programs are administered directly by the University and balances and transactions relating to these programs are included in the University's consolidated financial statements. The balances of loans outstanding under the Perkins (ALN 84.038) and HPSL (ALN 93.342) programs were \$4,897,752 and \$133,259, respectively, at August 31, 2023. The University incurred \$288,239 in administrative expenses under the Federal Work Study program, and \$0 under the Federal Supplemental Educational Opportunity Grant and Perkins programs for the year ended August 31, 2023.



KPMG LLP Aon Center Suite 5500 200 E. Randolph Street Chicago, IL 60601-6436

Independent Auditors' Report on Internal Control Over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance With Government Auditing Standards

The Board of Trustees Northwestern University:

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the consolidated financial statements of Northwestern University (the University), which comprise the University's consolidated statement of financial position as of August 31, 2023, the related consolidated statements of activities and cash flows for the year then ended, and the related notes to the consolidated financial statements, and have issued our report thereon dated December 15, 2023.

Report on Internal Control Over Financial Reporting

In planning and performing our audit of the consolidated financial statements, we considered the University's internal control over financial reporting (internal control) as a basis for designing audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the consolidated financial statements, but not for the purpose of expressing an opinion on the effectiveness of the University's internal control. Accordingly, we do not express an opinion on the effectiveness of the University's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A material weakness is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected, on a timely basis. A significant deficiency is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses or significant deficiencies may exist that were not identified.

Report on Compliance and Other Matters

As part of obtaining reasonable assurance about whether the University's consolidated financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the financial statements. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.



Purpose of This Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.



Chicago, Illinois December 15, 2023



KPMG LLP Aon Center Suite 5500 200 E. Randolph Street Chicago, IL 60601-6436

Independent Auditors' Report on Compliance for Each Major Federal Program; Report on Internal Control Over Compliance; and Report on Supplementary Schedule of Expenditures of Federal Awards Required by the Uniform Guidance

The Board of Trustees Northwestern University:

Report on Compliance for Each Major Federal Program

Opinion on Each Major Federal Program

We have audited Northwestern University's (the University) compliance with the types of compliance requirements identified as subject to audit in the *OMB Compliance Supplement* that could have a direct and material effect on each of the University's major federal programs for the year ended August 31, 2023. The University's major federal programs are identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs.

In our opinion, the University complied, in all material respects, with the compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended August 31, 2023.

Basis for Opinion on Each Major Federal Program

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America (GAAS); the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States; and the audit requirements of Title 2 U.S. *Code of Federal Regulations* Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Our responsibilities under those standards and the Uniform Guidance are further described in the Auditors' Responsibilities for the Audit of Compliance section of our report.

We are required to be independent of the University and to meet our other ethical responsibilities, in accordance with relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion on compliance for each major federal program. Our audit does not provide a legal determination of the University's compliance with the compliance requirements referred to above.

Responsibilities of Management for Compliance

Management is responsible for compliance with the requirements referred to above and for the design, implementation, and maintenance of effective internal control over compliance with the requirements of laws, statutes, regulations, rules and provisions of contracts or grant agreements applicable to the University's federal programs.

Auditors' Responsibilities for the Audit of Compliance

Our objectives are to obtain reasonable assurance about whether material noncompliance with the compliance requirements referred to above occurred, whether due to fraud or error, and express an opinion on the University's compliance based on our audit. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS,



Government Auditing Standards, and the Uniform Guidance will always detect material noncompliance when it exists. The risk of not detecting material noncompliance resulting from fraud is higher than for that resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Noncompliance with the compliance requirements referred to above is considered material if there is a substantial likelihood that, individually or in the aggregate, it would influence the judgment made by a reasonable user of the report on compliance about the University's compliance with the requirements of each major federal program as a whole.

In performing an audit in accordance with GAAS, *Government Auditing Standards*, and the Uniform Guidance, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material noncompliance, whether due to fraud or error, and design and
 perform audit procedures responsive to those risks. Such procedures include examining, on a test basis,
 evidence regarding the University's compliance with the compliance requirements referred to above and
 performing such other procedures as we considered necessary in the circumstances.
- Obtain an understanding of the University's internal control over compliance relevant to the audit in order to
 design audit procedures that are appropriate in the circumstances and to test and report on internal control
 over compliance in accordance with the Uniform Guidance, but not for the purpose of expressing an
 opinion on the effectiveness of the University's internal control over compliance. Accordingly, no such
 opinion is expressed.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit and any significant deficiencies and material weaknesses in internal control over compliance that we identified during the audit.

Other Matters

The results of our auditing procedures disclosed an instance of noncompliance which is required to be reported in accordance with the Uniform Guidance and which is described in the accompanying schedule of findings and questioned costs as item 2023-001. Our opinion on each major federal program is not modified with respect to these matters.

Government Auditing Standards requires the auditor to perform limited procedures on the University's response to the noncompliance findings identified in our compliance audit described in the accompanying schedule of findings and questioned costs. The University is also responsible for preparing a corrective action plan to address each audit finding included in our auditors' report. The University's response and corrective action plan were not subjected to the other auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on the response or the corrective action plan.

Report on Internal Control Over Compliance

Our consideration of internal control over compliance was for the limited purpose described in the Auditors' Responsibilities for the Audit of Compliance section above and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies in internal control over compliance and therefore, material weaknesses or significant deficiencies may exist that were not identified. We did not identify any deficiencies in internal control over compliance that weaknesses. However, as discussed below, we did identify a certain deficiency in internal control over compliance that we consider to be a significant deficiency.



A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A material weakness in internal control over compliance is a deficiency, or a combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. A significant deficiency in internal control over compliance is a deficiency is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. A significant deficiency in internal control over compliance is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance compliance, yet important enough to merit attention by those charged with governance. We consider the deficiency in internal control over compliance described in the accompanying schedule of findings and questioned costs as item 2023-001, to be a significant deficiency.

Our audit was not designed for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, no such opinion is expressed.

Government Auditing Standards requires the auditor to perform limited procedures on the University's response to the internal control over compliance findings identified in our audit described in the accompanying schedule of findings and questioned costs. The University is also responsible for preparing a corrective action plan to address each audit finding included in our auditors' report. The University's response and corrective action plan were not subjected to the other auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on the response or the corrective action plan.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Accordingly, this report is not suitable for any other purpose.

Report on Supplementary Schedule of Expenditures of Federal Awards Required by the Uniform Guidance

We have audited the consolidated financial statements of the University as of and for the year ended August 31, 2023, and have issued our report thereon dated December 15, 2023, which contained an unmodified opinion on those consolidated financial statements. Our audit was conducted performed for the purpose of forming an opinion on the consolidated financial statements as a whole. The accompanying supplementary schedule of expenditures of federal awards is presented for purposes of additional analysis as required by the Uniform Guidance and is not a required part of the consolidated financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the consolidated financial statements. The information has been subjected to the auditing procedures applied in the audit of the consolidated financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the consolidated financial statements or to the consolidated financial statements and certain additional procedures, and other additional procedures in accordance with GAAS. In our opinion, the supplementary schedule of expenditures of federal awards is fairly stated, in all material respects, in relation to the consolidated financial statements as a whole.



Chicago, Illinois April 9, 2024

Schedule of Findings and Questioned Costs Year ended August 31, 2023

(1) Summary of Auditors' Results

- (a) Type of report issued on whether the consolidated financial statements were prepared in accordance with U.S. generally accepted accounting principles: Unmodified
- (b) Internal control deficiencies over financial reporting disclosed by the audit of the consolidated financial statements:
 - Material weaknesses: No
 - Significant deficiencies: None reported
- (c) Noncompliance material to the consolidated financial statements: No
- (d) Internal control deficiencies over major program disclosed by the audit:
 - Material weaknesses: No
 - Significant deficiencies: Yes, Finding 2023-001
- (e) Type of report issued on compliance for major program: Unmodified
- (f) Audit findings that are required to be reported in accordance with 2 CFR 200.516(a): No
- (g) Major program:
 - Student Financial Assistance Cluster Various ALNs
 - Disaster Grants Public Assistance (Presidentially Declared Disasters) 97.036
- (h) Dollar threshold used to distinguish between Type A and Type B programs: \$3,000,000
- (i) Auditee qualified as a low-risk auditee: Yes
- (2) Findings Relating to the Consolidated Financial Statements Reported in Accordance with *Government Auditing Standards*

None

Schedule of Findings and Questioned Costs Year ended August 31, 2023

(3) Findings and Questioned Costs Relating to Federal Awards

| Finding 2023-001 | Inaccurate Enrollment Reporting |
|------------------------------------|---|
| Federal Agency: | U.S. Department of Education (USDE) |
| Program Name: | Student Financial Assistance Cluster |
| ALN # and Program Expenditures: | 84.063 (\$9,402,496) 84.268 (\$198,862,889) |
| Federal Award Numbers: | P063P211371, P063P221371 P268K221371, P268K231371, P268K241371 |
| Federal Award Year: | September 1, 2022 to August 31, 2023 |

Questioned Costs: None

Compliance Requirement: Enrollment Reporting

Criteria

According to 34 CFR Sections 690.83(b)(2) and 685.309, under the Pell grant and Federal Direct Ioan (FDL) programs, institutions must complete and return the Enrollment Reporting Roster File via the National Student Loan Data System (NSLDS) within 15 days of receipt. Institutions must review, update, and verify student enrollment statuses, program information, and effective dates that appear on the Enrollment Reporting Roster File. Unless an institution expects to submit its next updated enrollment report to the USDE within the next 60 days, an institution must notify NSLDS within 30 days after the date that the institution discovers that (1) a Direct Ioan was made to or on behalf of a student who was enrolled or accepted for enrollment at the institution, and the student has ceased to be enrolled on at least a half-time basis or failed to enroll on at least a half-time basis for the period for which the Ioan was intended; or (2) a student who is enrolled at the institution and who received a Ioan under Title IV has changed his or her permanent address.

In addition, 2 CFR 200.303 requires nonfederal entities to, among other things, establish and maintain effective internal control over the Federal award that provides reasonable assurance that the non-Federal entity is managing the Federal award in compliance with Federal statutes, regulations, and the terms and conditions of the Federal award. Effective internal controls should include establishing procedures to ensure student campus and program-level enrollment status changes are accurately reported to the NSLDS.

Condition Found

The University did not accurately report student enrollment status change at both the campus-level and program-level to the NSLDS.

Schedule of Findings and Questioned Costs

Year ended August 31, 2023

USDE uses enrollment data reported by the University to determine: (1) eligibility for interest subsidies, (2) loan repayment start dates, and (3) in-school loan deferments. The enrollment information is also used by USDE to measure program completion data to evaluate the effectiveness of financial aid programs.

During our testing of 25 borrowers under the FDL program and/or Pell Grant recipients that had a reduction or increase in attendance levels, graduated, withdrew, dropped out, or enrolled but never attended during the fiscal year, we noted the status change for one graduated student was reported incorrectly to the NSLDS as a Withdrawal (W) status rather than Graduated (G) status. Upon further review, management evaluated and identified an additional 38 graduates who had the same reporting errors.

We further noted management review controls implemented by the University were not designed at a level of precision to ensure University enrollment and student data submitted to NSLDS was accurate.

The University disbursed FDL loans to 1,411 students during the required reporting period from March 1, 2023 through the year ended August 31, 2023 for which enrollment reporting requirements applied.

Cause

In discussing these conditions with University officials, they stated University records maintained students' statuses within a certain business program as active after graduating from the program inaccurately in anticipation of potential future enrollment in classes.

Possible Asserted Effect

Inaccurate submission of student enrollment status and student information affects the determinations that lenders and servicers of student loans make related to in-school deferments, grace periods, and repayment schedules.

Repeat Finding

This is not a repeat finding.

Statistical Sampling

The sample was not intended to be, and was not, a statistically valid sample.

Recommendation

We recommend the University review its procedures to ensure accurate reporting of student information and enrollment status information to the NSLDS.

Views of University Officials

The University agrees with this finding. The University will revisit management review controls and procedures to ensure a level of precision for submitting accurate graduate student data to the NSLDS.



Office of Financial Operations 619 Clark Street, Room 217 Evanston, Illinois 60208

Federal Award Findings and Questions Costs Corrective Action Plan

Year Ended August 31, 2023

Finding No. 2023-001: Inaccurate Enrollment Reporting

CFDA Numbers: Various Program: Student Financial Assistance Cluster

Corrective Action: Students will be required to request special permission to reenroll, thus ensuring that their graduation is reported before any additional enrollment or withdrawal. Additionally, a thorough assessment of the management review process will be performed to identify areas that will help ensure the accurate submission of data to the NSLDS. We anticipate revised processes in the Spring of 2024.

Contact Person: Jaci Casazza *Expected Implementation:* April 30, 2024