

Northwestern
ACCOUNTING SERVICES
FOR RESEARCH AND
SPONSORED PROGRAMS
(ASRSP)


ASRSP/Cost Studies Staffing and Post Award Update

Semi-annual Networking Event
February 2017

Mike Daniels, Executive Director

Staffing Updates

- Promotions
 - Colleen Hull, Senior Grant and Contract Financial Administrator (GCFA), Chicago
 - Jerrell Watson, GCFA, Evanston



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Staffing Updates



- Welcome to Our New Staff
 - Kathy Watson, Manager-Subcontract and Transaction Processing
 - Lorilei Cooley, GCFA, Chicago
 - Elizabeth Lozano, GCFA, Chicago
 - Karen Martin, GCFA, Chicago
 - Young Kasarski, Senior Accounting Representative



Post Award Updates

Post Award Updates

- Uniform Guidance Implementation Plan, Version 1.2 dated 6/3/16

https://www.northwestern.edu/asrsp/docs/Northwestern_Uniform_Guidance_Implementation_Plan_v1.2.pdf

- Sept 2016, OMB committed to defer the procurement guidelines by another 12 months to be effective FY19...still awaiting word from OMB

Post Award Updates

Procurement "Claw" (Sections 200.317-326)



Post Award Updates

From Federal Demonstration Partnership Update - Procurement:

- National Defense Authorization Act :
Signed into law 12/19/16 – increased the Micro Purchasing threshold to \$10,000 or higher as determined by appropriate head of relevant executive agency

Post Award Updates

- Charging Sponsored Projects – Allowability Guide, version 2 dated 1/3/17
<https://www.northwestern.edu/asrsp/expenses/cost-allowability-for-sponsored-charges.html>
- Award Close-out Checklist, version 3.4 dated 1/4/17
<https://www.northwestern.edu/asrsp/grant-management/grant-close-out.html>

Post Award Updates

- Expenditure Review Process
 - Goal is to transition to annual process
 - Consistent process for all Grant and Contract Financial Administrators (GCFAs)
 - Review of Budget Justification Detail
 - Emphasis on justifying expenses

Post Award Updates

NRSA Institutional Allowance

- *The Institutional Allowance is expected to be expended in the budget year in which it is awarded. If the Notice of Grant Award (NGA) specifically grants carryover authority, unspent funds from one year can be carried over from year to year until the end of the competing segment. At that point any unspent funds would be returned to the sponsor. If the award does not specifically allow for carryover, the unobligated amount will be restricted and also removed from the billing limit at the end of each budget year. At initial check-in, review is undertaken of Notice of Grant Award for carryover terms. If nothing is stated, then the award does not have carryover authority per NIH GPS.*

Post Award Updates

- NSF Data Analytics Audit
 - Activity from July 1, 2013 through June 30, 2016
 - Total NSF Awards with Expense Activity = 651
 - Total Northwestern PIs Impacted = 316
 - Total Northwestern Departments Impacted = 67
 - Total Number of GL Transactions = 254,614

Post Award Updates

- Audit of Costs Normally Treated as Administrative and Clerical Costs but Charged Directly to HHS awards – University of Louisville
<https://oig.hhs.gov/oas/reports/region4/41301022.pdf>
- Audit of Subrecipient Monitoring – The Ohio State University
<https://oig.hhs.gov/oas/reports/region5/51600039.pdf>

Post Award Updates

- Cognos GM092 Subrecipient Monitoring Report is currently being used to monitor final subcontract invoice processing so that submission of the final financial reports are submitted on time.
- The upcoming NUFinancials 9.2 system upgrade will contain a workflow feature enabling ASRSP to better monitor and track the Principal Investigator approval and payment process for timeliness and performance.

Thank You