Researchers and Research Administrators:

The following updates relative to research-related conflict of interest (COI) are included in this message:

1. System Change for Investigators
2. Changes to FASIS Automatic Email Reminders
3. New Sponsors Subject to PHS COI Requirements
4. New NUCOI Team Member
5. Key NUCOI Contacts

System Change for Investigators

To facilitate taking advantage of the 6/4/13 policy change regarding disclosure frequency, the Sponsored Research COI “Disclosure Reason” page has been modified. The options for disclosing financial interests have been streamlined to include only these reasons:

- Initial Disclosure (will only appear as an option if it is an Investigator’s first time disclosing in FASIS)
- Update Disclosure – New SFI
- Acknowledge No Change
- Update Travel Information

To iterate the key impacts of the 6/4/13 policy change:

- If an investigator has completed an initial research COI disclosure in the FASIS COI system and has no new SFIs to report throughout the year, they need only update/verify their COI disclosure on an annual basis
- Investigators do not have to submit a new COI disclosure with every proposal submitted or within the 30-day period prior to a proposal submission solely to acknowledge no change
- Investigators still have to disclose new SFIs within 30 days throughout the year

Changes to FASIS Automatic Email Reminders

Because the vast majority of researchers have completed an initial sponsored research COI disclosure in FASIS and the automatic emails are generated with new proposal creations in InfoEd, the automatic emails in FASIS were deactivated in FASIS consistent with the 6/4/13 policy change. NUCOI is actively querying and contacting new investigators/investigators on new projects (for example, postdocs and graduate students) who have not yet submitted a disclosure to ensure they complete training and submit an initial COI disclosure prior to proposal submission.

Research administrators can help by utilizing the “Send PI” email button in the FASIS “Monitor Compliance” pages to notify an investigator that they need to disclose. Emails need only be sent if the system shows that an Investigator has not completed any training or disclosure.

New Sponsors Subject to PHS COI Requirements

Two new sponsors have jumped on board with PHS COI requirements; outgoing proposals and incoming awards from these sponsors are subject to and automatically pulled into NU’s COI policy and process:

- American Lung Association (ALA)
- CurePSP (Society for Progressive Supranuclear Palsy)

We continuously monitor changing sponsor requirements and identify those research projects that need to be encompassed into NU’s COI policy and process. A complete list, constantly updated, is available here.
**New NUCOI Team Member**

Kate Cosgrove joined the NUCOI team in June as a Senior Compliance Analyst, focusing primarily on research-related transactional COI reviews, education, and compliance monitoring. With a PhD in neuroscience and 10+ years of experience in a university setting, including three years here at Northwestern, Kate brings scientific, teaching, and administrative experience to the office, which will be especially beneficial to the research conflict of interest review processes and interactions with School Dean’s Office reviewers and faculty. You can find Kate on the first floor of 720 University Place in Room 108, and she’s available by phone or email at 847-491-4163 and k-cosgrove@northwestern.edu.

**Key NUCOI Contacts**

NUCOI welcomes your questions and feedback! Please contact us: nucoi@northwestern.edu and 847.467.4515. Targeted and specific education is available upon request - we are happy to come to your School or department to go over COI policies, procedures, and systems.

Not sure who to contact for specific issues? Please refer to the information below. If in doubt, contact nucoi@northwestern.edu or 847.467.4515

<table>
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<tr>
<th>Topic</th>
<th>NUCOI Contact</th>
<th>Email Address</th>
<th>Phone</th>
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<tr>
<td>FASIS system questions or issues (research, annual faculty, or annual staff)</td>
<td>Maria (Mari) Daniele</td>
<td><a href="mailto:maria.daniele@northwestern.edu">maria.daniele@northwestern.edu</a></td>
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<tr>
<td>Subrecipient COI forms at proposal time</td>
<td>Maria (Mari) Daniele</td>
<td><a href="mailto:maria.daniele@northwestern.edu">maria.daniele@northwestern.edu</a></td>
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<td>Setting up subrecipient investigators as POIs at JIT/Award</td>
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<td>General questions</td>
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<td>Specific research review COI status updates - investigator and/or project-specific</td>
<td>Kate Cosgrove</td>
<td><a href="mailto:k-cosgrove@northwestern.edu">k-cosgrove@northwestern.edu</a></td>
<td>847-491-4163</td>
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<td>Research-related COI review questions</td>
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<td>Request training</td>
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<tr>
<td>Sponsor reporting and/or requests</td>
<td>Julia Campbell</td>
<td><a href="mailto:juliacampbell@northwestern.edu">juliacampbell@northwestern.edu</a></td>
<td>847-467-3938</td>
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<tr>
<td>NU policy applicability and interpretation</td>
<td>Julia Campbell</td>
<td><a href="mailto:juliacampbell@northwestern.edu">juliacampbell@northwestern.edu</a></td>
<td>847-467-3938</td>
</tr>
<tr>
<td>Subrecipient policy interpretation</td>
<td>Julia Campbell</td>
<td><a href="mailto:juliacampbell@northwestern.edu">juliacampbell@northwestern.edu</a></td>
<td>847-467-3938</td>
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• Replace Firstname and Lastname with your first and last names