Legend:

1. **RISK GROUPS**
   
   1.1 **RISK TYPES**
   
      1.1.1 **RISK CATEGORIES**
      
         1.1.1.a specific risk items (risks with a defined instance, impact, and frequency)
         1.1.1.b "

   Example:

   1. **Academic**
      
      1.1 Academic Integrity
         1.1.1 Plagiarism
         1.1.2 Falsification
      1.2 Academic Quality
         1.2.1 Accreditation
         1.2.2 Standards
      1.3 Communication
         1.3.1 Freedom of Expression
         1.3.2 Diversity
         1.3.3 Initiatives
      1.4 Faculty
         1.4.1 Acquisition
         1.4.2 Retention
         1.4.3 Measurement
      1.5 Foreign Activities
         1.5.1 Qatar
         1.5.2 International Activities
         1.5.3 Travel Safety
      1.6 Student Life
         1.6.1 Admissions
         1.6.2 Alcohol/Drugs
         1.6.3 Enrollment
         1.6.4 Financial Aid
         1.6.5 Greek System
         1.6.6 Safety
         1.6.7 Services
         1.6.8 Standards
         1.6.9 Transportation
         1.6.10 Records
         1.6.11 Food Service
         1.6.12 Retention

   2. **Compliance**
      
      2.1 Policy/Procedure
         2.1.1 Exceptions/Violations
         2.1.2 Code of Ethics
         2.1.3 Insufficient Policy
      2.2 Regulations/Laws
The Office for Audit and Advisory Services
Risk Classification Schema Detail – 2010

2.2.1 Non-Compliance
2.2.2 Human Resources
2.2.3 Intellectual Property
2.2.4 Privacy
2.2.5 Safety
2.2.6 Taxes
2.2.7 Reporting
2.2.8 Contracts
2.2.9 Lawsuits
2.2.10 Enforcement
2.2.11 Conflict of Interest
2.2.12 Research

3. Financial

3.1 Accounting
  3.1.1 Standards
  3.1.2 Valuation
  3.1.3 Data Accuracy
  3.1.4 Debt

3.2 Budget
  3.2.1 Carryovers
  3.2.2 Allocation
  3.2.3 Performance

3.3 Development/Fundraising/Gifts
  3.3.1 Donor Restrictions
  3.3.2 Collection

3.4 Investments
  3.4.1 Investment Performance
  3.4.2 Investment Integrity
  3.4.3 Investment Liquidity
  3.4.4 Empowerment

3.5 Cash Management
  3.5.1 Safeguards
  3.5.2 Processing

4. Operational

4.1 Athletics
  4.1.1 Camps
  4.1.2 NCAA
  4.1.3 Recruitment

4.2 External Relationships
  4.2.1 Alumni Relations
  4.2.2 Community Relations
  4.2.3 Research Centers
  4.2.4 Vendors

4.3 Facilities
  4.3.1 Capital Projects
  4.3.2 University Assets
  4.3.3 Disposal
  4.3.4 Classroom
4.3.5 Library
4.3.6 Maintenance
4.3.7 Records

4.4 Governance
4.4.1 Board of Trustees
4.4.2 Executive Compensation
4.4.3 Accountability
4.4.4 Management Reports
4.4.5 Risk Tolerance
4.4.6 Oversight

4.5 People
4.5.1 Behaviour
4.5.2 Commitment
4.5.3 Fraud
4.5.4 Purpose
4.5.5 Resource
4.5.6 Training

4.6 Process
4.6.1 Change Management
4.6.2 Approvals
4.6.3 Calculation
4.6.4 Processing
4.6.5 Segregation of Duties
4.6.6 Input
4.6.7 Output

4.7 Purchasing
4.7.1 Procurement
4.7.2 Bidding
4.7.3 Vending

4.8 Safety
4.8.1 DR/Emergency
4.8.2 Liability/Insurance
4.8.3 Physical Security

4.9 Technical
4.9.1 Applications/Systems
4.9.2 Business Continuity
4.9.3 Infrastructure
4.9.4 Security
4.9.5 Support
4.9.6 Development/Code
4.9.7 Database

4.10 Services
4.10.1 Shipping
4.10.2 Transportation/Parking
4.10.3 Printing

5. Reputational

5.1 Media
5.1.1 Publicity
5.1.2 Rankings

5.2 Peers
5.2.1 Collaboration
5.2.2 Comparisons/Statistics

5.3 Public Relations
5.3.1 Marketing
5.3.2 Perception

6. Research

6.1 Clinical Trials
6.1.1 Animal Subjects
6.1.2 Human Subjects

6.2 Grant Administration
6.2.1 Reporting
6.2.2 Authorization
6.2.3 Effort Reporting/Billing

6.3 Laboratories
6.3.1 Processing
6.3.2 Staff Issues
6.3.3 Environmental

6.4 Materials
6.4.1 Hazardous

6.5 Technology Transfer
6.5.1 Ownership

6.6 Results
6.6.1 Conflicts
6.6.2 Deliverables

7. Strategic

7.1 Methodology
7.1.1 Planning
7.1.2 Business Alignment

7.2 Quality
7.2.1 Metrics
7.2.2 Risk Tolerance
7.2.3 Project Success